

Today's main topic is hardness vs randomness. One of the central questions in derandomization is whether randomness actually adds computational power. The standard formulation is whether  $\mathbf{BPP} = \mathbf{P}$ . A common approach is to construct pseudorandom generators that can fool efficient algorithms, and then to use such generators to remove randomness from  $\mathbf{BPP}$  computations.

## 1 Pseudorandom Generator

**Definition 1.1.** (Pseudorandom Generator) For an  $S : \mathbb{N} \rightarrow \mathbb{N}$ ,  $G : \{0, 1\}^{r(n)} \rightarrow \{0, 1\}^n$  is an  $(S, \varepsilon)$ -PRG if for every circuit  $C$  of size at most  $S(n)$ ,

$$|\Pr[C(U_n) = 1] - \Pr[C(G(U_r)) = 1]| < \varepsilon,$$

where  $U_n$  is the uniform distribution over  $\{0, 1\}^n$ , and  $r(n)$  is the seed length of PRG  $G$ , and it grows much more slowly than  $n$ .

PRGs are important tool in derandomization. For derandomization, the dream is to have the seed length  $r(n) = O(\log n)$ , because then we can enumerate all  $2^{r(n)}$  strings in polynomial time, going over all possible randomness.

## 2 Derandomization

We now do a basic derandomization argument.

**Claim 2.1.** If there exists an  $(S, \varepsilon)$ -PRG  $G$  which satisfies the following properties, then  $\mathbf{BPP} = \mathbf{P}$ .

1. seed length  $r(n) = O(\log n)$ ;
2. allow the distinguishing circuit to have size  $S(n) = \text{poly}(n)$ ;
3.  $G$  is computable in time  $\text{poly}(n)$ ;
4.  $\varepsilon$  is a constant less than  $< \frac{1}{6}$ , say  $\frac{1}{10}$ .

*Proof.* Suppose  $L \in \mathbf{BPP}$ , then there exists a randomized TM  $M$  such that for all input  $x$  with length  $|x| = n$ ,  $\Pr_{y \sim U_\ell}[M(x, y) = L(x)] \geq \frac{2}{3}$  with  $\ell = \text{poly}(n)$ .

Fix the input to an  $x$ , and define a circuit  $C(y) = M(x, y)$ , where  $C : \{0, 1\}^\ell \rightarrow \{0, 1\}$ , and size of  $C$  is  $\leq \text{poly}(n)$ . By pseudorandomness, when we parameterize  $G$ 's output length to be  $\ell(n)$ , we have

$$|\Pr[C(U_\ell) = 1] - \Pr[C(G(U_r)) = 1]| < \varepsilon,$$

Intuitively, if  $x \in L$ , we have

$$\begin{aligned} \Pr[C(G(U_r)) = 1] &= \Pr[M(x, G(U_r)) = 1] \\ &\geq \Pr_{y \sim U_\ell}[M(x, y) = 1] - \varepsilon \\ &\geq 2/3 - \varepsilon; \end{aligned}$$

while if  $x \notin L$ , then

$$\begin{aligned} \Pr[C(G(U_r)) = 1] &= \Pr[M(x, G(U_r)) = 1] \\ &\leq \Pr_{y \sim U_r}[M(x, y) = 1] + \epsilon \\ &\leq 1/3 + \epsilon. \end{aligned}$$

With  $\epsilon$  being a constant less than  $1/6$ , there will be a gap to make **majority voting** work to distinguish this.

We deterministically compute  $M(x, y_1), \dots, M(x, y_R)$  for all possible seeds of  $G$ , i.e.  $s \in \{0, 1\}^r$ , so  $R = 2^r$ , and  $y_i$  is generated with the  $i$ -th seed  $s_i$  using  $G$ . Then output the majority of all outputs, the running time of this process is  $R \cdot (t(M) + t(G)) = \text{poly}(n)$ .  $\square$

As shown in the above example, we run  $G$  over all the seeds to make a randomized process deterministic. So  $G$  has to be extremely efficient.

### 3 Hardness assumptions

We now connect PRGs with circuit lower bounds. A typical technique is to start with some hardness assumption, construct PRG and use it to do derandomization. The intuition is that one starts from sufficiently hard functions and builds long-stretch PRGs that fool polynomial-size circuits. Therefore, if there is a function which is hard to approximate for some circuit class, then we can derandomize **BPP** to deterministic complexity class. In the following part we only take one step into this, showing how to build PRGs from hardness.

**Definition 3.1.** (*Average-case Hardness*) A Boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is  $(S, \epsilon)$ -hard if for all circuit of size less than  $S$ ,  $\Pr_{x \sim U_n}[f(x) = C(x)] \leq \frac{1}{2} + \epsilon$ .

