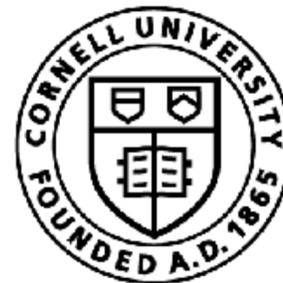


Learning for Robot Decision Making: The Big Picture

Sanjiban Choudhury



Cornell Bowers CIS
Computer Science



How should robots **learn** to make **good** decisions?



WHY ask this question?



Formulate as a Markov Decision Problem (MDP)



Solve MDPs using an all-purpose toolkit
(Imitation/Reinforcement learning, Model based/free)



Deploy learners in real-world
(Safety, distribution shift, value alignment)

Take *any* robot application

HOW can we answer this question?

Solve

*How do you want to represent your policy?
Model-based? Model-free?
Learning: Data? Loss?*

Formulate

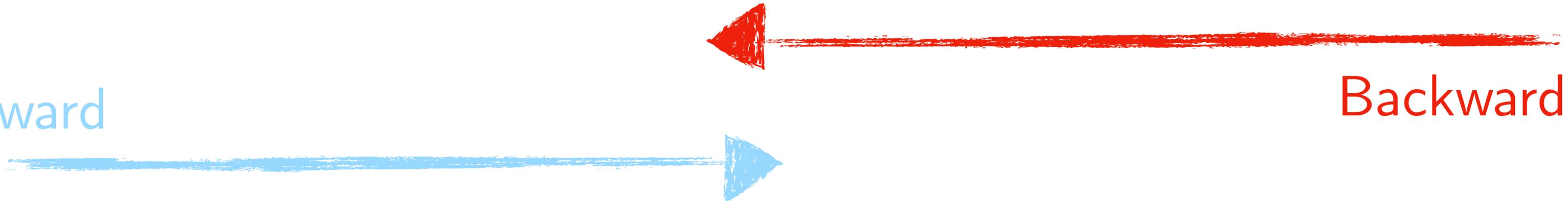
*What is the MDP?
Discrete/Stochastic/Time?
What is known/unknown?*

Application

*What is the robot?
What is the task?
What are the metrics?
What is good enough?*

Forward

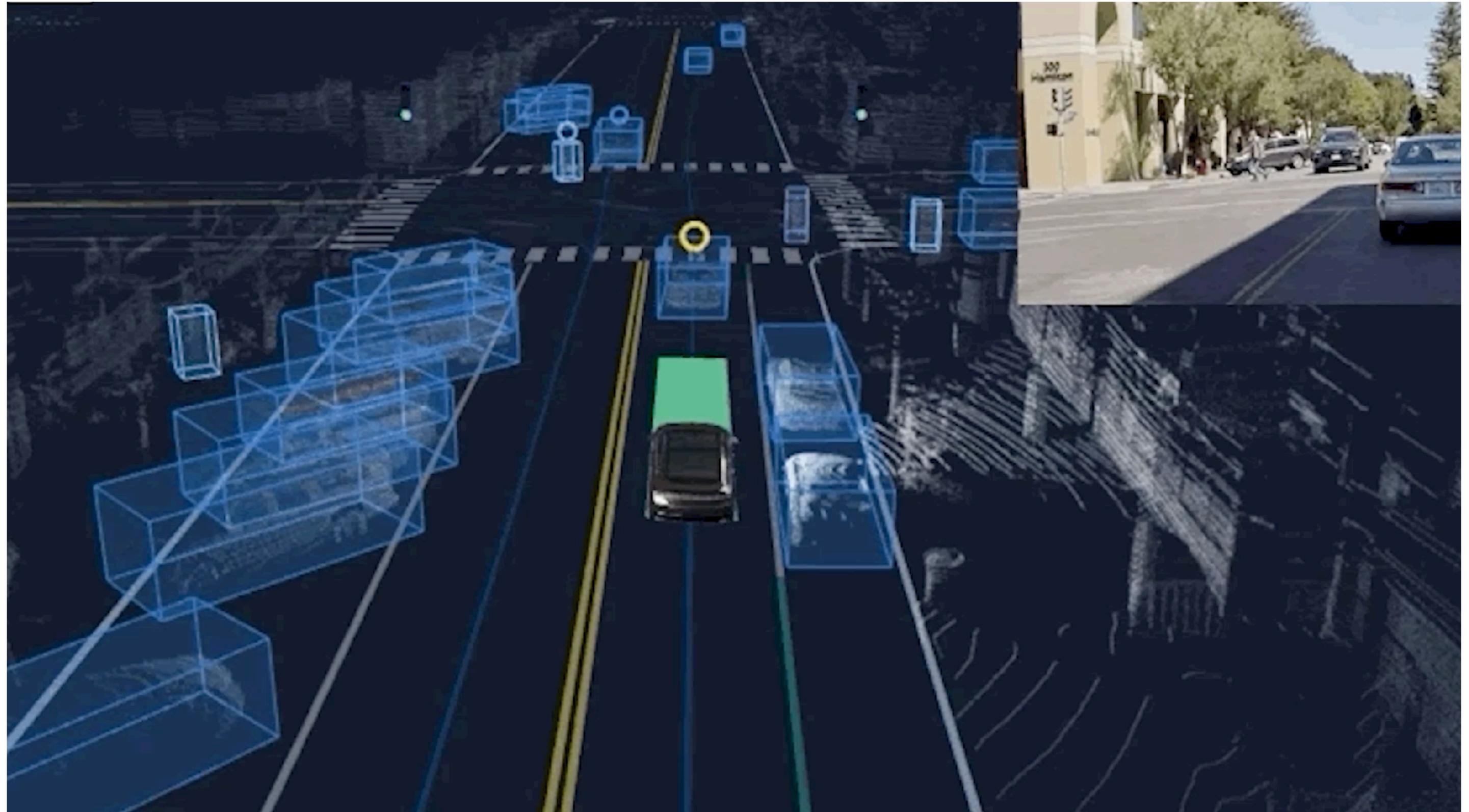
Backward



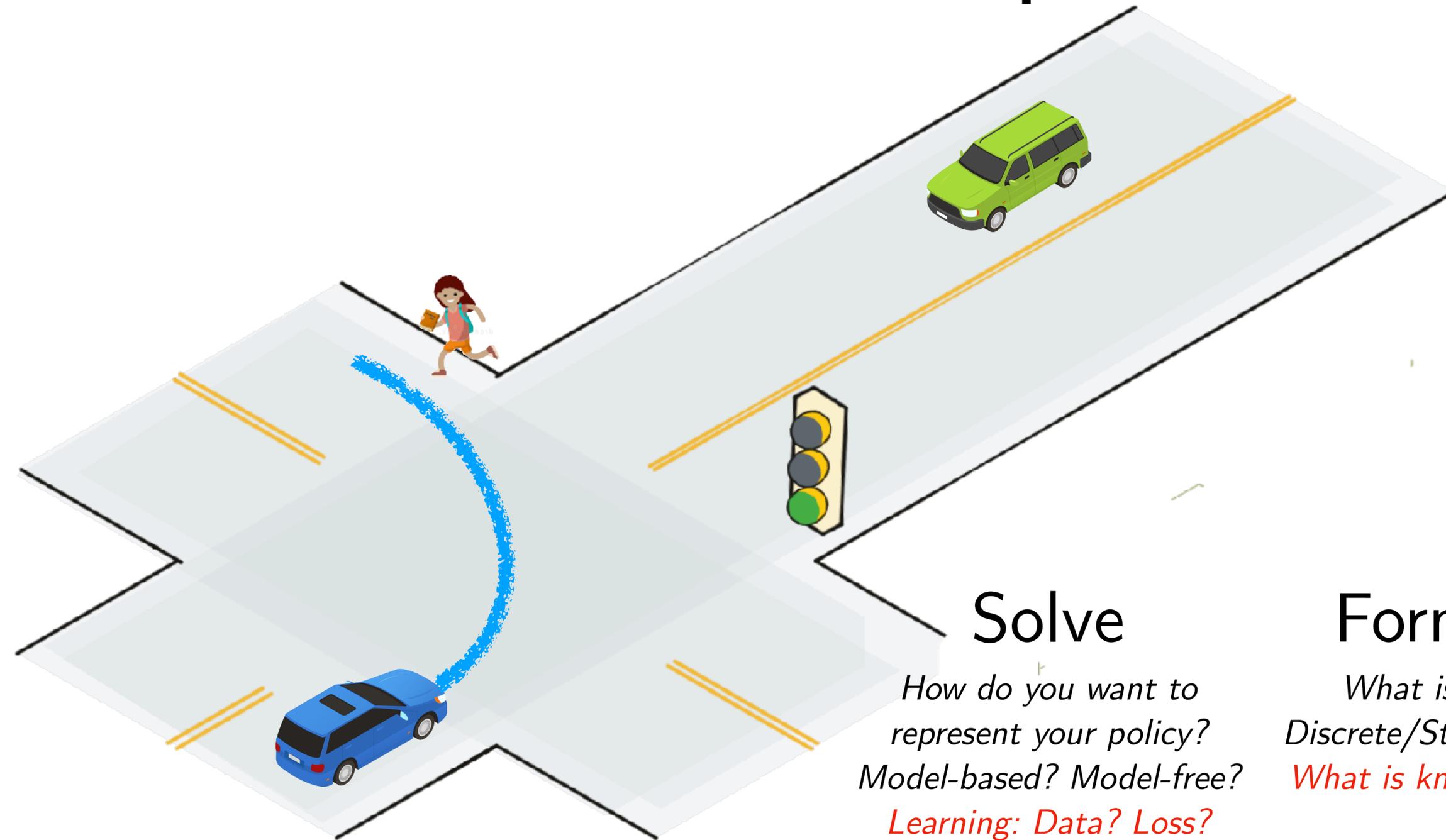
Activity!



Let's solve the Unprotected Left Turn



Let's solve the Unprotected Left Turn



Solve

*How do you want to represent your policy?
Model-based? Model-free?
Learning: Data? Loss?*

Formulate

*What is the MDP?
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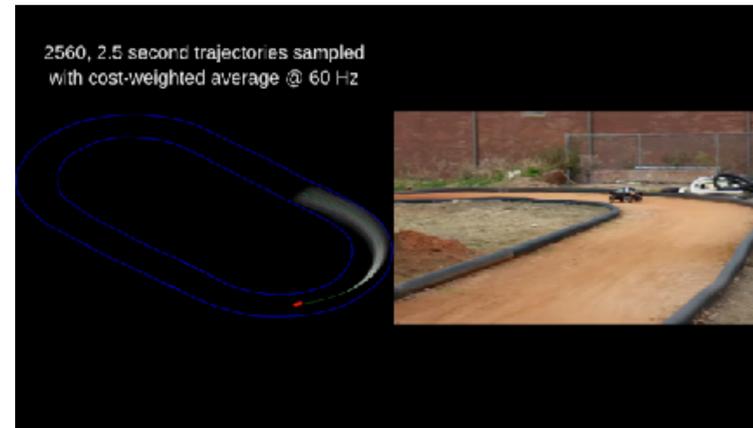
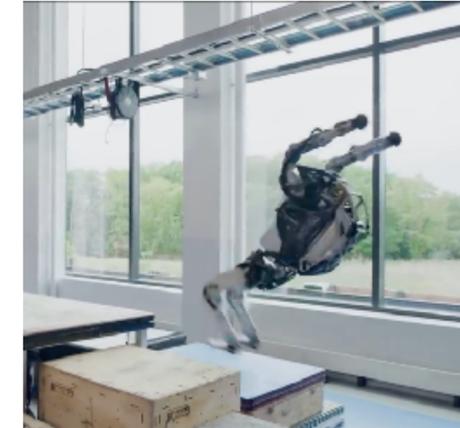
Application

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What is the task?
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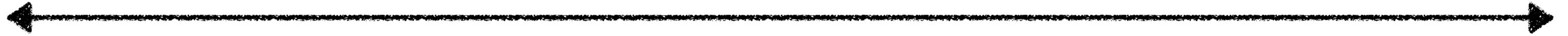




We have worked through many applications in this class ...

