# **Curriculum Vitae**

# ROBERT KLEINBERG

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### **Research Interests**

Algorithm design and analysis, economic aspects of algorithms, online learning and its applications, routing and information transmission in networks.

#### Education

Ph.D., Massachusetts Institute of Technology, Cambridge, MA, USA.
 Department of Mathematics
 Thesis: Online Decision Problems With Large Strategy Sets

Advisor: Tom Leighton

• *A.B.*, Cornell University, Ithaca, NY, USA.

Department of Mathematics, Summa Cum Laude.

1997

# **Employment History**

• Professor, Computer Science, Cornell University	July 2019 – present
• Visiting Faculty Researcher, Google Research	September 2022 – present
• Associate Professor, Computer Science, Cornell University	July 2013 – June 2019
• Principal Researcher, Microsoft Research	July 2015 – July 2016
• Visiting Researcher, Microsoft Research	July 2014 – June 2015
• Assistant Professor, Computer Science, Cornell University	July 2005 – June 2013
• NSF Postdoctoral Scholar, Computer Science, U.C. Berkeley Supervisor: Christos Papadimitriou	Sep 2005 – Nov 2006
• Senior Software Engineer and Asst. Architect, Akamai Technologies	June 1999 – October 2004

# **Awards and Honors**

- FOCS 20-Year Test of Time Award, 2023. (Awarded to R. Kleinberg and T. Leighton for "The Value of Knowing a Demand Curve: Bounds on Regret for Online Posted-Price Auctions", FOCS 2003.)
- ACM SIGecom Mid-Career Award, 2023.

- ACM Fellow, 2021.
- Cornell College of Engineering Teaching Award, 2019.
- SIGCOMM Networking Systems Award, 2018. Awarded to a team who contributed to developing the Akamai Content Delivery Network.
- Merrill Presidential Teacher, Cornell University, 2018.
- Best reviewer award, NIPS 2017.
- Outstanding PC service award, COLT 2016.
- Best Paper Awards
  - ACM Conference on Electronic Commerce (EC), 2014. Awarded to P. Frazier, D. Kempe, J. Kleinberg, and R. Kleinberg, *Incentivizing Exploration*.
  - ACM Conference on Electronic Commerce (EC), 2010. Awarded to M. Babaioff, R. Kleinberg, and A. Slivkins, *Truthful Mechanisms with Implicit Payment Computation*.
- Tau Beta Pi Professor of the Year Award, Cornell College of Engineering, 2012.
- Faculty of the Year Award, Cornell Association of CS Undergraduates (ACSU), 2008 and 2011.
- Kenneth A. Goldman '71 Excellence in Teaching Award, Cornell College of Engineering, 2009.
- Microsoft Research New Faculty Fellowship, 2008.
- Alfred P. Sloan Research Fellowship, 2008.
- Best Student Paper Award, Conference on Learning Theory (COLT), 2008. Awarded to R. Kleinberg, A. Niculescu-Mizil, and Y. Sharma, *Regret Bounds for Sleeping Experts and Bandits*.
- NSF CAREER Award, 2007.
- NSF Mathematical Sciences Postdoctoral Research Fellowship, 2005.
- Fannie and John Hertz Foundation Fellowship, 1997.
- Putnam Fellow (awarded to six annually), William Lowell Putnam Mathematics Competition, 1996.
- Gold medalist, International Mathematics Olympiad, 1992.
- Silver medalist, International Mathematics Olympiad, 1991.

# **Teaching and Advising**

#### **Teaching**

All courses were taught at Cornell University. An asterisk (\*) marks courses introduced.

• CS 6820: Analysis of Algorithms

Fall 2008, 2010, 2012, 2013, 2016-2021

CS 4850: Mathematical Foundations of the Information Age
 CS 4820: Introduction to Analysis of Algorithms
 Spring 2008–2012, 2014, 2017–2019, 2021
 CS 7822: Geometry of Polynomials\*
 CS 6822: Advanced Algorithms — Flows, Cuts, and Sparsifiers\*
 Fall 2011
 CS 783: Topics in Algorithms — Information-Theoretic Aspects of Algorithms\*
 Fall 2007

Postdocs

• Yoav Kolumbus 8/2023–present

Spring 2007

• CS 683: Advanced Algorithms — Learning, Games, and Electronic Markets\*

• Saeed Alaei 8/2012–08/2014 Joined Google as a Research Scientist.

• *Patrick Briest* 9/2008–8/2009 Joined University of Paderborn CS Department as junior professor; now at McKinsey & Co.

• *Shahar Dobzinski* (co-supervised with É. Tardos) 8/2009–10/2012 Joined Weizmann Institute CS Department as tenure-track faculty.

• *Thomas Kesselheim* (co-supervised with É. Tardos) 2/2013–08/2014 Joined Max-Planck-Institute für Informatik as a postdoctoral scholar.

• *Katrina Ligett* (co-supervised with É. Tardos) 8/2009–7/2011 Joined Caltech CS and Economics Department as tenure-track faculty.

### **Cornell Graduate Field Memberships**

- Computer Science
- Applied Mathematics
- Operations Research and Information Engineering
- Information Science
- Mathematics

#### Graduated Ph.D. Students

• *Makis Arsenis*Thesis title: Algorithm and Mechanism Design in Online, Stochastic Environments

First employment: Modulus Labs.

• *Ayush Sekhari* (co-advised with Karthik Sridharan) August 2022 *Thesis title*: Non-Convex and Interactive Learning via Stochastic Optimization *First employment:* Postdoc at MIT.

• Yang Yuan May 2018

*Thesis title*: Provable and Practical Algorithms for Non-convex Problems in Machine Learning *First employment*: Postdoc at MIT.

*Current employment:* Assistant Professor at Tsinghua University, Institute for Interdisciplinary Information Sciences (IIIS).

• Rad Niazadeh August 2017

*Thesis title*: Mechanism Design for Complex Environments: Learning and Online Mechanisms *First employment*: Postdoc at Stanford University.

