

The Nuprl Proof Development System

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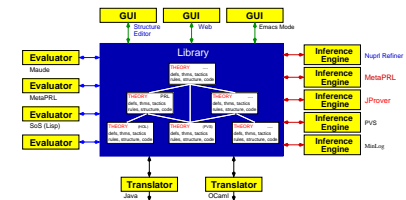
<http://www.nuprl.org>

• Computational formal logics

TYPE THEORY

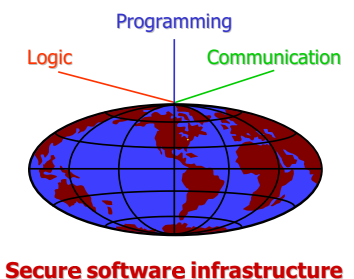
• Proof & program development systems

- The **NUPRL** Logical Programming Environment
- Fast inference engines + proof search techniques
- Natural language generation from formal mathematics
- Program extraction + automated complexity analysis



• Application to reliable, high-performance networks

- Assigning precise semantics to system software
- Performance Optimizations
- Assurance for reliability (verification)
- Verified System Design



- **Constructive higher-order logic**
 - Reasoning about types, elements, propositions, proofs, functions ...
- **Functional programming language**
 - Similar to core **ML**: polymorphic, with partial recursive functions
- **Expressive data type system**
 - Function, product, disjoint union, Π - & Σ -types, atoms, void, top
 - Integers, lists, inductive types, universes
 - Propositions as types, equality type, subsets, subtyping, quotient types
 - (Dependent) intersection, union, records, modules
- **Open-ended**
 - new types can be added if needed
- **User-defined extensions possible**

THE NUPRL PROOF DEVELOPMENT SYSTEM

● Beginnings in 1984

- Nuprl 1 (Symbolics): proof & program refinement in Type Theory
- Book: *Implementing Mathematics ...* (1986)
- Nuprl 2: Unix Version

● Nuprl 3: Mathematical problem solving (1987–1994)

- Constructive machine proofs for unsolved mathematical problems

● Nuprl 4: System verification and optimization (1993–2001)

- Verification of logic synthesis tools & SCI cache coherency protocol
- Optimization/verification of the Ensemble group communication system

● Nuprl 5: Open distributed architecture (2000–...)

- Cooperating proof processes centered around persistent knowledge base
- Asynchronous, concurrent, and external proof engines
- ↪ Interactive digital libraries of formal algorithmic knowledge

APPLICATIONS: MATHEMATICS & PROGRAMMING

● Formalized mathematical theories

- Elementary number theory, real analysis, group theory
- Discrete mathematics (Allen, 1994 –...)
- General algebra (Jackson, 1994)
- Finite and general automata (Constable, Naumov & Uribe 1997, Bickford, 2001)
- Basics of Turing machines (Naumov, 1998 ...)
- Formal mathematical textbook (Constable, Allen 1999)

<http://www.nuprl.org/Nuprl4.2/Libraries/Welcome.html>

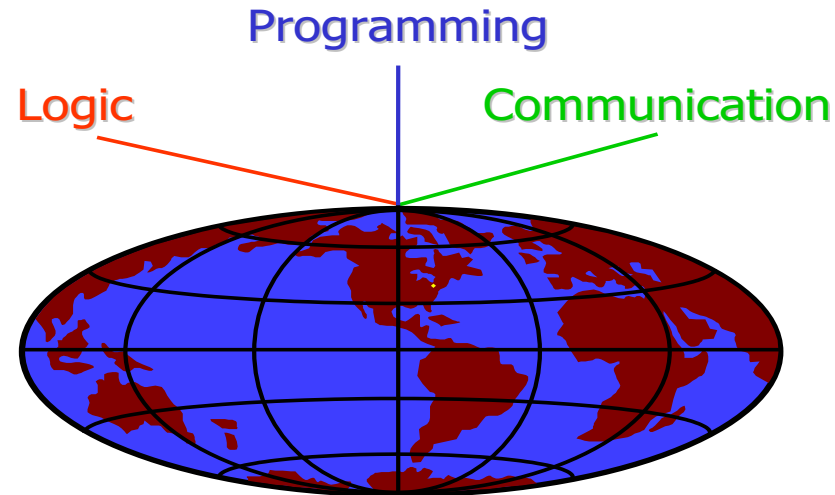
● Machine proof for unsolved problems

- Girard's paradox (Howe 1987)
- Higman's Lemma (Murthy 1990)

● Algorithms and programming languages

- Synthesis of elementary algorithms: square-root, sorting, ...
- Simple imperative programming (Naumov, 1997)
- Programming semantics & complexity analysis (Benzinger, 2000)
- [Type-theoretical semantics](#) of large OCAML fragment (Kreitz 1997/2002)

APPLICATIONS: SYSTEM VERIFICATION AND OPTIMIZATION



Secure software infrastructure

- Verification of a **logic synthesis tool** (Aagaard & Leaser 1993)
- Verification of the **SCI cache coherency protocol** (Howe 1996)
- **Ensemble** group communication toolkit
 - **Optimization** of application protocol stacks (by factor 3–10) (Kreitz, Hayden, Hickey, Liu, van Renessee 1999)
 - Verification of **protocol layers** (Bickford 1999)
 - **Formal design** of new adaptive protocols (Bickford, Kreitz, Liu, van Renessee 2001)
- **MediaNet** stream computation network
 - Validation of real-time schedules wrt. resource limitations (ongoing)

AFTER MORE THAN 15 YEARS ...

● Insights

- Type theory **expressive enough** to formalize today's software systems
- Formal optimization can significantly improve **practical performance**
- Formal verification **reveals errors** even in well-explored designs
- Formal design **reveals** hidden **assumptions** and **limitations** for use of software

● Ingredients for success in applications...

- **Precise semantics** for implementation language of a system
- **Formal models** of: application domain, system model, programming language
- **Knowledge-based** formal reasoning tools
- **Collaboration** between systems and formal reasoning groups

PURPOSE OF THIS COURSE

- Understand NUPRL's *theoretical foundation*
- Understand *features* of the NUPRL proof development system
- Learn how to *formalize mathematics and computer science*

Additional material can be found at

<http://www.nuprl.org>

<http://www.cs.cornell.edu/home/kreitz/Abstracts/02calculemus-nuprl.html>

✓ Introduction

1. NUPRL's **Type Theory**

- Distinguishing Features
- Standard NUPRL Types

2. The NUPRL **Proof Development System**

- Architecture and Feature Demonstration

3. **Proof Automation** in NUPRL

- Tactics & Rewriting
- Decision Procedures
- External Proof Systems

4. Building **Formal Theories**

- (Dependent) Records, Algebra, Abstract Data Types

5. **Future Directions**

Part I:

Nuprl's Type Theory

THE NUPRL TYPE THEORY

AN EXTENSION OF MARTIN-LÖF TYPE THEORY

- **Foundation for computational mathematics**

- Higher-order logic + programming language + data type system
- Focus on constructive reasoning
- Reasoning about types, elements, and (extensional) equality ...

- **Open-ended, expressive type system**

- Function, product, disjoint union, Π - & Σ -types, atoms \leadsto programming
- Integers, lists, inductive types \leadsto inductive definition
- Propositions as types, equality type, void, top, universes \leadsto logic
- Subsets, subtyping, quotient types \leadsto mathematics
- (Dependent) intersection, union, records \leadsto modules, program composition

New types can/will be added as needed

- **Self-contained**

- Based on “formalized intuition”, not on other theories

DISTINGUISHING FEATURES OF NUPRL'S TYPE THEORY

- **Uniform internal notation**

- Independent display forms support flexible term display \leadsto free syntax

- **Expressions defined independently of their types**

- No restriction on expressions that can be defined \leadsto Y combinator
- Expressions *in proofs* must be typeable \leadsto “total” functions

- **Semantics based on values of expressions**

- Judgments state what is true \leadsto computational semantics
- Equality is extensional

- **Refinement calculus**

- Top-down sequent calculus \leadsto interactive proof development
- Proof expressions linked to inference rules \leadsto program extraction
- Computation rules \leadsto program evaluation

- **User-defined extensions possible**

- User-defined expressions and inference rules \leadsto abstractions & tactics

SYNTAX ISSUES

- **Uniform notation:** $opid\{p_i:F_i\}(x_{11},\dots,x_{m_11}.t_1;\dots;x_{1n},\dots,x_{m_nn}.t_n)$
 - Operator name $opid$ listed in **operator tables**
 - Parameters $p_i:F_i$ for base terms (variables, numbers, tokens...)
 - Sub-terms t_j may contain bound variables x_{1j},\dots,x_{m_jj}
 - No syntactical distinction between types, members, propositions ...
- **Display forms** describe visual appearance of terms

| <i>Internal Term Structure</i> | <i>Display Form</i> |
|--------------------------------------|---------------------|
| variable $\{x:\mathbf{v}\}()$ | x |
| function $\{\}(S; x.T)$ | $x:S\rightarrow T$ |
| function $\{\}(S; .T)$ | $S\rightarrow T$ |
| \vdots | \vdots |
| lambda $\{\}(x.t)$ | $\lambda x.t$ |
| apply $\{\}(f;t)$ | $f\ t$ |
| \vdots | \vdots |

\leadsto conventional notation, information hiding, auto-parenthesizing, aliases, ...

SEMANTICS MODELS PROOF, NOT DENOTATION

● (Lazy) evaluation of expressions

- Identify canonical expressions (values)
- Identify principal arguments of non-canonical expressions
- Define reducible non-canonical expressions (redex)
- Define reduction steps in redex–contracta table

| <i>canonical</i> | <i>non-canonical</i> | <i>Redex</i> | <i>Contractum</i> |
|-------------------|----------------------|---------------------------|------------------------------|
| $S \rightarrow T$ | | | |
| $\lambda x . t$ | $\boxed{f} t$ | $\boxed{\lambda x . u} t$ | $\xrightarrow{\beta} u[t/x]$ |

● Judgments: semantical truths about expressions

- 4 categories: Typehood (T Type), Type Equality ($S=T$),
Membership ($t \in T$), Member equality ($s=t$ in T)
- Semantics tables define judgments for values of expressions

$$S_1 \rightarrow T_1 = S_2 \rightarrow T_2 \quad \text{iff} \quad S_1 = S_2 \quad \text{and} \quad T_1 = T_2$$

$$\lambda x_1 . t_1 = \lambda x_2 . t_2 \text{ in } S \rightarrow T \quad \text{iff} \quad S \rightarrow T \text{ Type} \quad \text{and} \quad t_1[s_1/x_1] = t_2[s_2/x_2] \text{ in } T$$

for all s_1, s_2 with $s_1 = s_2 \in S$

⋮

⋮

NUPRL'S PROOF THEORY

- **Sequent** $x_1:T_1, \dots, x_n:T_n \vdash C$ [ext t]

“If x_i are variables of type T_i then C has a (yet unknown) member t ”

- A judgment $t \in T$ is represented as T [ext t] \rightsquigarrow proof term construction
- Equality is represented as type $s=t \in T$ [ext Ax] \rightsquigarrow propositions as types
- Typehood represented by (cumulative) universes \mathbf{U}_i [ext T]

- **Refinement calculus**

- Top-down decomposition of proof goal \rightsquigarrow interactive proof development
- Bottom-up construction of proof terms \rightsquigarrow program extraction

$\Gamma \vdash S \rightarrow T$ [ext $\lambda x.e$] by lambda-formation x

$\Gamma, x:S \vdash T$ [ext e]

$\Gamma \vdash S=S \in \mathbf{U}_i$ [ext Ax]

- Computation rules \rightsquigarrow program evaluation

About 8–10 inference rules for each NUPRL type

EXECUTING A FORMAL PROOF STEP

Theorem name

Status + position in proof

Hypothesis of main goal

Conclusion

Inference rule

First subgoal – status, conclusion

*Second subgoal – status,
new hypotheses*

conclusion

THM intsqrt

top 1

1. $x:\mathbb{N}$

$\vdash \exists y:\mathbb{N}. y^2 \leq x \wedge x < (y+1)^2$

BY natE 1

1# $\vdash \exists y:\mathbb{N}. y^2 \leq 0 \wedge 0 < (y+1)^2$

2# 2. $n:\mathbb{N}$

3. $0 < n$

4. $v: \exists y:\mathbb{N}. y^2 \leq n-1 \wedge n-1 < (y+1)^2$

$\vdash \exists y:\mathbb{N}. y^2 \leq n \wedge n < (y+1)^2$

● Syntax:

- Define *canonical type*
- Define *canonical members* of the type
- Define *noncanonical expressions* corresponding to the type

● Semantics

- Introduce *evaluation rules* for non-canonical expressions
- Define *type equality judgment* for the type
The *typehood* judgment is a special case of type equality
- Define *member equality judgment* for canonical members
The *membership* judgment is a special case of member equality

Define judgments only in terms of the new expressions \leadsto *consistency*

● Proof Theory

- Introduce proof rules that are consistent with the semantics

METHODOLOGY FOR DEFINING PROOF RULES

- **Type Formation** rules:

- When are two types equal? (typeEquality) $\Gamma \vdash S = T \in \mathbf{U}_j$
- How to build the type? (typeFormation) $\Gamma \vdash \mathbf{U}_j \text{ [ext } T]$

- **Canonical** rules:

- When are two members equal? (memberEquality) $\Gamma \vdash s = t \in T$
- How to build members? (memberFormation) $\Gamma \vdash T \text{ [ext } t]$

- **Noncanonical** rules:

- When does a term inhabit a type? $(\text{noncanonicalEquality})$ $\Gamma \vdash s = t \in T$
- How to use a variable of the type (typeElimination) $\Gamma, x:S, \Delta \vdash T \text{ [ext } t]$

- **Computation** rules:

- Reduction of redices in an equality $(\text{noncanonicalReduce*})$ $\Gamma \vdash redex = t \in T$

- **Special purpose** rules

PROOF RULES FOR THE FUNCTION TYPE

$\Gamma \vdash \mathbf{U}_j \text{ [ext } x:S \rightarrow T]$
by dependentFunctionFormation $x \ S$
 $\Gamma \vdash S \in \mathbf{U}_j \text{ [ext } \mathbf{Ax}_j]$
 $\Gamma, x:S \vdash \mathbf{U}_j \text{ [ext } T]$