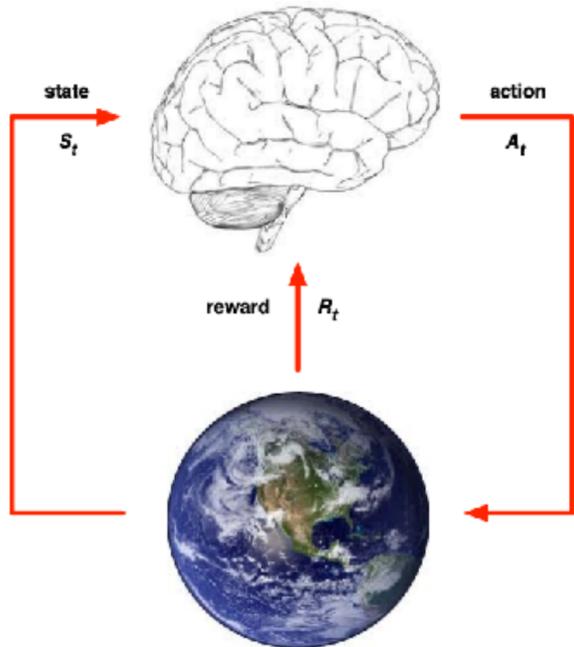


Model-Based OR Model Free?



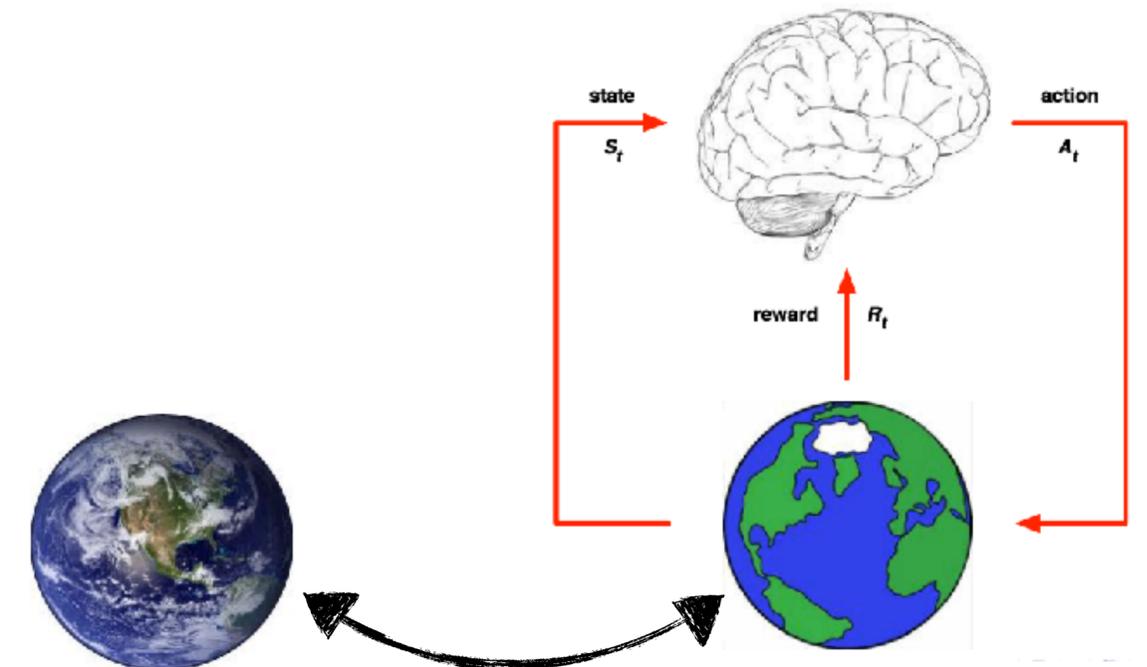
Model Free

Directly learn
 π or $Q(s, a)$

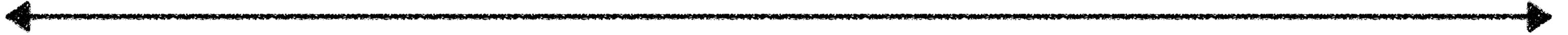


Model Based

Learn a model
 $P(s' | s, a)$, plan with
model to find π



Model-Based OR Model Free?



Model Free

There exists a good
enough reactive policy

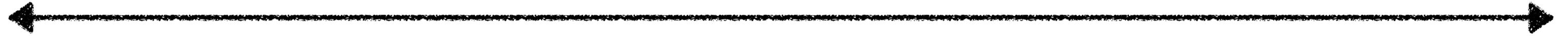
State space is too big to
search exhaustively

Model Based

You need to reason about
many likely options

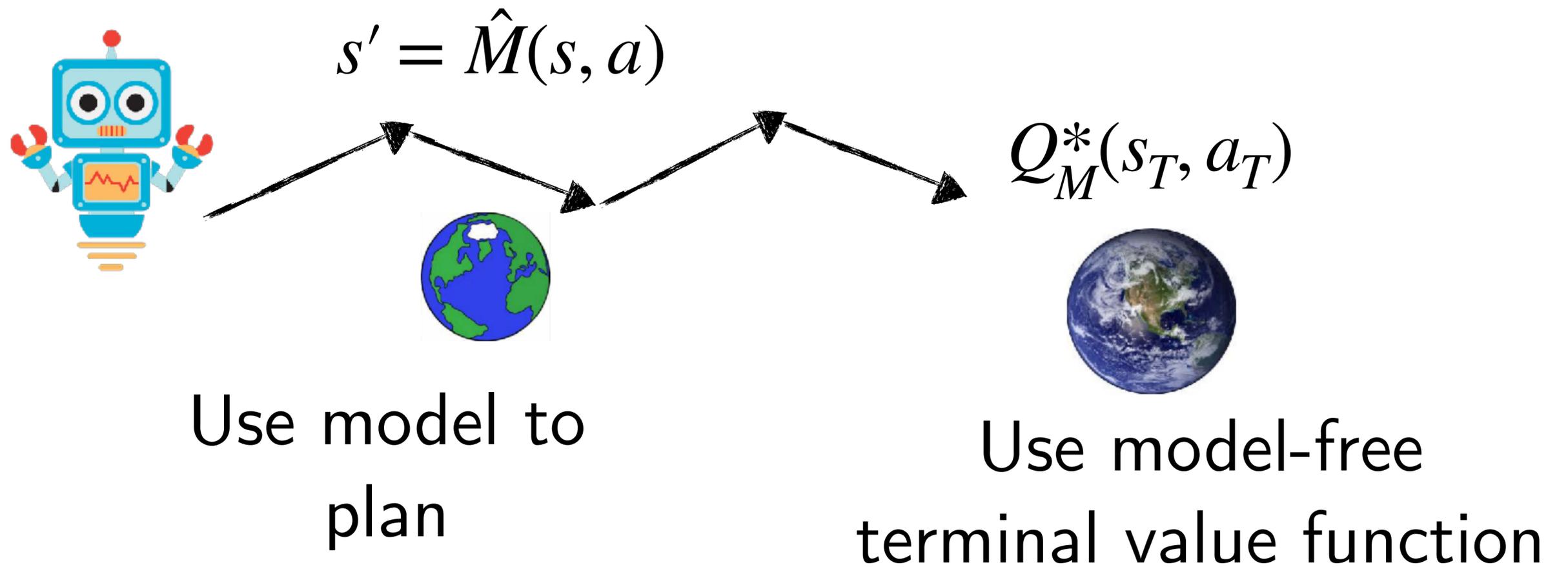
Small state space /
compressible state space

Model-Based ~~OR~~ *AND* Model Free



Model Based

Model Free



HOW can we answer this question?

Solve

*How do you want to represent your policy?
Model-based? Model-free?
Learning: Data? Loss?*

Formulate

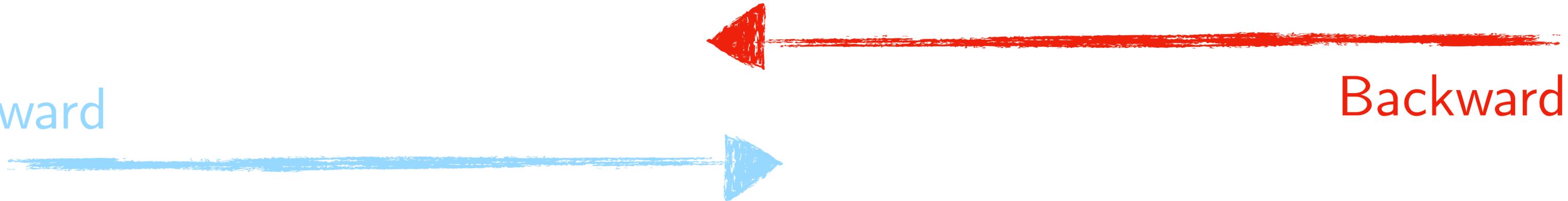
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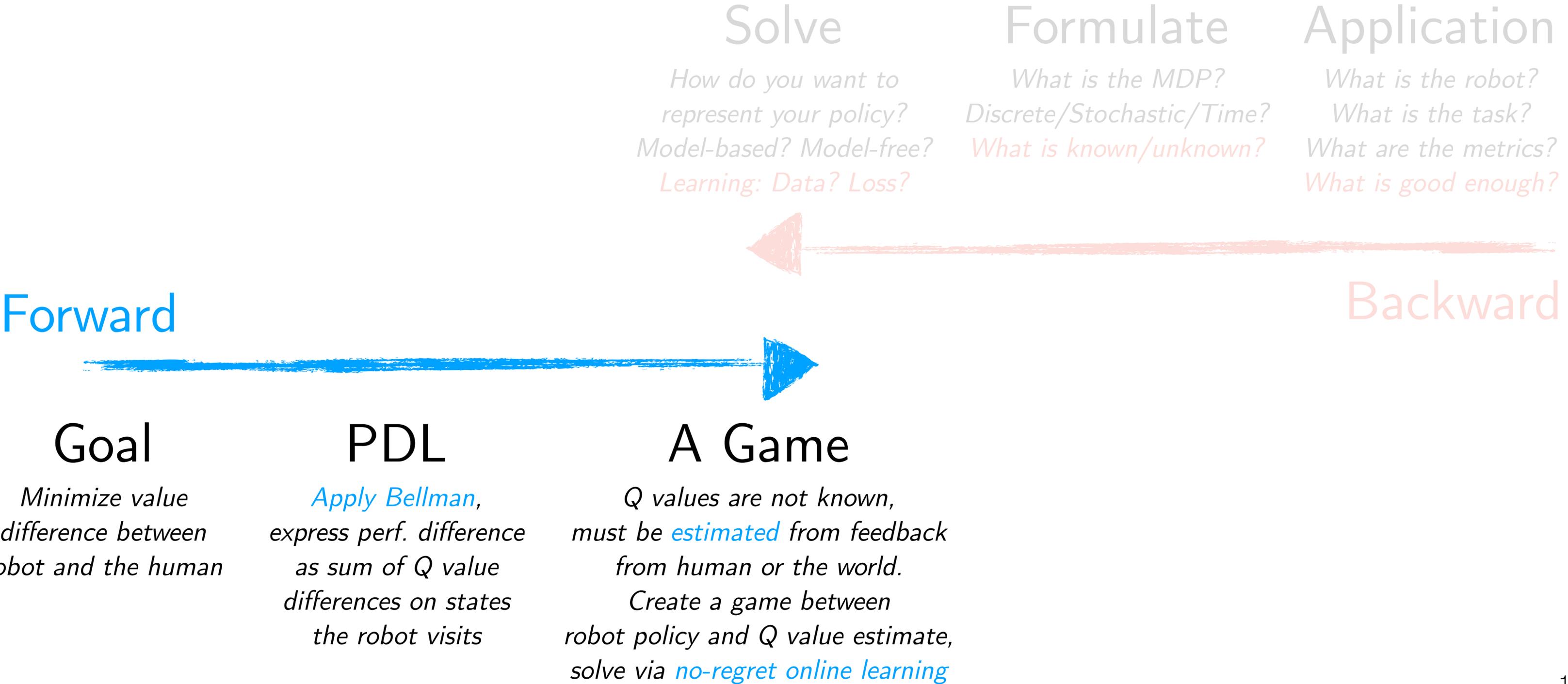
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Forward

