

## CS 682 (Spring 2001) - Solutions to Assignment 6

- (1) DEF: Let  $A \subseteq \Sigma^*$  and  $B \subseteq \Gamma^*$ . We say that  $A$  is *recursive in*  $B$  iff there exists a total TM  $M$  such that

$$A = L(M^B).$$

Prove that  $\{M_i | M_i(-) \uparrow\}$  is recursive in

$$\text{MING} = \{G_i | (\forall j)(j < i \rightarrow G_i \not\equiv G_j)\}.$$

For extra credit show that MING is or is not an  $\leq_m$ -r.e. complete set.

**Proof.** First, notice that minimization of context-free grammars is recursive in MING. To minimize a given CFG  $G_i$ :

1. Check whether  $G_i \in \text{MING}$ . If this is the case, we are done.
2. If not, make a list of all minimal  $G_j$  with  $j < i$ . This is easily done by querying MING with each  $G_j$  with  $j < i$ .
3. Simultaneously, search for disagreements between  $L(G_i)$  and  $L(G_j)$  for every  $G_j$  on the list, until the search terminates for all but one  $G_j$ . This will happen, since exactly one grammar on the list is equivalent to  $G_i$ , and that is the minimized grammar of  $G_i$ .
4. Return  $G_j$ .

From this it follows that equivalence of context-free grammars is also recursive in MING. Given CFGs  $G_i, G_j$ , minimize both to  $G'_i, G'_j$ . Then  $G_i \equiv G_j$  iff  $G'_i = G'_j$ .

Now we're ready to decide  $\Delta = \{M_i | M_i(\epsilon) \uparrow\}$  (with oracle MING). Let  $f, g$  be recursive such that  $M_{f(i)}(x)$  simulates  $M_i(x)$  if  $x = \epsilon$ , accepting if  $M_i$  halts, and rejects  $x$  otherwise, and such that  $L(G_{g(i)}) = \overline{\text{VALCOM}(M_i)}$ . Let  $G_T$  be a grammar such that  $L(G_T) = \Sigma^*$ . Then

$$\begin{array}{ccccccc} M_i \in \Delta & \iff & M_i(\epsilon) \uparrow & \iff & \epsilon \notin L(M_{f(i)}) & \iff & L(M_{f(i)}) = \emptyset \\ & & \text{VALCOM}(M_{f(i)}) = \emptyset & \iff & L(G_{g(f(i))}) = \Sigma^* & \iff & G_{g(f(i))} \equiv G_T \end{array}$$

and the latter is recursive in MING. ■

- (2) DEF: A set  $C \subseteq \Sigma^*$  is *sparse* iff there is a  $k$  such that for all  $n$

$$|\{x | |x| \leq n \text{ and } x \in C\}| \leq n^k + k.$$

Prove that there are no sparse complete sets, under  $\leq_m^p$ -reductions, for

$$\text{EXSPACE} = \bigcup_{k \geq 1} \text{SPACE}[2^{n^k}].$$

**Proof.** Let  $S$  be a sparse set,  $k$  such that  $|\{x | |x| \leq n \text{ and } x \in S\}| \leq n^k + k$ . We show that  $S$  is not EXSPACE-complete by constructing an EXSPACE machine  $M$  such that  $L(M)$  is not poly-time reducible to  $S$ .

Let  $D_l$  be the  $l$ -th poly-time machine,  $p_l$  be the polynomial bound on the runtime of  $D_l$ . It is safe to assume that both can be computed in  $\text{SPACE}[2^l]$ . Let  $M$  do the following on input  $x$  of length  $n$ :

1. Set a bounded working tape of length  $2^n$ .
2. If  $x$  is not of the form  $l\#y$ , reject  $x$ .
3. Compute  $w = D_l(x)$ .
4. For each string  $l\#z <_{\text{lex}} x$  of length  $n$ , compute  $D_l(l\#z)$ . If one of the values is  $w$  reject  $x$ , otherwise accept.
5. If at any time during steps 2-4 the tape runs out, reject  $x$ .

Notice that for  $x = l\#y$  of length  $n$ , completion of steps 2,3,4 would need at most  $C \cdot p_l(n)$  space, since the result of  $D_l$  on strings of length  $n$  is not longer than  $p_l(n)$ . The algorithm needs  $p_l(n)$  space to remember  $w$ ,  $p_l(n)$  more to simulate  $D_l$  on the other strings, one at a time, and little more for bookkeeping.  $C = 17$  should be more than enough.

First,  $L(M)$  is in  $\text{SPACE}[2^n]$  by construction (steps 1 and 5), therefore in  $\text{EXSPACE}$ . Now, for a given  $D_l$  let  $n$  be such that

$$2^n > C \cdot p_l(n) \quad \text{and} \quad |\Sigma|^{n-l-1} > (p_l(n))^k + k.$$

All large enough  $n$  would clearly do. Note that on any  $x$  of length  $n$ ,  $M$  would complete its computation, without running out of tape, because of the left inequality.

There are two cases:

- Case 1.  $D_l$  is 1-1 on the set  $A = \{l\#z \mid |z| = n - l - 1\}$ . In that case,  $M$  will accept all strings in  $A$ , since step 4 would never yield a matching value. However,  $D_l$  maps  $A$  to a set of  $|\Sigma|^{n-l-1}$  strings of length at most  $p_l(n)$ . Since  $S$  has, at most, only  $(p_l(n))^k + k$  many strings of that length (less by the right inequality above), for some string  $u \in A \subseteq L(M)$  it must be the case that  $D_l(u) \notin S$ . Therefore  $D_l$  cannot reduce  $L(M)$  to  $S$ .
- Case 2.  $D_l$  is not 1-1 on  $A$ . Then there is a string  $w$  and a subset  $B \subseteq A$  of cardinality  $> 1$  such that  $D_l(u) = w$  iff  $u \in B$ . Let  $u_0$  be the  $<_{\text{lex}}$ -least element of  $B$ ,  $u_1$  be another element of  $B$ , different from  $u_0$ .  $M$  accepts  $u_0$  because  $D_l(u) \neq w$  for every  $u <_{\text{lex}} u_0$  in  $A$ .  $M$  rejects  $u_1$  because  $D_l(u_0) = w$  and  $u_0 <_{\text{lex}} u_1$ . Since  $u_0 \in L(M)$  and  $u_1 \notin L(M)$  are mapped by  $D_l$  to the same string  $w$ ,  $D_l$  cannot reduce  $L(M)$  to anything, and to  $S$  in particular.

Since by the above no  $D_l$  reduces  $L(M) \in \text{EXSPACE}$  to  $S$ ,  $S$  is not  $\text{EXSPACE}$ -complete.

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