$\Gamma \vdash \lambda x_1. t_1 = \lambda x_2. t_2 \in x:S \rightarrow T \text{ [ext } \mathbf{Ax}_j]$
by lambdaEquality $j \ x'$
 $\Gamma, x':S \vdash t_1[x'/x_1] = t_2[x'/x_2] \in T[x'/x] \text{ [ext } \mathbf{Ax}_j]$
 $\Gamma \vdash S \in \mathbf{U}_j \text{ [ext } \mathbf{Ax}_j]$

$\Gamma \vdash f_1 t_1 = f_2 t_2 \in T[t_1/x] \text{ [ext } \mathbf{Ax}_j]$
by applyEquality $x:S \rightarrow T$
 $\Gamma \vdash f_1 = f_2 \in x:S \rightarrow T \text{ [ext } \mathbf{Ax}_j]$
 $\Gamma \vdash t_1 = t_2 \in S \text{ [ext } \mathbf{Ax}_j]$

$\Gamma \vdash (\lambda x. t) s = t_2 \in T \text{ [ext } \mathbf{Ax}_j]$
by applyReduce
 $\Gamma \vdash t[s/x] = t_2 \in T \text{ [ext } \mathbf{Ax}_j]$

$\Gamma \vdash x_1:S_1 \rightarrow T_1 = x_2:S_2 \rightarrow T_2 \in \mathbf{U}_j \text{ [ext } \mathbf{Ax}_j]$
by functionEquality x
 $\Gamma \vdash S_1 = S_2 \in \mathbf{U}_j \text{ [ext } \mathbf{Ax}_j]$
 $\Gamma, x:S_1 \vdash T_1[x/x_1] = T_2[x/x_2] \in \mathbf{U}_j \text{ [ext } \mathbf{Ax}_j]$

$\Gamma \vdash x:S \rightarrow T \text{ [ext } \lambda x'. t_j]$
by lambdaFormation $j \ x'$
 $\Gamma, x':S \vdash T[x'/x] \text{ [ext } t_j]$
 $\Gamma \vdash S \in \mathbf{U}_j \text{ [ext } \mathbf{Ax}_j]$

$\Gamma, f:x:S \rightarrow T, \Delta \vdash C \text{ [ext } t[fs, \mathbf{Ax}/y, z]]$
by dependentFunctionElimination $i \ s \ y \ z$
 $\Gamma, f:x:S \rightarrow T, \Delta \vdash s \in S \text{ [ext } \mathbf{Ax}_j]$
 $\Gamma, f:x:S \rightarrow T, y:T[s/x], z:y=f \ s \in T[s/x], \Delta \vdash C \text{ [ext } t_j]$

$\Gamma \vdash f_1 = f_2 \in x:S \rightarrow T \text{ [ext } t_j]$
by functionExtensionality $j \ x_1:S_1 \rightarrow T_1 \ x_2:S_2 \rightarrow T_2 \ x'$
 $\Gamma, x':S \vdash f_1 x' = f_2 x' \in T[x'/x] \text{ [ext } t_j]$
 $\Gamma \vdash S \in \mathbf{U}_j \text{ [ext } \mathbf{Ax}_j]$
 $\Gamma \vdash f_1 \in x_1:S_1 \rightarrow T_1 \text{ [ext } \mathbf{Ax}_j]$
 $\Gamma \vdash f_2 \in x_2:S_2 \rightarrow T_2 \text{ [ext } \mathbf{Ax}_j]$

Note: $e=e \in T$ is usually abbreviated by $e \in T$

USER-DEFINED EXTENSIONS

- **Conservative extension of the formal language**

= **Abstraction**: $\text{new-opid}\{parms\}(\text{sub-terms}) \equiv \text{expr}[parms, \text{sub-terms}]$

e.g. $\mathbf{exists}\{\}(T; x.A[x]) \equiv x:T \times A[x]$

+ **Display Form** for newly defined term

e.g. $\exists x:T. A[x] \equiv \mathbf{exists}\{\}(T; x.A[x])$

Library contains many standard extensions of Type Theory

e.g. Intuitionistic logic, Number Theory, List Theory, Algebra, ...

- **Tactics**: User-defined inference rules

- Meta-level programs built using basic inference rules and existing tactics
- May include meta-level analysis of the goal to *find* a proof
- Always result in a valid proof

Library contains many standard tactics and proof search procedures

STANDARD NUPRL TYPES

| | | |
|---------------------|--|--|
| Function Space | $S \rightarrow T, \ x : S \rightarrow T$ | $\lambda x. t, \ f \ t$ |
| Product Space | $S \times T, \ x : S \times T$ | $\langle s, t \rangle, \text{ let } \langle x, y \rangle = e \text{ in } u$ |
| Disjoint Union | $S + T$ | $\text{inl}(s), \text{inr}(t), \text{ case } e \text{ of } \text{inl}(x) \mapsto u \mid \text{inr}(y) \mapsto v$ |
| Universes | \mathbf{U}_j | — types of level j — |
| Equality | $s = t \in T$ | Ax |
| Empty Type | Void | $\text{any}(x), \text{ — no members —}$ |
| Atoms | Atom | "token", if $a=b$ then s else t |
| Numbers | \mathbb{Z} | $0, 1, -1, 2, -2, \dots \ s+t, \ s-t, \ s*t, \ s \div t, \ s \text{ rem } t,$ if $a=b$ then s else t , if $i < j$ then s else t $\text{ind}(u; x, f_x.s; \text{base}; y, f_y.t)$ |
| | $i < j$ | Ax |
| Lists | $S \text{ list}$ | $[], \ t :: \text{list}, \text{ rec-case } L \text{ of } [] \mapsto \text{base} \mid x :: l \mapsto [f_l].t$ |
| Inductive Types | $\text{rectype } X = T[X]$ | $\text{let}^* f(x) = t \text{ in } f(e), \text{ — members defined by } T[X] \text{ —}$ |
| Subset | $\{x : S \mid P[x]\},$ | — some members of S — |
| Intersection | $\cap x : S. T[x],$ $x : S \cap T[x]$ | — members that occur in all $T[x]$ — — members x that occur S and $T[x]$ — |
| Union | $\cup x : S. T[x]$ | — members that occur in some $T[x]$, tricky equality— |
| Quotient | $x, y : S // E[x, y]$ | — members of S , new equality — |
| Very Dep. Functions | $\{f \mid x : S \rightarrow T[f, x]\}$ | |
| Squiggle Equality | $s \sim t$ | — a "simpler" equality |

FUNCTIONS: BASIC PROGRAMMING CONCEPTS

Syntax:

Canonical: $S \rightarrow T, \lambda x. e$

Noncanonical: $e_1 e_2$

Evaluation:

$$\boxed{\lambda x. u} t \xrightarrow{\beta} u[t/x]$$

Semantics:

- $S \rightarrow T$ is a type if S and T are
- $\lambda x_1. e_1 = \lambda x_2. e_2$ in $S \rightarrow T$ if $S \rightarrow T$ type and $e_1[s_1/x_1] = e_2[s_2/x_2]$ in T for all s_1, s_2 with $s_1 = s_2 \in S$

Proof System: — see above —

CARTESIAN PRODUCTS: BUILDING DATA STRUCTURES

Syntax:

Canonical: $S \times T, \langle e_1, e_2 \rangle$

Noncanonical: **let** $\langle x, y \rangle = e$ **in** u

Evaluation:

let $\langle x, y \rangle = \boxed{\langle e_1, e_2 \rangle}$ **in** $u \xrightarrow{\beta} u[e_1, e_2 / x, y]$

Semantics:

· $S \times T$ is a type if S and T are

· $\langle e_1, e_2 \rangle = \langle e_1', e_2' \rangle$ in $S \times T$ if $S \times T$ type, $e_1 = e_1'$ in S , and $e_2 = e_2'$ in T

Library Concepts: $e.1, e.2$

DISJOINT UNION: CASE DISTINCTIONS

Syntax:

Canonical: $S+T$, $\text{inl}(e)$, $\text{inr}(e)$

Noncanonical: $\text{case } e \text{ of } \text{inl}(x) \mapsto u \mid \text{inr}(y) \mapsto v$

Evaluation:

$\text{case } \boxed{\text{inl}(e')} \text{ of } \text{inl}(x) \mapsto u \mid \text{inr}(y) \mapsto v \xrightarrow{\beta} u[e' / x]$

$\text{case } \boxed{\text{inr}(e')} \text{ of } \text{inl}(x) \mapsto u \mid \text{inr}(y) \mapsto v \xrightarrow{\beta} v[e' / y]$

Semantics:

- $S+T$ is a type if S and T are
- $\text{inl}(e) = \text{inl}(e')$ in $S+T$ if $S+T$ type, $e = e'$ in S
- $\text{inr}(e) = \text{inr}(e')$ in $S+T$ if $S+T$ type, $e = e'$ in T

Library Concepts: —

THE CURRY-HOWARD ISOMORPHISM, FORMALLY

Propositions are represented as types

| Proposition | | Type |
|---------------------|----------|-----------------------------|
| $P \wedge Q$ | \equiv | $P \times Q$ |
| $P \vee Q$ | \equiv | $P + Q$ |
| $P \Rightarrow Q$ | \equiv | $P \rightarrow Q$ |
| $\neg P$ | \equiv | $P \rightarrow \text{Void}$ |
| $\exists x:T. P[x]$ | \equiv | $x:T \times P[x]$ |
| $\forall x:T. P[x]$ | \equiv | $x:T \rightarrow P[x]$ |

Need an **empty type** to represent “falsehood”

Need **dependent types** to represent quantifiers

EMPTY TYPE

Syntax:

Canonical: **Void** – *no canonical elements* –

Noncanonical: **any**(*e*)

Evaluation: – *no reduction rules* –

Semantics:

- **Void** is a type
- $e = e'$ in **Void** *never holds*

Library Concepts: —

Warning: rules for **Void** allows proving semantical nonsense like

$x:\text{Void} \vdash 0=1 \in 2$ or $\vdash \text{Void} \rightarrow 2$ type

SINGLETON TYPE

Syntax:

Canonical: **Unit**, **Ax**

Noncanonical: – *no noncanonical expressions* –

Evaluation: – *no reduction rules* –

Semantics:

- **Unit** is a type
- **Ax** = **Ax** in **Unit**

Library Concepts: —

Defined type in NUPRL, see the library theory **core_1** for further details

DEPENDENT TYPES

- Allow representing **logical quantifiers** as type constructs
- Allow **typing functions** like $\lambda x. \text{if } x=0 \text{ then } \lambda x.x \text{ else } \lambda x,y.x$
- Allow expressing **mathematical concepts** such as finite automata
 - $(Q, \Sigma, q_0, \delta, F)$, where $q_0 \in Q$, $\delta: Q \times \Sigma \rightarrow Q$, $F \subseteq Q$.
- Allow representing **dependent structures in programming languages**
 - Record types $[f_1:T_1; \dots; f_n:T_n]$
 - Variant records
 - type date = January of 1..31 | February of 1..28 | ...
- **Nuprl had them from the beginning**
 - ... as did Coq, Alf, ...
 - Other systems have recently adopted them (PVS, SPECWARE, ...)

DEPENDENT FUNCTIONS (Π -TYPES)

Subsumes independent function type

\forall generalizes \Rightarrow

Syntax:

Canonical: $x:S \rightarrow T, \lambda x.e$

Noncanonical: $e_1 e_2$

Evaluation:

$$\boxed{\lambda x.u} t \xrightarrow{\beta} u[t/x]$$

Semantics:

- $x:S \rightarrow T$ is a type if S is a type and $T[e/x]$ is a type for all e in S
- $\lambda x_1.e_1 = \lambda x_2.e_2$ in $x:S \rightarrow T$ if $x:S \rightarrow T$ type and $e_1[s_1/x_1] = e_2[s_2/x_2]$ in $T[s_1/x]$ for all s_1, s_2 with $s_1 = s_2 \in S$

DEPENDENT PRODUCTS (Σ -TYPES)

Subsumes (independent) cartesian product

\exists generalizes \wedge

Syntax:

Canonical: $x : S \times T, \langle e_1, e_2 \rangle$

Noncanonical: **let** $\langle x, y \rangle = e$ **in** u

Evaluation:

let $\langle x, y \rangle = \langle e_1, e_2 \rangle$ **in** $u \xrightarrow{\beta} u[e_1, e_2 / x, y]$

Semantics:

- $x : S \times T$ is a type if S is a type and $T[e/x]$ is a type for all e in S
- $\langle e_1, e_2 \rangle = \langle e_1', e_2' \rangle$ in $x : S \times T$ if $x : S \times T$ type,
 $e_1 = e_1'$ in S , and $e_2 = e_2'$ in $T[e_1/x]$

WELL-FORMEDNESS ISSUES

- **Formation rules for dependent type require checking**

$x':S \vdash T[x'/x]$ type

- T is a function from S to types that could involve complex computations,
e.g. $T[i] \equiv \text{if } M_i(i) \text{ halts then } \mathbb{N} \text{ else Void}$

**Well-formedness is undecidable
in (extensional) theories with dependent types**

- **Programming languages must restrict dependencies**

- Only allow finite dependencies \leadsto decidable typechecking

- **Typechecking in NUPRL cannot be fully automated**

- Typechecking becomes part of the proof process \leadsto heuristic typechecking

- **Additional problem**

- What is the type of a function from \mathbb{N} to types? \leadsto Girard Paradox

UNIVERSES

- Syntactical **representation of typehood**

- T type expressed as $T \in \mathbf{U}$ — $S=T$ expressed as $S=T \in \mathbf{U}$

- Universes are **object-level terms**

- \mathbf{U} is a type and a universe

- Girard's Paradox: a theory with dependent types and $\mathbf{U} \in \mathbf{U}$ is inconsistent

- \mapsto No single universe can capture the notion of typehood

- Typehood $\hat{=}$ cumulative hierarchy of universes $\mathbf{U} = \mathbf{U}_1 \subset \mathbf{U}_2 \subset \mathbf{U}_3 \subset \dots$

Syntax:

Canonical: \mathbf{U}_j

Noncanonical: —

Semantics:

- \mathbf{U}_j is a type for every positive integer j
- $S = T$ in \mathbf{U}_j if ...mimic semantics for $S = T$ as types...
- $\mathbf{U}_{j_1} = \mathbf{U}_{j_2}$ in \mathbf{U}_j if $j_1=j_2 < j$

INTEGERS: BASIC ARITHMETIC

Syntax:

Canonical: \mathbb{Z} , $0, 1, -1, 2, -2, \dots$ $i < j$, Ax

Noncanonical: $\text{rec-case } i \text{ of } x < 0 \mapsto [f_x].s \mid 0 \mapsto b \mid y > 0 \mapsto [f_y].t,$
 $s+t, s-t, s^*t, s \div t, s \text{ rem } t,$
 $\text{if } i=j \text{ then } s \text{ else } t, \text{ if } i < j \text{ then } s \text{ else } t,$

Evaluation:

$\text{rec-case } \boxed{0} \text{ of } x < 0 \mapsto [f_x].s \mid 0 \mapsto b \mid y > 0 \mapsto [f_y].t \xrightarrow{\beta} b$
 $\text{rec-case } \boxed{i} \text{ of } x < 0 \mapsto [f_x].s \mid 0 \mapsto b \mid y > 0 \mapsto [f_y].t \xrightarrow{\beta} t[i, \text{rec-case } i-1 \text{ of } x < 0 \mapsto [f_x].s \mid 0 \mapsto b \mid y > 0 \mapsto [f_y].t \mid x, f_x]$ where $i > 0$
 $\text{rec-case } \boxed{i} \text{ of } x < 0 \mapsto [f_x].s \mid 0 \mapsto b \mid y > 0 \mapsto [f_y].t \xrightarrow{\beta} s[i, \text{rec-case } i+1 \text{ of } x < 0 \mapsto [f_x].s \mid 0 \mapsto b \mid y > 0 \mapsto [f_y].t \mid x, f_x]$ where $i < 0$
other noncanonical expressions evaluate as usual

Semantics:

- \mathbb{Z} is a type
- $i < j$ is a type if $i \in \mathbb{Z}$ and $j \in \mathbb{Z}$
- $i = i$ in \mathbb{Z} for all integer constants i
- $Ax = Ax$ in $i < j$ if i, j are integers with $i < j$

Library Concepts: see the library theories `int_1`, `int_2`, and `num_thy` ...

