Usable Privacy Technologies

Bart P. Knijnenburg @usabart

Find me at: www.usabart.nl + bartk@clemson.edu



Hello, I'm Bart

bartk@clemson.edu www.usabart.nl @usabart

Clemson University (Assoc. Prof.) UC Irvine (PhD) Carnegie Mellon University (M) TU Eindhoven (BS + MS)



My son Tolga (who wants to be a farmer when he grows up)



How can we help users

to **balance** the **benefits** and **risks** of information disclosure

in a user-friendly manner,

so that they can make good privacy decisions?

Outline

Show that existing solutions to make privacy technologies more usable do not work

Argue that we must either design for elaboration...

...and/or design personalized privacy decision support

Usable Privacy Technologies A tale of transparency and control

dribble yel

VELOUT

im

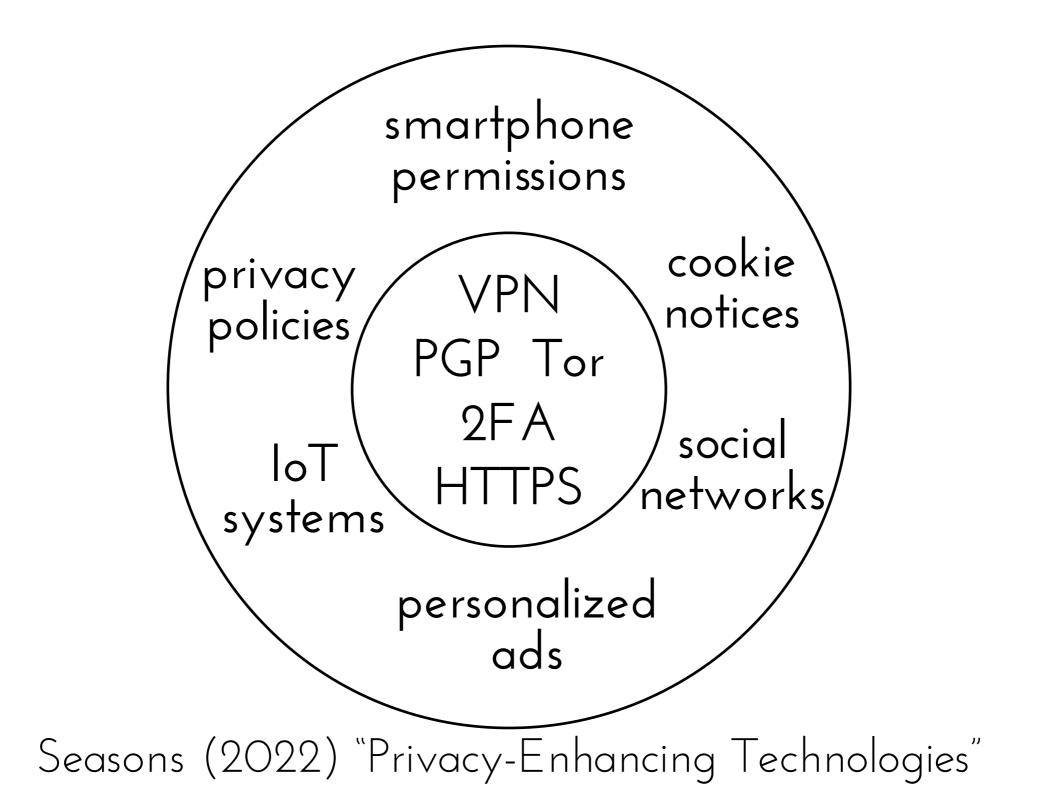
CIKY

Linked In.

oursquare

tumble.

What are privacy technologies?



Making privacy

Early work: Why are privacy technologies not usable?

Whitten & Tygar (1996) "Why Johnny Can't Encrypt — A Usability Evaluation of PGP 5.0"

A slew of "Johnny papers" followed

Why Johnny Can't Encrypt: A Usability Evaluation of PGP 5.0. ∠ Search within ding articles

Teaching Johnny not to fall for phish

Why Johnny still, still can't encrypt: Evaluating the usability of a modern PGP client

Helping Johnny 2.0 to encrypt his Facebook conversations

parj Why johnny still can't encrypt: evaluating the usability of email encryption software

S Sheng, L Broderick, CA Koranda... - Symposium on usable ..., 2008 - cups.cs.cmu.edu Our research seeks to understand the current usability situation of email encryption software, particularly PGP 9 in comparison to previous studies of PGP 5. We designed a pilot study to ... ☆ Save 30 Che Cited by 229 Related articles. All 5 versions. 30

Johnny 2: a user test of key continuity management with S/MIME and Outlook Express

Confused Johnny: when automatic encryption leads to confusion and mistakes

S Ruoti, N Kim, B Burgon, T Van Der Horst... - Proceedings of the ..., 2013 - dLacm.org A common approach to designing usable security is to hide as many security details as possible from the user to reduce the amount of information and actions a user must encounter. ... Seve 90 Cite Cited by 135 Related articles All 9 versions

Leading Johnny to water: Designing for usability and trust

Why Won't Johnny Encrypt?

H Omnan - IEEE Internet Computing, 2015 - Ideexplore.leee.org Very little Internet communication is truly private, even if it is encrypted. The original concept for email privacy dates back a few decades and was meant to provide end-to-end privacy. ... 🟠 Save 55 Cite Cited by 10 Related articles All 6 versions

Can Johnny finally encrypt? Evaluating E2E-encryption in popular IM applications

A Herzberg, H Leibowitz - Proceedings of the 6th Workshop on Socio ..., 2016 - d. aom org ... The goal of usable encryption is to give every standard user (aka 'Johnny') the ability to securely ... the seminal paper 'Why Johnny Cen't Encrypt' [29], showing that PGP encryption is not Save 50 Cite Cited by 62 Related articles AI 2 versions

Why Johnny doesn't use two factor a two-phase usability study of the FIDO U2F security key

<u>S Das</u>, A Dingman, <u>LJ Camp</u> - ... Nieuwpoort, Curaçao, February 26–March 2 ..., 2016 - Springer Why do individuals choose to use (or not use) Two Factor Authentication (2FA)? We sought to answer this by implementing a two-phase study of the Yubico Security Key. We analyzed ... $\dot{\gamma}$ Save 90 Cite Cited by 112 Related articles All 6 versions

Making privacy usable?

Nowadays: several conferences / tracks that cover usable privacy

- Symposium On Usable Privacy and Security (SOUPS)
- Privacy Enhancing Technologies Symposium (PETS)

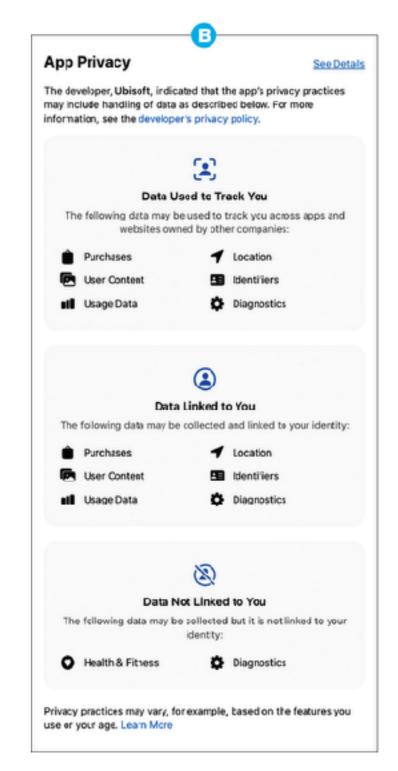
Privacy track at the ACM conference on Human Factors in Computing Systems (CHI) and the ACM conference on Computer-Supported Collaborative Work and Social Computing (CSCW)