*Current employment:* Assistant Professor of Operations Management, University of Chicago Booth School of Business

• Bruno Abrahao August 2014

*Thesis title:* Extracting Hidden Structures in Social and Information Networks *First employment:* Postdoc at Stanford University.

*Current employment:* Assistant Professor of Information Systems and Business Analytics, NYU Shanghai; Global Network Assistant Professor, NYU.

• Ashwinkumar Badanidiyuru

August 2014

*Thesis title:* Sequential Decision Making with Resource Constraints *First employment:* Research scientist at Google.

• Anna Blasiak August 2013

*Thesis title*: A Graph-Theoretic Approach to Network Coding *First employment:* Akamai Technologies.

• Hu Fu August 2013

Thesis title: Simple and Approximately Optimal Mechanisms Design

First employment: Postdoc at Microsoft Research New England.

*Current employment:* Associate Professor in Institute for Theoretical Computer Science, Shanghai University of Finance and Economics.

Hyung-Chan An (co-supervised; D. Shmoys was primary advisor) August 2012
 Thesis Title: Approximation Algorithms For Traveling Salesman Problems Based On Linear Programming Relaxations

First employment: Postdoc at EPFL.

Current employment: Associate Professor of Computer Science, Yonsei University.

• *Yogeshwer Sharma* (co-supervised; D. Williamson was primary advisor) *Thesis Title*: Expressive Models In Online Learning *First employment*: Postdoc at University of Waterloo.

Current employment: Research Scientist at Facebook.

June 2010

#### **Current Ph.D. Students**

Raunak Kumar expected graduation August 2024

Princewill Okoroafor expected graduation August 2025

Tegan Wilson
 expected graduation August 2024

# **Undergraduate and Masters Student Research Supervision**

• James Zhang	9/2023-5/2024	
• Jiho Cha	9/2023-12/2023	
• Eleanor Goh and Vaishnavi Gupta	9/2021-5/2022	
• Xiang (Felix) Fu	9/2018-12/2018	
• Qian Huang	5/2018–5/2019	
- CRA Outstanding Undergraduate Research Award, finalist, 2020 and	2021.	
• Dragos-Florian Ristache	10/2016–12/2016	
• Victor Oliveira Reis	8/2016–12/2016	
• Shiyu Wang and Seung Won Yoo	8/2016–12/2016	
• Lisa Fawcett and Sam Park	8/2012-12/2012	
Christina Brandt and Gautam Kamath	6/2011–11/2011	
<ul> <li>Published "An analysis of one-dimensional Schelling segregation" in STOC 2012.</li> </ul>		
• Hooyeon Haden Lee	6/2011-8/2011	
<ul> <li>Published "Approximating low-dimensional coverage problems" in SOCG 2012.</li> </ul>		
• Andrew Hoelscher and David Kupiec	2/2011–5/2011	
• Matthew Paff	2/2010–5/2010	
• S. Matthew Weinberg	8/2008-5/2010	
<ul> <li>Published "Pricing randomized allocations" in SODA 2010.</li> </ul>		
• Di Wang	6/2008-5/2010	
<ul> <li>Published "Analyzing quadratic unconstrained binary optimization problems via multicommodity flows" in <i>Discrete Applied Mathematics</i>, 2009.</li> </ul>		
- CRA Outstanding Undergraduate Research Award, runner-up, 2010.		
• Rafael Frongillo	1/2008-5/2008	

# **Service**

# **Conferences**

• Steering committee

• Kareem Amin

- Innovations in Theoretical Computer Science (ITCS)

2016-present

10/2007-12/2007

# • Program committee chair

<ul> <li>4th Innovations in Theoretical Computer Science Conference (ITCS)</li> </ul>	2013
- 25th ACM Conference on Economics and Computation (EC)	2024

# • P1

Program committee	
- ACM Symposium on Theory of Computing (STOC)	2021, 2018, 2013, 2010, 2007
- IEEE Symposium on Foundations of Computer Science (FOCS)	2014, 2007
Workshops and Tutorials Co	-Chair 2019, 2018
- ACM-SIAM Symposium on Discrete Algorithms (SODA)	2019, 2009
- ACM Conference on Electronic Commerce (EC) Theory	Track Co-chair 2022
Senior PC	2020, '19, '17, '16, '13, '12, '11
PC	2014, 2010, 2008
Workshops Chair	2014
Local Co-Chair	2018
- Conference on Learning Theory (COLT)	2023, 2022, 2016, 2012, 2009
- International Conference on Machine Learning (ICML)	2012
– Algorithmic Learning Theory (ALT)	2018
- Innovations in Theoretical Computer Science Conference (ITCS)	2018, 2012, 2023
– International Joint Conference on Artificial Intelligence (IJCAI)	2011, 2009
– Uncertainty in Artificial Intelligence (UAI)	2010
<ul> <li>Workshop on Internet and Network Economics (WINE)</li> </ul>	2010
– Int'l. Colloq. on Automata, Languages, and Programming (ICAL	(P) 2017, 2014, 2009
– Symposium on Parallelism in Algorithms and Architectures (SPA	AA) 2006

# Workshops

# • Co-founder and co-organizer

– Eastern Great Lakes Theory Workshop (EaGL)	2008–present
– Bay Algorithmic Game Theory Symposium (BAGT)	Feb. 2006, Sept. 2006

### • Co-organizer

– Optimization and Decision-Making Under Uncertainty	September 2016
- Cornell-MSR Workshop on Computation and the Social Sciences	June 2016
- Reverse AGT Workshop on Applied Econometrics	February 2016
- Workshop on Implementation Theory (WIT)	June 2011
- New York Computational Economics Day (NYCE)	Nov. 2009

# • Steering committee

- New York Computational Economics Day (NYCE) 2010-present

# • Program committee

– Economics of Networks, Systems, and Computation (NetEcon)	2013, 2012, 2010, 2009
- Workshop on Ad Auctions (AdAuctions)	2010, 2009

#### **Journals**

- Board of Editors, SIAM Journal on Computing, 2013–2019
- Board of Editors, Theory of Computing
- Guest Editor, *Journal of Economic Theory*, special issue on the interface between economics and computer science. (Co-editors: Larry Blume, David Easley, Jon Kleinberg, Éva Tardos.)
- Guest Editor, *SIAM Journal on Computing*, special issue on selected papers from STOC 2010. (Co-editors: A. Frieze, C. Peikert, A. Russell, L. Schulman, A. Srinivasan.)
- Guest Editor, *Theory of Computing Systems*, special issue on selected papers from SPAA 2006. (Co-editor: Christian Scheideler.)