Backward



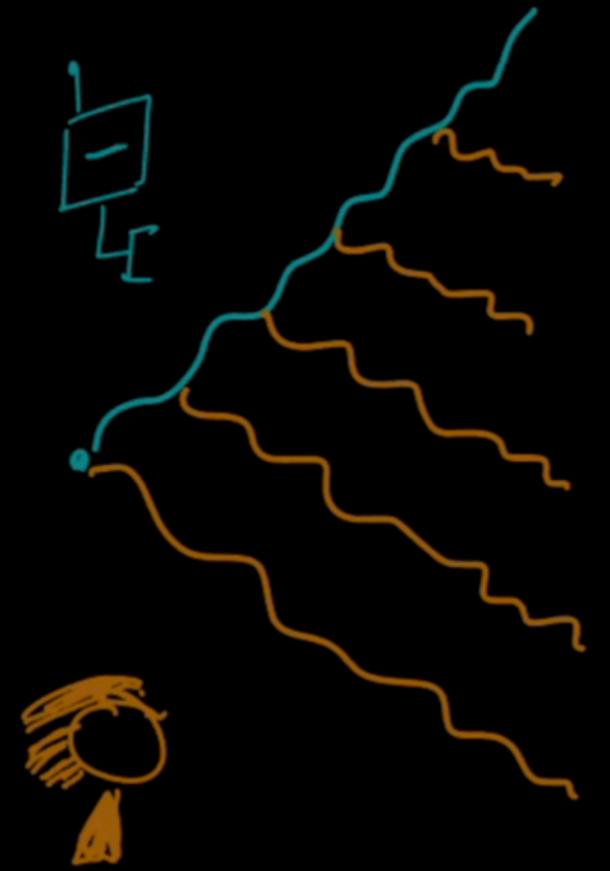
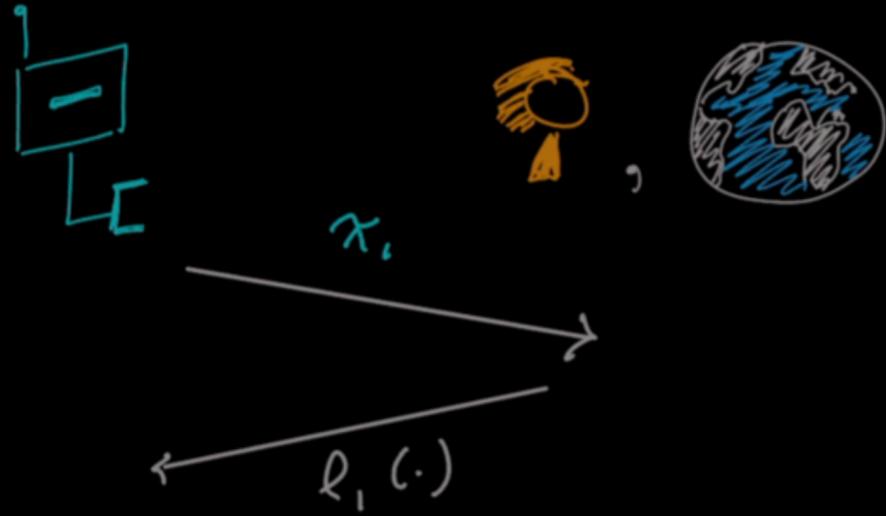
HOW can we answer this question?



5 Levels

of

Robot Learning

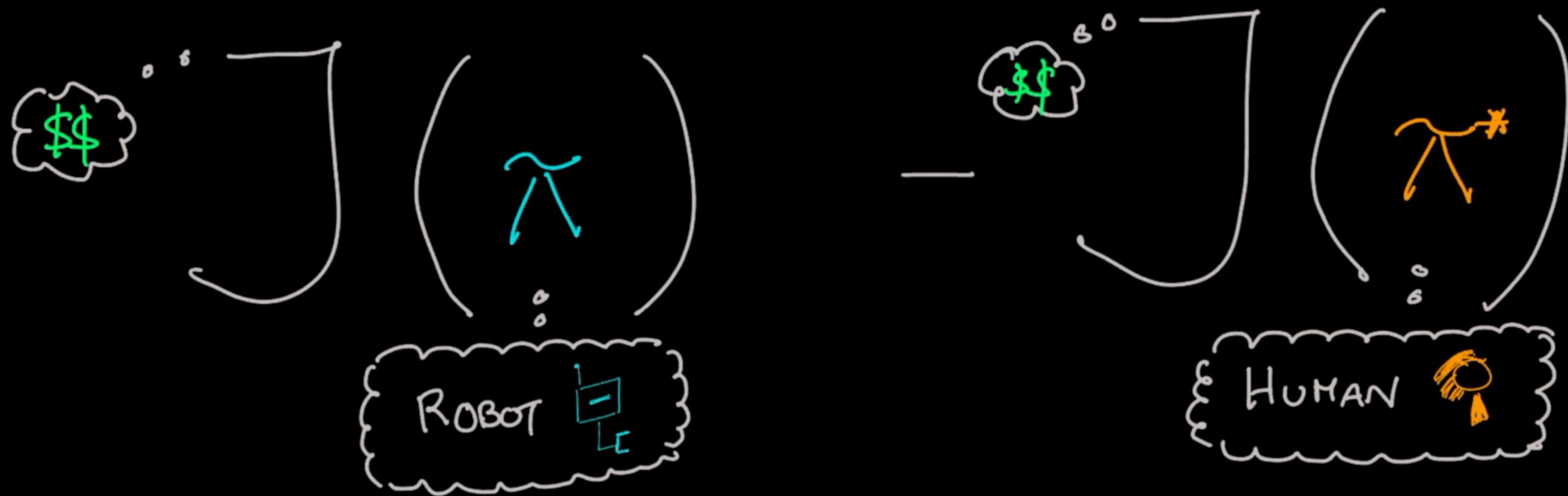


$$\min_{\pi} \sum_{i=1}^{\infty} Q^*(s, \pi(s)) - Q^*(s, \pi^*(s))$$

min
 π
ROBOT

max
 Q^*
ACTION
VALUE

FIND A POLICY $\pi: S \rightarrow A$ SUCH THAT THE
VALUE DIFFERENCE



FOR ANY VALUE $J(\cdot)$ THE HUMAN  CARES ABOUT
\$\$

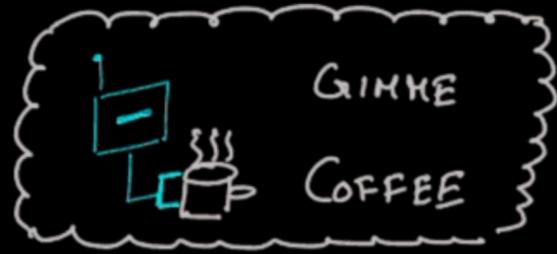
$$V^*(s_t)$$

$$= \min_{a_t}$$

$$C(s_t, a_t) +$$

$$E[V^*(s_{t+1})]$$

$$s_{t+1} \sim P(\cdot | s_t, a_t)$$



HUMAN

$s_t, a_t?$

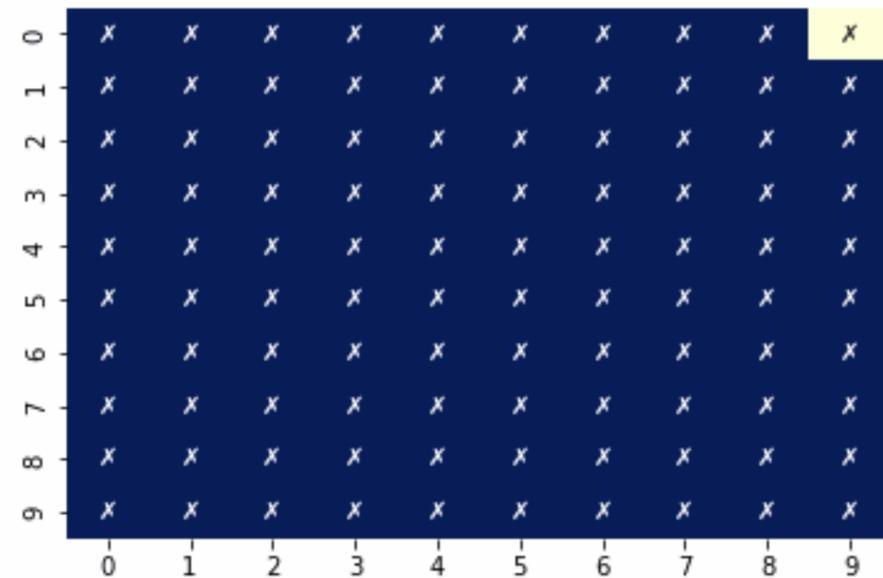
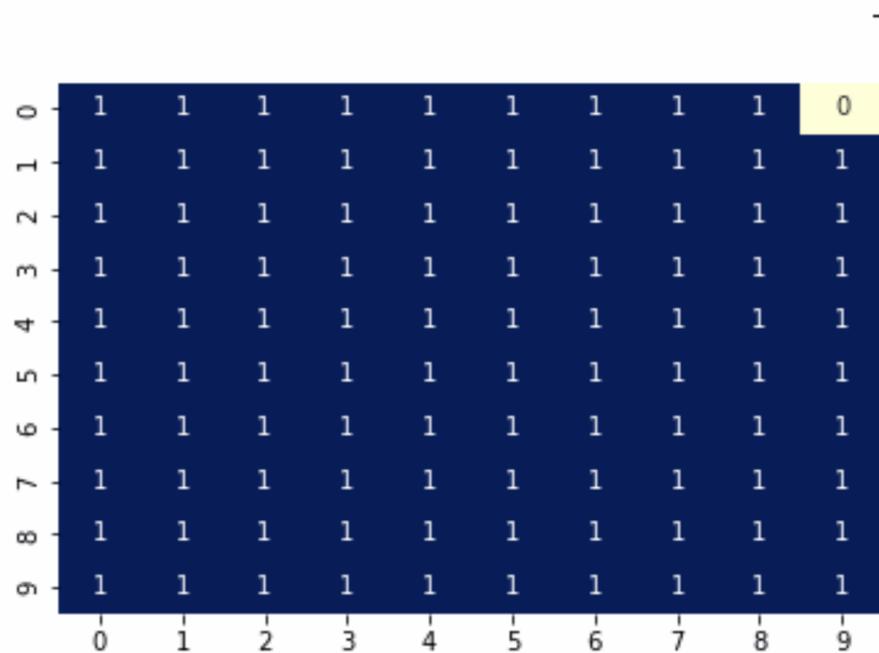


WORLD

LEARN OPTIMAL VALUE BY INTERACTIONS W/

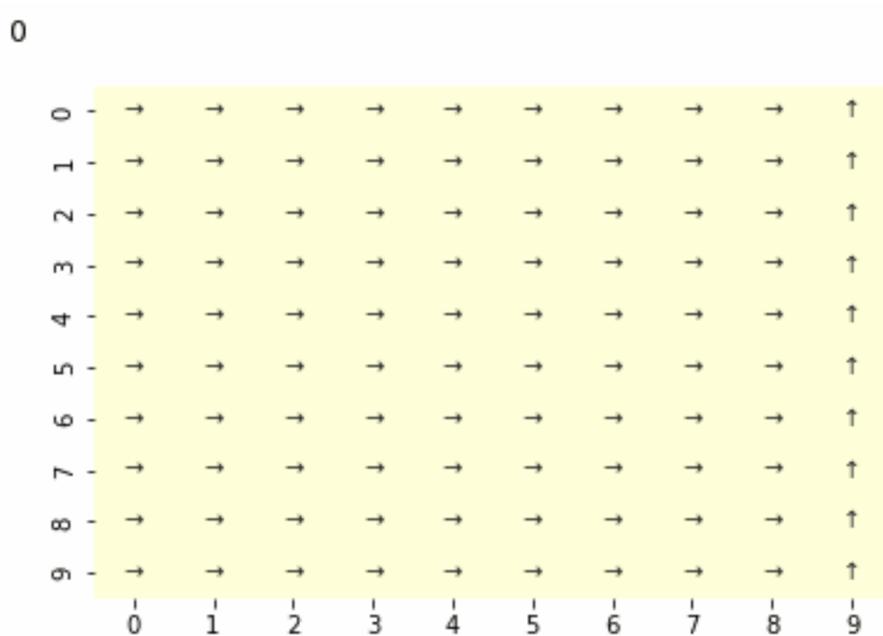
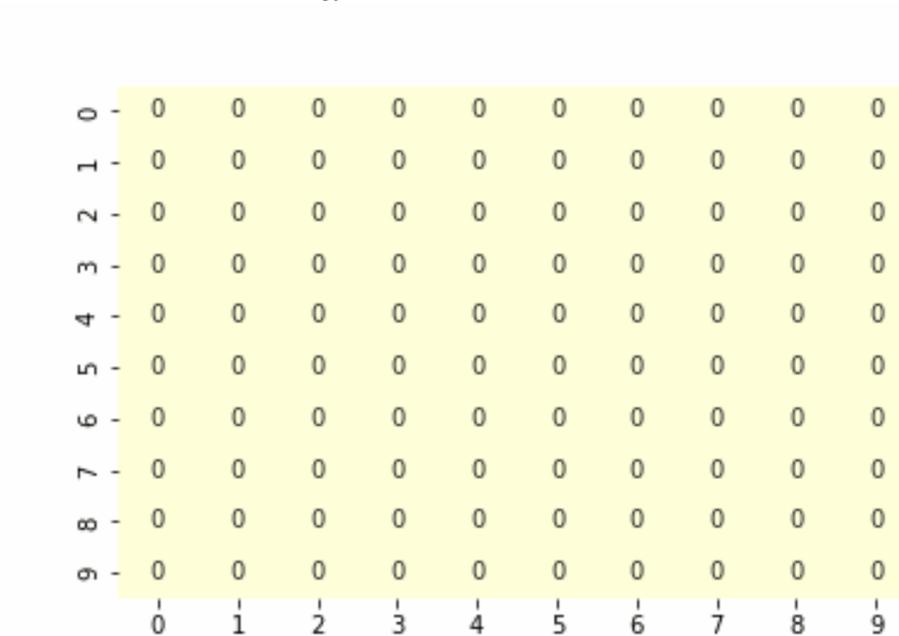
HUMAN +
WORLD