Ex l: privacy nutrition labels

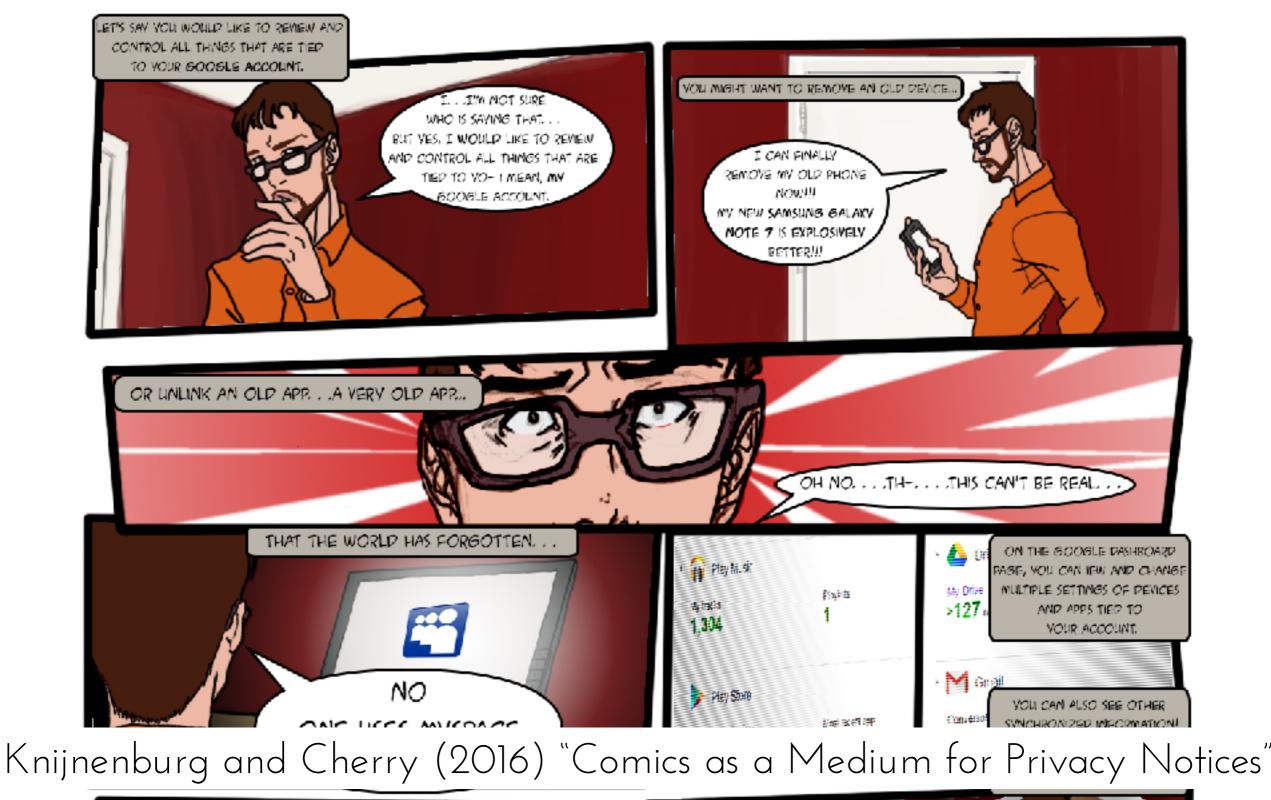
Early academic work: Kelly et al. (2009) "A "Nutrition Label" for Privacy"

Implementation in iOS and Android in 2020 rather disappointing:

Cranor (2022) "Mobile-App Privacy Nutrition Labels Missing Key Ingredients for Success"



Ex. 2: privacy policy comics





Privacy screensavers

11:05 Wed, Nov 7		A This design shows the total number of shares, broken down per app. B The center dial is color coded to aid processing of infermation and it is positioned for attention
Facebook Skype Google Contact Dropbox Instagram 180 64 21 5 70 100		 Por each data type, the visualization displays how many times each app has shared it. Position of total does not correlate with time. The data type that is being displayed is placed prominently in the center for easy processing.
256	HDH	 This design shows the most recent time s certain piece of information is shared by a certain app. Shows the number of times that data type was shared by that app. The position of the item correlates to the time of the most recent share.
Unlock >		G The design has visual indicators for the time offering granular data to assist with comprehension This serves a salient reminder of the current time to facilitate quick comparisons.

Wilkinson et al. (2020) "Privacy at a Glance"

Ex 4: social circles

Most social networks allow you to define "circles" and share selectively

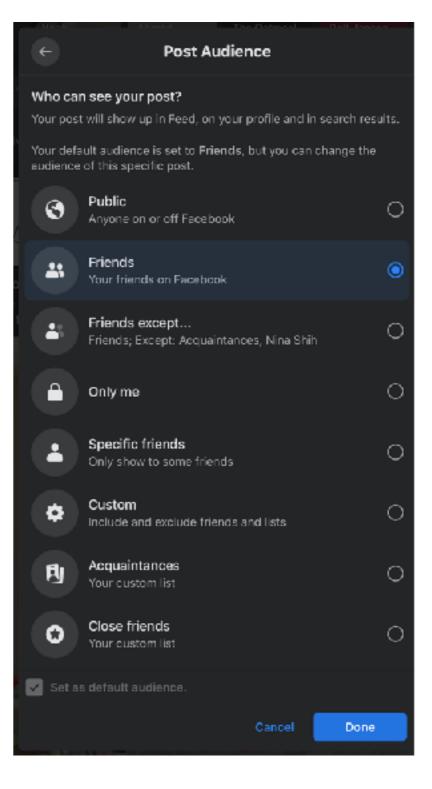
Most people don't do this

Strater & Lipford (2008) "Strategies and struggles with privacy in an online social networking community"

Watson et al. (2012) "+Your circles: sharing behavior on Google+"

Doesn't reduce threat of oversharing

Knijnenburg & Kobsa (2014) "Increasing Sharing Tendency Without Reducing Satisfaction"



From the U.S. Privacy directive

Transparency (consent)

"companies should provide clear descriptions of [...] why they need the data, how they will use it"

Control (empowerment)

"companies should offer consumers clear and simple choices [...] about personal data collection, use, and disclosure"



Death to the Privacy Calculus? Why transparency and control don't actually work

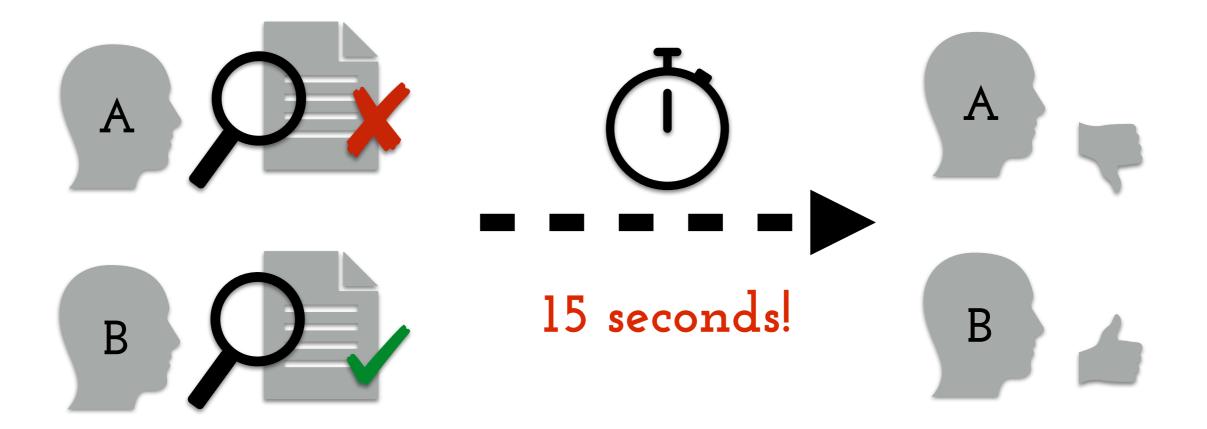
Transparency and control

Privacy Calculus: People weigh the risks and benefits of disclosure

Prerequisites of privacy calculus are:

- being able to **control** the decision;
- having adequate **information** about the decision.

Transparency and control **empower** users to regulate their privacy at the desired level.



Quiz #1

After what length of time is the privacy policy no longer taken into account?

VERSION A -12.8%

VS.

VERSION B

First Name:*	First Name:*	
Last Name:*	Last Name:*	
Email:*	Email:"	
ZIP/Postal Code:*	ZIP/Postal Code:*	
Primary Telephone:*	Primary Telephone:*	
CERTIFIED PRIVACY submit	su	bmit

Quiz #2

Which version leads to more submitted forms? With TRUSTe logo to without logo?

Α		Please send me Vortrex Newsletters and information.	25%		
B		Please do not send me Vortrex Newsletters and information.	37%		
С	\boxtimes	Please send me Vortrex Newsletters and information.			
D	\boxtimes	Please do not send me Vortrex Newsletters and information.	0%		
Figure 4: Subjects were assigned one of the following conditions					

Figure 4: Subjects were assigned one of the following conditions in the registration page.

Quiz #3

Which version leads to more newsletter subscriptions? Opt-in or opt-out? Negative or positive framing?