LISTS: BASIC DATA CONTAINERS

Syntax:

Canonical: $T \text{ list}$, $[]$, $e_1 :: e_2$

Noncanonical: $\text{rec-case } e \text{ of } [] \mapsto \text{base} \mid x :: l \mapsto [f_{xl}] . \text{up}$

Evaluation:

$\text{rec-case } [] \text{ of } [] \mapsto \text{base} \mid x :: l \mapsto [f_{xl}] . \text{up} \xrightarrow{\beta} \text{base}$

$\text{rec-case } e_1 :: e_2 \text{ of } [] \mapsto \text{base} \mid x :: l \mapsto [f_{xl}] . \text{up} \xrightarrow{\beta} \text{up}[e_1, e_2 \text{ rec-case } e_2 \text{ of } [] \mapsto \text{base} \mid x :: l \mapsto [f_{xl}] . \text{up} / x, l, f_{xl}]$

Semantics:

- $T \text{ list}$ is a type if T is
- $[] = []$ in $T \text{ list}$ if $T \text{ list}$ is a type
- $e_1 :: e_2 = e_1' :: e_2'$ in $T \text{ list}$ if $T \text{ list}$ type, $e_1 = e_1'$ in T , and $e_2 = e_2'$ in $T \text{ list}$

Library Concepts:

$\text{hd}(e)$, $\text{tl}(e)$, $e_1 @ e_2$, $\text{length}(e)$, $\text{map}(f; e)$, $\text{rev}(e)$, $e[i]$, $e[i..j^-]$, \dots

INDUCTIVE TYPES: RECURSIVE DEFINITION

- **Representation of recursively defined data types**

- Recursive type definition $X = T[X]$
- Canonical elements determined by unrolling $T[X]$
- Noncanonical form for inductive evaluation of elements

- **Recursion must be well-founded**

- Least fixed point semantics
- $T[X]$ must contain a “base” case
- X must only occur positively in $T[X]$

- **Extensions possible**

- Parameterized, simultaneous recursion
 $\text{rectype } X_1(x_1) = T[X_1] \text{ and } \dots X_n(x_n) = T[X_n] \text{ select } X_i(a_i)$
- Co-inductive type $\text{inftype } X = T_X$: greatest fixed point semantics
- Partial recursive functions $S \not\rightarrow T$: unrestricted recursive induction

INDUCTIVE TYPES, FORMALLY

Syntax:

Canonical: $\text{rectype } X = T_X$

Noncanonical: $\text{let}^* f(x) = t \text{ in } f(e)$

Evaluation:

$$\text{let}^* f(x) = t \text{ in } f(e) \xrightarrow{\beta} t[\lambda y. \text{let}^* f(x) = t \text{ in } f(y), e / f, x]$$

Termination of $\text{let}^ f(x) = t \text{ in } f(e)$ requires e in $\text{rectype } X = T[X]$*

Semantics:

- $\text{rectype } X_1 = T_{X1} = \text{rectype } X_2 = T_{X2}$
if $T_{X1}[X/X_1] = T_{X2}[X/X_2]$ for all types X
- $s = t \text{ in } \text{rectype } X = T_X$ if $\text{rectype } X = T_X$ type and
 $s = t \text{ in } T_X[\text{rectype } X = T_X/X]$

SUBSET TYPES: HIDING COMPUTATIONAL CONTENT

- **Representation of mathematical concept of subsets**

- $\{x:S \mid T[x]\}$ formally similar to dependent product $x:S \times T[x]$
... but ...
- Members are elements of $s \in S$, not pairs $\langle s, t \rangle$
- Only **implicit evidence** for $T[s]$ but no explicit proof component

Syntax:

Canonical: $\{x:S \mid T\}, \{S \mid T\}$

Noncanonical: —

Semantics:

• $\{x_1:S_1 \mid T_1\} = \{x_2:S_2 \mid T_2\}$ if $S_1=S_2$ and there are terms p_1, p_2 and a variable x , which occurs neither in T_1 nor in T_2 such that

$$p_1 \text{ in } \forall x:S_1. T_1[x/x_1] \Rightarrow T_2[x/x_2]$$

and $p_2 \text{ in } \forall x:S_1. T_2[x/x_2] \Rightarrow T_1[x/x_1]$. (violates separation principle)

• $s = t \text{ in } \{x:S \mid T\}$ if $\{x:S \mid T\}$ type,

$s=t \text{ in } S$, and there is some $p \text{ in } T[s/x]$.

Proof rules must manage **implicit information**

- We “know” $T[s]$ if s in $\{x:S \mid T\}$
- We cannot use the proof term for $T[s]$ computationally
- Proof term for $T[s]$ must be available in non-computational proof parts
- Some refinement rules generate **hidden assumptions**

$\Gamma, z:\{x:S \mid T\}, \Delta \vdash C$ **[ext** $(\lambda y.t)$ $z]$

by setElimination i y v

$\Gamma, z:\{x:S \mid T\}, y:S, \llbracket v \rrbracket:T[y/x], \Delta[y/z] \vdash C[y/z]$ **[ext** $t]$

- Hidden assumptions made visible by refinement rules with extract term **Ax**

INTERSECTION TYPES: POLYMORPHISM WITHOUT PARAMETERS

- Represent **mathematical concept of intersection**

- $\cap x : S . T[x]$ formally similar to dependent functions $x : S \rightarrow T[x]$
... but ...
- Members are elements of all $T[s]$ with $s \in S$, not functions
- “Range parameter” $s \in S$ only implicitly present

Syntax:

Canonical: $\cap x : S . T[x]$

Noncanonical: —

Evaluation: —

Semantics:

- $\cap x : S . T[x]$ is a type if S is a type and $T[e/x]$ is a type for all e in S
- $s = t$ in $\cap x : S . T[x]$ if $\cap x : S . T[x]$ type and
 $s = t$ in $T[e/x]$ for all e in S

QUOTIENT TYPES: USER-DEFINED EQUALITY

• Representation of equivalence classes

- Members of $x, y : T // E$ are elements of T (but $x, y : T // E \not\subseteq T$)
- Equality $s=t$ redefined as $E[s, t/x, y]$
- E must be type of an equivalence relation

Syntax:

Canonical: $x, y : T // E$

Noncanonical: —

Semantics:

• $x_1, y_1 : T_1 // E_1 = x_2, y_2 : T_2 // E_2$ if $T_1 = T_2$ and there are terms p_1, p_2, r, s, t and variables x, y, z , which occur neither in E_1 nor in E_2 such that

p_1 in $\forall x : T_1. \forall y : T_1. E_1[x, y/x_1, y_1] \Rightarrow E_2[x, y/x_2, y_2]$,

p_2 in $\forall x : T_1. \forall y : T_1. E_2[x, y/x_2, y_2] \Rightarrow E_1[x, y/x_1, y_1]$,

r in $\forall x : T_1. E_1[x, x/x_1, y_1]$,

s in $\forall x : T_1. \forall y : T_1. E_1[x, y/x_1, y_1] \Rightarrow E_1[y, x/x_1, y_1]$,

and t in $\forall x : T_1. \forall y : T_1. \forall z : T_1. E_1[x, y/x_1, y_1] \Rightarrow E_1[y, z/x_1, y_1] \Rightarrow E_1[x, z/x_1, y_1]$

• $s = t$ in $x, y : T // E$ if $x, y : T // E$ type, s in T , t in T ,

and there is some term p in $E[s, t/x, y]$

QUOTIENT TYPES: PROOF THEORY

Proof rules must manage **implicit information**

- We “know” $E[s, t/x, y]$ if $s = t$ in $x, y : T // E$
- Proof term for $E[s, t/x, y]$ can only be used non-computationally
- **Hidden assumptions** generated by decomposing equalities in hypotheses

$$\begin{array}{l} \Gamma, v : s = t \in x, y : T // E, \Delta \vdash C \text{ [ext } u\text{]} \\ \text{by quotient_equalityElimination } i \ j \ v' \\ \Gamma, v : s = t \in x, y : T // E, \llbracket v' \rrbracket : E[s, t/x, y], \Delta \vdash C \text{ [ext } u\text{]} \\ \Gamma, v : s = t \in x, y : T // E, \Delta \vdash E[s, t/x, y] \in \mathbf{U}_j \text{ [Ax]} \end{array}$$

User-predicates may require **type-squashing**

- $\downarrow P \equiv \{\mathbf{x} : \mathbf{Top} \mid P\}$: reduce P to its truth content
- Necessary if there is too much structure on $x, y : T // E$

DEPENDENT INTERSECTION

- **Intersection with self-reference**

- $x : S \cap T$ somewhat similar to dependent products $x : S \times T[x]$
... but ...
- Members are elements $s \in S$ with $s \in T[s]$ (“very dependent pairs”)

Syntax:

Canonical: $x : S \cap T$

Noncanonical: —

Evaluation: —

Semantics:

- $x : S \cap T$ is a type if S is a type and $T[e/x]$ is a type for all e in S
- $s = t$ in $x : S \cap T$ if $x : S \cap T$ type, $s = t$ in S , and $s = t$ in $T[s]$

Useful for representing dependent records, ADT's, objects, etc.

IMPORTANT DEFINED TYPES

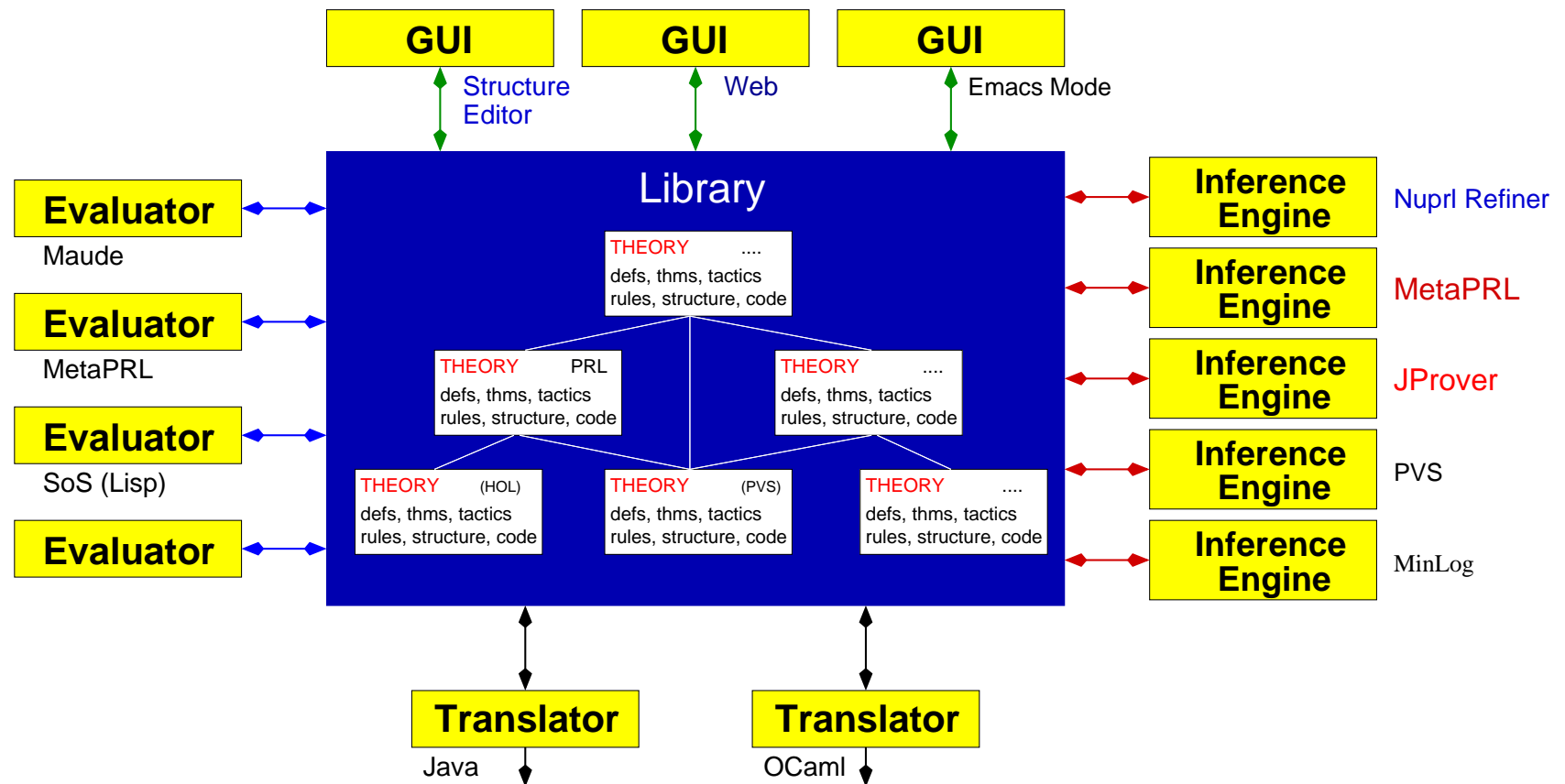
- Integer ranges: $\mathbb{N} \equiv \{i:\mathbb{Z} \mid 0 \leq i\}$, $\{j \dots\} \equiv \{i:\mathbb{Z} \mid j \leq i\}$,
 $\mathbb{N}^+ \equiv \{i:\mathbb{Z} \mid 0 < i\}$, $\{\dots j\} \equiv \{i:\mathbb{Z} \mid i \leq j\}$
- Logic: $\forall \exists \wedge \vee \Rightarrow \neg$ **True False** (Curry-Howard isomorphism)
- Singleton type: **Unit** $\equiv 0 \in \mathbb{Z}$
- Boolean: **B** $\equiv \text{Unit} + \text{Unit}$, $\uparrow b \equiv \text{if } b \text{ then True else False}$
- Top type: **Top** $\equiv \cap x:\text{Void}.\text{Void}$
- Subtyping: $S \sqsubseteq T \equiv \forall x:S. x \in T$
- Type squashing: $\downarrow P \equiv \{\text{True} \mid P\}$
- Recursive functions: **Y** $\equiv \lambda f. (\lambda x.f (x x)) (\lambda x.f (x x))$
- (Dependent) records $\{x_1:T_1; x_2:T_2[x_1]; \dots; x_n:T_n[x_1 \dots x_{n-1}]\}$ (\rightarrow part IV)