### **Programs**

• Co-organizer of semester-long *Algorithms and Uncertainty* program at Simons Institute for the Theory of Computing, Berkeley, CA, Fall 2016.

#### **Professional Societies**

• ACM SIGACT Executive Committee 2018-2021

ACM SIGecom Test of Time Award Committee
 2018

## **Cornell CIS College**

• CIS Faculty/Staff Engagement Committee 2016-17

• DSS faculty recruiting committee 2018

#### **Cornell CS Department**

• Ph.D. Rubric Committee (chair) 2023

• CS Department DEI Committee 2020–present

• Director of Graduate Studies 2017–2021

• Curriculum Committee 2018–2021

• Graduate Distinction Committee 2018–2022 (chair, 2018–2021)

• Committee on Ithaca-NYC Interactions 2018–19

• Committee to revise the CS Ph.D. requirements (chair) 2018

• Faculty recruiting committee 2009, 2007

Ph.D. admissions committee
 2024, 2022, 2017, 2012, 2010, 2008

• Colloquium committee 2012, 2013

• Committee to revise the CS undergraduate curriculum

2008

- Organizer, 50th Anniversary Celebration for Cornell CS Department
- October 2014

• Organizer, Brazil-Cornell Computer Science Workshop (Co-organizers: John Hopcroft, Nivio Ziviani) May 2012

• Organizer, CS Theory Seminar 2007–2014, 2017–2021 (Co-organizers: Rafael Pass, 2007–12; Éva Tardos, 2011–12, 2017–present; David Steurer, 2012–14; Eshan Chattopadhyay, 2018–present; Noah Stephens-Davidowitz, 2020–present.)

#### **Publications**

### **Journal Papers**

- [J1] J. M. Kleinberg, R. Kleinberg, and S. Oren. Optimal stopping with behaviorally biased agents: The role of loss aversion and changing reference points. *Games Econ. Behav.*, 133:282–299, 2022.
- [J2] H.-C. An and R. Kleinberg. A diameter-revealing proof of the Bondy-Lovász lemma. *Information Processing Letters*, 174:106194, 2022.
- [J3] H.-C. An, R. D. Kleinberg, and D. B. Shmoys. Approximation algorithms for the bottleneck asymmetric traveling salesman problem. *ACM Trans. Algorithms*, 17(4):35:1–35:12, 2021.
- [J4] S. Dughmi, J. Hartline, R. Kleinberg, and R. Niazadeh. Bernoulli factories and black-box reductions in mechanism design. *J. ACM*, 68(2):10:1–10:30, 2021.
- [J5] H. Fu, N. Haghpanah, J. Hartline, and R. Kleinberg. Full surplus extraction from samples. *J. Economic Theory*, 193:105230, 2021.
- [J6] R. Kleinberg, A. Slivkins, and E. Upfal. Bandits and experts in metric spaces. *J. ACM*, 66(4):30:1–30:77, 2019.
- [J7] J. Banks, R. Kleinberg, and C. Moore. The Lovász theta function for random regular graphs and community detection in the hard regime. *SIAM J. Computing*, 48(3):1098–1119, 2019.
- [J8] P. Azar, R. Kleinberg, and S. M. Weinberg. Prior independent mechanisms via prophet inequalities with limited information. *Games and Economic Behavior*, 118:511–532, 2019. Special issue on selected algorithmic game theory papers from STOC, FOCS, and SODA 2014–2015.
- [J9] R. Kleinberg and S. M. Weinberg. Matroid prophet inequalities and applications to multi-dimensional mechanism design. *Games and Economic Behavior*, 113:97–115, 2019. Special issue on selected algorithmic game theory papers from STOC, FOCS, and SODA 2012.
- [J10] E. Tremel, K. Birman, R. Kleinberg, and M. Jelasity. Anonymous, fault-tolerant distributed queries for smart devices. *ACM Transactions on Cyberphysical Systems (TCPS)*, 3(2):16:1–16:29, 2019.
- [J11] R. Soulé, S. Basu, P. J. Marandi, F. Pedone, R. Kleinberg, E. G. Sirer, and N. Foster. Merlin: A language for provisioning network resources. *IEEE/ACM Transactions on Networking*, 26(5):2188–2201, 2018.