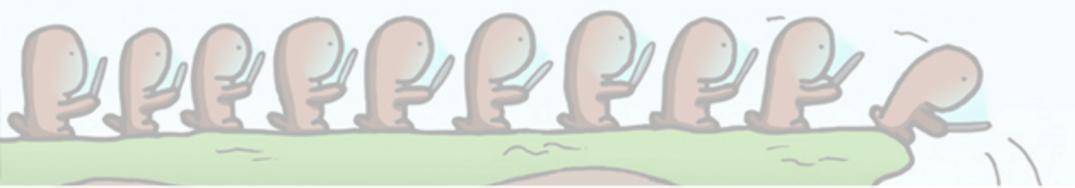
Why is this happening?

Transparency paradox (Nissenbaum, 2011):

Privacy notices that are sufficiently detailed to have an impact are often too long for people to read

Control paradox (Compaño and Lusoli, 2010):

While users claim to want full control over their data, they avoid the hassle of actually exploiting this control



Privacy Nudging An alternative solution (that also doesn't really work)



We can influence people!

Justification nudge

A succinct reason to disclose (or not disclose) information

Order nudge

Change request order to increase disclosure (foot-in-the-door, door-in-the-face)

Default/framing nudge

Set the default and/or framing in such a way that it increases or decreases disclosure

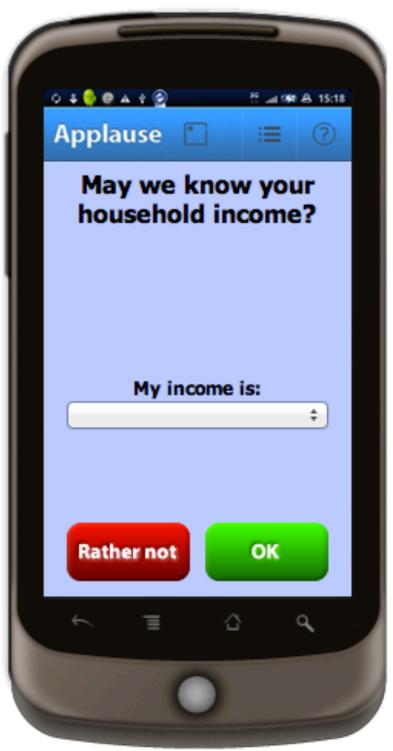
Nudge 1: justification

Mobile app recommender

Asks 31 questions (12 context, 19 demographics)

Gives recommendations based on users' answers

Users are allowed to withhold information



Nudge l: justification

How useful is this for me?

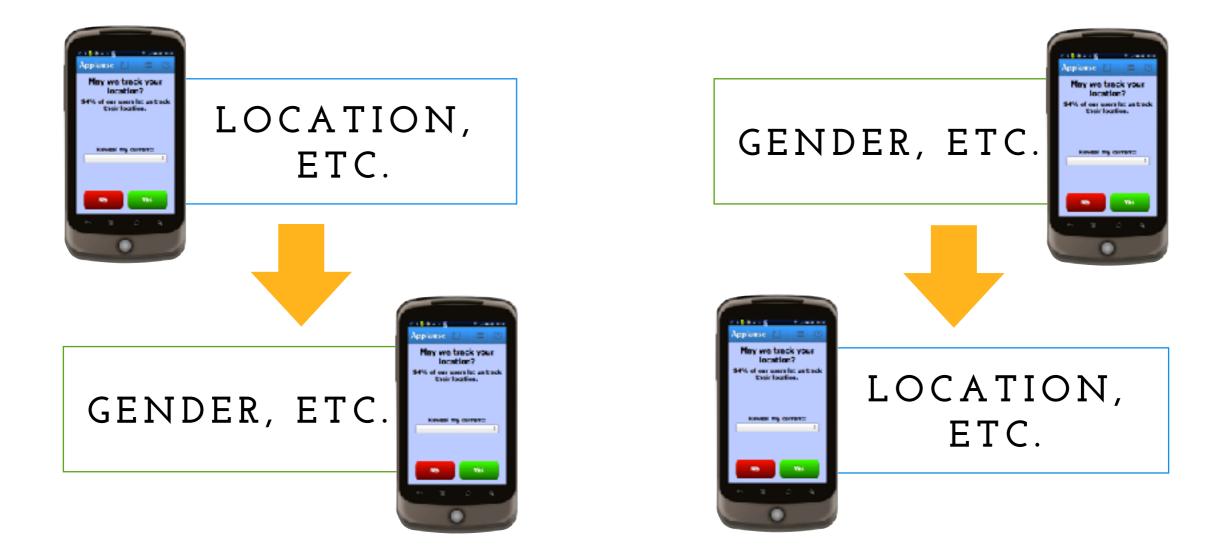
How many others are disclosing this?

How useful was it for them?

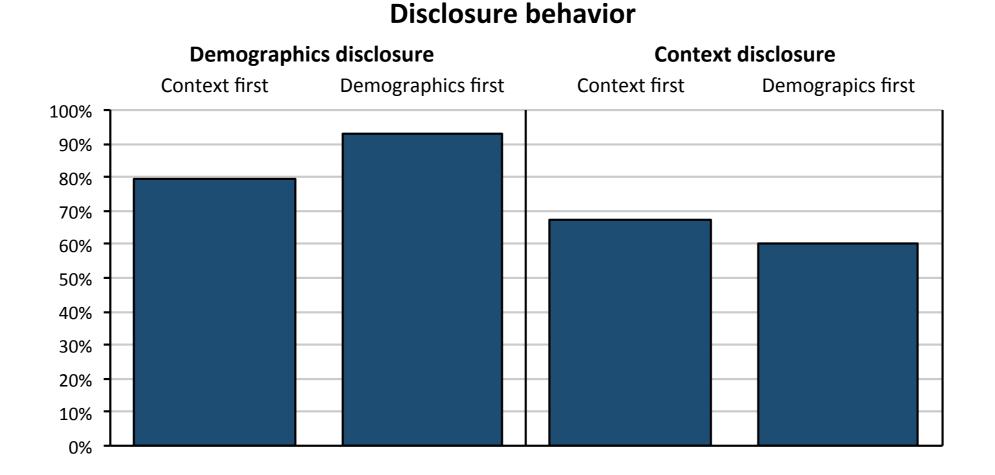
What are you gonna do with it?



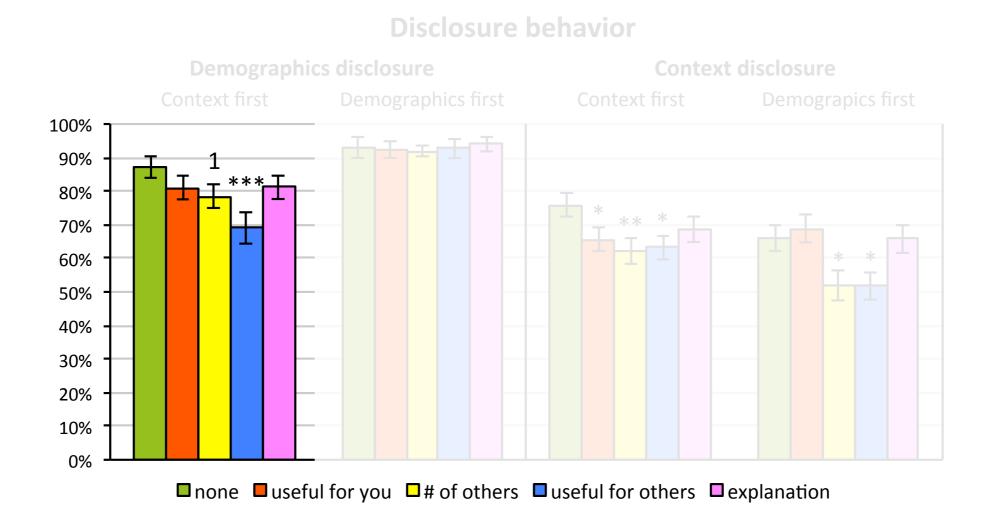
Nudge 2: Request order



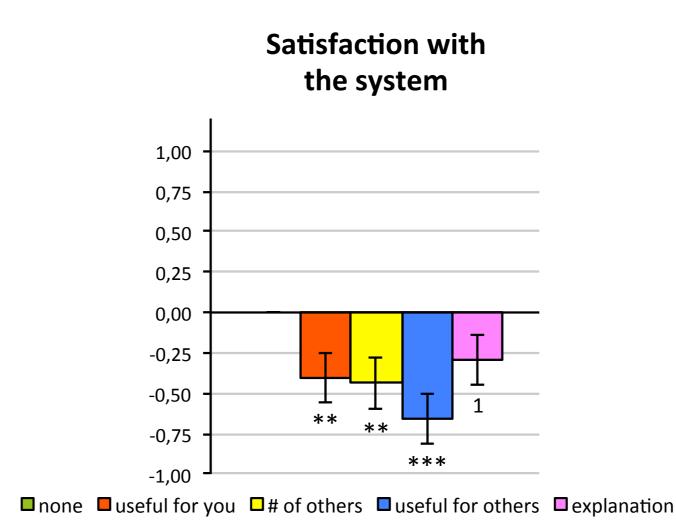
Asked first = more disclosure



Justifications don't work



Justifications don't work



Knijnenburg & Kobsa (2013) "Making Decisions About Privacy"

Nudge 3: Defaults/framing

Data: 14,729 household IoT-related scenarios + decisions from 1133 participants

Manipulate scenarios along 5 dimensions

Example scenario: "Your smart TV (Who) uses a camera (What) to give you timely alerts (Purpose), the data is stored locally (Storage) and used to optimize the service (Action)."