See the standard theories of NUPRL 5 for further details

Part II:

The Nuprl System

NUPRL'S AUTOMATED REASONING ENVIRONMENT



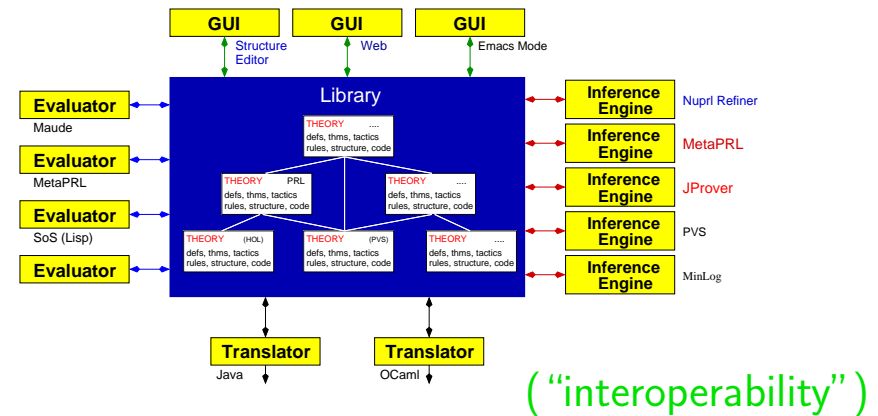
Interactive proof development

- Supports program **extraction** and **evaluation**
- Proof automation through **tactics** & **decision procedures**
- Highly customizable: conservative **language extensions**, **term display**, ...
- Supports **cooperation** with other proof systems

SYSTEM ARCHITECTURE (Allen et. al, 2000)

- Collection of cooperating processes

→ Asynchronous, distributed
& collaborative theorem proving



- Centered around a common knowledge base

- Library of formal algorithmic knowledge
- Persistent data base, version control, dependency tracking → accountability

- Connected to external systems

- MetaPRL (fast rewriting, multiple logics) (Hickey & Nogin, 1999)
- JProver (matrix-based intuitionistic theorem prover) (IJCAR 2001)
- ⋮

- Multiple user interfaces

- Structure editor, web browser → collaborative proving

- Reflective system structure

- System designed within the system's library → customizability

INITIAL Nuprl 5 SCREEN

The screenshot displays the Nuprl 5 initial screen with three windows:

- Navigator Window:** Shows a list of commands (Activate*, deActivate*, NameSearch*, PathStack*, Clone*, RaiseTopLoops*, Mill*, SaveObj*, commentObj*, CountClosure*, ObidCollector*, MkLink*, MkObj*, MkDir*, MkTHM*, CpObj*, reNameObj*, EditProperty*, RmLink*, RmObj*, RmDir*, RmGroup*) and a list of directories (DIR TTF local, DIR TTF system-aux, DIR TTF system, -> DIR TTF theories).
- ML top loop Window:** Shows commands (Previous*, Next*, Eval*, Reset*, Remove*, SaveW0Eval*, LIB*, EDD*, REF*, ShowRefenv*, RaiseHistory*, RaiseNavigator*) and the prompt M(EDD)> | ; ;.
- Lisp Processes Window:** Shows a stack of Lisp processes (mhlLibrary, mhlEditor, mhlRefiner) with their respective states and addresses.

- **Navigator** for browsing and invoking editors
- **ML top loop** for entering meta-level commands
- 3 windows for library, refiner, and editor **Lisp** processes

FEATURES OF THE PROOF DEVELOPMENT SYSTEM

- Interactive **proof editor** → readable proofs
- Flexible **definition** mechanism → user-defined terms
- Customizable **term display** → flexible notation
- **Structure editor** for terms → no ambiguities
- **Tactics & decision procedures** → user-defined inferences
- Proof objects, **program extraction** → program synthesis
- Program **evaluation**
- **Library** mechanism → user-theories
 - Large mathematical libraries & tactics collection
- Command interface: **navigator** + ML top loops
- **Formal documentation** mechanism → L^AT_EX, HTML

BASIC NAVIGATOR OPERATIONS

- **Creating, copying, renaming, removing, printing objects, directories, and links**
 - Objects will never be destroyed – only references to objects change
 - **Browsing and searching the library**
 - **Invoking editors on objects**
 - **Checking theories**
 - **Importing and exporting theories**
 - **Invoking operations on collections of objects**
- ⋮

THE PROOF EDITOR

- Invoke proof editor by **opening an object of kind THM**
- **State theorem** as top goal, using structured term editor
- Prove a goal by **entering proof tactics** and parameters after the BY
- Proof **editor refines goal** and displays remaining subgoals
 - Proof steps are immediately committed to library
 - Proof engine may be invoked asynchronously
- User can move into subgoal nodes if necessary
- Proof editor may generate **extract terms** from complete proofs

```
THM not_over_and
* top
 $\forall A, B: \mathbb{P}. (((\neg A) \vee (\neg B)) \Rightarrow (\neg(A \wedge B)))$ 
BY D 0
* 1
1.  $A: \mathbb{P}$ 
 $\vdash \forall B: \mathbb{P}. (((\neg A) \vee (\neg B)) \Rightarrow (\neg(A \wedge B)))$ 
BY Auto
* 1 1
2.  $B: \mathbb{P}$ 
3.  $(\neg A) \vee (\neg B)$ 
 $\vdash \neg(A \wedge B)$ 
BY D 0 THEN D 3 THEN Auto
* 2
.....wf.....
 $\mathbb{P} \in \mathbf{U}'$ 
BY Auto
```

THE STRUCTURED TERM EDITOR

Edit internal structure of terms while showing external display

- Invoke by opening or entering a **term slot**
- Entering *opid* of term opens template
e.g. `_exists_` generates the template `⌈ \exists [var]:[type]. [prop]`
 - [type] and [prop] are new term slots, [var] is a **text slot**
- Users may **navigate through term tree** and edit subterms
 - Motion by mouse or **emacs**-like key combinations (**m-p**, **m-b**, **m-f**, **m-n**)
 - Cutting and pasting of terms possible (**c-k**, **m-k**, **c-y**)
 - Text oriented editing possible as well
 - Insert non-ASCII characters with **c-#num**
- **Internal structure can be made visible**
 - Explode (**c-x ex**) and implode (**c-x im**) terms
 - Entirely new terms can be inserted by entering *opid*{*parms*}(arity)
- Terms have **hyperlinks** to abstractions and display forms
 - Use **c-x ab** / Mouse-Right and **c-x df** / Mouse-Middle

CREATING DEFINITIONS

Define new terms in terms of existing ones

- Click the **AddDef*** button

```
OK*  Cancel*

add def : [lhs] ==
         [rhs]

-----

MkTHY*  OpenThy*  CloseThy*  ExportThy*  ChkThy*  ChkAllThys*  ChkOpenThy*
CheckMinTHY*  MinTHY*  EphTHY*  ExTHY*

Mill*  ObidCollector*  NameSearch*  PathStack*  RaiseTopLoops*
PrintObjTerm*  PrintObj*  MkThyDocObj*  ProofHelp*  ProofStats*  showRefEnvs*  FixRefEnvs*
CpObj*  reNameObj*  EditProperty*  SaveObj*  RmLink*  MkLink*  RmGroup*

ShowRefenv*  SetRefenvSibling*  SetRefenvUsing*  SetRefenv*  ProveRR*  SetInOBJ*
MkTHM*  MkML*  AddDef*  AddRecDef*  AddRecMod*  AddDefDisp*  AbReduce*  NavAtAp*
Act*  DeAct*  MkThyDir*  RmThyObj*  MvThyObj*

↑↑↑↑  ↑↑↑  ↓↓↓↓  ↓↓↓  <>  ><

Navigator: [kreitz; user; theories]

Scroll position : 0

List Scroll : Total 1,  Point 0,  Visible : 1
-----
-> STM  FFF  not_over_and
-----
```

- Insert a new term into the [lhs] slot $\lceil \text{exists_uni}(T; x.P[x]) \rceil$
- Enter its definition into [rhs] $\lceil \exists x:T. P[x] \wedge (\forall y:T. P[y] \Rightarrow y=x \in T) \rceil$
 - All free variables of the new term must occur
- Edit the generated display form and wellformedness theorem

MODIFYING THE TERM DISPLAY

- Open display form object for the term

- create a new one if necessary

```
DISP exists_uni_df
EdAlias exists_uni ::
  exists_uni(<T:T:*>;<x:var:*>.<P:P:*>)
  == exists_uni(<T>;<x>.<P>)
```

- Edit text on left hand side of ==

- Special characters may be inserted, e.g. **c-# 163** inserts \exists
- Template slots may be moved or deleted (mark with **m-p**)
- Slot description between colons may be modified
- Precedences for use of parentheses may be described after last colon

```
DISP exists_uni_df
EdAlias exists_uni ::
   $\exists!$ <x:var:*>:<T:type:*>. <P:prop:*>
  == exists_uni(<T>;<x>.<P>)
```

- Add additional display forms for iteration and special cases

- **Iteration**: instead of $\forall x:T. \forall y:T. P$ display $\forall x,y:T. P$
- **Special cases**: instead of $x=y \in \mathbb{Z}$ display $x=y$ (delete the type slot)

EVALUATION OF TERMS

- Invoke the term evaluator on a NUPRL term by entering `_view_showc name term_` into the editor ML top loop

| compute addition |
|--|
| Compute1* Compute5* Compute10* ComputeAll* |
| $((\beta * 4) - 5) + 6$ |

- Click the buttons to perform one top-level reduction steps
 - Use `c-` to undo a step

EXTRACTING PROGRAMS FROM PROOFS

- **Generate extract term of completed proof**
 - Close proof editor with **c-z** instead of **c-q**
- **Make extract term available for editing**
 - Enter `_require_termof (ioid obid)_` into the editor ML top loop
 - *obid* is abstract identifier of proof object
 - mark in navigator with left mouse and copy into top loop with **c-y**
- **Open term evaluator on extract term**
 - Enter `_view_show_co obid_` into the editor ML top loop

```
compute intsqrt  
  
Compute1*   Compute5*   Compute10*   ComputeAll*  
  
TERMOF{intsqrt:o, \\v:1}
```

- **Evaluate one step to see the extract**
 - Edit term to supply arguments to a NUPRL function, if desired

Should be simplified in the future

Part III:

Proof Automation in Nuprl

AUTOMATING THE CONSTRUCTION OF PROOFS

- **Tactics:** Programmed application of inference rules
 - Easy to implement, even by users
 - Flexible, guaranteed to be correct
- **Rewriting:** Replace terms by equivalent ones
 - Computational and definitional equality
 - Derived equivalences in lemmata and hypotheses
- **Decision Procedures:** Solve problems in narrow application domains
 - Translate proof goal into different problem domain
 - Use efficient algorithms for checking translated problems
- **Proof Search Procedures:** Compact representation of proof tree
 - “Unintuitive”, but efficient proof procedure
 - Only for “small” theories
 - Correct integration into interactive proof system?

TACTICS: USER-DEFINED INFERENCE RULES

- **Meta-level programs built using**
 - Basic **inference rules**
 - Predefined **tacticals** ...
 - **Meta-level analysis** of the proof goal and its context
 - Large collection of **standard tactics** in the library
- **May produce **incomplete** proofs**
 - ⇒ User has to complete the proof by calling other tactics
- **May not **terminate****
 - ⇒ User has to interrupt execution

but

Applying a tactic always results in a valid proof

BASIC TACTICS

Subsume primitive inferences under a common name

Hypothesis: Prove $\lceil \dots C \dots \vdash C' \rceil$ where C' α -equal to C

Declaration: Prove $\lceil \dots x:T \dots \vdash x \in T' \rceil$ where T' α -equal to T

Variants: NthHyp i , NthDecl i

D c : Decompose the outermost connective of clause c

EqD c : Decompose immediate subterms of an equality in clause c

MemD c : Decompose subterm of a membership term in clause c

Variants: EqCD , EqHD i , MemCD , MemHD i

EqTypeD c : Decompose type subterm of an equality in clause c

MemTypeD c : Decompose type subterm of a membership term in clause c

Variants: EqTypeCD , EqTypeHD i , MemTypeCD , MemTypeHD i

Assert t : Assert (or *cut*) term t as last hypothesis

Auto: Apply trivial reasoning, decomposition, decision procedures ...