Two Fundamental Approaches



$$V^*(s_t) = \min_a [c(s_t, a) + V^*(s_{t+1})]$$

$$\pi^*(s_t) = \arg \min_a [c(s_t, a) + V^*(s_{t+1})]$$



$$V^\pi(s) = c(s, \pi(s)) + \gamma \mathbb{E}_{s' \sim \mathcal{T}(s, a)} V^\pi(s')$$

$$\pi^+(s) = \arg \min_a [c(s, a) + \gamma \mathbb{E}_{s' \sim \mathcal{T}(s, a)} V^\pi(s')]$$

Value Iteration

Iterate over *optimal* value

min() operator in value iteration step

Policy Iteration

Evaluate value of current policy,
then improve

min() operator in policy improvement



How do we scale these approaches?

For continuous MDP (but linear)?

For non-linear MDP?

Handle constraints?

The LQR Algorithm

Initialize $V_T = Q$

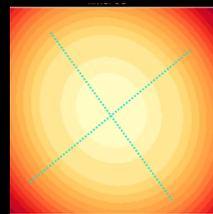
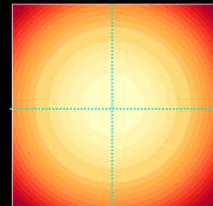
For $t = T \dots 1$

Compute gain matrix

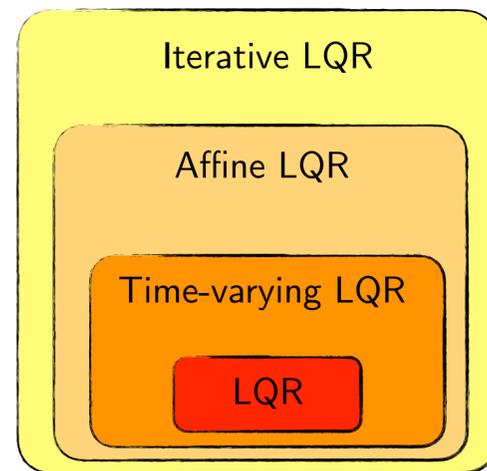
$$K_t = (R + B^T V_{t+1} B)^{-1} B^T V_{t+1} A$$

Update value

$$V_t = Q + K_t^T R K_t + (A + B K_t)^T V_{t+1} (A + B K_t)$$



Strategy: Build up on LQR



$$x_{t+1} = \frac{\partial f}{\partial x} \Big|_{x_t} \delta x_t + \frac{\partial f}{\partial u} \Big|_{u_t} \delta u_t + f(x_t^*, u_t^*)$$

$$x_{t+1} = A_t x_t + B_t u_t + x_t^{off}$$

$$x_{t+1} = A_t x_t + B_t u_t$$

$$x_{t+1} = A x_t + B u_t$$

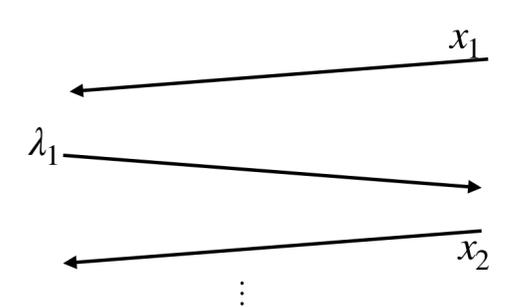
Dual Game: We control lambdas!

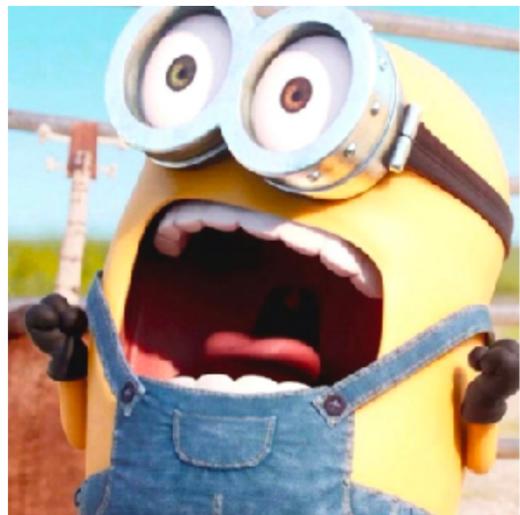
$$\min_x \max_{\lambda} f(x) - \lambda^T g(x)$$

Dual λ



Primal x





... What if your MDP is really complex?

Large state space, stochastic, continuous actions ...

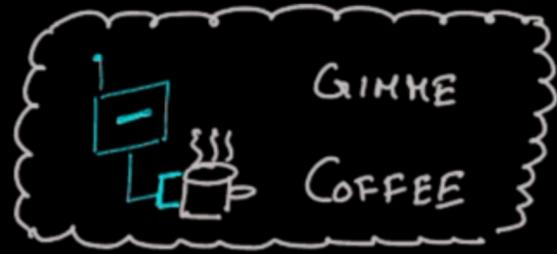


$$V^*(s_t) = \min_{a_t} \left[C(s_t, a_t) + \gamma \sum_{s_{t+1} \sim P(\cdot | s_t, a_t)} V^*(s_{t+1}) \right]$$

\min_{a_t}

$$C(s_t, a_t) + \gamma \sum_{s_{t+1} \sim P(\cdot | s_t, a_t)} V^*(s_{t+1})$$

$$V^*(s_{t+1})$$



HUMAN

$s_t, a_t?$

$$C(s_t, a_t)$$



$s_t, a_t?$

s_{t+1}



WORLD

$$s_{t+1} \sim P(\cdot | s_t, a_t)$$

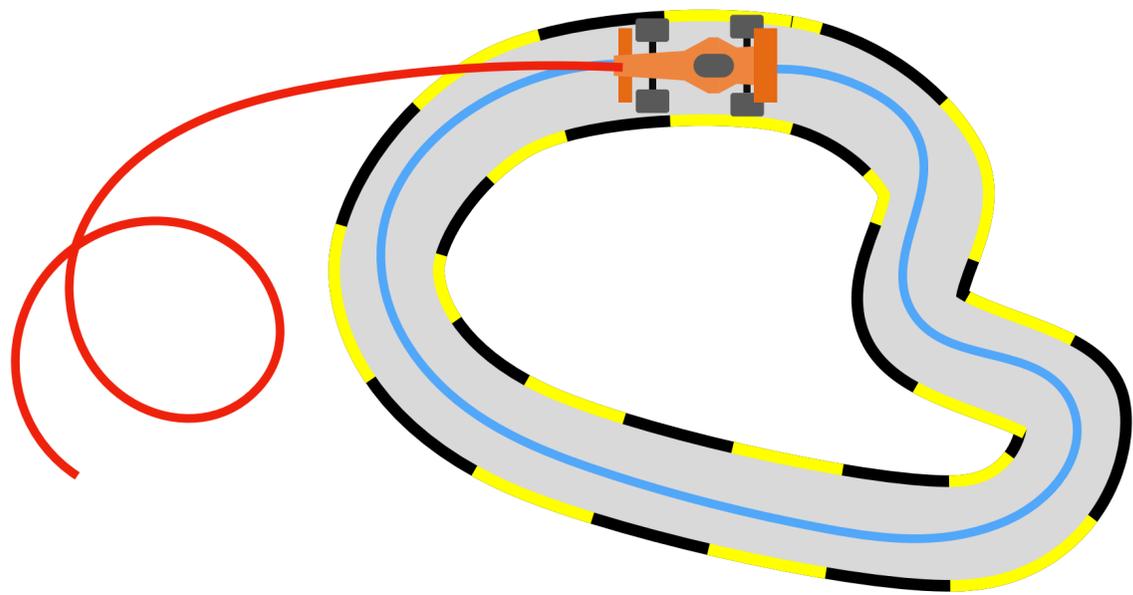
LEARN OPTIMAL VALUE BY INTERACTIONS W/

HUMAN +
WORLD

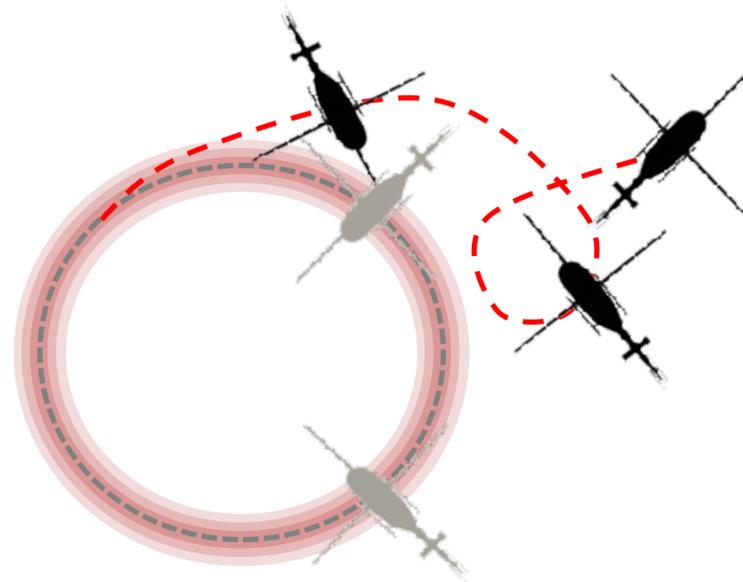


Where all did we see covariate shift?

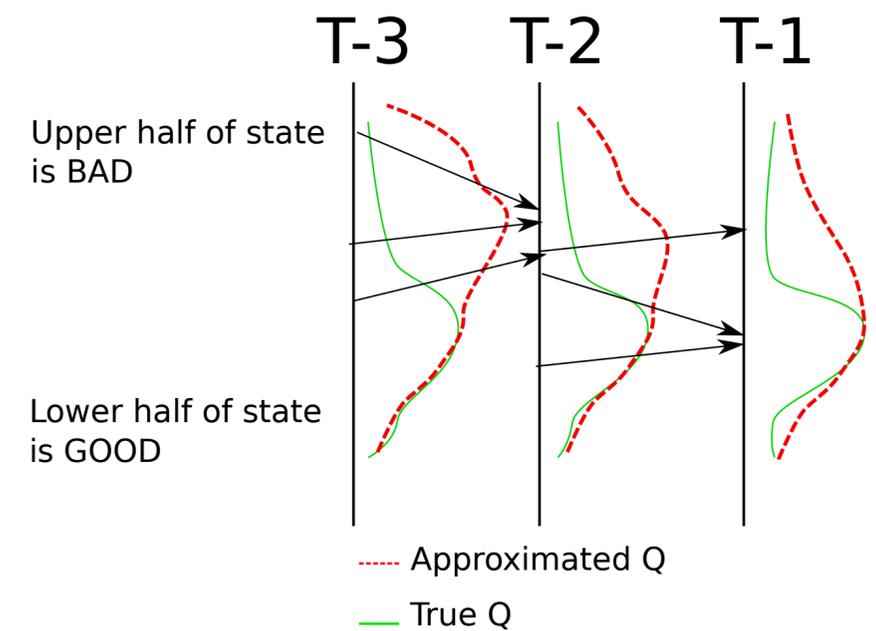
Imitation Learning?