**Claim 3.2.** Given such function  $f$  which is  $(S, \epsilon)$ -hard, define  $G(x) = (x, f(x)) \in \{0, 1\}^{n+1}$ . Then  $G$  is a  $(S - 1, \epsilon)$ -PRG.

*Proof.* Suppose there is a  $C$  of size  $S - 1$  that can distinguish between  $G$ 's output and uniform random, then there will be

$$\Pr_{x \sim U_n}[C(x, f(x)) = 1] - \Pr_{x \sim U_n, b \sim U_1}[C(x, b) = 1] \geq \epsilon.$$

Note that we can always get a  $C$  satisfying this without an absolute value mark, because if a circuit's advantage against uniform strings are larger, we can just negate its output to get such a  $C$ .) We can construct a circuit  $A$  that predicts  $f(x)$ :  $A$  pick a random bit  $c$ , if  $C(x, c) = 1$ , then

output  $c$ ; else if  $C(x, c) = 0$ , output  $1 - c$ . One can show that

$$\begin{aligned}
& \Pr_{x \sim U_n} [A(x) = f(x)] \\
&= \Pr[A(x) = f(x) \mid c = f(x)] \cdot \Pr[c = f(x)] + \Pr[A(x) = f(x) \mid c = 1 - f(x)] \cdot \Pr[c = 1 - f(x)] \\
&= \Pr[C(x, c) = 1] \cdot \Pr[c = f(x)] + \Pr[C(x, c) = 0] \cdot \Pr[c = 1 - f(x)] \\
&= \frac{1}{2} (\Pr[C(x, f(x)) = 1] + \Pr[C(x, 1 - f(x)) = 0]) \\
&= \frac{1}{2} (\Pr[C(x, f(x)) = 1] + 1 - \Pr[C(x, 1 - f(x)) = 1]) \\
&= \frac{1}{2} + \frac{1}{2} (\Pr[C(x, f(x)) = 1] - \Pr[C(x, 1 - f(x)) = 1]) \\
&= \frac{1}{2} + \frac{1}{2} (2 \Pr[C(x, f(x)) = 1] - (\Pr[C(x, f(x)) = 1] + \Pr[C(x, 1 - f(x)) = 1])) \\
&= \frac{1}{2} + \frac{1}{2} (2 \Pr[C(x, f(x)) = 1] - (\Pr[C(x, c') = 1] + \Pr[C(x, 1 - c') = 1])) \\
&= \frac{1}{2} + \frac{1}{2} (2 \Pr[C(G(x)) = 1] - 2 \Pr[C(U_{n+1}) = 1]) \\
&\geq \frac{1}{2} + \varepsilon,
\end{aligned}$$

where the second equation comes from the construction of  $A(x)$ , the third equation comes from the fact that  $c$  is a random coin, and in the seventh equation, we introduce another random coin  $c'$ , because we talk about both  $f(x)$  and  $1 - f(x)$  case in the last term, which is equivalent to flipping a random coin. so in the last equation we get  $(x, c') \in U_{n+1}$ . Therefore,  $A$  is a circuit that can approximate  $f$  better than  $\varepsilon$ , and  $A$  actually runs  $C(x, c) \oplus c$ , so its size will be  $S - 1 + 1 = S$ , which is enough to contradict the hardness of  $f$ . Therefore,  $C$  should not exist,  $G$  is a  $(S - 1, \varepsilon)$ -PRG.  $\square$

The construction  $G(x) = (x, f(x))$  stretches the input by just one bit and gives us a taste of the proof style though by itself it's not enough to derandomize **BPP**. But constructions with short enough seeds and large enough stretch will enable all the seeds to be enumerated and replace randomness with deterministic computation.

**Remark 3.3.** *Nisan and Wigderson showed that we can construct PRGs capable of efficiently simulating randomized algorithms from average-case hard functions [2]. And there is a stronger result from Impagliazzo and Wigderson that even worst-case hardness assumption can still be used to construct PRG and go towards  $\mathbf{BPP} = \mathbf{P}$  [1].*

## References

- [1] Russell Impagliazzo and Avi Wigderson. "P = BPP if E requires exponential circuits: derandomizing the XOR lemma". In: *Proceedings of the Twenty-Ninth Annual ACM Symposium on Theory of Computing*. STOC '97. El Paso, Texas, USA: Association for Computing Machinery, 1997, pp. 220–229. ISBN: 0897918886. DOI: 10.1145/258533.258590. URL: <https://doi.org/10.1145/258533.258590>.
- [2] N. Nisan and A. Wigderson. "Hardness vs. randomness". In: *[Proceedings 1988] 29th Annual Symposium on Foundations of Computer Science*. 1988, pp. 2–11. DOI: 10.1109/SFCS.1988.21916.