Reduce c : Reduce all primitive redices in clause c

PARAMETERS IN TACTICS

- **Position of a hypothesis** to be used NthHyp $[i]$
- **Names** for newly created variables New $[x]$ (D 0)
- **Type of some subterm** in the goal With $[x:S \rightarrow T]$ (MemD 0)
- **Term** to instantiate a variable With $[s]$ (D 0)
- Selection from a number of alternatives Sel $[n]$ (D 0)
- **Universe level** of a type At $[j]$ (D 0)
- **Dependency** of a term instance $C[z]$
on a variable z Using $[z, C]$ (D 0)

TACTICALS

Compose tactics into new ones

| | |
|--|--|
| tac_1 THEN tac_2 : | <i>Apply tac_2 to all subgoals created by tac_1</i> |
| t THENL $[tac_1; \dots; tac_n]$: | <i>Apply tac_i to the i-th subgoal created by t</i> |
| tac_1 THENA tac_2 : | <i>Apply tac_2 to all auxiliary subgoals created by tac_1</i> |
| tac_1 THENW tac_2 : | <i>Apply tac_2 to all wf subgoals created by tac_1</i> |
| tac_1 ORELSE tac_2 : | <i>Apply tac_1. If this fails apply tac_2 instead</i> |
| Try tac : | <i>Apply tac. If this fails leave the proof unchanged</i> |
| Complete tac : | <i>Apply tac only if this completes the proof</i> |
| Progress tac : | <i>Apply tac only if that causes the goal to change</i> |
| Repeat tac : | <i>Repeat tac until it fails</i> |
| RepeatFor i tac : | <i>Repeat tac exactly i times</i> |
| AllHyps tac : | <i>Try to apply tac to all hypotheses</i> |
| OnSomHyp tac : | <i>Apply tac to the first possible hypotheses</i> |

● Induction

- **NatInd** i : standard natural-number induction on hypothesis i
- **IntInd**, **NSubsetInd**, **ListInd**: induction on \mathbb{Z} , \mathbb{N} subranges, lists
- **CompNatInd** i : complete natural-number induction on hypothesis i

● Case Analysis

- **BoolCases** i : case split over boolean variable in hypothesis i
- **Cases** $[t_1; \dots; t_n]$: n -way case split over terms t_i
- **Decide** P : case split over (decidable) proposition P and its negation

● Chaining

- **InstHyp** $[t_1; \dots; t_n]$ i : instantiate hypothesis i with terms $t_1 \dots t_n$
- **FHyp** i $[h_1; \dots; h_n]$: forward chain through hypothesis i
matching its antecedents against any of the hypotheses $h_1 \dots h_n$
- **BHyp** i : backward chain through hypothesis i
matching its consequent against the conclusion of the proof
- **Backchain** bc_names : backchain repeatedly through lemmas and hypotheses

Variants: **InstLemma** name $[t_1; \dots; t_n]$, **FLemma** name $[h_1; \dots; h_n]$, **BLemma** name.

- **Decide problems in narrow application domains**

- Translate proof goal into different problem domain
- Decide translated problem using efficient standard algorithms
- Implement directly in NUPRL or connect as external proof tool

- **Currently available**

- **ProveProp**: simple propositional reasoning
- **Eq**: trivial equality reasoning (limited congruence closure algorithm)
- **RelRST**: exploit properties of binary relations (find shortest path in relation graph)
- **Arith**: standard, induction-free arithmetic
- **SupInf**: solve linear inequalities over \mathbb{Z}

- **Input sequent:** $H \vdash C_1 \vee \dots \vee C_m$
 - C_i is an **arithmetic relation** over \mathbb{Z}
built from $<$, \leq , $>$, \geq , $=$, \neq , and \neg
- **Theory covered:**
 - ring axioms for $+$ and $*$
 - total order axioms of $<$
 - reflexivity, symmetry and transitivity of $=$
 - limited substitutivity
- **Proof procedure:**
 - Translate sequent into a directed graph
whose edges are labeled with natural numbers
 - Check if the graph contains positive cycles
- **Implemented as NUPRL procedure (Lisp level)**
- **Integrated into the tactic **Auto****

- **Adaptation of Bledsoe's Sup-Inf method**
 - Complete only for the rationals
 - Sound for integers
- **Proof procedure:**
 - Convert sequent into conjunction of terms $0 \leq e_i$
where each e_i is a linear expression over \mathbb{Q} in variables $x_1 \dots x_n$
 - Check if some assignment of values to the x_j satisfies the conjunction
 - Determine upper and lower bounds for each variable in turn
 - Identify counter-examples if no assignment exists
- **Implemented as NUPRL procedure (ML level)**
- **Integrated into the tactic Auto'**

PROVING THE EXISTENCE OF AN INTEGER SQUARE ROOT

```

                                THM intsqrt
* top       $\forall n:\mathbb{N}. \exists r:\mathbb{N}. r^2 \leq n < (r+1)^2$ 
            BY allR
* 1         1.  $n : \mathbb{N}$ 
             $\vdash \exists r:\mathbb{N}. r^2 \leq n < (r+1)^2$ 
            BY NatInd 1
* 1 1       .....basecase.....
             $\exists r:\mathbb{N}. r^2 \leq 0 < (r+1)^2$ 
            BY With [0] (D 0) THEN Auto
* 1 2       .....upcase.....
            1.  $i : \mathbb{N}$ 
            2.  $0 < i$ 
            3.  $r : \mathbb{N}$ 
            4.  $r^2 \leq i-1 < (r+1)^2$ 
             $\vdash \exists r:\mathbb{N}. r^2 \leq i < (r+1)^2$ 
            BY Decide [(r+1)2 ≤ i] THENW Auto
* 1 2 1     5.  $(r+1)^2 \leq i$ 
             $\vdash \exists r:\mathbb{N}. r^2 \leq i < (r+1)^2$ 
            BY With [r+1] (D 0) THEN Auto'
* 1 2 2     5.  $\neg((r+1)^2 \leq i)$ 
             $\vdash \exists r:\mathbb{N}. r^2 \leq i < (r+1)^2$ 
            BY With [r] (D 0) THEN Auto

```

REWRITING: REPLACE TERMS BY EQUIVALENT ONES

● Simple **rewrite tactics**

Fold *name c*: fold abstraction *name* in clause *c*

Unfold *name c*: unfold abstraction *name* in clause *c*

Subst $t_1=t_2 \in T$ *c*: substitute t_1 by t_2 in clause *c*

Reduce *c*: repeatedly evaluate redices in clause *c*

● Nuprl's **rewrite package**

- Functions for creating and applying term rewrite rules
- Supports various equivalence relations
- Based on tactics for applying **conversions** to clauses in proofs

● **Conversions**

- Language for systematically building rewrite rules
- Transform terms and provide justifications
- Need to be supported by various kinds of lemmata
- Organized like tactics: atomic conversions, conversionals, advanced conversions

ATOMIC CONVERSIONS

● Folding and Unfolding Abstractions

- **UnfoldC** *abs*: *Unfold all occurrences of abstraction *abs**
- **FoldC** *abs* : *Fold all instances of abstraction *abs**

Versions for (un)folding specific instances available as well

● Evaluating Redices

- **ReduceC**: *contract all primitive redices*
- **AbReduceC**: *contract primitive and abstract (user-defined) redices*

● Applying Lemmata and Hypotheses

- Universally quantified formulas with consequent *a r b*
- **HypC** *i*: *rewrite instances of *a* into instances of *b**
- **RevHypC** *i*: *rewrite instances of *b* into instances of *a**

*Variants: LemmaC *name*, RevLemmaC *name**

BUILDING REWRITE TACTICS

● Construct advanced Conversions using **Conversionals**

- ANDTHENC, ORTHENC, ORELSEC, RepeatC, ProgressC, TryC
- SubC, NthSubC, AddrC, SweepUpC, SweepDnC, DepthC, AllC, SomeC, FirstC

● Define **Macro Conversions**

- **MacroC** *name* c_1 t_1 c_2 t_2 : Rewrite instance of t_1 into instance of t_2
 c_1 and c_2 must rewrite t_1 and t_2 into the same term, *name* is a failure token
- **SimpleMacroC** *name* t_1 t_2 *abs* : Rewrite t_1 into t_2 by unfolding
abstractions from *abs* and contracting primitive redices

● Transform Conversions into Tactics

- **Rewrite** c i : Apply conversion c to clause i

Variants: RewriteType c i , RWAddr *addr* c i , RWU, RWD

WRITING A TACTIC-BASED PROOF SEARCH PROCEDURE IS EASY

Sort rule applications by cost of induced proof search

```
let simple_prover = Repeat
    (
        hypotheses
      ORELSE contradiction
      ORELSE InstantiateAll
      ORELSE InstantiateEx
      ORELSE conjunctionE
      ORELSE existentialE
      ORELSE nondangerousI
      ORELSE disjunctionE
      ORELSE not_chain
      ORELSE iff_chain
      ORELSE imp_chain
    );;

letrec prover = simple_prover
    THEN Try (
        Complete (orI1 THEN prover)
      ORELSE (Complete (orI2 THEN prover))
    )
;;
```

simple_prover: COMPONENT TACTICS

```
let contradiction = TryAllHyps falseE      is_false_term
and conjunctionE  = TryAllHyps andE         is_and_term
and existentialE   = TryAllHyps exE         is_ex_term
and disjunctionE  = TryAllHyps orE         is_or_term

and nondangerousI pf = let kind = operator_id_of_term (conclusion pf)
                        in
                          if mem mkind ['all'; 'not'; 'implies';
                                         'rev_implies'; 'iff'; 'and']
                          then Run (termkind ^ 'R') pf
                          else failwith 'tactic inappropriate'
                        ;;

let imp_chain pf = Chain impE (select_hyps is_imp_term pf) hypotheses pf
                        ;;
let not_chain    = TryAllHyps (\pos. notE pos THEN imp_chain) is_not_term
                        ;;
let iff_chain    = TryAllHyps (\pos. (iffE   pos THEN (imp_chain
                                                         OR ELSE not_chain))
                                OR ELSE
                                (iffE_b pos THEN (imp_chain
                                                         OR ELSE not_chain))
                                ) is_iff_term
                        ;;
```

simple_prover: RULE TACTICS FOR FIRST-ORDER LOGIC

| | left | right |
|-----------------|--|---|
| andE <i>i</i> | $\Gamma, \underline{A \wedge B}, \Delta \vdash G$ $\Gamma, \underline{A}, \underline{B}, \Delta \vdash G$ | $\Gamma \vdash \underline{A \wedge B}$ $\Gamma \vdash \underline{A}$ $\Gamma \vdash \underline{B}$ <div>andI</div> |
| orE <i>i</i> | $\Gamma, \underline{A \vee B}, \Delta \vdash G$ $\Gamma, \underline{A}, \Delta \vdash G$ $\Gamma, \underline{B}, \Delta \vdash G$ | $\Gamma \vdash \underline{A \vee B}$ $\Gamma \vdash \underline{A}$ <div>orI1</div> $\Gamma \vdash \underline{A \vee B}$ $\Gamma \vdash \underline{B}$ <div>orI2</div> |
| impE <i>i</i> | $\Gamma, \underline{A \Rightarrow B}, \Delta \vdash G$ $\Gamma, \underline{A \Rightarrow B}, \Delta \vdash \underline{A}$ $\Gamma, \Delta, \underline{B} \vdash G$ | $\Gamma \vdash \underline{A \Rightarrow B}$ $\Gamma, \underline{A} \vdash \underline{B}$ <div>impI</div> |
| notE <i>i</i> | $\Gamma, \underline{\neg A}, \Delta \vdash G$ $\Gamma, \underline{\neg A}, \Delta \vdash \underline{A}$ | $\Gamma \vdash \underline{\neg A}$ $\Gamma, \underline{A} \vdash \underline{\text{false}}$ <div>notI</div> |
| exE <i>i</i> | $\Gamma, \underline{\exists x:T.B}, \Delta \vdash G$ $\Gamma, \underline{x:T}, \underline{B}, \Delta \vdash G$ | $\Gamma \vdash \underline{\exists x:T.B}$ $\Gamma \vdash \underline{B[t/x]}$ <div>exI <i>t</i></div> |
| allE <i>i t</i> | $\Gamma, \underline{\forall x:T.B}, \Delta \vdash G$ $\Gamma, \underline{\forall x:T.B}, \underline{B[t/x]}, \Delta \vdash G$ | $\Gamma \vdash \underline{\forall x:T.B}$ $\Gamma, \underline{x:T} \vdash \underline{B}$ <div>allI</div> |

simple_prover: MATCHING AND INSTANTIATION

```
let InstantiateAll =
  let InstAll_aux pos pf =
    let concl = conclusion pf
    and qterm = type_of_hyp pos pf          in
    let sigma = match_subAll qterm concl in
    let terms = map snd sigma              in
    (allEon pos terms THEN (OnLastHyp hypothesis)) pf
  in
  TryAllHyps InstAll_aux is_all_term
;;

let InstantiateEx =
  let InstEx_aux pos pf =
    let qterm = conclusion pf
    and hyp = type_of_hyp pos pf          in
    let sigma = match_subEx qterm hyp     in
    let terms = map snd sigma             in
    (exIon terms THEN (hypothesis pos)) pf
  in
  TryAllHyps InstEx_aux (\h.true)
;;
```

INTEGRATING COMPLETE PROOF SEARCH PROCEDURES

- **Tactic-based proof search has limitations**
 - Many proofs require some “lookahead”
 - Proof search must perform **meta-level analysis** first
- **Complete proof search procedures are “unintuitive”**
 - Proof search tree represented in **compact** form
 - Link similar subformulas that may represent leafs of a sequent proof
 - Proof search checks if all leaves can be covered by **connections** and if parameters all connected subformulas can be **unified**
- **JProver: intuitionistic proof search for NUPRL**
 - Find **matrix proof** of goal sequent and convert it into sequent proof

JProver: PROOF METHODOLOGY (Kreitz, Otten, Schmitt 1995–2000)