- [J12] R. Kleinberg, D. E. Speyer, and W. Sawin. The growth rate of tri-colored sum-free sets. *Discrete Analysis*, 2018(12), 2018.
- [J13] M. Babaioff, N. Immorlica, D. Kempe, and R. Kleinberg. Matroid secretary problems. *J. ACM*, 65(6):35:1–35:26, 2018.
- [J14] A. Badanidiyuru, R. Kleinberg, and A. Slivkins. Bandits with knapsacks. *J. ACM*, 65(3):13:1–13:55, 2018.
- [J15] J. Kallenbach, R. Kleinberg, and S. D. Kominers. Orienteering for electioneering. *Operations Research Letters*, 46(2):205–210, 2018.
- [J16] H. Fu, R. Kleinberg, R. Lavi, and R. Smorodinsky. Job security, stability and production efficiency. *Theoretical Economics*, 12(1):1–24, 2017.
- [J17] A. Ghosh and R. Kleinberg. Optimal contest design for simple agents. *ACM Trans. Economics and Computation*, 4(4):22:1–22:41, 2016. Special issue on EC'14.
- [J18] H.-C. An, R. Kleinberg, and D. B. Shmoys. Improving Christofides' algorithm for the s-t path TSP. *J. ACM*, 62(5):34:1–34:28, Nov. 2015.
- [J19] M. Babaioff, R. Kleinberg, and A. Slivkins. Truthful mechanisms with implicit payment computation. *J. ACM*, 62(2):10:1–10:37, May 2015.
- [J20] P. Briest, S. Chawla, R. Kleinberg, and S. M. Weinberg. Pricing lotteries. *Journal of Economic Theory*, 156:144–174, 2015.
- [J21] J. D. Hartline, R. Kleinberg, and A. Malekian. Bayesian incentive compatibility via matchings. *Games and Economic Behavior*, 92:401–429, July 2015. Special issue on selected algorithmic game theory papers from STOC, FOCS, and SODA 2011.
- [J22] S. Dobzinski, H. Fu, and R. D. Kleinberg. Approximately optimal auctions for correlated bidders. *Games and Economic Behavior*, 92:349–369, July 2015. Special issue on selected algorithmic game theory papers from STOC, FOCS, and SODA 2011.
- [J23] M. Babaioff, S. Dughmi, R. Kleinberg, and A. Slivkins. Dynamic pricing with limited supply. *ACM Trans. Econ. Comput.*, 3(1):4:1–4:26, Mar. 2015.
- [J24] K. Birman, M. Jelasity, R. Kleinberg, and E. Tremel. Building a secure and privacy-preserving smart grid. *Operating Systems Review*, 49(1):131–136, 2015.
- [J25] B. Abrahao, S. Soundarajan, J. Hopcroft, and R. Kleinberg. A separability framework for analyzing community structure. *ACM Transactions on Knowledge Discovery from Data*, 8(1), 2014. Special issue on Computational Aspects of Social and Information Networks.
- [J26] A. Archer and R. Kleinberg. Truthful germs are contagious: A local to global characterization of truthfulness. *Games and Economic Behavior*, 86:340–366, 2014. Special issue on selected papers from EC 2008 and EC 2009.
- [J27] A. Blasiak, R. Kleinberg, and E. Lubetzky. Broadcasting with side information: Bounding and approximating the broadcast rate. *IEEE Transactions on Information Theory*, 59(9):5811–5823, 2013.

- [J28] L. Blume, D. A. Easley, J. M. Kleinberg, R. D. Kleinberg, and É. Tardos. Network formation in the presence of contagious risk. *ACM Transactions on Economics and Computation*, 1(2), 2013.
- [J29] Y. Yue, J. Broder, R. Kleinberg, and T. Joachims. The K-armed dueling bandits problem. *J. Comput. Syst. Sci.*, 78(5):1538–1556, 2012. Special issue: Learning Theory 2009.
- [J30] S. A. Marvel, J. Kleinberg, R. D. Kleinberg, and S. H. Strogatz. Continuous-time model of structural balance. *Proceedings of the National Academy of Sciences (PNAS)*, 108(5):1771–1776, 2011.
- [J31] R. Kleinberg, G. Piliouras, and É. Tardos. Load balancing without regret in the bulletin board model. *Distributed Computing*, 24(1):21–29, 2011. Special issue on selected papers from PODC 2009.
- [J32] R. Kleinberg, A. Niculescu-Mizil, and Y. Sharma. Regret bounds for sleeping experts and bandits. *Machine Learning*, 80(2-3):245–272, 2010. Special issue on selected papers from COLT 2008.
- [J33] D. Wang and R. Kleinberg. Analyzing quadratic unconstrained binary optimization problems via multicommodity flows. *Discrete Applied Mathematics*, 157(18):3746–3753, 2009.
- [J34] M. Babaioff, R. Kleinberg, and C. H. Papadimitriou. Congestion games with malicious players. *Games and Economic Behavior*, 67(1):22–35, 2009. Special issue on selected papers from EC 2007.
- [J35] S. Butler, M. T. Hajiaghayi, R. D. Kleinberg, and T. Leighton. Hat guessing games. *SIAM J. Discrete Math.*, 22(2):592–605, 2008. Reprinted in *SIAM Review* 51 (2), 2009, pages 399-413, SIGEST section for featured articles.
- [J36] B. Awerbuch and R. Kleinberg. Competitive collaborative learning. *J. Comput. Syst. Sci.*, 74(8):1271–1288, 2008. Special issue: Learning Theory 2005.
- [J37] B. Awerbuch and R. Kleinberg. Online linear optimization and adaptive routing. *J. Comput. Syst. Sci.*, 74(1):97–114, 2008. Special issue: Learning Theory 2004.
- [J38] J. Chen, R. D. Kleinberg, L. Lovász, R. Rajaraman, R. Sundaram, and A. Vetta. (Almost) tight bounds and existence theorems for single-commodity confluent flows. *J. ACM*, 54(4), 2007.
- [J39] R. M. D'Souza, C. Borgs, J. T. Chayes, N. Berger, and R. Kleinberg. Emergence of tempered preferential attachment from optimization. *Proceedings of the National Academy of Sciences (PNAS)*, 104(15):6112–6117, 2007.
- [J40] J. Demmel, I. Dumitriu, O. Holtz, and R. Kleinberg. Fast matrix multiplication is stable. *Numerische Mathematik*, 106(2):199–224, 2007.
- [J41] B. Awerbuch, M. T. Hajiaghayi, R. Kleinberg, and T. Leighton. Localized client-server load balancing without global information. *SIAM J. Comput.*, 37(4):1259–1279, 2007.
- [J42] M. T. Hajiaghayi, R. D. Kleinberg, H. Räcke, and T. Leighton. Oblivious routing on node-capacitated and directed graphs. *ACM Transactions on Algorithms*, 3(4), 2007.
- [J43] N. J. A. Harvey, R. D. Kleinberg, and A. R. Lehman. On the capacity of information networks. *IEEE Transactions on Information Theory*, 52(6):2345–2364, 2006. Special issue on network coding.