Nudge 3: Defaults/framing

Behavior: Allow/reject decision (see next slide)

Attitudes:

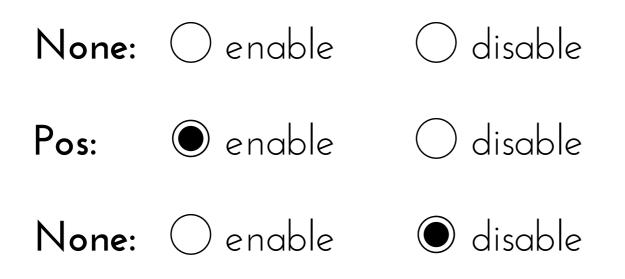
- How expected/unexpected is this scenario?
- How risky or safe is this scenario?
- How useful/useless is this scenario to you?
- How comfortable/uncomfortable do you feel about this scenario?
- How appropriate/inappropriate do you consider this situation?

Nudge 3: Defaults/framing

Framing:

None: What would you do with this feature? (enable/disable)Pos: Would you enable this feature? (yes/no)Neg: Would you disable this feature? (no/yes)

Default option:



Disclosure can be influenced

Defaults influence disclosure!

Negative default: 1.37 times less likely to enable (p = .006)

Positive default: 2.57 times more likely to enable (p < .001)

Framing too (but less)

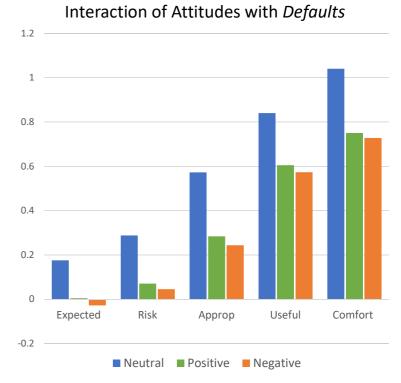
Negative framing: 1.31 times more likely to enable (p = .0205) No significant decrease for positive framing

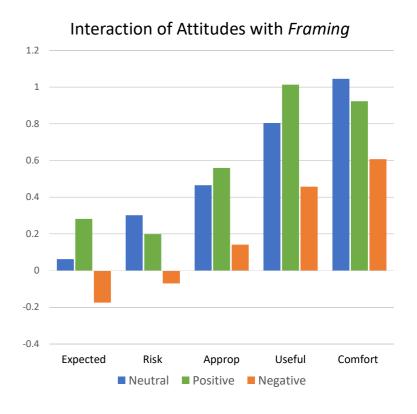
Decision process deteriorates

However, they also make people's decisions less nuanced!

Defaults reduce the effect of attitudes on disclosure

- Framing also (kind of)
 - Negative framing reduces the effect of attitudes
 - Positive framing: certain attitudes have a stronger effect, others have a weaker effect





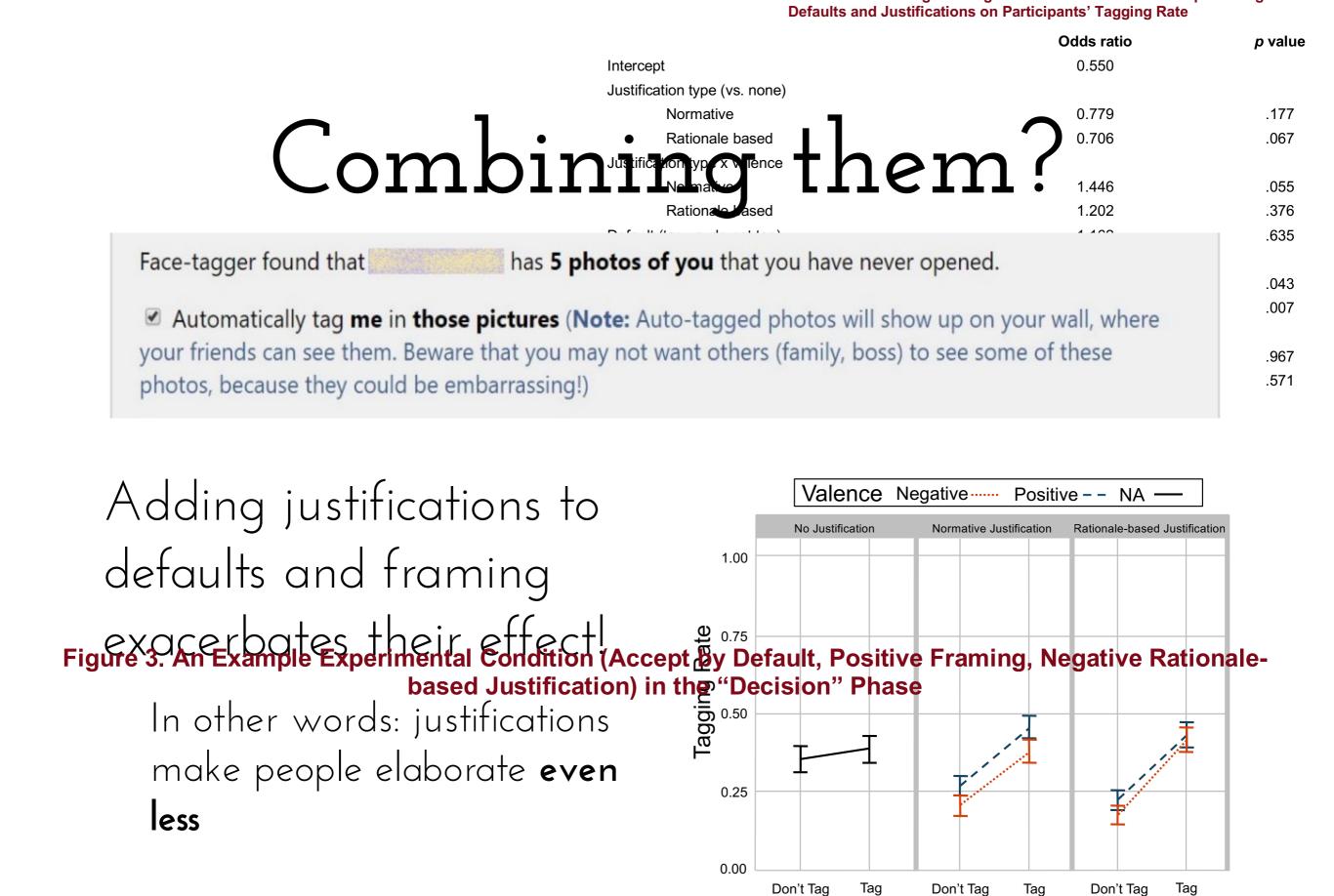


Figure 7. A Plot of the Tagging Rates Split by Default and Justification (Type and Valence)

Default

Nudging does not work!

Conclusion: Nudges have unwanted side-effects

People are either annoyed by them...

... or they influence the decision process in unwanted ways.

Also, **how** should we nudge people?

Towards more privacy?

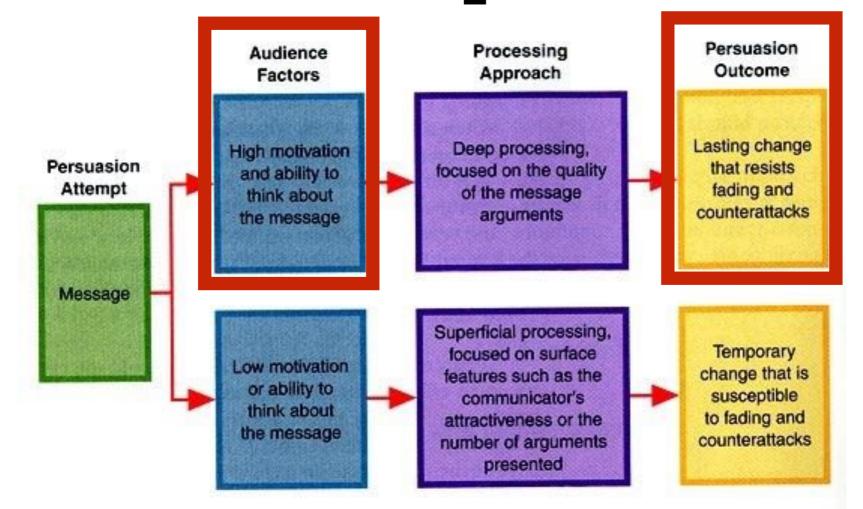
- Towards more benefits?
- The answer depends on the person and the context!