Model Based RL?

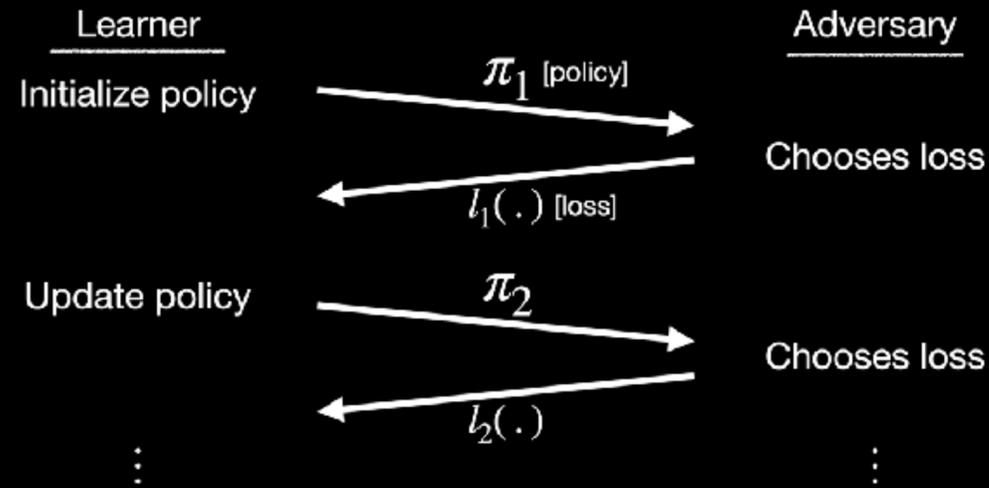


Approximate
Dynamic
Programming?





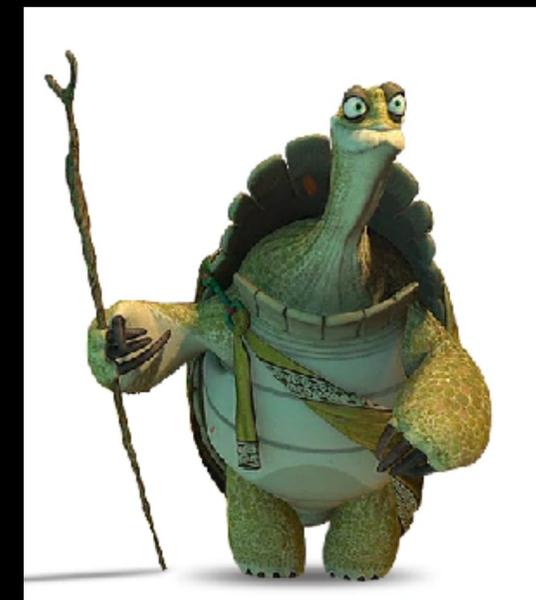
Interactive Learning



Learning is
a Game!

Follow the leader
is aggressive

Slowly change predictions,
achieve no-regret



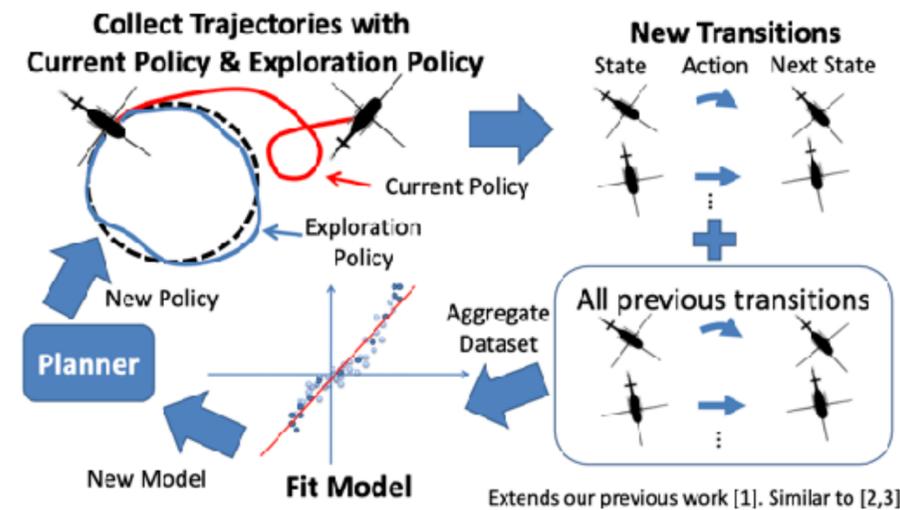
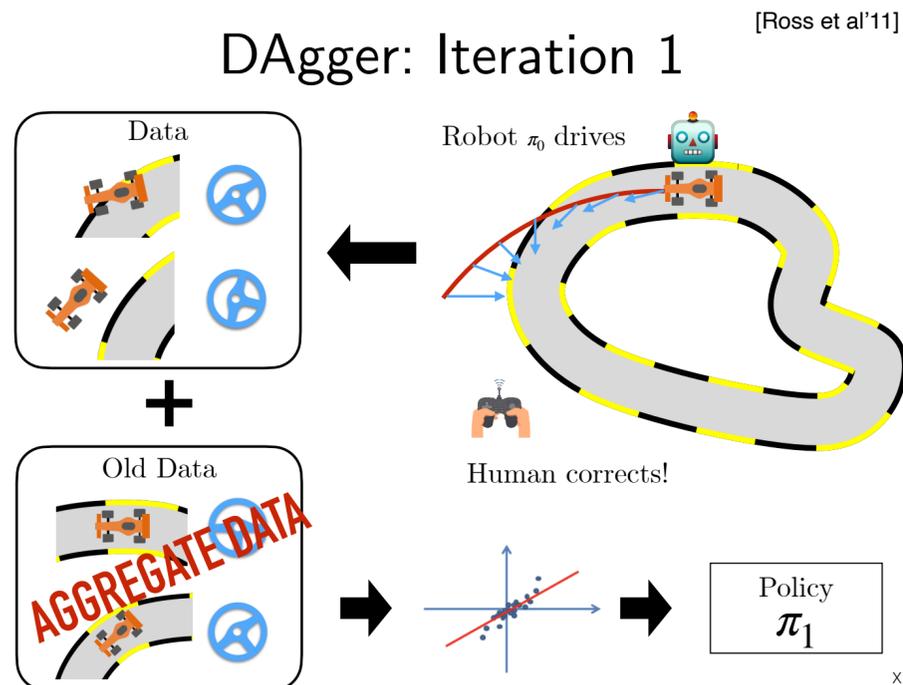


No regret solves all!

DAgger

DAgger for SysID

Conservative policy iteration



Idea 1: Conservative Policy Iteration (CPI)

$$\pi' = (1 - \alpha)\pi + \alpha\pi_{greedy}$$

Mix in old policy and greedy policy

Can prove that performance difference is bounded by

$$V^{\pi'}(s) - V^{\pi}(s) \geq \alpha A_{greedy} - 2\alpha^2 \frac{\gamma}{1 - \gamma}$$

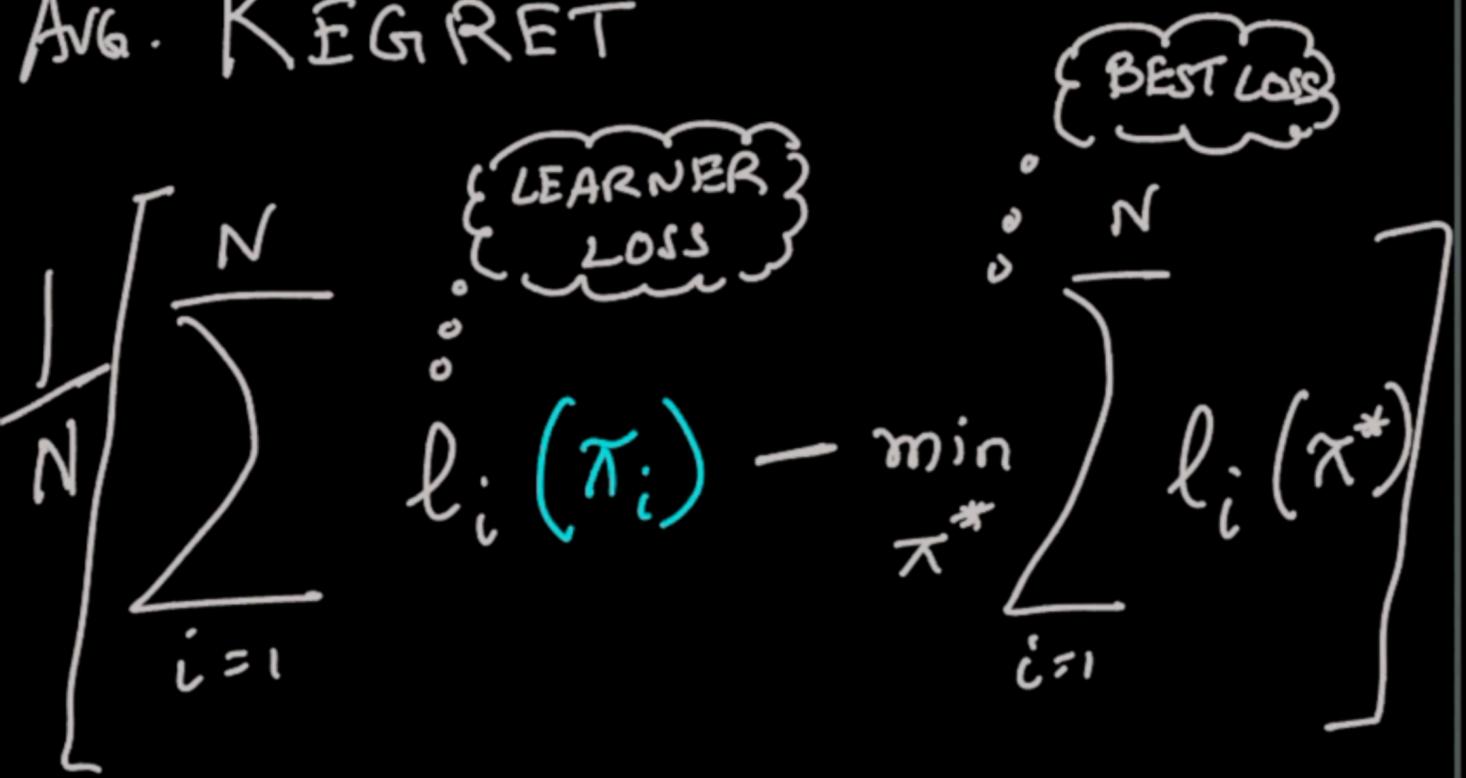
How much greedy policy improves based on estimate

How much distribution shift hurts!