- [J44] N. Berger, C. Borgs, J. T. Chayes, R. M. D'Souza, and R. D. Kleinberg. Degree distribution of competition-induced preferential attachment graphs. *Combinatorics, Probability, and Computing*, 14:697–721, 2005.
- [J45] L. Kontothanassis, R. Sitaraman, J. Wein, D. Hong, R. Kleinberg, B. Mancuso, D. Shaw, and D. Stodolsky. A transport layer for live streaming in a content delivery network. *Proceedings of the IEEE*, 92(9):1408–1419, 2004. Special issue on evolution of Internet technologies.

#### **Refereed Conference Papers**

- [C1] T. Wilson, D. Amir, N. Saran, R. Kleinberg, V. Shrivastav, and H. Weatherspoon. Breaking the vlb barrier for oblivious reconfigurable networks. In *Proceedings of the 56th ACM Symposium on Theory of Computing (STOC)*, 2024.
- [C2] B. Wydrowski, R. Kleinberg, S. Rumble, and A. Archer. Load is not what you should balance: Introducing prequal. In *Proceedings of the 21st USENIX Symposium on Networked Systems Design and Implementation (NSDI)*, 2024.
- [C3] P. Okoroafor, R. Kleinberg, and W. Sun. Faster recalibration of an online predictor via approachability. In *Proceedings of the 27th International Conference on Artificial Intelligence and Statistics (AISTATS)*, 2024.
- [C4] R. Kumar, S. Dean, and R. Kleinberg. Online convex optimization with unbounded memory. In *Advances in Neural Information Processing Systems (NeurIPS)* 36, 2023.
- [C5] R. Kleinberg, R. P. Leme, J. Schneider, and Y. Teng. U-calibration: Forecasting for an unknown agent. In G. Neu and L. Rosasco, editors, *Proceedings of the 36th Conference on Learning Theory (COLT)*, volume 195 of *Proceedings of Machine Learning Research*, pages 5143–5145. PMLR, 2023.
- [C6] P. Okoroafor, V. Gupta, R. Kleinberg, and E. Goh. Non-stochastic cdf estimation using threshold queries. In *Proceedings of the 34th ACM-SIAM Symposium on Discrete Algorithms (SODA)*, pages 3551–3572, 2023.
- [C7] T. Wilson, D. Amir, V. Shrivastav, H. Weatherspoon, and R. Kleinberg. Extending optimal oblivious reconfigurable networks to all *n*. In *4th Symposium on Algorithmic Principles of Computer Systems (APoCS)*, pages 1–16, 2023.
- [C8] R. Kumar and R. Kleinberg. Non-monotonic resource utilization in the bandits with knapsacks problem. In *Advances in Neural Information Processing Systems (NeurIPS)* 35, 2022.
- [C9] M. Arsenis and R. Kleinberg. Individual fairness in prophet inequalities. In *Proceedings of the 23rd ACM Conference on Economics and Computation (EC)*, page 245, 2022.
- [C10] D. Amir, T. Wilson, V. Shrivastav, H. Weatherspoon, R. Kleinberg, and R. Agarwal. Optimal oblivious reconfigurable networks. In *Proceedings of the 54th ACM Symposium on Theory of Computing (STOC)*, pages 1339–1352, 2022.
- [C11] S. Banerjee, D. Kempe, and R. Kleinberg. Threshold tests as quality signals: Optimal strategies, equilibria, and price of anarchy. In *Proceedings of the 17th Workshop on Internet and Network Economics (WINE)*, pages 299–316, 2021.

- [C12] J. M. Kleinberg, R. Kleinberg, and S. Oren. Optimal stopping with behaviorally biased agents: The role of loss aversion and changing reference points. In P. Biró, S. Chawla, and F. Echenique, editors, *EC '21: The 22nd ACM Conference on Economics and Computation, Budapest, Hungary, July 18-23, 2021*, pages 681–682. ACM, 2021.
- [C13] R. Kleinberg, O. Korten, D. Mitropolsky, and C. H. Papadimitriou. Total functions in the polynomial hierarchy. In J. R. Lee, editor, *Proceedings of the 21st Innovations in Theoretical Computer Science Conference (ITCS)*, volume 185 of *LIPIcs*, pages 44:1–44:18. Schloss Dagstuhl Leibniz-Zentrum für Informatik, 2021.
- [C14] M. Arsenis, O. Drosis, and R. Kleinberg. Constrained-order prophet inequalities. In *Proceedings of the 32nd ACM-SIAM Symposium on Discrete Algorithms (SODA)*, pages 2034–2046. SIAM, 2021.
- [C15] M. Arsenis, O. Drosis, and R. Kleinberg. Revenue monotonicity under misspecified bidders. In X. Chen, N. Gravin, M. Hoefer, and R. Mehta, editors, *Proceedings of the 16th Workshop on Internet* and Network Economics (WINE), volume 12495 of Lecture Notes in Computer Science, pages 191– 205. Springer, 2020.
- [C16] M. Arsenis and R. Kleinberg. Online flow computation on unit-vertex-capacitated networks. In 1st Symposium on Algorithmic Principles of Computer Systems, APOCS@SODA 2020, Salt Lake City, UT, USA, January 8, 2020, pages 120–132. SIAM, 2020.
- [C17] R. Kleinberg, K. Leyton-Brown, B. Lucier, and D. R. Graham. Procrastinating with confidence: Near-optimal, anytime, adaptive algorithm configuration. In *Advances in Neural Information Processing Systems (NeurIPS)* 32, pages 8881–8891, 2019.
- [C18] H. Beyhaghi and R. Kleinberg. Pandora's problem with nonobligatory inspection. In *Proceedings of the 20th ACM Conference on Economics and Computation (EC)*, pages 131–132, 2019.
- [C19] M. Raghu, K. Blumer, J. Kleinberg, R. Kleinberg, S. Mullainathan, and Z. Obermeyer. Direct uncertainty prediction for medical second opinions. In *Proceedings of the 36th International Conference on Machine Learning (ICML)*, 2019.
- [C20] N. Immorlica and R. Kleinberg. Recharging bandits. In *Proceedings of the 59th IEEE Symposium on Foundations of Computer Science (FOCS)*, 2018.
- [C21] R. Kleinberg, Y. Li, and Y. Yuan. An alternative view: When does SGD escape local minima? In *Proceedings of the 35th International Conference on Machine Learning (ICML)*, pages 2703–2712, 2018.
- [C22] M. Raghu, A. Irpan, J. Andreas, R. Kleinberg, Q. V. Le, and J. Kleinberg. Can deep reinforcement learning solve Erdős-Selfridge-Spencer games? In *Proceedings of the 35th International Conference on Machine Learning (ICML)*, pages 4235–4243, 2018.
- [C23] J. Kleinberg and R. Kleinberg. Delegated search approximates optimal search. In *Proceedings of the 19th ACM Conference on Economics and Computation (EC)*, 2018.
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- [C115] R. D. Kleinberg and F. T. Leighton. The value of knowing a demand curve: Bounds on regret for online posted-price auctions. In *Proceedings of the 44th IEEE Symposium on Foundations of Computer Science (FOCS)*, pages 594–605, 2003.
- [C116] R. D. Kleinberg and F. T. Leighton. Consistent load balancing via spread minimization. In *Proceedings of the 35th ACM Symposium on Theory of Computing (STOC)*, pages 565–574, 2003.