Design for elaboration Making people think about privacy.



Dual-route processing



Kahneman (2013) "Thinking, fast and slow"

If we want lasting change, we must improve motivation and self-efficacy

Design for elaboration

Modern browsers offer an **auto-completion feature** that reduces the effort of filling out web forms

These tools may cause users to **complete more fields** than they intended

They make it so easy to submit a fully completed form that users may skip weighing benefits and risk

	Marinetter				
00					
	🚩 💽 🕂 🚸 www.q	uantumg	ateway.com/samp	ole_web	_fo
🕮 🎆 Mijn ING Wells Far	go Google+ Facebook	Twitter	Google Scholar	bitly	H
*	Sample Web Form				6
Billing info					
	First Name	Bart			
	Last Name		utoFill your cont art Knijnenburg	act info	L
	Address		arenangnenserg	-	ł.
	City				
	State				
	Zip				
	Country				
	Phone				
	Email				
Shipping Info					
	First Name				
	Last Name				
	Address				
	City				
	State				
	Zip				
	Country				



Create a Profile

Please create your profile by entering your information below.

Note that FormFiller will store the information locally on your device, and only for the duration of this study. We will never submit any forms automatically or disclose this information to others without your active involvement.

About you:

First name:		Last name:	
Gender:	÷		
Age:			
Address:			
City:		State:	\$ Zip:
E-mail:			

About you:

First name:	Last name:
Gender:	\$
Age:	
Address:	
City:	State: Zip:
E-mail:	
Phone:	

Tastes and Preferences:

Favorite movie:	
Favorite band/artist:	
Favorite food:	
Favorite weekend pastime:	
Last holiday location:	
Political views:	(

Work and education:

Current/previous job:			Sector:	\$
Employment status:		\$)		
Work experience (yrs):				
Income level:		\$		
Highest completed degree:		\$		
Computer skills				‡
Health and lifesty	zle:			

meanin and mestyre.

Overall health:	\$	
Dietary restrictions:		
Number of doctor visits last month:		
Weight (lbs):		
Birth control usage (you or your partner):	÷	
Medical conditions:		
	Diabetes	Hypertension
	Respiratory (COPD etc.)	High cholesterol

Study Procedures

FormFiller

Create a Profile

Please create your profile by entering your information below.

Note that FormFiller will store the information locally on your device, and only for the duration of this study. We will never submit any forms automatically or disclose this information to others without your active involvement.

About you:			
First name:	Last name:	 	
Gender:	\$		
Age:			
Address:			
City:	State:	\$ Zip:	
E-mail:			
Phone:			





coda+care call now: 877-123-CODA

Enter your details, please

Your personal Codacare health insurance policy will be based on the information you provide. Please note that none of the items are required, but the insurance will be better tailored to your needs if you provide more information.

Tastes and Preferences:

Favorite movie:	
Favorite band/artist:	
Favorite food:	
Favorite weekend pastime:	

Study Procedures

- Each site corresponds to a particular type of info:
 - blogging community = personal interest items
 - job search website = job skills items
 - health insurer = health record items

They requested all the info, not just the relevant stuff!





WRK	"The first job s cares about	search site that truly work-life balance" - Financial Time	
> For employers	Please ent	er your informat	ion
> For Investors	IOWRK will find jobs	based on the information you e	nter on this form.
> Contact		on the form are required, bu will be a better match.	it if you provide more
> About us			
	GENERAL AND CONT	ACT INFO	
	General and contact i		
	John	LAST NAME Smith	Clear
	AGE		0
	23		🖉 clear
	GENDER Male ‡		🖉 clear
	•		

Enter your det	tails, please	
Your personal Codacare information you provide. required, but the insura provide more informatio	health insurance policy will be based on the . Please note that none of the items are ance will be better tailored to your needs if you n.	
General information Please provide your general in	•	
Name (first):	(last):	
Address:		- 10
Address: City:	State: Zip:	

Research outline

We introduce **two new** efficacy-increasing designs

We compare three tools:

- Auto FormFiller: auto-fills
 fields, users can remove
 manually
- Remove FormFiller: click to remove each field
- Add FormFiller: click to fill each field

John	(last):	Smith	
john@smith.com			
Male ‡			
23			
123 Main St.			
New York	State:	NY ‡ Zip:	12345

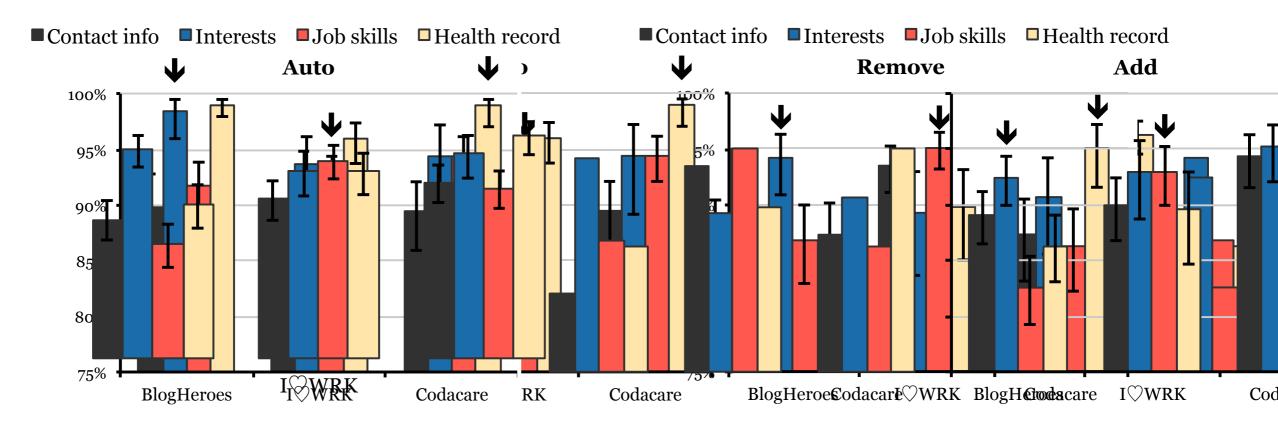
FIRST NAME	LAST NAME	
John	Smith	🧷 clear
AGE 23		🧷 clear
GENDER Male ‡		🧷 clear

john@smith.com		
Name (first):	(last):	🥜 fill
Address:		
City:	State: Zip:	🥜 fill
Gender:		🥜 fill

Design for elaboration

Disclosure was not purpose-specific for users of the Auto FormFiller

Disclosure was **purpose-specific** for users of the **Remove and Add FormFillers**.