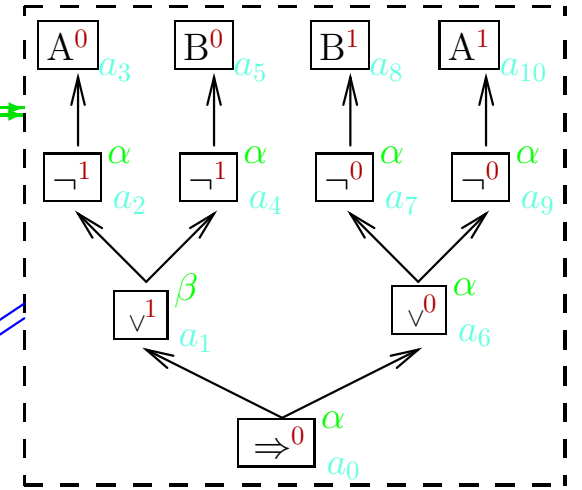
Formula

$$\neg A \vee \neg B \Rightarrow \neg B \vee \neg A$$

Annotation

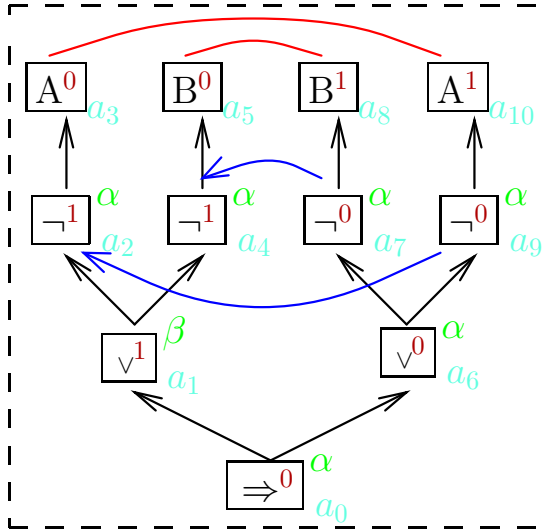
types, polarities, prefixes

Annotated Formula Tree



Matrix Prover

path checking + unification
Substitutions induce ordering \triangleleft



Reduction Ordering \triangleleft

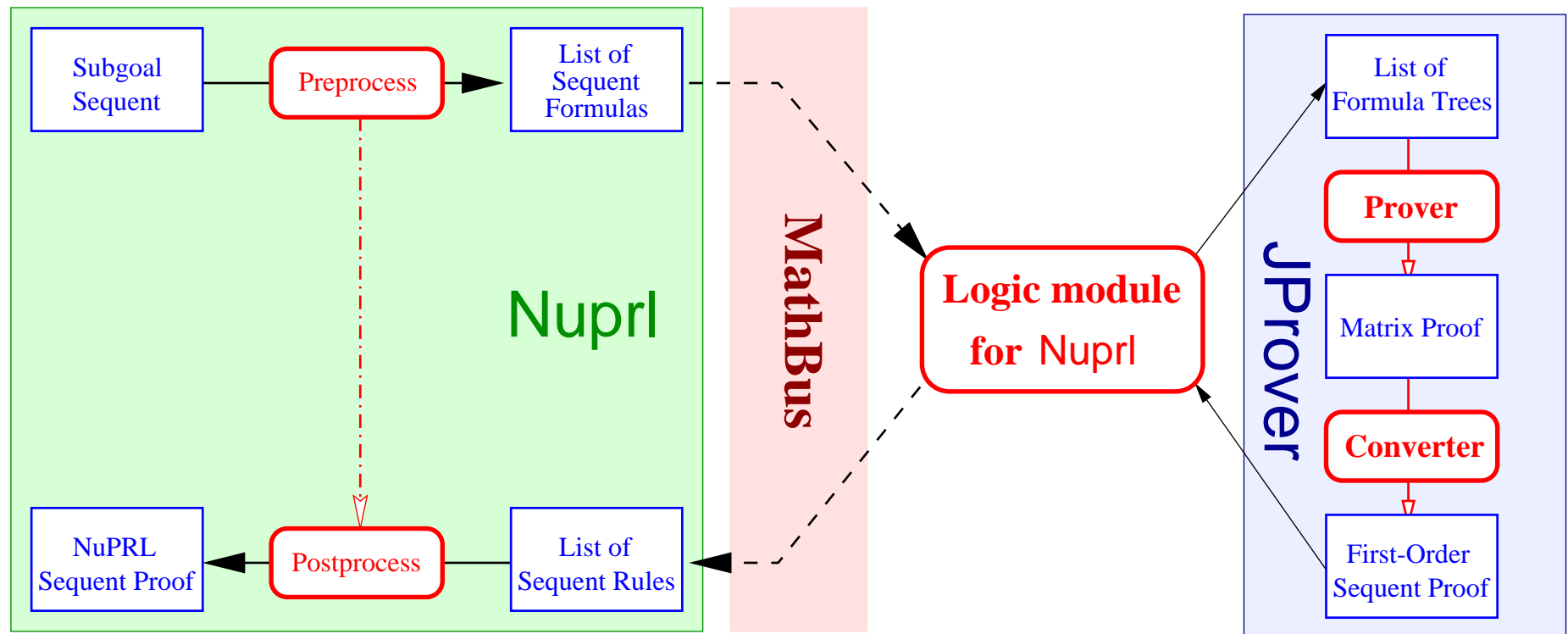
Proof Transformation

Search-free traversal of \triangleleft
multiple \rightarrow single-conclusion

$$\frac{\frac{\frac{\overline{A \vdash A}^{ax.}}{\neg A, A \vdash} \neg l}{\neg A \vdash \neg B, \neg A} \neg r \quad \frac{\frac{\frac{\overline{B \vdash B}^{ax.}}{\neg B, B \vdash} \neg l}{\neg B \vdash \neg B, \neg A} \neg r}{\neg A \vee \neg B \vdash \neg B, \neg A} \vee l}{\neg A \vee \neg B \vdash \neg B \vee \neg A} \vee r \Rightarrow r$$

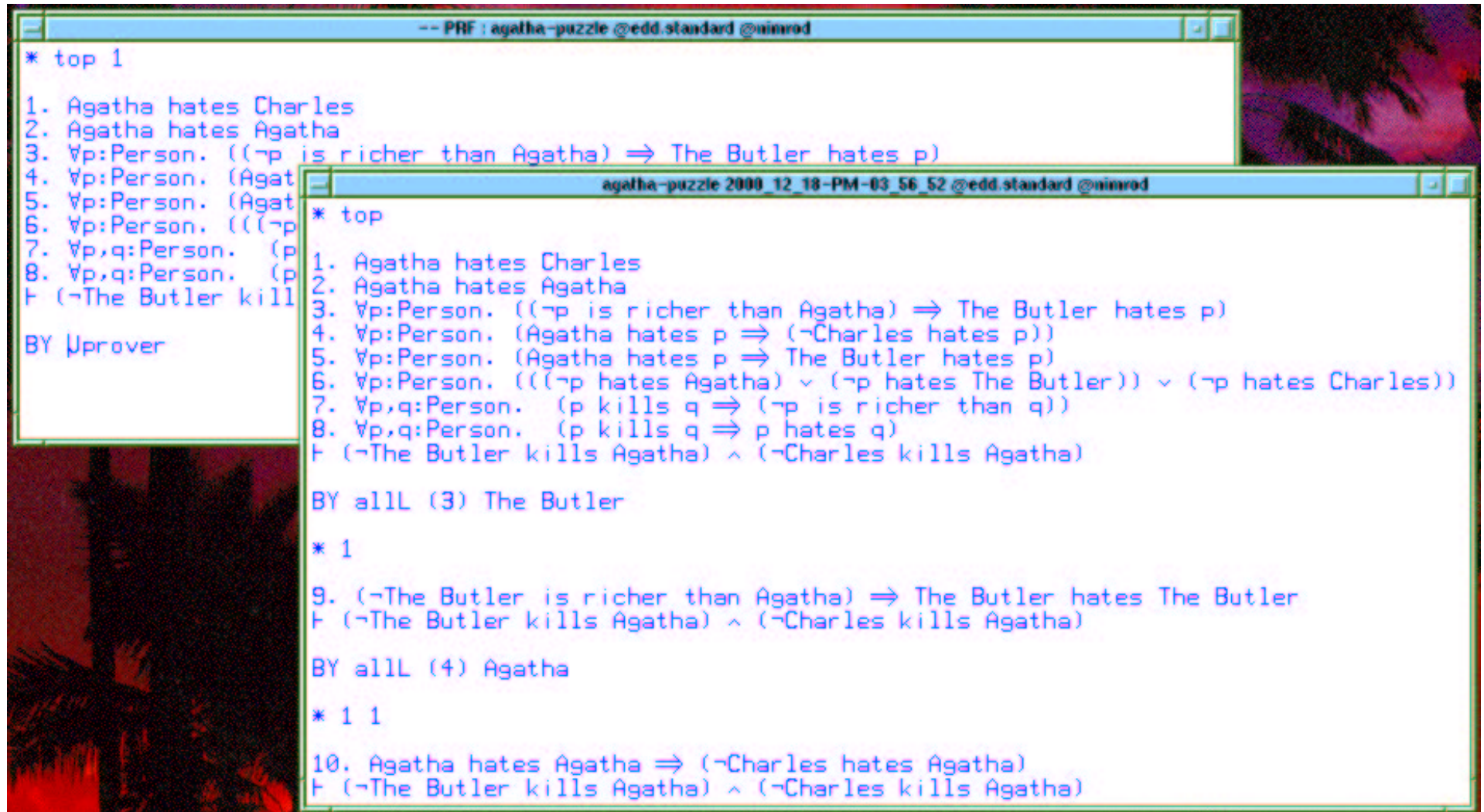
Sequent Proof

JPROVER: INTEGRATION ARCHITECTURE (Schmitt, et. al 2001)



- Communicate formulas in **uniform format** (**MathBus**) over INET sockets
- **Logic module** converts between internal term representations
- Pre- and postprocessing in NUPRL widens range of applicability

SOLVING THE “AGATHA MURDER PUZZLE”



```

-- PRF : agatha-puzzle @edd.standard @nimrod

* top 1
1. Agatha hates Charles
2. Agatha hates Agatha
3.  $\forall p:\text{Person}. ((\neg p \text{ is richer than Agatha}) \Rightarrow \text{The Butler hates } p)$ 
4.  $\forall p:\text{Person}. (\text{Agatha hates } p \Rightarrow (\neg \text{Charles hates } p))$ 
5.  $\forall p:\text{Person}. (\text{Agatha hates } p \Rightarrow \text{The Butler hates } p)$ 
6.  $\forall p:\text{Person}. (((\neg p \text{ hates Agatha}) \vee (\neg p \text{ hates The Butler})) \vee (\neg p \text{ hates Charles}))$ 
7.  $\forall p,q:\text{Person}. (p \text{ kills } q \Rightarrow (\neg p \text{ is richer than } q))$ 
8.  $\forall p,q:\text{Person}. (p \text{ kills } q \Rightarrow p \text{ hates } q)$ 
 $\vdash (\neg \text{The Butler kills Agatha}) \wedge (\neg \text{Charles kills Agatha})$ 

BY Jprover

agatha-puzzle 2000_12_18-PM-03_56_52 @edd.standard @nimrod

* top
1. Agatha hates Charles
2. Agatha hates Agatha
3.  $\forall p:\text{Person}. ((\neg p \text{ is richer than Agatha}) \Rightarrow \text{The Butler hates } p)$ 
4.  $\forall p:\text{Person}. (\text{Agatha hates } p \Rightarrow (\neg \text{Charles hates } p))$ 
5.  $\forall p:\text{Person}. (\text{Agatha hates } p \Rightarrow \text{The Butler hates } p)$ 
6.  $\forall p:\text{Person}. (((\neg p \text{ hates Agatha}) \vee (\neg p \text{ hates The Butler})) \vee (\neg p \text{ hates Charles}))$ 
7.  $\forall p,q:\text{Person}. (p \text{ kills } q \Rightarrow (\neg p \text{ is richer than } q))$ 
8.  $\forall p,q:\text{Person}. (p \text{ kills } q \Rightarrow p \text{ hates } q)$ 
 $\vdash (\neg \text{The Butler kills Agatha}) \wedge (\neg \text{Charles kills Agatha})$ 

BY allL (3) The Butler

* 1
9.  $(\neg \text{The Butler is richer than Agatha}) \Rightarrow \text{The Butler hates The Butler}$ 
 $\vdash (\neg \text{The Butler kills Agatha}) \wedge (\neg \text{Charles kills Agatha})$ 

BY allL (4) Agatha

* 1 1
10.  $\text{Agatha hates Agatha} \Rightarrow (\neg \text{Charles hates Agatha})$ 
 $\vdash (\neg \text{The Butler kills Agatha}) \wedge (\neg \text{Charles kills Agatha})$ 

```

JProver can run in trusted mode or with all proof details expanded

Part IV:

Building

Formal Theories

AN ELEGANT ACCOUNT OF RECORD TYPES

- Express records as (dependent) **functions from labels to types**

$$\begin{aligned}
 - \{x_1:T_1; \dots; x_n:T_n\} &\equiv \lambda l:\text{Labels} \rightarrow \text{if } l=x_i \text{ then } T_i \text{ else Top} \\
 - \{x_1=t_1; \dots; x_n=t_n\} &\equiv \lambda l. \text{if } z=x_i \text{ then } t_i \text{ else } () \\
 - r.l &\equiv (r \ l)
 \end{aligned}$$

- **Dependent Records** $\{x_1:T_1; x_2:T_2[x_1]; \dots; x_n:T_n[x_1; \dots x_{n-1}]\}$

- Type T_i may depend on value of components $x_1; \dots x_{i-1}$
- Used for describing algebra, abstract data types, inheritance, ...