#### **Other Publications**

- [O1] S. Dughmi, J. D. Hartline, R. Kleinberg, and R. Niazadeh. Bernoulli factories and black-box reductions in mechanism design. *SIGecom Exchanges*, 16(1):58–71, 2017.
- [O2] H.-C. An and R. Kleinberg. A diameter-revealing proof of the Bondy-Lovász lemma. *CoRR*, abs/1111.6561, 2011. Working paper.
- [O3] S. Dobzinski, H. Fu, and R. Kleinberg. Truthfulness via proxies. *CoRR*, abs/1011.3232, 2010. Working paper.
- [O4] R. Kleinberg and K. Ligett. Privacy-compatibility for general utility metrics. *CoRR*, abs/1010.2705, 2010. Working paper.
- [O5] P. Hersh and R. Kleinberg. A multiplicative deformation of the Möbius function for the poset of partitions of a multiset. In *Communicating Mathematics (special volume in honor of Joe Gallian's 65th birthday)*, *Contemp. Math.*, 479, pages 113–118. Amer. Math. Soc., 2009.

- [O6] M. Babaioff, J. D. Hartline, and R. Kleinberg. Selling banner ads: Online algorithms with buyback. In *Proceedings of the 4th Workshop on Ad Auctions*, 2008.
- [O7] A. Archer and R. Kleinberg. Characterizing truthful mechanisms with convex type spaces. *SIGecom Exchanges*, 7(3), 2008.
- [O8] M. Babaioff, N. Immorlica, D. Kempe, and R. Kleinberg. Online auctions and generalized secretary problems. *SIGecom Exchanges*, 7(2), 2008.
- [O9] N. J. A. Harvey, R. Kleinberg, C. Nair, and Y. Wu. A "Chicken & Egg" network coding problem. In *Proceedings of the 2007 IEEE International Symposium on Information Theory (ISIT)*, pages 131–135, 2007.
- [O10] N. J. A. Harvey and R. Kleinberg. Tighter cut-based bounds for k-pairs communication problems. In *Proceedings of the 43rd Annual Allerton Conference on Communication, Control, and Computing*, 2005.
- [O11] R. Kleinberg and W. Menasco. Train tracks and zipping sequences for pseudo-Anosov braids. *Chaos, Solitons, and Fractals*, 9(4-5):793–809, 1998. Special issue on knot theory and its applications.

### **Invited Talks**

- U-Calibration: Forecasting for an Unknown Agent
  - Stanford RAIN Seminar, Stanford University, Stanford, CA, November 2023.
- · Estimating an Empirical Distribution Using Threshold Queries
  - Canada Discrete and Algorithmic Mathematics Conference (CanaDAM), Winnipeg, MB, June 2023.
  - MIT Operations Research Seminar, MIT, Cambridge, MA, February 2023.
  - Invited keynote talk, WINE-22 Conference, RPI, Troy, NY, December 2022.
  - Google Machine Learning Theory Seminar, Google Research, New York, NY, November 2022.
- Optimal Oblivious Reconfigurable Networks
  - IT and Networks Seminar Series, Bucharest, November 2021.
- Threshold Tests as Quality Signals: Optimal Strategies, Equilibria, and Price of Anarchy
  - Israel Algorithmic Game Theory Seminar, June 2021.
- Total Functions in the Polynomial Hierarchy
  - IAS Theoretical Computer Science and Discrete Mathematics Seminar, February 2021.
- Constrained-Order Prophet Inequalities
  - INFORMS Annual Meeting, November 2020.
- Exploration, Exploitation, and a Little Bit of State
  - UBC Computer Science Distinguished Lecture Series, Vancouver, BC, October 2019.

- Approximately Optimal Sequential Search: Variations on a Theme by Weitzman
  - Quarterly Theory Workshop, Northwestern University, Evanston, IL, March 2019.