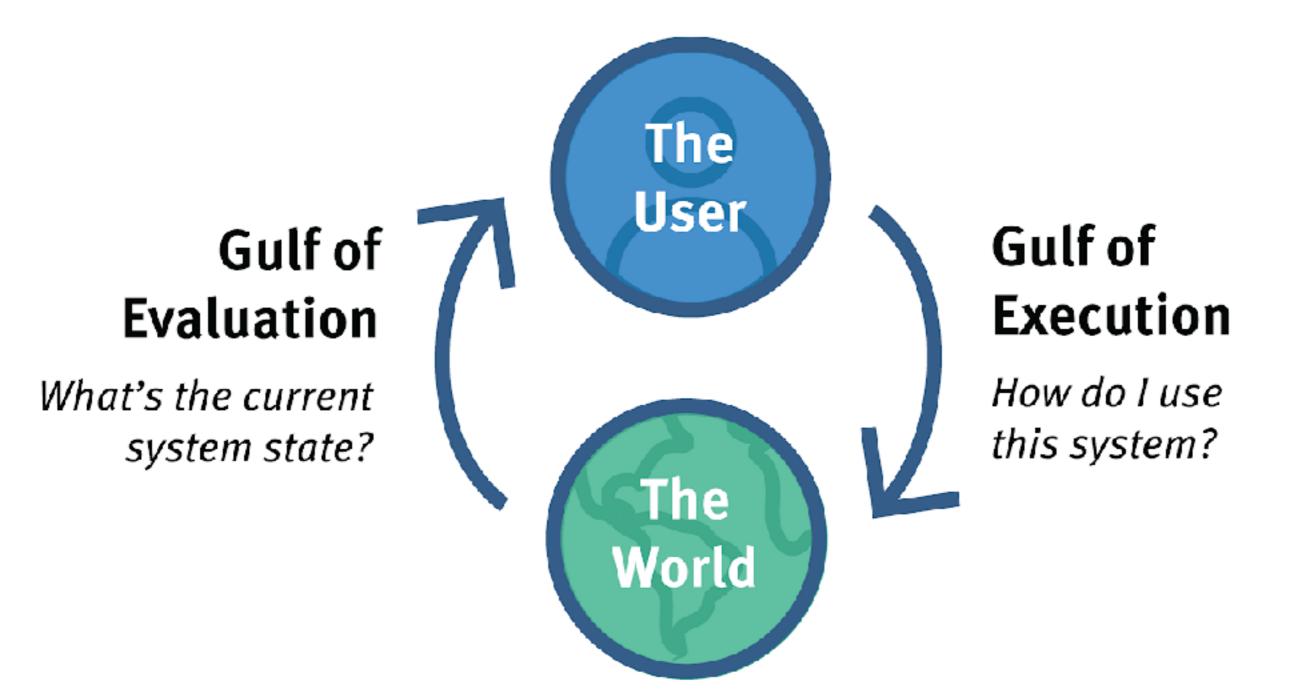
Knijnenburg et al. (2013) "Counteracting the Negative Effect of Form Auto-completion on the Privacy Calculus"

Privacy decisions are too hard!

Problem: Most systems are much too complex Privacy policies are increasing in length Facebook's privacy controls are "Labyrinthian"

It's easy to fall back on heuristic decision-making practices

Which makes us fall prey to external influences such as defaults and framing





The Two UX Gulfs (Hutchins, Hollan & Norman 1986) Users have difficulties translating their goals (desired privacy) into actions (settings).

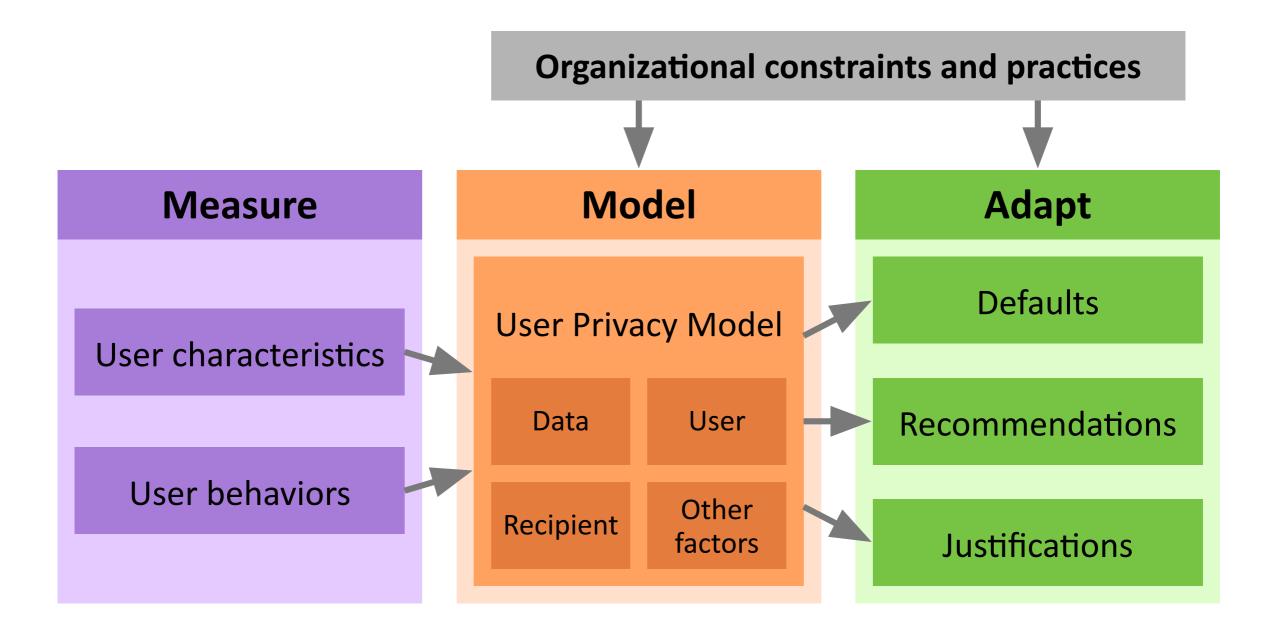




User-Tailored Privacy Privacy recommendations: Figure out what people want, then help them do that.



User-Tailored Privacy

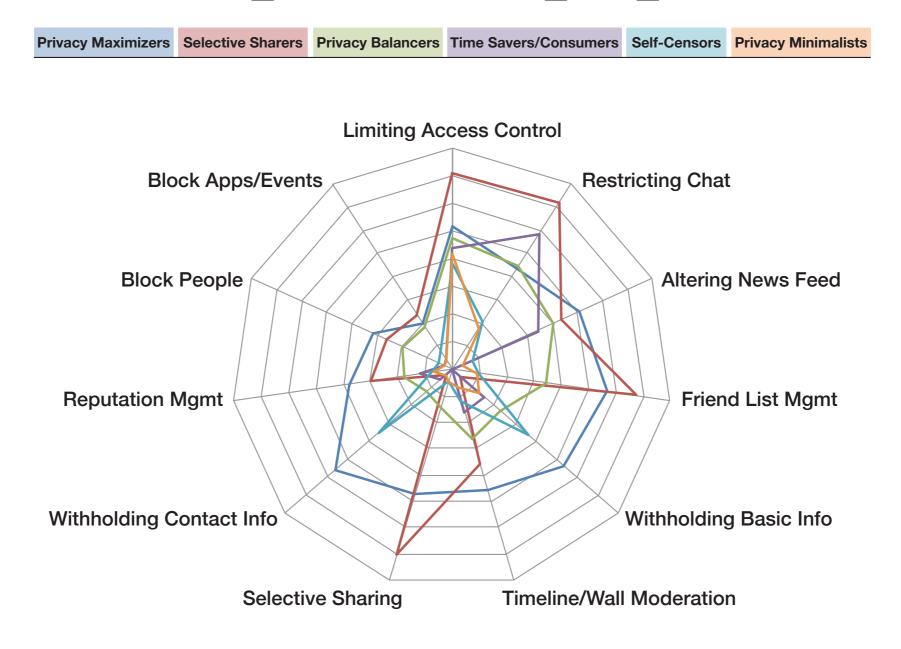


Knijnenburg et al. (2022) "User-Tailored Privacy"

Use case:

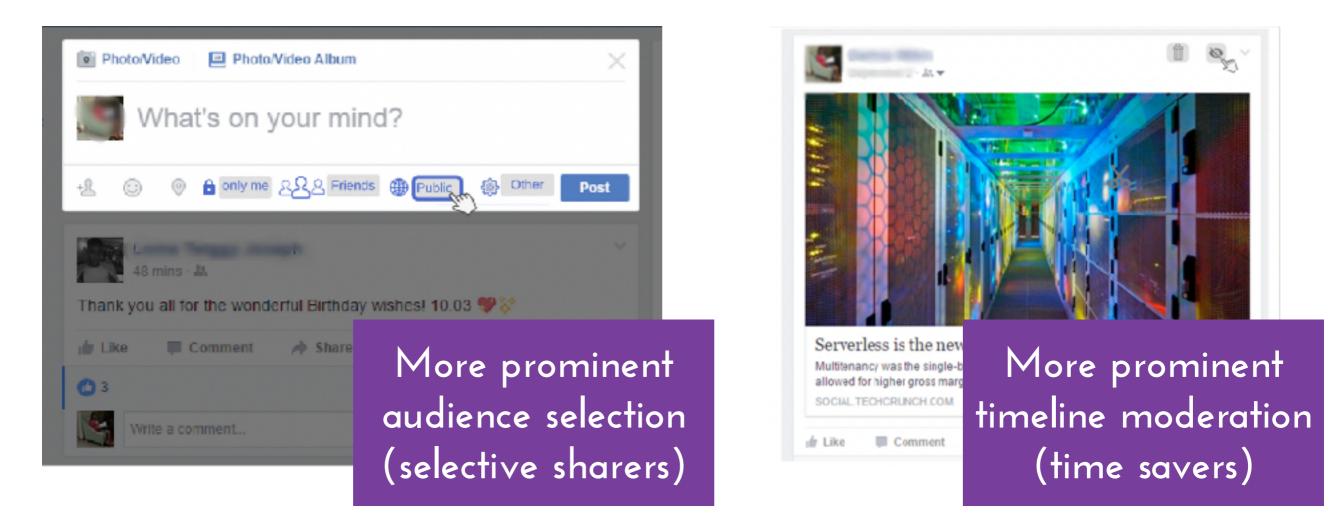
Facebook privacy management practices 32 individual privacy behaviors that Facebook users could perform using the native Facebook interface