Approximately Optimal Approximate Reinforcement Learning
 Shao-Kai Shiu
 Google DeepMind, University of Cambridge, London WC2N 3AR, UK
 John Langford
 Computer Science Department, Carnegie Mellon University, 5000 Forbes Avenue, Pittsburgh, PA 15261

GOAL: MINIMIZE

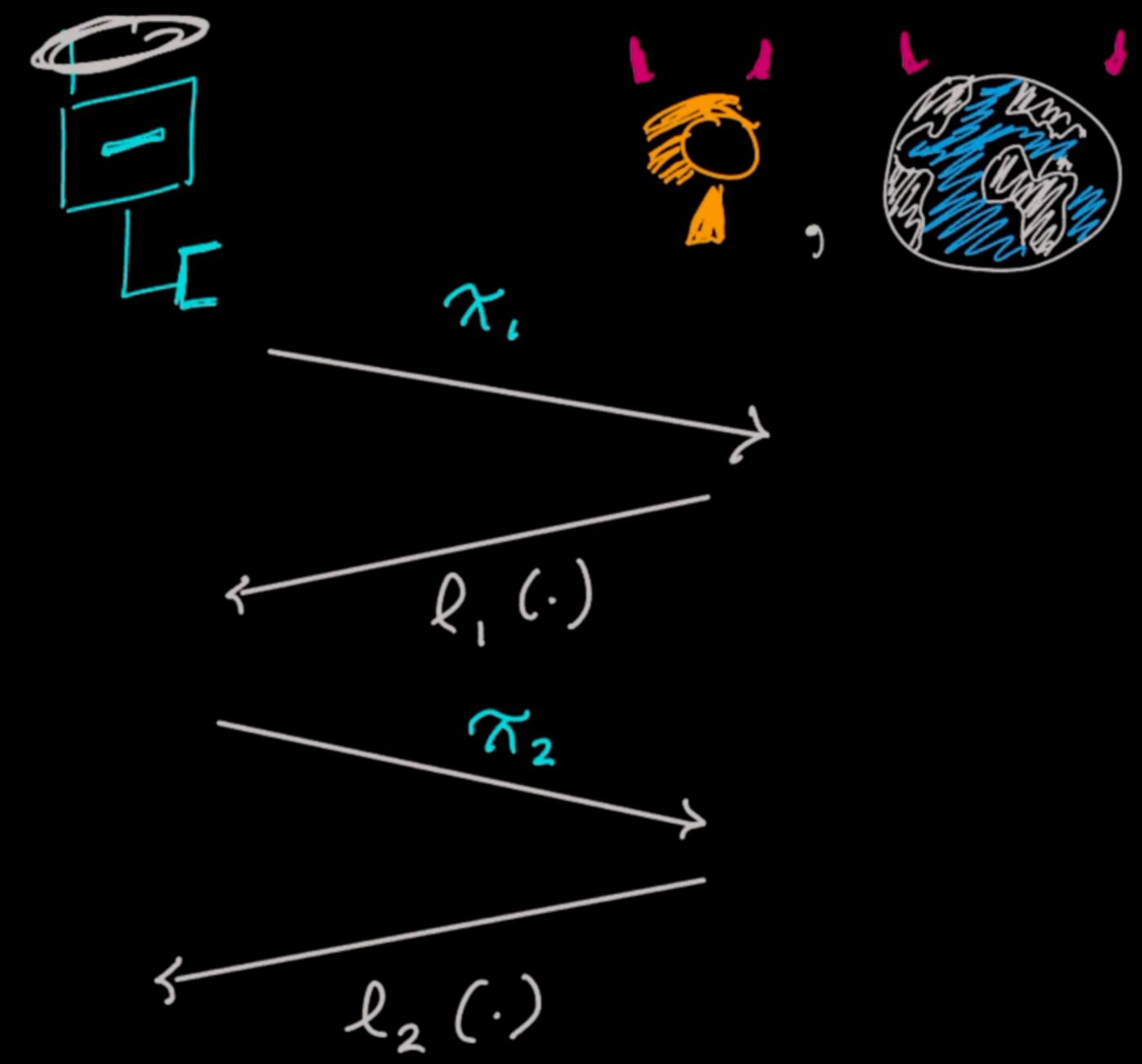
AVG. REGRET

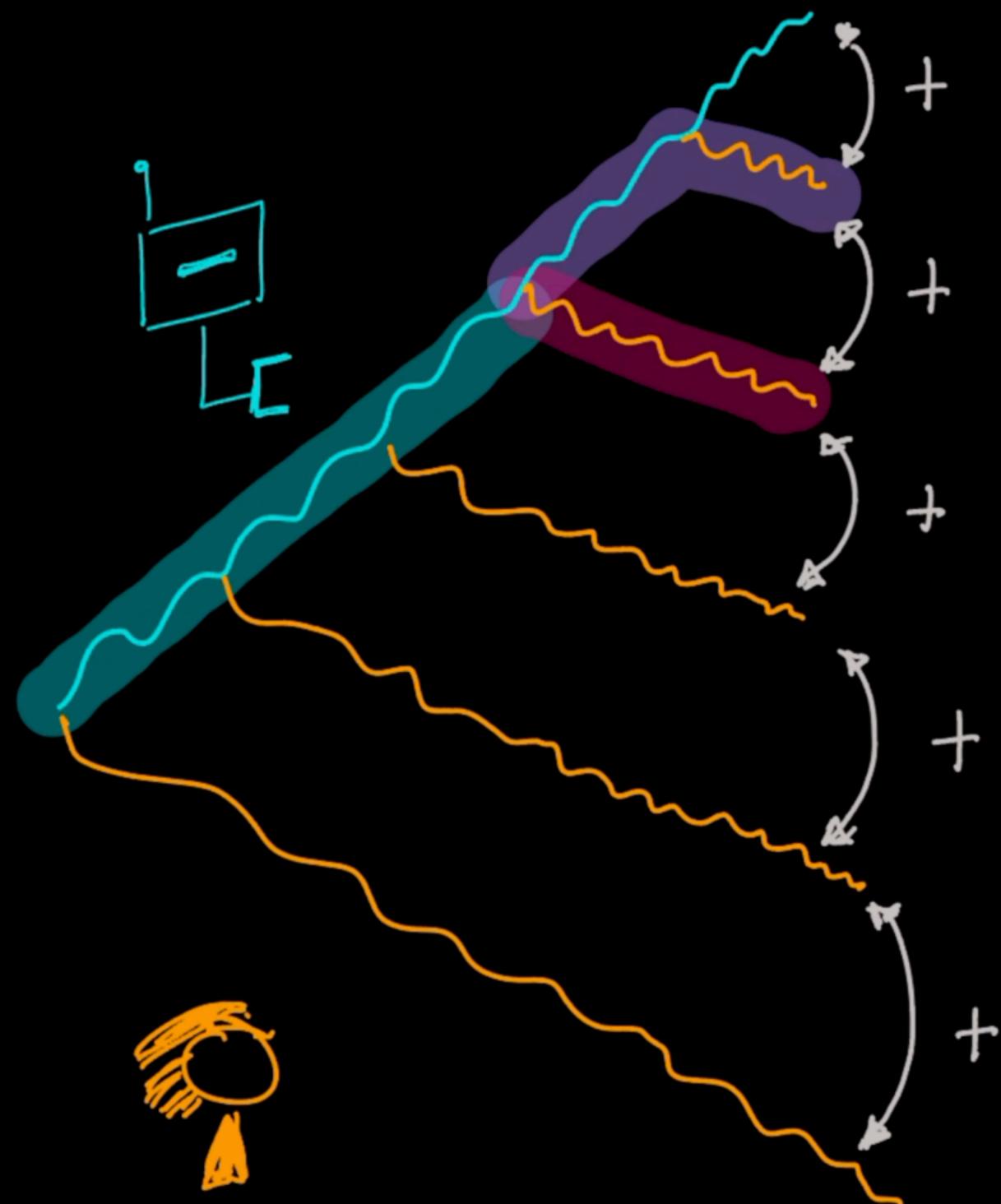


As $N \rightarrow \infty$, Avg REGRET $\rightarrow 0$

"DO AS WELL AS YOU COULD HAVE IF YOU HAD ALL THE DATA UPFRONT"

INTERACTIVE ONLINE LEARNING





PERFORMANCE DIFFERENCE LEMMA

$$J(\pi) - J(\pi^*)$$

$$= \sum_{t=1}^{\tau} E_{s_t \sim d_{\pi}} \left[Q^*(s_t, \pi(s_t)) - Q^*(s_t, \pi^*(s_t)) \right]$$

STATES THE ROBOT VISITS
 ACTION VALUE OF ROBOT
 ACTION VALUE OF HUMAN

LOSS $l(s, \pi(s))$ "DISADVANTAGE"

Reinforcement Learning: Brass Tacks

We don't know the MDP, all we see are **traces** (s, a, s')

Model Based:

Learn a model. Plan with the model.

Model Free:

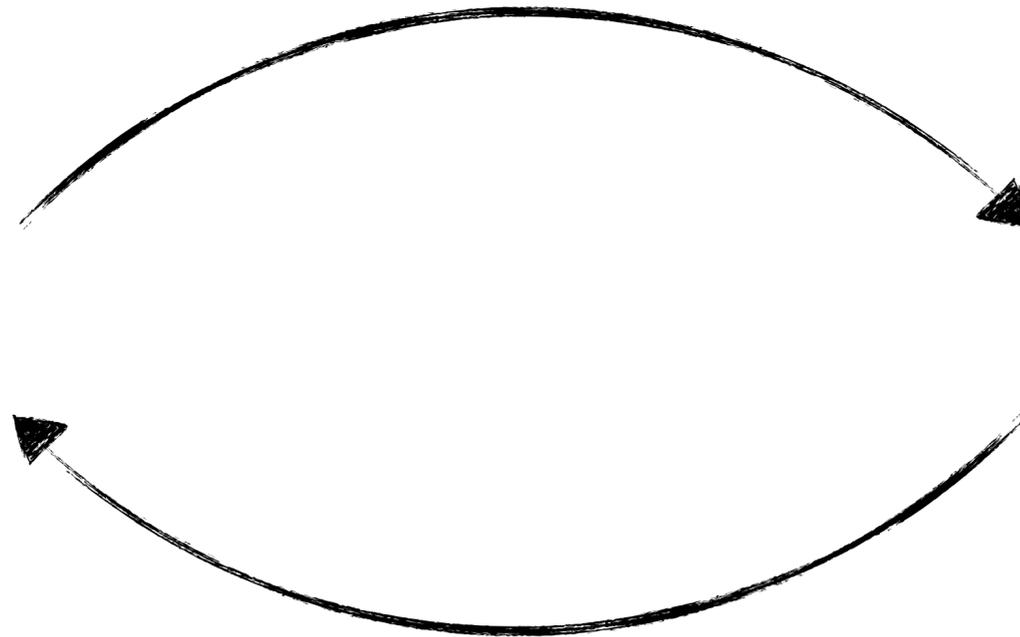
Forget about models. Learn the policy.