- Use (dependent) **intersection** to formalize both

$$\begin{aligned}
 \{x:T\} &\equiv \lambda z:\text{Labels} \rightarrow \text{if } z=x \text{ then } T \text{ else Top} \\
 \{R_1; R_2\} &\equiv R_1 \cap R_2 \\
 \{x:S; y:T[x]\} &\equiv \lambda r. \{x:S\} \cap \{y:T[r.x]\} \\
 r.l &\equiv (r \ l) \\
 r.l < - t &\equiv \lambda z. \text{if } z=l \text{ then } t \text{ else } r.z \\
 \{\} &\equiv \lambda l. () \\
 \{r; l=t\} &\equiv r.l < - t
 \end{aligned}$$

\leadsto **Subtyping** $\{x_1:T\} \sqsubseteq \{x_1:T_1; x_2:T_2[x_1]\}$ is easy to prove

Syntax of iterations can be adjusted using display forms

FORMAL ALGEBRA: SEMIGROUPS

Tuple (M, \circ) where M is a type and $\circ: M \times M \rightarrow M$ associative

- Formalization as **dependent product** (Σ type)

$$\text{SemiGroup} \equiv M:\mathbf{U} \times \circ:M \times M \rightarrow M \times \forall x,y,z:M. x \circ (y \circ z) = (x \circ y) \circ z \in M$$

\leadsto **semigroups represented as triples $(M, \circ, \text{assoc_pf})$**

- Formalization via **set types**

$$\text{SemiGroupSig} \equiv M:\mathbf{U} \times \circ:M \times M \rightarrow M$$

$$\text{SemiGroup} \equiv \{\text{sg}:\text{SemiGroupSig} \mid \forall x,y,z:M_{\text{sg}}. x \circ_{\text{sg}} (y \circ_{\text{sg}} z) = (x \circ_{\text{sg}} y) \circ_{\text{sg}} z \in M_{\text{sg}}\}$$

\leadsto **tedious to access components or use associativity in proofs**

- Formalization via **dependent records**

$$\text{SemiGroupSig} \equiv \{M:\mathbf{U}; \circ:M \times M \rightarrow M\}$$

$$\text{SemiGroup} \equiv \{\text{SemiGroupSig}; \text{assoc}: \downarrow (\forall x,y,z:M. x \circ (y \circ z) = (x \circ y) \circ z \in M)\}$$

\leadsto **Accessing components and properties straightforward**

\leadsto **Type squashing suppresses explicit proof component**

\leadsto **Subtyping relation $\text{SemiGroup} \sqsubseteq \text{SemiGroupSig}$ easy to prove**

FORMAL ALGEBRA: MONOIDS AND GROUPS

- **Monoid**: semigroup with identity

MonoidSig $\equiv \{ \text{SemiGroupSig}; e:M \}$

Monoid $\equiv \{ \text{SemiGroup}; \text{MonoidSig}; \text{id}: \downarrow (\forall x:M. e \circ x = x \in M) \}$

\leadsto natural use of **multiple inheritance**

- **Group**: monoid with inverse

GroupSig $\equiv \{ \text{MonoidSig}; ^{-1}:M \rightarrow M \}$

Group $\equiv \{ \text{Monoid}; \text{GroupSig}; \text{inv}: \downarrow (\forall x:M. x \circ x^{-1} = e \in M) \}$

\leadsto refinement hierarchy follows directly from definitions

SemiGroup \sqsubseteq **SemiGroupSig**

\sqsubseteq \sqsubseteq

Monoid \sqsubseteq **MonoidSig**

\sqsubseteq \sqsubseteq

Group \sqsubseteq **GroupSig**

FORMALIZATION: ABSTRACT DATA TYPES

● Abstract Data Type for stacks over a type T

| | |
|-----------|--|
| TYPES | Stack |
| OPERATORS | empty: Stack push: Stack $\times T \rightarrow$ Stack pop: $\{s:\text{Stack} \mid s \neq \text{empty}\} \rightarrow \text{Stack} \times T$ |
| AXIOMS | pushpop: $\forall s:\text{Stack}. \forall t:T. \text{pop}(\text{push}(s,a)) = (s,a)$ |

● Formalization

- Dependent products unsuited for same reason as above
- Dependent records lead to “natural formalization”

$$\text{STACKSIG}(T) \equiv \{ \text{Stack}:\mathbf{U} \\ ; \text{empty}: \text{Stack} \\ ; \text{push}: \text{Stack} \times T \rightarrow \text{Stack} \\ ; \text{pop}: \{s:\text{Stack} \mid s \neq \text{empty}\} \rightarrow \text{Stack} \times T \}$$

$$\text{STACK}(T) \equiv \{ \text{STACKSIG}(T); \text{pf}: \downarrow (\forall s:\text{Stack}. \forall t:T. \text{pop}(\text{push}(s,a)) = (s,a) \in \mathbf{M}) \}$$

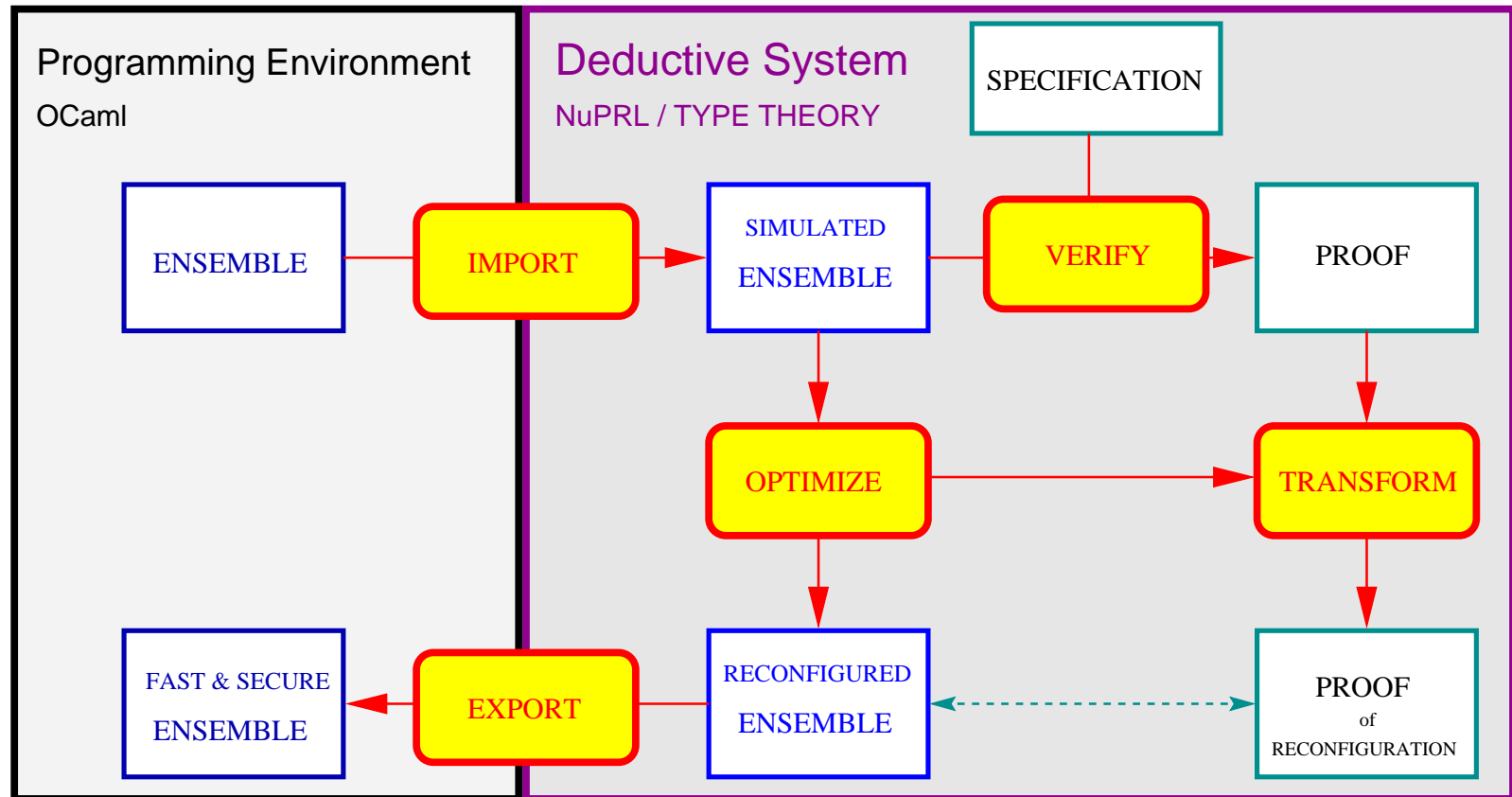
● Formalizing the implementation of stacks through lists

$$\text{list-as-stack}(T) \equiv \{ \text{Stack} = T \text{ list} \\ ; \text{empty} = [] \\ ; \text{push} = \lambda s,t. t::s \\ ; \text{pop} = \lambda s. \langle \text{hd}(s), \text{tl}(s) \rangle \}$$

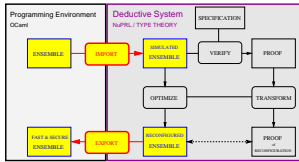
$\leadsto \text{list-as-stack}(T) \in \text{STACK}(T)$ easy to prove

HOW TO APPROACH LARGE APPLICATION EXAMPLES?

VERIFY AND OPTIMIZE DISTRIBUTED SYSTEMS (ENSEMBLE)

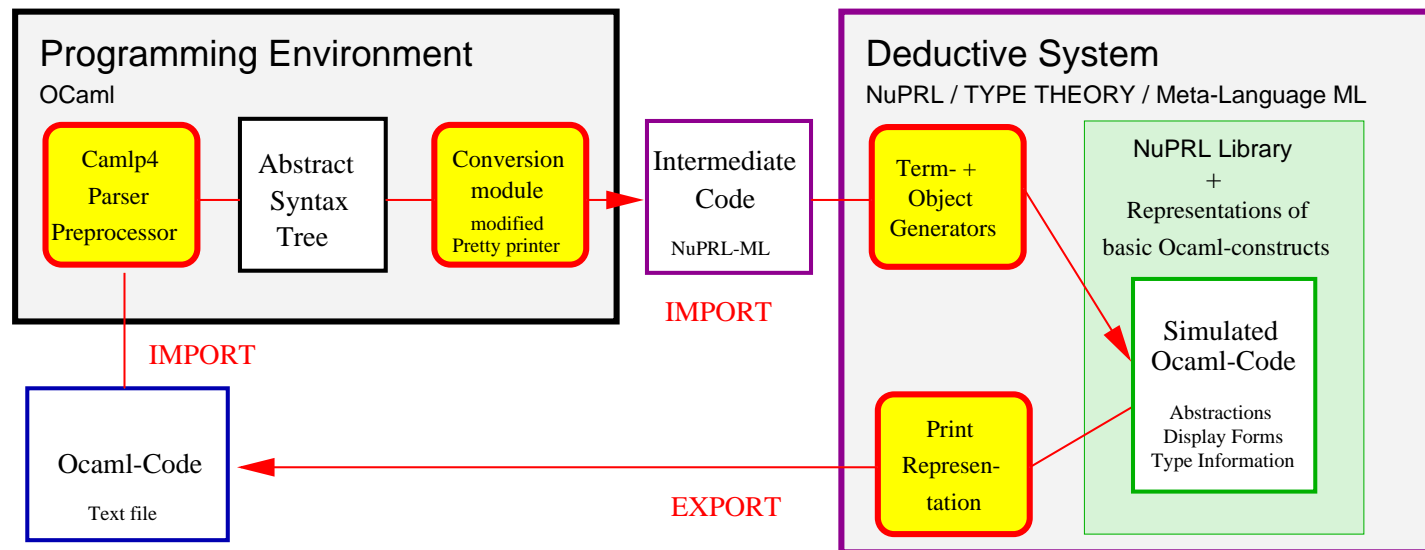


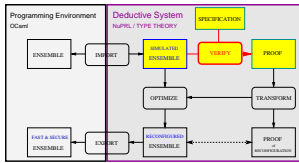
- Formalize semantics of implementation language
- Build tactics for verification of protocols and system configurations
- Build tactics that optimize performance of configured systems



EMBEDDING SYSTEM CODE INTO NUPRL ENABLE FORMAL REASONING ON OCAML LEVEL

- **Type-theoretical semantics** of OCAML fragment
- NUPRL **implementation** captures syntax & semantics
- Develop **programming logic** for OCaml
- Build **import** and **export** mechanisms





VERIFYING SYSTEM PROPERTIES

LINK FOUR LEVELS OF ABSTRACTION

Formalize system specification and code

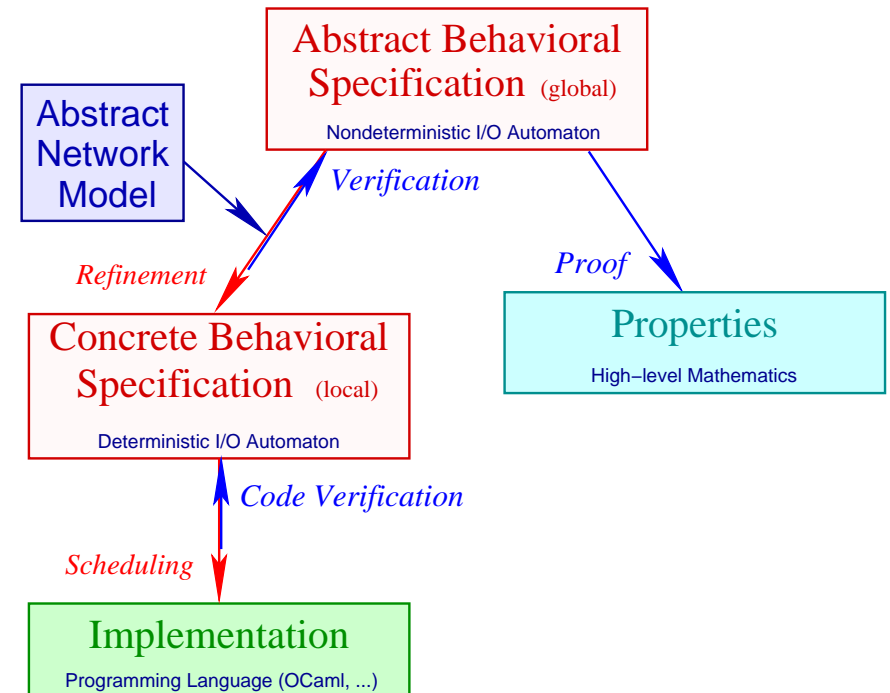
e.g. *“Messages are received in the same order in which they were sent”*

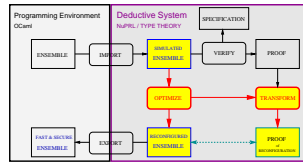
- *“Messages may be appended to global event queue and removed from its beginning”*
- *“Messages whose sequence number is too big will be buffered”*
- **ENSEMBLE module Pt2pt.ml: 250 lines of OCAML code**