Create privacy profiles



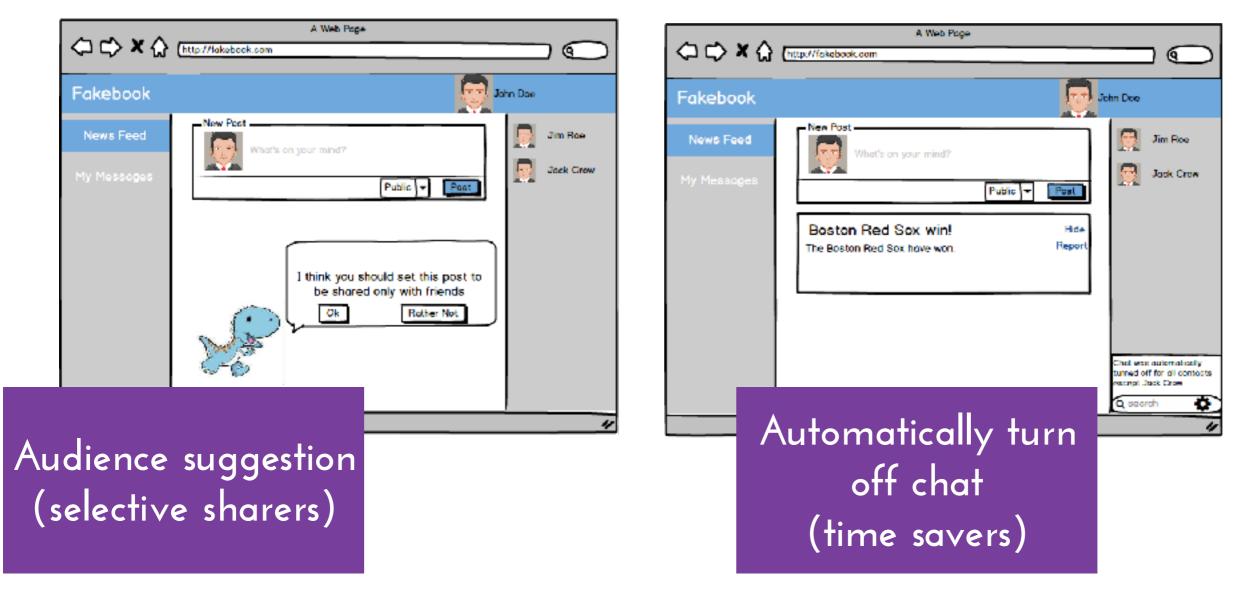
Wisniewski et al. (2017) "Making Privacy Personal" see www.usabart.nl/chart

Adapt the interface



Wilkinson et al. (2017) "User-Tailored Privacy by Design"

Give recommendations



Namara et al. (2018) "The Potential for User-Tailored Privacy on Facebook"

Namara et al. (2022) "The Effectiveness of Adaptation Methods in Improving User Engagement and Privacy Protection on Social Network Sites"

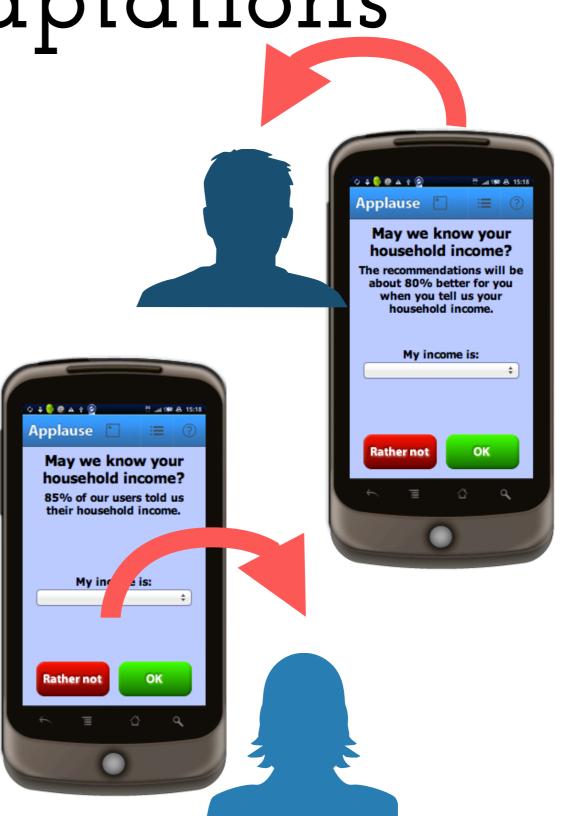
Other adaptations

Adapt the nudge

Adapt the available options

Adapt the order of requests

Knijnenburg and Kobsa (2013) "Helping users with information disclosure decisions: potential for adaptation"



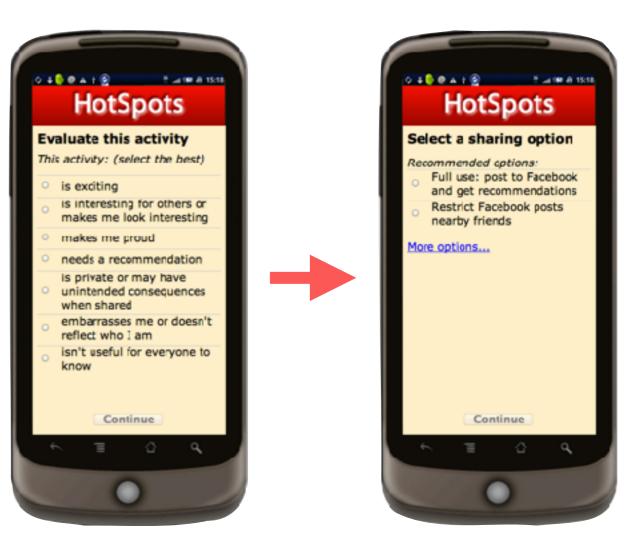
Other adaptations

Adapt the nudge

Adapt the available options

Adapt the order of requests

Knijnenburg and Jin (2013) "The persuasive effect of privacy recommendations for location sharing services"



Other adaptations

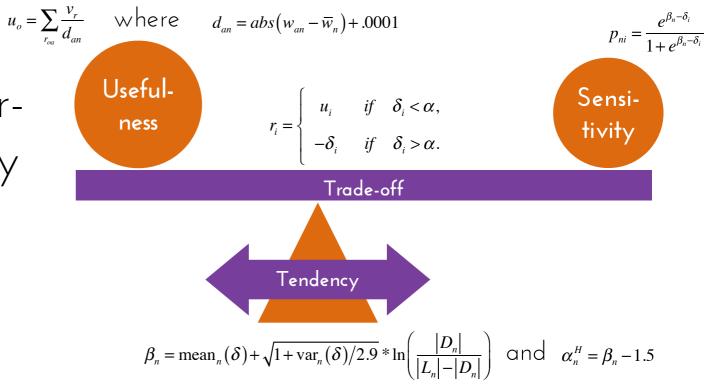
Adapt the nudge

Adapt the available options

Adapt the order of requests

🧄 Indicale preference 🛙	What is your gender?								
The recommenations will automatically update tased on your answers to the questions on the right.	Fernale Male		skip this question		e.				
Choose measures				Move		x over these	athoufest	Jean more a	
	Nane		Focus	Calories	Exercise intensity	Frequency	Duration	Costs	Geoial beneits
Here are your recommendations: select the measuras you want to do, or you are already doing now.	Well a Neikmal Trailingetter		wervier	700 val				1,012	
	Regster at fittink to find an exercise	huddy	exercise	rone	T			IONE	
	Attend a sortic walking class togeth	exercise	500 cal				\$ 10.00		
	Take a 1 hour walk legether	evenable	26C aal				name	_	
	Go to a spinning class with a friend	exercise	75C cal				\$ 10.00		
	Prepare healthy meals three times th	tis week	nutrition	rone				none	
	Find an exercise buddy		exercise	1004		T		HORE	
	Take turns with colleagues to bring fi	uit	sublition	rone				\$2.00	
🛞 Your choices 👔	I want to be this: I already so this:					18:			
Here are the measures you have	Yiu haven't shosen an I cas burn'avoid (weekly):	measures yet.		l ar		tu havan't di seminglavdi		wasuresyet. Wi:	nore
chosen!				Id	or't want to	ado this:			
							свег алул	eauresyst.	
You have now spent 0 minutes using the									

Knijnenburg (2015) "A usertailored approach to privacy decision support"





IoT privacy An example of user-tailored privacy to inspire interface design and smart profiles.