Model Free RL: Actor Critic

Actor



Critic



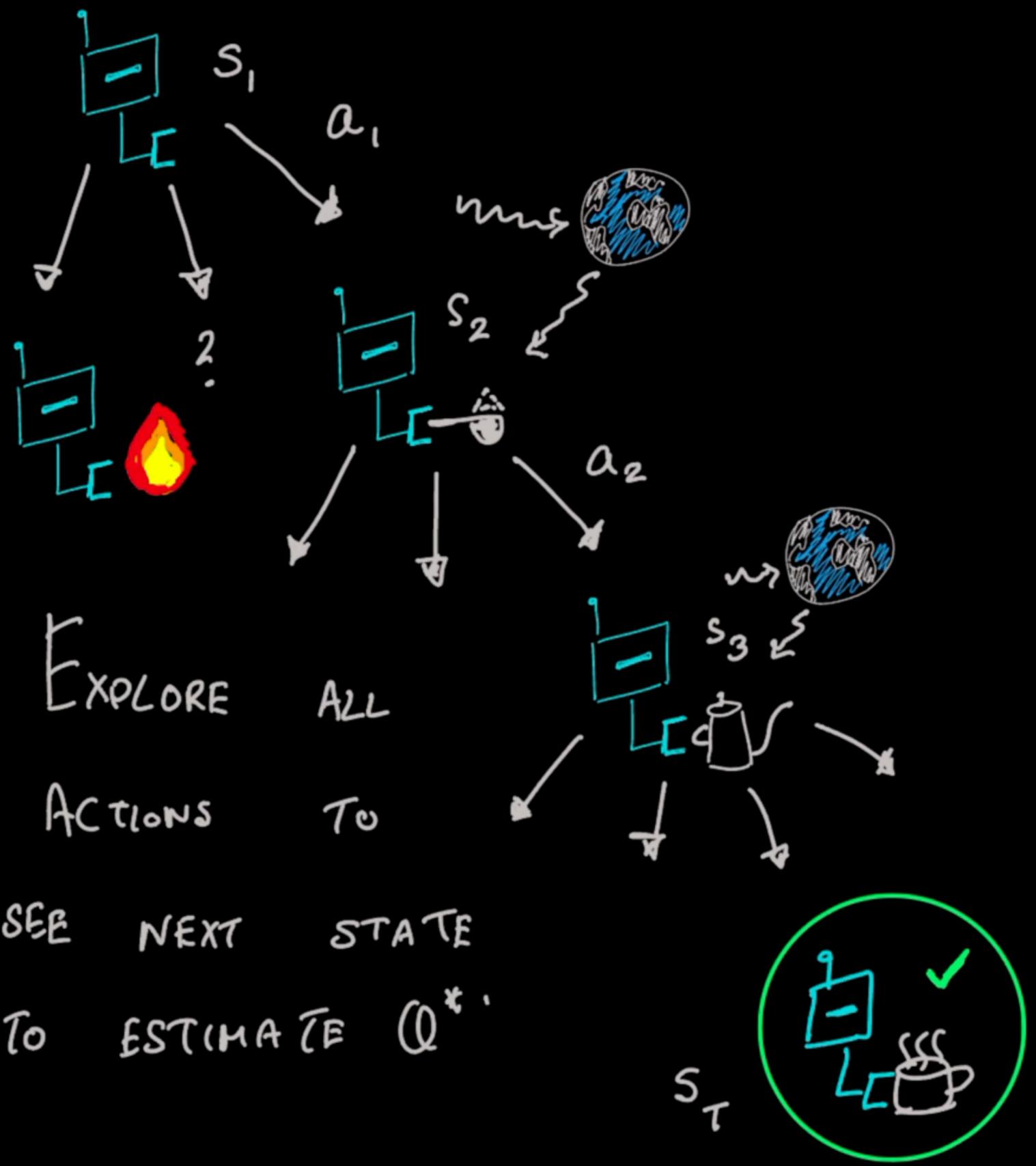
Policy improvement
of π

Estimates value

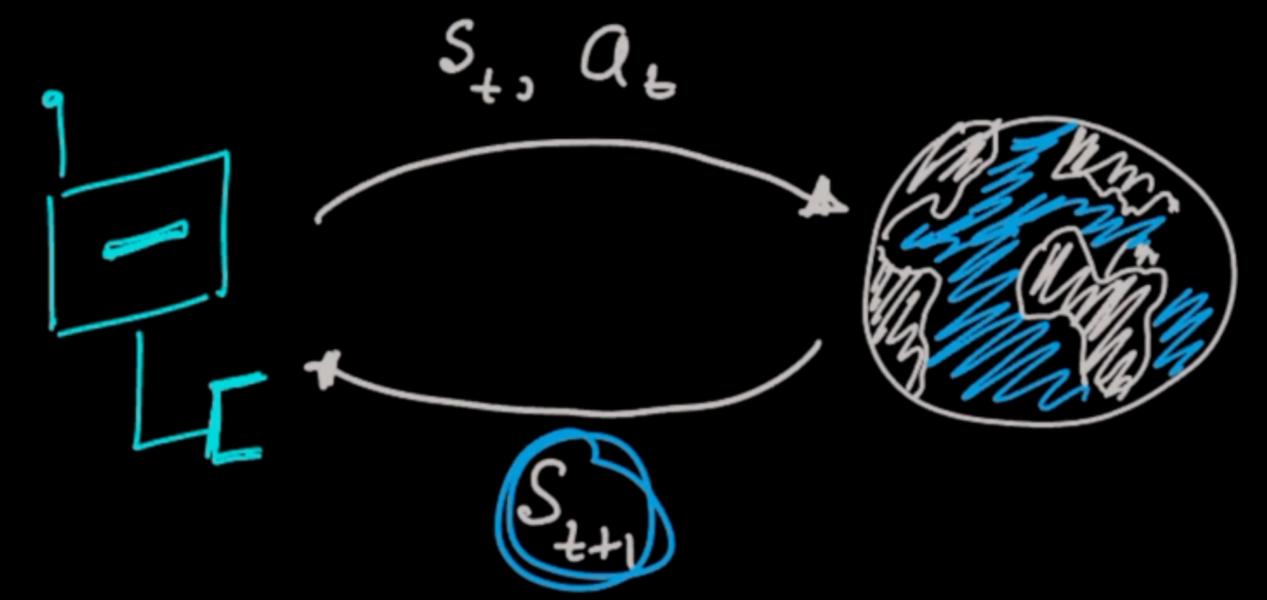
functions $Q_{\phi}^{\pi} / V_{\phi}^{\pi} / A_{\phi}^{\pi}$

(Natural) Policy Gradient

TD, MC



REINFORCEMENT LEARNING



ESTIMATE $Q^*(s_t, a_t)$ SUCH THAT

$$Q^*(s_t, a_t) = c(s_t, a_t)$$

i.e. BELLMAN CONSISTENT + $E \min_{a_{t+1}} Q^*(s_{t+1}, a_{t+1})$

Imitation Learning: Brass Tacks

We don't know the MDP, all we see are **human actions** (a^*)

Learn Cost:

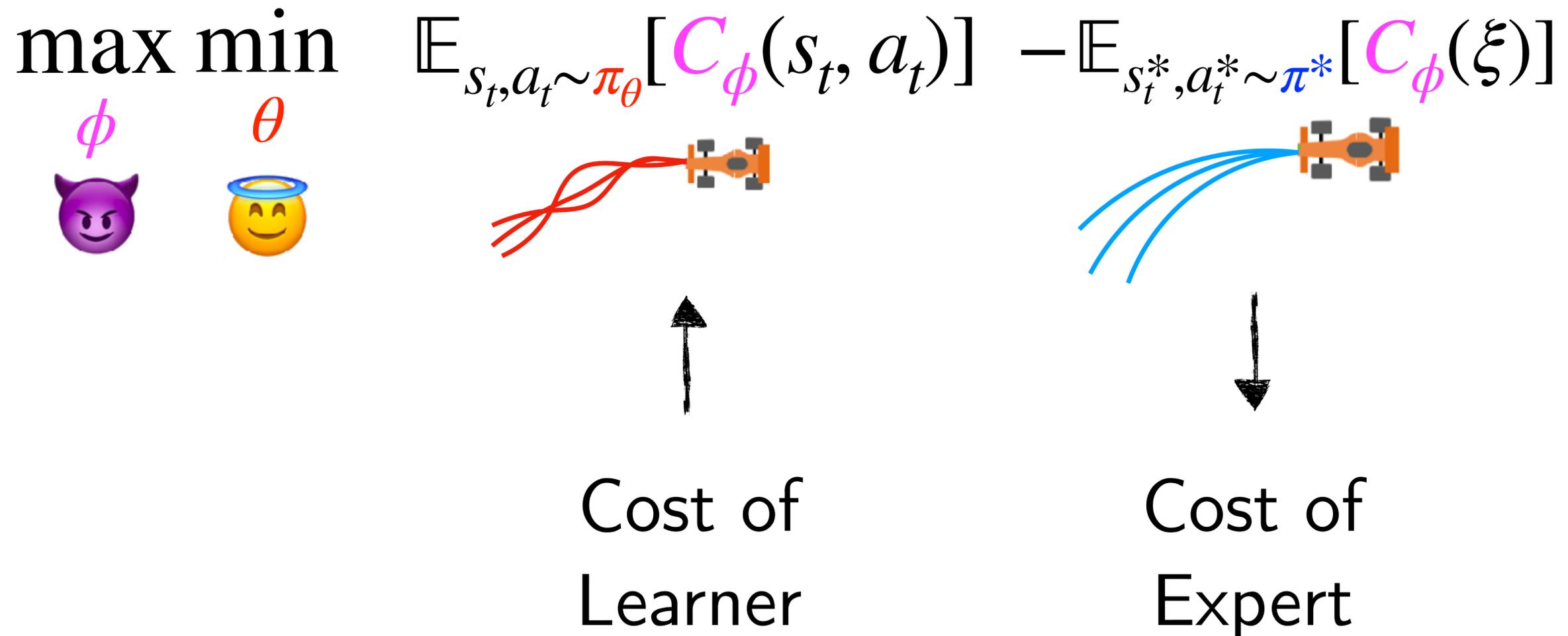
Learn a cost that makes human look cheap, learner look expensive

Learn Values:

Learn Q^* that makes human look cheap, learner look expensive

Inverse Optimal Control (Learn Cost)

Make human look cheap, learner look expensive



Learn Values

Estimate Q^* from demonstrations, interventions, preferences, ..
and even E-stops!



Demonstrations



Interventions



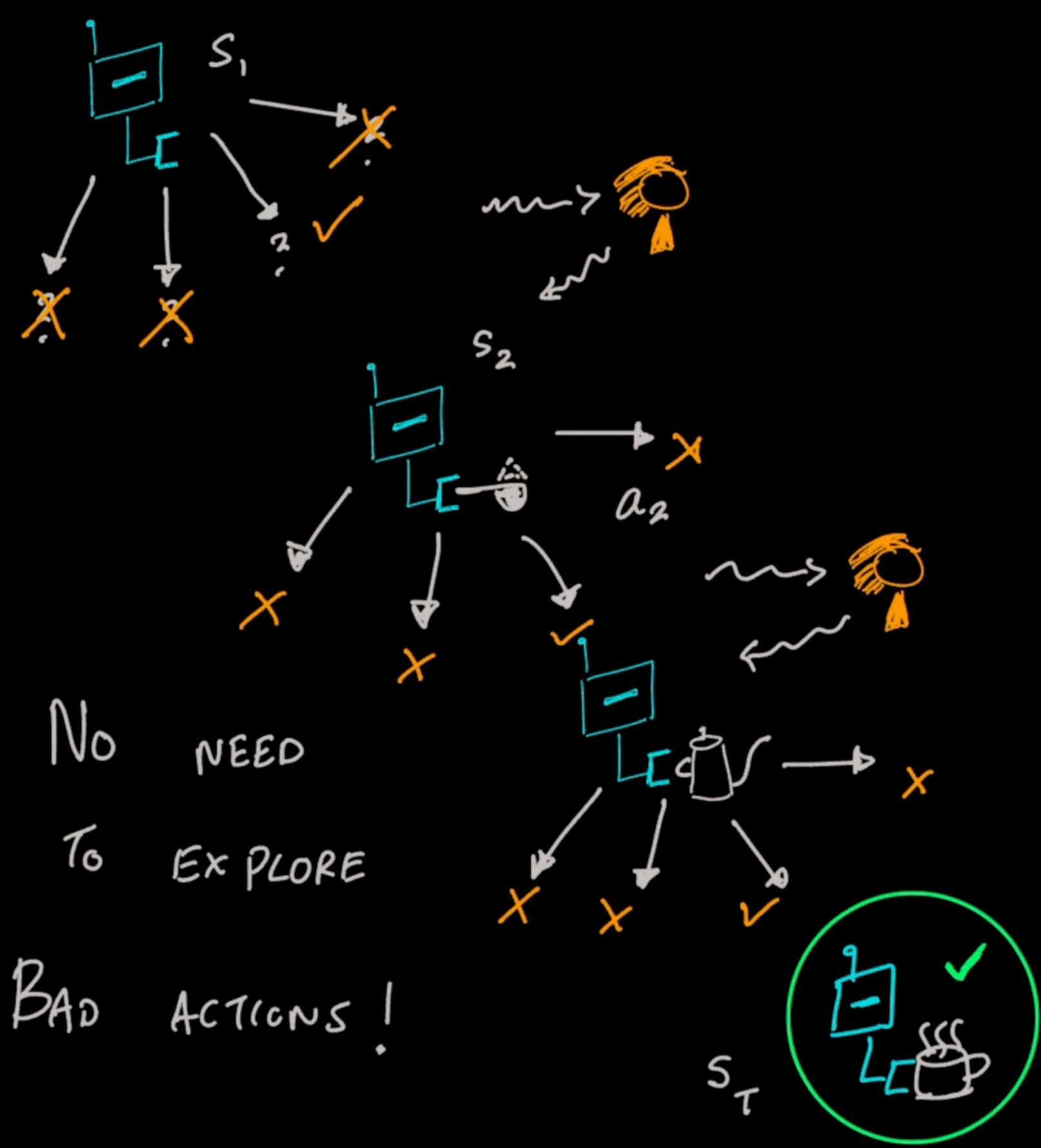
Preferences



E-stops



$\mathcal{L}(Q_\theta^*)$
Loss



IMITATION LEARNING



ESTIMATE

$Q^*(s_t, a_t)$ SUCH THAT

$$Q^*(s_t, a_t) = \min_{a_t'} Q^*(s_t, a_t')$$

i.e. HUMAN IS OPTIMAL

The Imitation Game

We have an interactive expert.