#### · Recharging Bandits

- Tsinghua University, Beijing, China, December 2019.
- Columbia University IEOR-DRO Seminar, New York, NY, December 2018.
- Cornell CS Theory Seminar, Ithaca, NY, November 2018.
- CMU Machine Learning Seminar, Pittsburgh, PA, January 2018.
- Invited talk, NIPS Workshop on Discrete Structures in Machine Learning (DISCML 2017), Long Beach, CA, December 2017.
- Stanford Theory Lunch, Stanford, CA, December 2017.
- *Algorithms and Uncertainty Reunion Workshop*, Simons Institute for the Theory of Computing, Berkeley, CA, December 2017.
- *NYU Machine Learning Seminar*, New York, NY, October 2017.
- Invited plenary talk, Canadian Discrete and Algorithmic Mathematics Conference (CanaDAM 2017), Toronto, CA, June 2017.

#### • Practical Near-Optimal Algorithm Configuration

- Microsoft Research ML Lunch Seminar, Cambridge, MA, August 2018.
- Card Games, Inevitable Patterns, and Computation
  - Cornell Math Awareness Month Public Lecture, Ithaca, NY, April 2018.
- Explicit Sum-of-Squares Lower Bounds Via the Polynomial Method
  - Algebraic Methods Workshop, Harvard Center of Mathematical Sciences and Applications, Cambridge, MA, November 2017.
- A Rigorous Analysis of Schelling Segregation
  - Yale Institute for Network Science, Distinguished Lecture Series, New Haven, CT, October 2017.
- Sequential Exprimentation: Theory and Principles
  - Guest lecture in *Yale Economics 421: Designing the Digital Economy*, New Haven, CT, October 2017.
- Incentivizing and Coordinating Exploration
  - Tutorial presented at *Algorithmic Learning Theory Conference (ALT)*, San Diego, CA, February 2020. (Co-tutor: Alexanders Slivkins.)
  - Tutorial presented at 18th ACM Conference on Economics and Computation (EC 2017), Cambridge, MA, June 2017. (Co-tutor: Alexanders Slivkins.)
- Descending Prices Coordinate Approximately Efficient Search
  - Google Research Seminar, New York, NY, January 2017.
  - Simons Institute Uncertainty Seminar, Berkeley, CA, September 2016.
  - Harvard CRCS Seminar, Cambridge, MA, April 2016.
- Progression-free sets, the polynomial method, and arithmetic removal lemmas

- São Paulo School of Advanced Science on Algorithms, Combinatorics, and Optimization, São Paulo, Brazil, July 2016.
- MIT-Harvard-MSR Theory Reading Group, Cambridge, MA, June 2016.
- · Combinatorial stochastic search and selection
  - Invited tutorial at São Paulo School of Advanced Science on Algorithms, Combinatorics, and Optimization, São Paulo, Brazil, July 2016.
- Inference-Based Privacy Guarantees for Differentially Private Mechanisms
  - Penn Theory Talk Series, Philadelphia, PA, December 2018.
  - Caltech SISL Seminar, Pasadena, CA, March 2016.
  - MIT-Harvard-MSR Theory Reading Group, Cambridge, MA, March 2016.
  - Northeastern CS Colloquium and Theory Seminar, Boston, MA, November 2015.
- Secretary Problems with Non-Uniform Arrival Order
  - Penn State CS Theory Seminar, State College, PA, October 2015.
  - Harvard Theory of Computation Seminar, Cambridge, MA, March 2015.
  - Simons Symposium on New Directions in Approximation Algorithms, San Jose, Puerto Rico, February 2015.
  - MIT Theory of Computation Colloquium, Cambridge, MA, February 2015.
- Incentivizing Exploration
  - Invited keynote lecture, MSR Theory Day, Redmond, WA, March 2016.
  - Penn State Microeconomic Theory Seminar, State College, PA, October 2015.
  - MSR Game Theory and Computation Seminar, Cambridge, MA, November 2014.
  - NIPS Workshop on Transactional Machine Learning and E-Commerce, Montréal, Canada, December 2014.
  - RAIN (Research on Algorithms and Incentives in Networks) Seminar, Stanford, CA, April 2015.
  - International Symposium on Mathematical Programming, Pittsburgh, PA, July 2015.
- Multi-Armed Bandits in Economics and Computation
  - Invited keynote-tutorial talk, Winedale Workshop, Winedale, TX, October 2014.
- Explore or Exploit? Reflections on an Ancient Dilemma in the Age of the Web
  - Microsoft Research Colloquium, Cambridge, MA, August 2014.
  - Brown University CS Colloquium, Providence, RI, November 2014.
  - UCLA Electrical Engineering Distinguished Seminar Series, Los Angeles, CA, December 2014.
- Multi-Armed Bandits and the Web.
  - Santa Fe Institute Colloquium, Santa Fe, NM, September 2013.
  - IOMS Colloquium, NYU Stern School of Business, New York, NY, October 2013.
  - Cornell Information Science Colloquium, Ithaca, NY, October 2013.
  - USC Computer Science Colloquium, Los Angeles, CA, December 2014.
- Optimal Stopping Meets Combinatorial Optimization.
  - Invited keynote talk, COCOON 2013, Hangzhou, China, June 2013.