Use case:

2,800 public IoT-related scenarios + decisions from 200 participants

Manipulate scenarios along 5 dimensions Example: "A device of a friend (who) records your video to detect your presence (what). This happens continuously (when), while you are at someone else's place (where), for your safety (why)."

Choice to allow or reject this scenario

Let's say we create a layered settings interface What parameter should be at the top? What has the most influence on the user's decision?

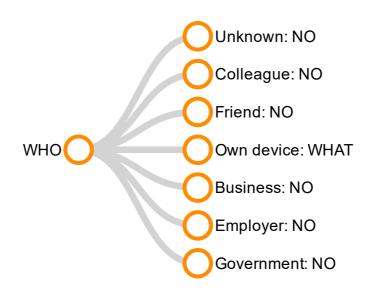
Regression modeling to determine parameter order Result: who > what > why > when > $\frac{}{}$ where

Bahirat et al. (2018) "A data-driven approach to developing loT privacy-setting interfaces"

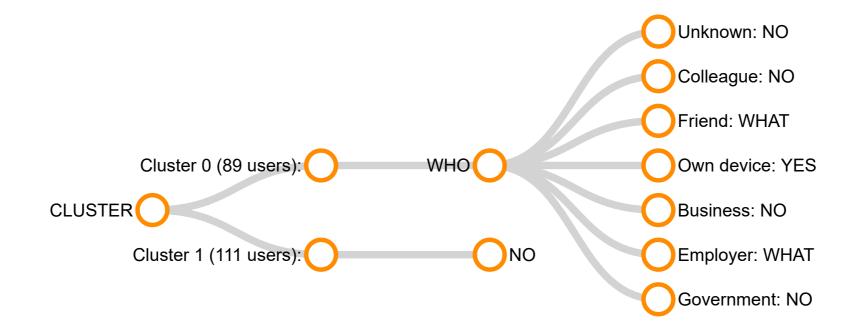
●●●●● ♡ 9:00 AM	100%	••••• 🗸	9:00 AM	100%	••••• 🖓	9:00 AM	100%
Profiles	igs	K Settings	Friends' devices		< Friends	Voice – age	
Which devices may collect your	personal information?	What type o	o f data may your friends' de	evices collect?	For what purpose	e may your friends' devices r to determine your age?	ecord your voice
My own devices	more >	Voice, to de	etermine my		Safety		
Friends' devices	more >	age		→ more >	never		~
Colleagues' devices	more >	identity		more >	once		
Devices of nearby businesse	s more >	gender		more >	continuously		
My employer's devices	more >	mood		more >	Health		
Government devices	more >	presence		more >	never		
Unknown devices	more >	(other)		more >	once		✓
		Photos, to a	determine my		continuously		
		age		more >	Convenience		
		identity		more >	never		~
		oondor		more >	once		

What about the default setting? Everything on by default: 28% correct Everything off by default: 72% correct

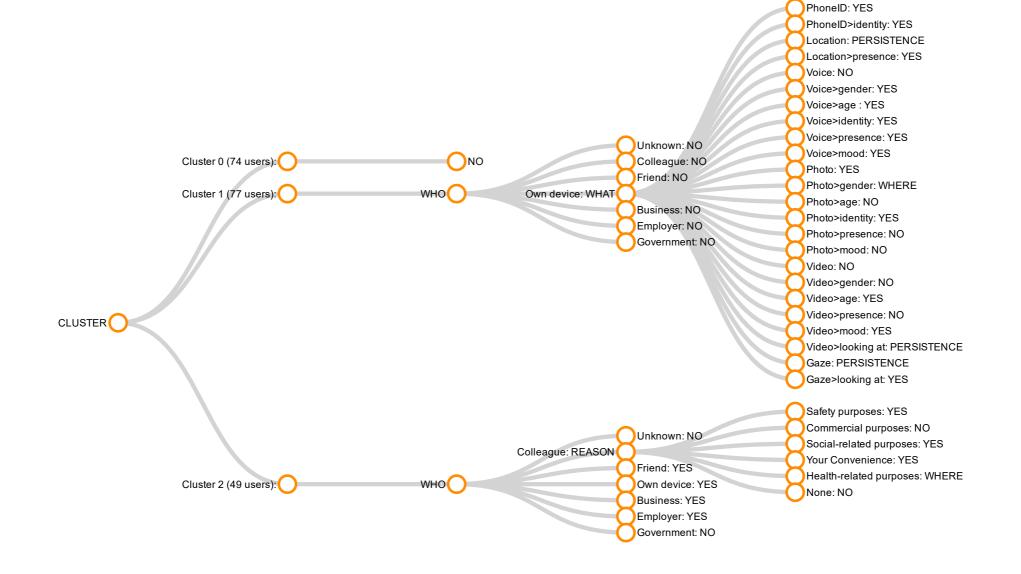
What if we make our best guess? Predict based on who, what, where, when, why: 75% correct



Divide participants based on overall attitudes? Two profiles: Correct 77% of the time!



What if we divide participants on the fly? Three profiles: Correct 82% of the time!



Step 1: choose a profile

••••• 🖓

9:00 AM

Default profiles	Profiles IoT Settings	Settings Friends' devices	Friends Voice - age				
Please select a profile (you can change individual settings on the next screen)	Which devices may collect your personal information?	For what purpose may your friends' devices record your voice to determine your age?					
Limited collection This profile allows the collection of: any data by the your own devices, your friends' devices, your employer/school's devices, and devices of nearby businesses any data by your colleagues' devices, but only for certain reasons learn more Limited collection, personal devices only This profile allows the collection of: certain types of data by the your own devices 	My own devices more >	Voice, to determine my	Safety				
	Friends' devices more >	age more >	never 🗸				
	Colleagues' devices more >	identity more >	once				
	Devices of nearby businesses O more >	gender more >	continuously				
	My employer's devices one >	mood more >	Health				
	Government devices more >	presence more >	never				
	Unknown devices more >	(other) more >	once 🗸				
No collection		Photos, to determine my	continuously				
This profile prevents the collection of any data		age more >	Convenience				
		identity more >	never 🗸				
next > Step 2: adjust the default settings							

••••• 🖓

100%

9:00 AM

••••• 🖓

100%

9:00 AM

100%



Conclusion

Next steps in usable privacy research.



My contribution

I argued that privacy scholars need to move beyond the "onesize-fits-all" approach to privacy

I presented the idea of "design for elaboration", which:

Aims to increase **motivation** and **self-efficacy**, thereby encouraging people to **think slow**

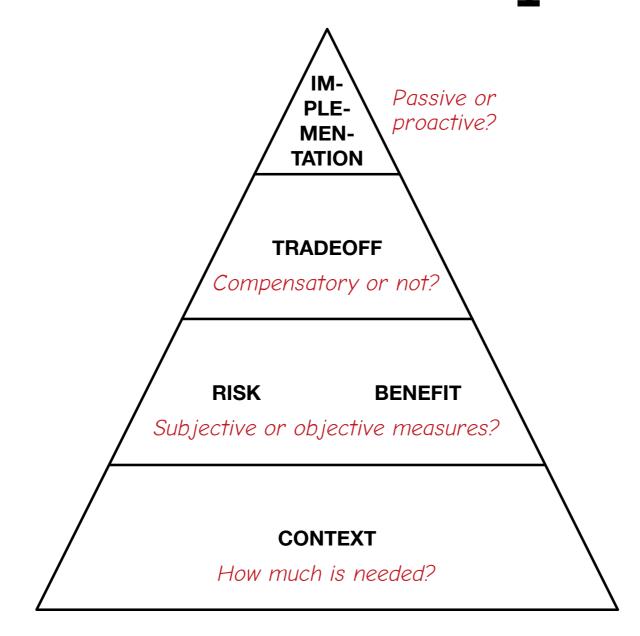
Nudges people to take control of their own privacy

I presented the idea of "user-tailored privacy", which:

Provides **realistic empowerment** by relieving some of the burden of controlling privacy, while at the same time respecting each individual's preferences

Refrains from making moral judgments about what the "right" level of privacy should be

Future research questions



Knijnenburg et al. (2017) "Death to the Privacy Calculus"