## CS 682 (Spring 2001) - Solutions to Assignment 4

For  $A, B \subseteq \Sigma^*$ , let

$$A/B = \{x | (\exists y)(xy \in A \text{ and } y \in B)\}.$$

(1) Prove that for any  $M_i$  there exist two context-free grammars,  $G_j$  and  $G_k$ , such that

$$L(G_j)/L(G_k) = L(M_i).$$

**Proof.** Fix  $M_i$ . We know that  $L_1 = \{y \# z^R | y \to_{M_i} z\}$  and  $L_2 = \{y^R \# z | y \to_{M_i} z\}$  are context free languages (see proof of lemma 8.6, Hopcroft and Ullman). Clearly  $L_0 = \{x \# \# x^R q_0 | x \in \Sigma^*\}$  is also a CFL ( $\Sigma$  here denotes the alphabet used by  $M_i$ , and does not contain the symbols for the states of  $M_i$ , nor the symbol #).

Let  $L_3 = L_0 \# (L_1 \#)^* (\{\epsilon\} \cup \Sigma^* F \Sigma^* \#)$  and  $L_4 = \# \# (L_2 \#)^* (\{\epsilon\} \cup \Sigma^* F \Sigma^* \#)$ . Then both are context free languages, since they are built from CFLs by union, concatenation and Kleene closure. Suppose  $xy \in L_3$  and  $y \in L_4$ . Then the # # prefix of y must match the # # in the  $L_0$  part of xy. Further matchings of # show that xy has to be of the form

$$x\#\#y_0^R\#y_1\#y_2^R\#\ldots\#y_n^{(R)}\#$$

where  $y_0 = q_0 x$  and  $y_j \to_{M_i} y_{j+1}$  for all j, and  $y_n$  is an accepting configuration of  $M_i$ . Therefore  $x \in L(M_i)$ .

Conversely, for any  $x \in L(M_i)$  let  $y = \#\#y_0^R\# \dots \#y_n^{(R)}\#$ , and then  $xy \in L_3$  and  $y \in L_4$ .

Therefore  $L(M_i) = L_3/L_4 = L(G_j)/L(G_k)$ , where  $G_j, G_k$  are the CFGs which produce  $L_3, L_4$  respectively.

Note that the above can be formalized into a recursive construction of  $G_j$ ,  $G_k$ , uniform in  $M_i$ .

(2) Let  $I_1, I_2, \ldots$  be a recursive list of FA with a two-way head that can scan the input symbols and either erase or leave them unaltered.

Prove that

$$\{I_i|L(I_i) \text{ is finite }\}$$
 is  $\Sigma_2$ -complete.

**Proof.** Let  $\mathcal{I}_{fin} := \{I_i \mid L(I_i) \text{ is finite}\}$ . To see that  $\mathcal{I}_{fin}$  is in  $\Sigma_2$ , notice that

$$I_i \in \mathcal{I}_{fin} \iff (\exists x)(\forall y \ge x)y \notin L(I_i).$$

The matrix is decidable, since on any given input y,  $I_i$  can only go through a bounded number of states (after all, it's even weaker than an LBA).

To show  $\Sigma_2$ -hardness, we will reduce FIN= $\{M_i|L(M_i) \text{ is finite}\}$  to  $\mathcal{I}_{fin}$ . To do that, we will use a modified version of VALCOMs. The format is the same as the one described in class (or that you might have defined in your homework), except each symbol is written twice (i.e. abcb becomes aabbccbb). We claim that these modified VALCOMs can be decided by a no-ink machine.

The no-ink machine first erases one copy of each symbol of the first and last instantaneous description (ID), say the first copy for the first ID, and the second copy for the last ID. It then compares, symbol by symbol, every pair of adjacent IDs, from left to right. We always compare the "even"

copies of the left ID with the "odd" copies of the right ID, erasing them when they are equal. Finding the currently compared symbols is easy (as it is the leftmost non-blank even/odd symbol in the current ID), and we can of course find out which ID we are in by looking at the separating #.

Now let f be recursive such that  $I_{f(i)}$  accepts the (modified) VALCOMs of  $M_i$ . Then

$$M_i \in \text{FIN} \iff I_{f(i)} \in \mathcal{I}_{fin}.$$

and therefore  $\mathcal{I}_{fin}$  is  $\Sigma_2$ -complete.

- (3) (a) Prove that there exists a LBA language  $L(\mathcal{L}_i)$  that is immune to all regular sets, i.e.,  $L(\mathcal{L}_i)$  is infinite, and  $L(A_j) \subseteq L(\mathcal{L}_i)$  implies that  $L(A_j)$  is finite.
  - (b) Is there a recursive set immune to all LBA languages? Prove the correctness of your answer.

## Proof.

(a) Let  $L = \{a^n b^n | n \in \omega\}$ . We saw that an LBA can count its own steps (up to the number of different configurations it can have), so it certainly can count the number of a's and b's, and compare the two numbers. Therefore L is an LBA language.

On the other hand, L is infinite, and we know that any infinite subset of L is not regular (easily shown with the pumping lemma for regular sets), so L is immune to regular sets.

(b) We demonstrate that there is such a set R by constructing a TM M that enumerates R in increasing order (thus ensuring R is recursive). M works in stages, keeping track of a finite list S, as follows:

At first  $S = \emptyset$ . At the beginning of even stages n = 2m, M adds  $A_m$  to S. At any stage n, M checks for each  $A_i \in S$  if it accepts n. If such  $A_i$  are found, they are removed from S, and the stage ends. Let such a stage be denoted as Type A. If no  $A_i \in S$  accepts n, M prints n (in the enumeration), and the stage ends. This is a Type B stage.

First, notice that there are infinitely many stages of Type B. To show this, it suffices to show that for every even n there is some stage m > n of Type B. Let k be the size of S at the end of stage n. If all stages after n are Type A stages, then by stage n + 2k + 2 M will have added to S k+1 elements (one for each even stage), and removed at least 2k+2 elements (at least one for each stage), which is clearly impossible. This proves the claim, which immediately implies that L(M) is infinite, since at every stage n of Type B, a new number n is enumerated.

The construction also guarantees that no infinite LBL is contained in L(M): suppose  $L(A_i)$  is infinite.  $A_i$  is added to S at stage 2i. Let k be the least element of  $L(A_i)$  which is not less than 2i. Then at stage k M removes  $A_i$  from S and does not enumerate k. Since k can only be enumerated at stage k, it is not enumerated at all and we have

$$k \in L(A_i) \setminus L(M) \implies L(A_i) \not\subseteq L(M)$$

Therefore L(M) is a recursive set, immune to all LBLs.