- An Analysis of One-Dimensional Schelling Segregation.
  - Duke CS-Econ Seminar, Durham, NC, April 2013.
  - ICERM Workshop on Stochastic Graph Models, Brown University, Providence, RI, March 2014.
- Group-Theoretic Algorithms for Fast Matrix Multiplication
  - Oliver Club (Cornell Mathematics Colloquium), Ithaca, NY, February 2013.
  - URGE to Compute Seminar, Buffalo, NY, November 2013.
- Generalized Prophet Inequalities and Their Applications.
  - AGT Samos Summer School, Samos, Greece, July 2012.
  - MIT LIDS Seminar, Cambridge, MA, May 2012.
- Learning on a Budget: Posted Price Mechanisms for Online Procurement
  - Google Market Algorithms Workshop, New York, NY, May 2012.
- Basics of Mechanism Design; Recent Developments in Bayesian Mechanism Design.
  - Princeton University, Center for Computational Intractability, Princeton, NJ, May 2012.
- Improving Christofides' Algorithm for the s-t Path TSP
  - Theory Canal Seminar, Rochester, NY, February 2012.
- Which Networks Are Least Susceptible to Cascading Failures?
  - Georgia Tech ARC Colloquium, Atlanta, GA, December 2011.
  - Cornell CS Theory Seminar, Ithaca, NY, November 2011.
  - Greek Algorithmic Game Theory Week, Paros, Greece, July 2011.
- Algorithmic Mechanism Design: Fundamental Techniques and Future Challenges
  - One-week short course taught at *ADFOCS* (Advanced Course on the Foundations of Computer Science), Max Planck Institüt Für Informatik, Saarbrucken, Germany, August 2011.
- Strategic Network Formation in the Presence of Contagious Risk
  - Microsoft Research, Mountain View, CA, June 2011.
  - Microsoft Research, Redmond, WA, May 2011.
- Converting Any Algorithm into an Incentive-Compatible Mechanism
  - RIT Computer Science Colloquium, Rochester, NY, February 2012.
  - Microsoft New England Theory Colloquium, Cambridge, MA, December 2010.
  - Capital Area Theory Seminar, University of Maryland, College Park, MD, November 2010.
  - New York Computational Economics Day, New York, NY, October 2010.
  - CWI Workshop: Advances in Algorithmic Game Theory, Amsterdam, Netherlands, Sept. 2010.
  - Cornell CS Theory Seminar, August 2010.
- Pricing Lotteries
  - MIT ORC Colloquium, Cambridge, MA, April 2010.
  - STIET Seminar, University of Michigan, Ann Arbor, MI, April 2010.

- New York Area Theory Day, New York, NY, December 2009.
- Cornell Applied Math Colloquium, Ithaca, NY, November 2009.
- Microsoft Research, Mountain View, CA, July 2009.
- · Game Theory, Economic Modeling, and Economic Regulation from a Computational Perspective
  - Kavli Frontiers of Science Symposium, Irvine, CA, November 2009.
- Some Vignettes from Learning Theory
  - Microsoft Faculty Summit, Redmond, WA, July 2009.
- A Learning-Theoretic Refinement of the Price of Anarchy
  - Northwestern University, Evanston, IL, May 2009.
  - MIT CS Theory Colloquium, Cambridge, MA, February 2009.
  - Google Market Algorithms Workshop, New York, NY, January 2009.
  - Microsoft Research, Cambridge, MA, August 2008.
  - Microsoft Research, Mountain View, CA, May 2008.
  - Stanford iCME Colloquium, Stanford, CA, May 2008.
- Multi-Armed Bandit Problems in Metric Spaces
  - *Information Theory and Applications Workshop*, San Diego, CA, February 2009.
  - CMU/Google Machine Learning Seminar, Pittsburgh, PA, November 2008.
  - New York Machine Learning Symposium, New York, NY, October 2008.
  - Cornell ORIE Colloquium, Ithaca, NY, September 2008.
  - University at Buffalo CS Theory Seminar, Buffalo, NY, March 2008.
- Truthful Germs Are Contagious: A Local-to-Global Characterization of Truthfulness
  - Symposium on Economic Design, Ann Arbor, MI, June 2008.
- Online Learning Algorithms for Searching and Ranking
  - MIT Applied Mathematics Colloquium, Cambridge, MA, December 2007.
  - University of Washington CS Theory Seminar, Seattle, WA, November 2007.
- Online Mechanisms and Optimal Stopping
  - Penn State CS Colloquium, University Park, PA, March 2007.
  - University of Rochester CS Colloquium, Rochester, NY, January 2007.
- Algorithmic Pricing Via Virtual Valuations
  - Bay Algorithmic Game Theory Symposium, Berkeley, CA, September 2006.
- Competitive Collaborative Learning
  - Yahoo! Research Seminar, Sunnyvale, CA, November 2006.
  - USC Computer Science Colloquium, Los Angeles, CA, September 2006.
  - Amazon.com Operations Seminar, Seattle, WA, March 2006.
- Approximation Algorithms for Confluent Flow
  - Caltech IST Seminar, Pasadena, CA, December 2005.

- UCLA Algorithms Seminar, Los Angeles, CA, December 2005.
- Stanford Algorithms Seminar, Stanford, CA, November 2005.
- On the Capacity of Information Networks
  - USC Computer Science Colloquium, Los Angeles, CA, December 2005.
  - Berkeley CS Theory Seminar, Berkeley, CA, October 2005.
- Adaptive Algorithms for Pricing and Overlay Routing
  - Cornell CS Colloquium, Ithaca, NY, Apr. 2005.
  - Carnegie Mellon Tepper School of Business, OR Seminar, Pittsburgh, PA, Mar. 2005.
  - Northeastern University CS Colloquium, Boston, MA, March 2005.
  - University of Washington CS Colloquium, Seattle, WA, March 2005.
  - Harvard CS Colloquium, Cambridge, MA, March 2005.
  - Caltech IST Seminar, Pasadena, CA, February 2005.
  - Stanford Management Science Colloquium, Stanford, CA, February 2005.
  - UCSD Computer Science Colloquium, San Diego, CA, February 2005.
  - Toyota Technological Institute Seminar Series, Chicago, IL, February 2005.
  - UMass Amherst CS Colloquium, Amherst, MA, February 2005
  - Microsoft Research, Mountain View, CA, January 2005.

#### **U.S. Patents**

- Descending counter value matching for divisible goods. U.S. Patent US20180040060A1.
- Descending counter value matching with information sharing.
   U.S. Patent US20170169504A1.
- Payment Determination in Auctions.
   U.S. Patent US20120116860A1
- Network Performance Monitoring In A Content Delivery Service.
   U.S. Patent 7,717,367.
- Global Load Balancing Across Mirrored Data Centers. U.S. Patent 7,111,061.
- Method For Extending A Network Map. U.S. Patent 7,028,083.