All levels represented in type theory

Verification methodology

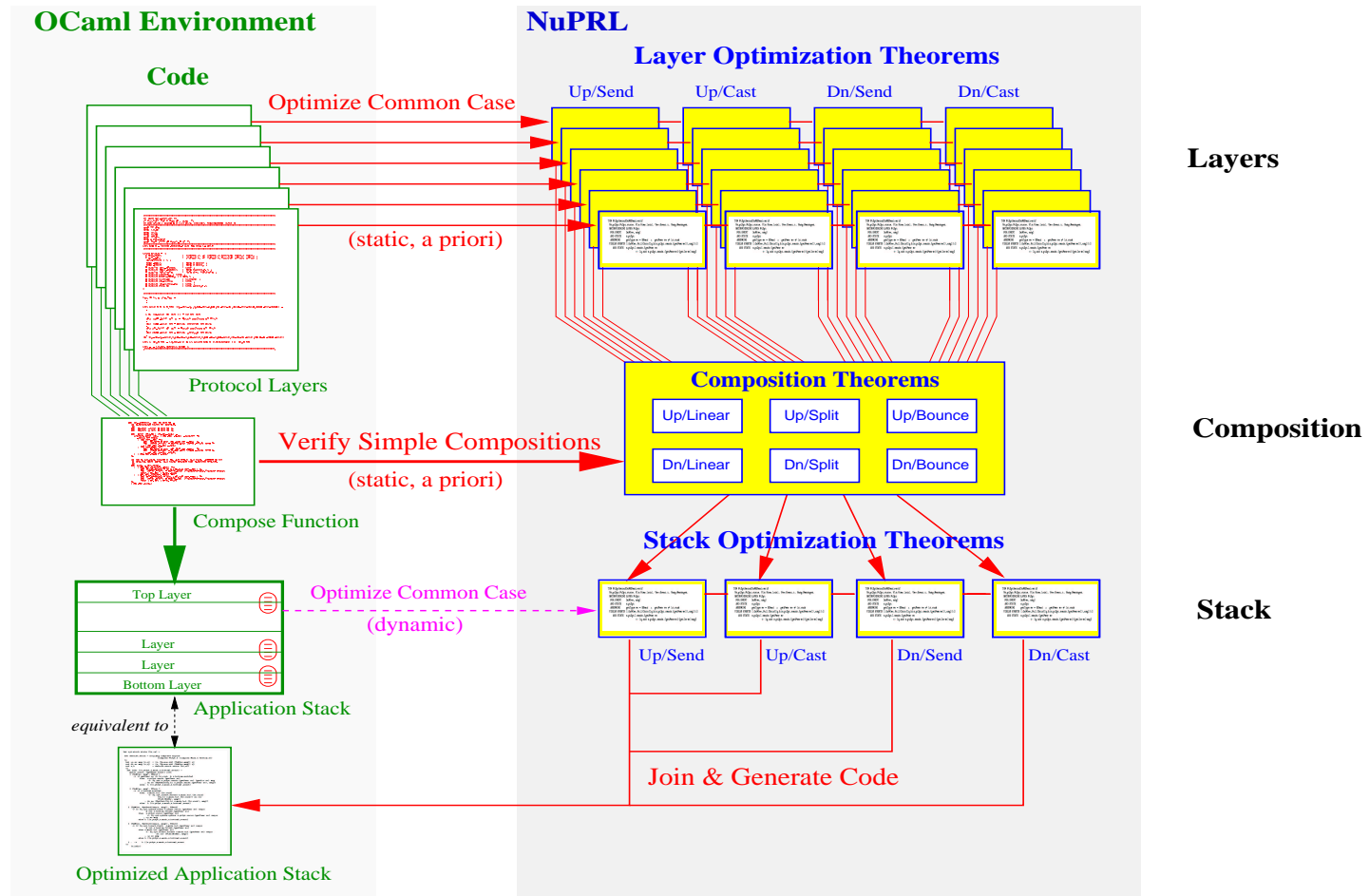
- Verify **component specifications**
(benign assumptions — **subtle bug detected**)
- Verify **systems** by composition
(IOA-composition preserves safety properties)
- Weave **aspects**
- Verify **code**





OPTIMIZATION OF PROTOCOL STACKS

PROVE AND COMPOSE OPTIMIZATION THEOREMS



1. Use known optimizations of **micro-protocols**
2. Compose into optimizations of **protocol stacks**
3. Integrate **message header compression**
4. **Generate code** from optimization theorems and reconfigure system

A priori: **ENSEMBLE + NUPRL experts**

automatic: **application designer**

automatic: **:**

automatic: **:**

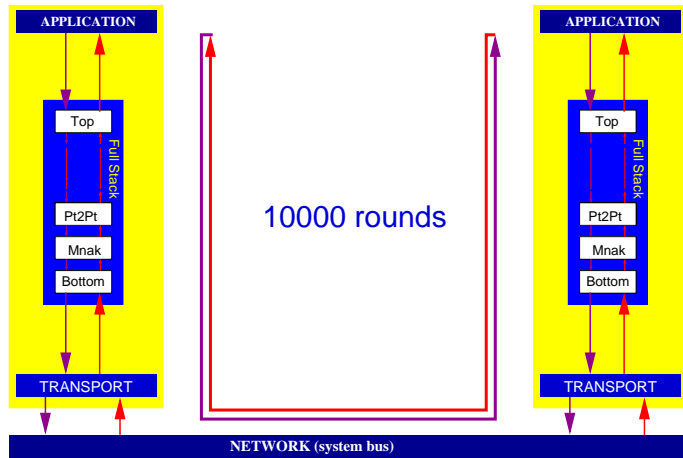
Fast, error-free, independent of programming language

speedup factor 3-10

DEMO: OPTIMIZING A 24-LAYER PROTOCOL STACK

Top::Heal::Switch::Migrate::Leave::Inter::Intra::Elect::Merge::Slander::Sync::Suspect::Stable::Vsync::
Partial_Appl::Total::Collect::Local::Frag::Pt2ptw::Mflow::Pt2pt::Mnak::Bottom

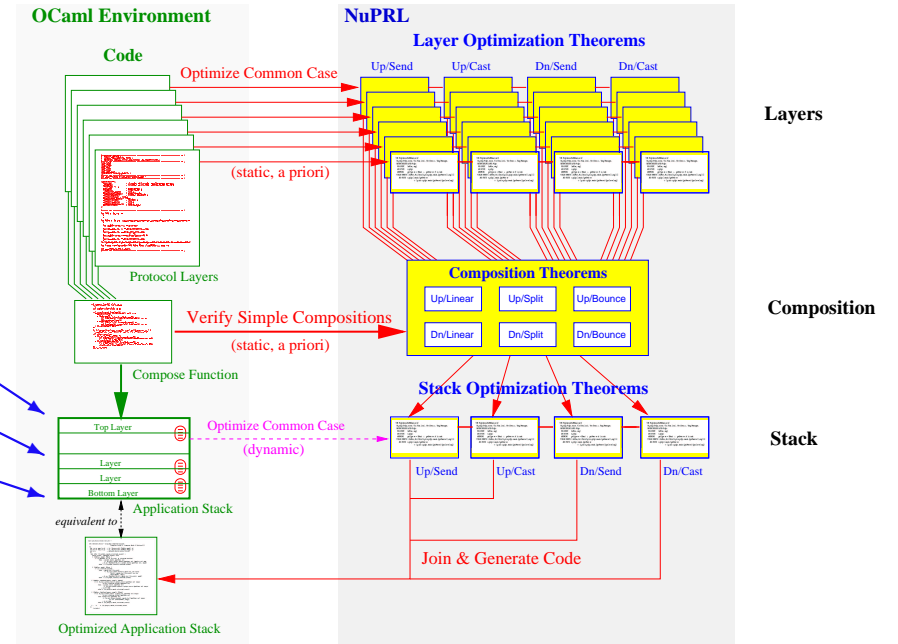
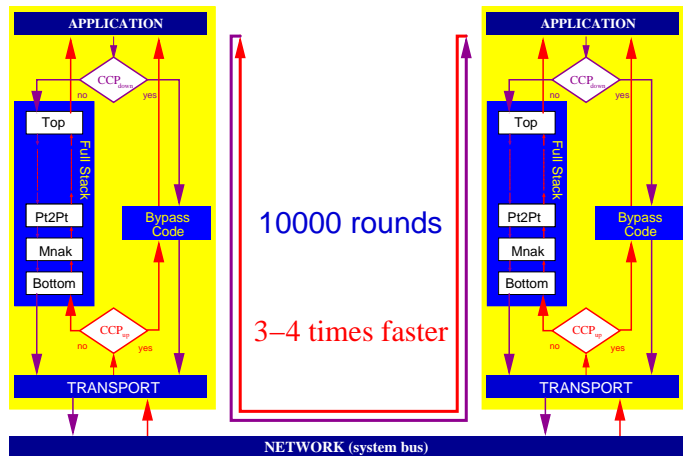
Performance Test



Original ENSEMBLE System

After Optimizations

Performance Test



make

Part V:

Future Directions

CHALLENGES FOR AUTOMATED THEOREM PROVING

- **A more expressive theory**
 - [Reflection](#): reasoning about syntax and semantics simultaneously
 - Reasoning about objects, inheritance, liveness, distributed processes, ...
- **A more widely applicable system**
 - [Digital Libraries of Formal Knowledge](#)
 - Cooperation between different proof systems
- **Learn more from large scale applications**
 - Synthesize, verify, and optimize high-assurance software systems
 - Target “unclean” but popular programming languages
 - Aim at pushbutton technology

DIRECTIONS IN THEORY: REFLECTION

- **Embed meta-level of type theory into type theory**

- Reason about relation between **syntactical form** and **semantical value**
 - evaluation, resources, complexity
 - semantical effects of syntactical transformations (reordering, renaming,...)
 - proofs, tactic applications, dependencies (e.g. proofs \leftrightarrow library contents)
 - relations between different formal theories

...from within the logic

- **Extremely powerful, but little utilization**

- **Approach: mirror type theory as recursive type**

- Logically satisfactory, not efficient enough for practical purposes (LICS 1990)

- **New: primitive type of intensional representations**

- Type **Term**, closed under quotation (Cornell 2001)
- Theoretically challenging, but much more efficient

REFLECTION – BASIC METHODOLOGY

- Represent object and meta level in type theory

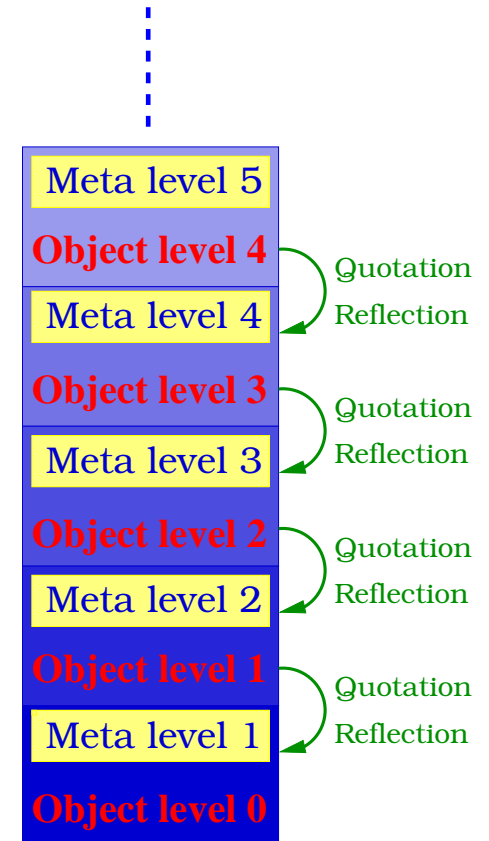
- Represent meta-logical concepts as NUPRL terms
- Express specific object logic in represented meta logic
- Build hierarchy: level i contains meta level for level $i+1$
 \mapsto Reasoning about both levels from the “outside”

- Link object logic and meta-logic

- Embed object level terms using **quotation** (operator)
- Embed object level provability using **reflection rule**

$$\begin{array}{l} \Gamma \vdash_{i+1} A \quad \text{by reflection } i \\ \vdash_i \exists p:\text{Proof}_i. \text{goal}(p) = [\Gamma \vdash_{i+1} A] \end{array}$$

- Use same reasoning apparatus
for object and meta level

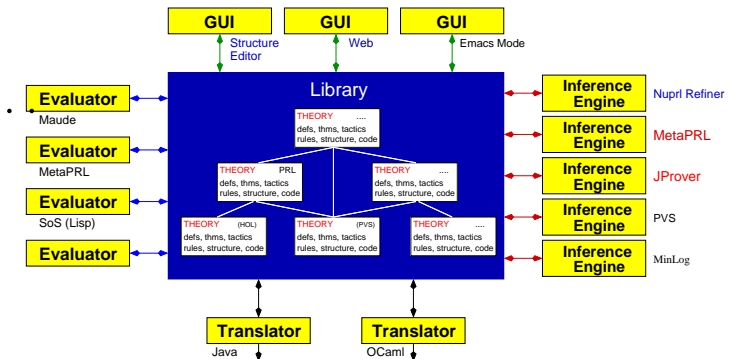


DIGITAL LIBRARIES OF FORMAL ALGORITHMIC KNOWLEDGE

- Library as platform for cooperating reasoning tools

- Connect

- Additional proof engines: PVS, HOL, MinLog, ...
- Multiple browsers (ASCII, web, ...)
- and editors (structured, Emacs-mode, ...)
- MathWeb (through OmDoc interface)



- Provide new features

- Archival capacities (documentation & certification, version control)
- Embedding external library contents (needs data conversion, proof replay, ...)
- A variety of justifications (levels of trust)
- Creation of formal and textual documents
- Asynchronous and distributed mode of operation
- Meta-reasoning (e.g. about relations between theories) and reflection

Improve cooperation between research groups



Authoritative reference for reliable software construction

AREAS FOR STUDY & RESEARCH

- **Formal Logics & Type Theory**

- Classes & inheritance, recursive & partial objects, concurrency, real-time
- Meta-reasoning, reflection, relating different logics, ...

- **Theorem Proving Environments**

- Logical accounting, theory modules, interfaces, proof presentation, ...

- **Automated Proof Search Procedures**

- Matrix methods, inductive theorem proving, rewriting, proof planning
- Decision procedures, extended type inference, cooperating provers
- Proof reuse, analogy, distributed proof procedures, ...

- **Applications**

- Formal CS knowledge: graph theory, automata, trees, arrays, ...
- Strategies for program synthesis, verification, and optimization
- Modeling programming languages (OCAML, JAVA, ..)