Apply PDL in forward direction: roll-in learner, roll-out expert

$$\min_{\pi} \max_{Q^*} \sum_{t=1}^T \mathbb{E}_{s_t \sim \pi} [Q^*(s_t, \pi(s_t)) - Q^*(s_t, \pi^*(s_t))]$$



Use no-regret learning to solve the game!

$$O(\epsilon T)$$

The RL Game

We don't have interactive expert.

Apply PDL in reverse direction: roll-in expert, roll-out learner

$$\min_{\pi} \max_{Q^\pi} \sum_{t=1}^T \mathbb{E}_{s \sim \pi^*} Q^\pi(s, \pi(s)) - Q^\pi(s, \pi^*(s))$$

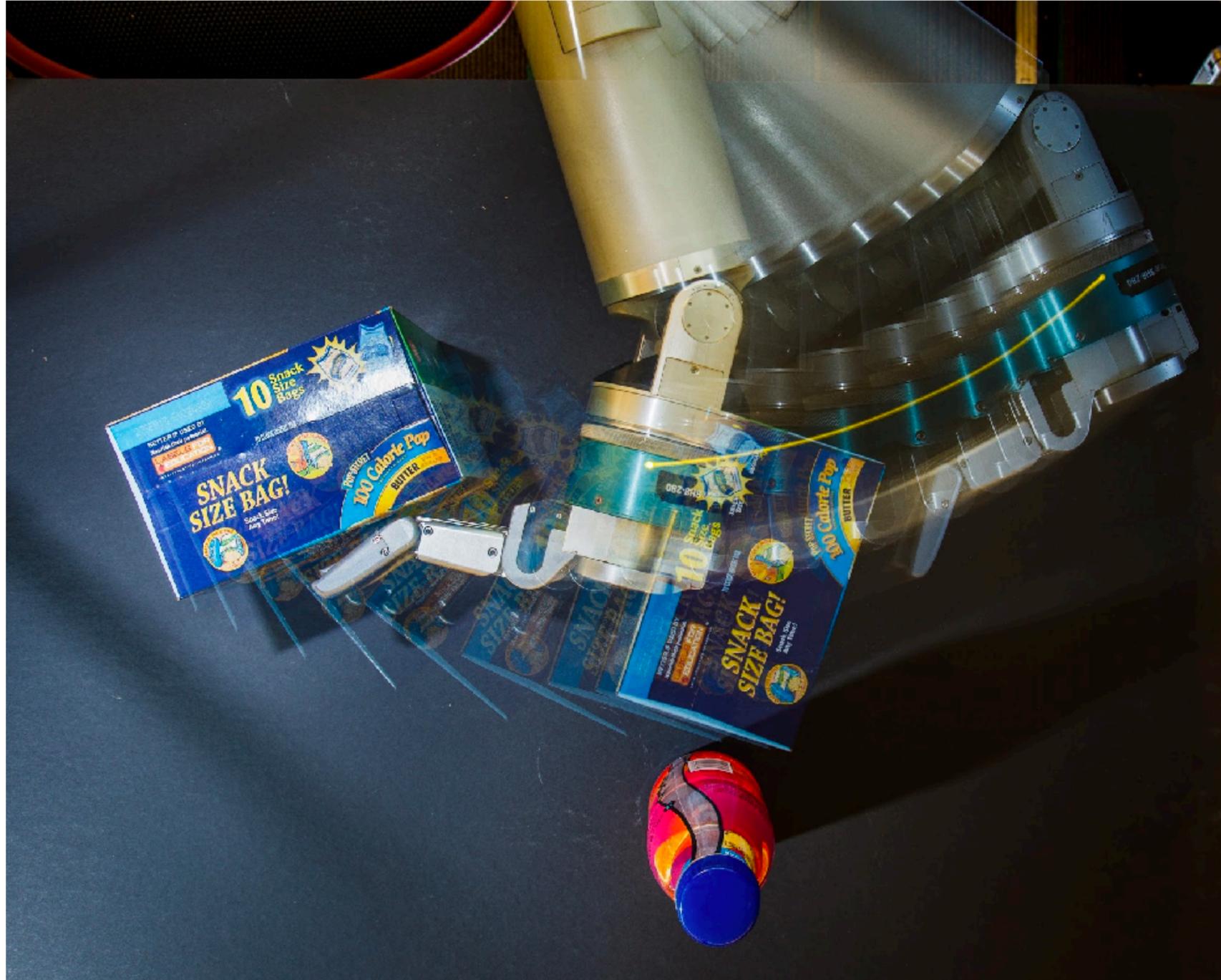
 

Use no-regret learning to solve the game! $O(\epsilon T^2)$

A grand unification of IL / RL Games?



A simple question:
Can learning help us build better planners?

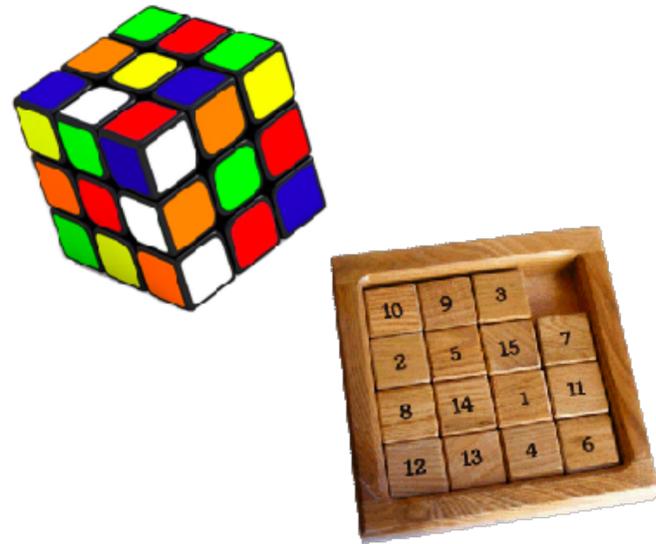


A prospective grad student:
“Is planning just A*?”



Motion Planning: Dealing with expensive collision checking

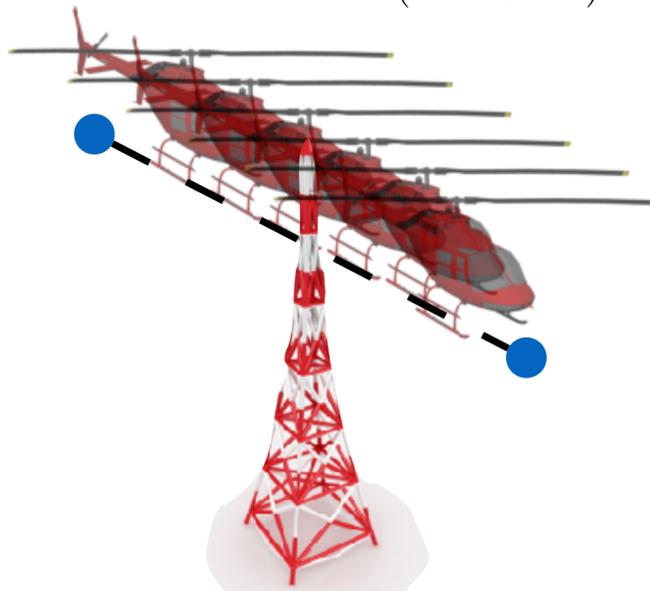
Trivial



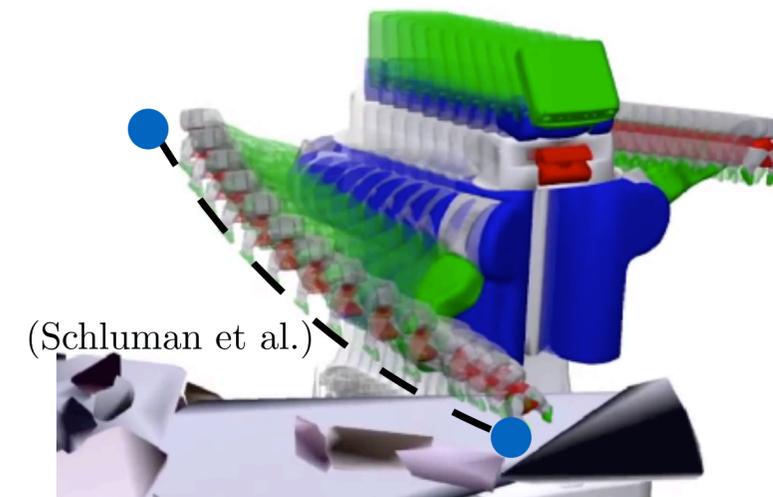
Medium



(Ross et al.)



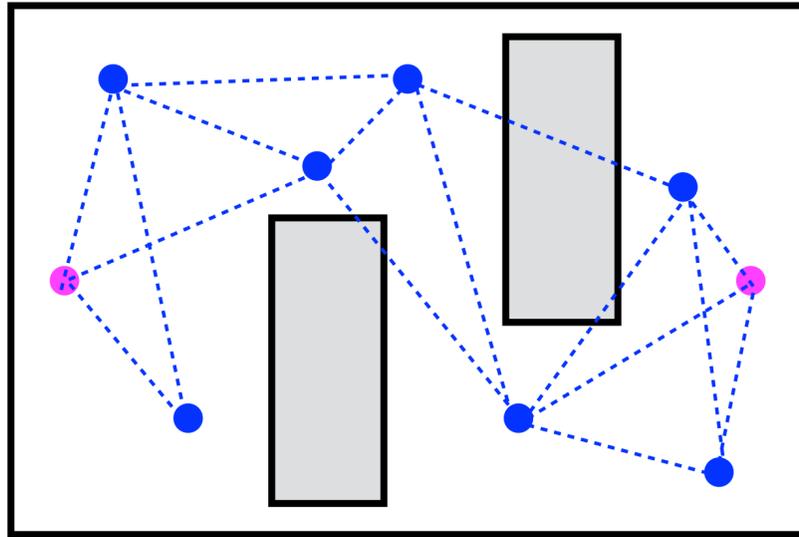
Expensive



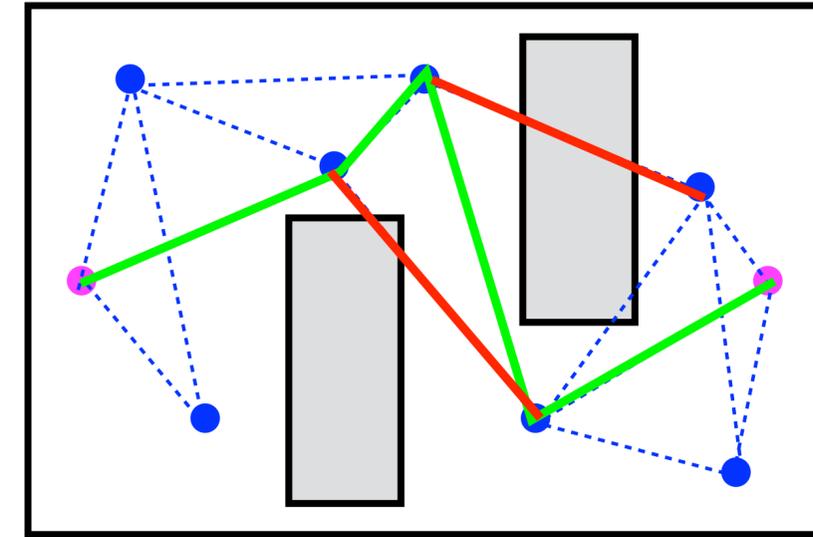
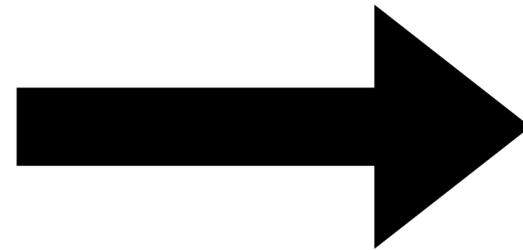
(Schluman et al.)

(LaValle'06, Bialkowski'11,
Hauser'15,)

General framework for motion planning



Create a graph



Search the graph



Interleave

General framework for motion planning

Any planning algorithm

Create graph

Search graph

Interleave



=

e.g. fancy
random
sampler

×

e.g. fancy
heuristic

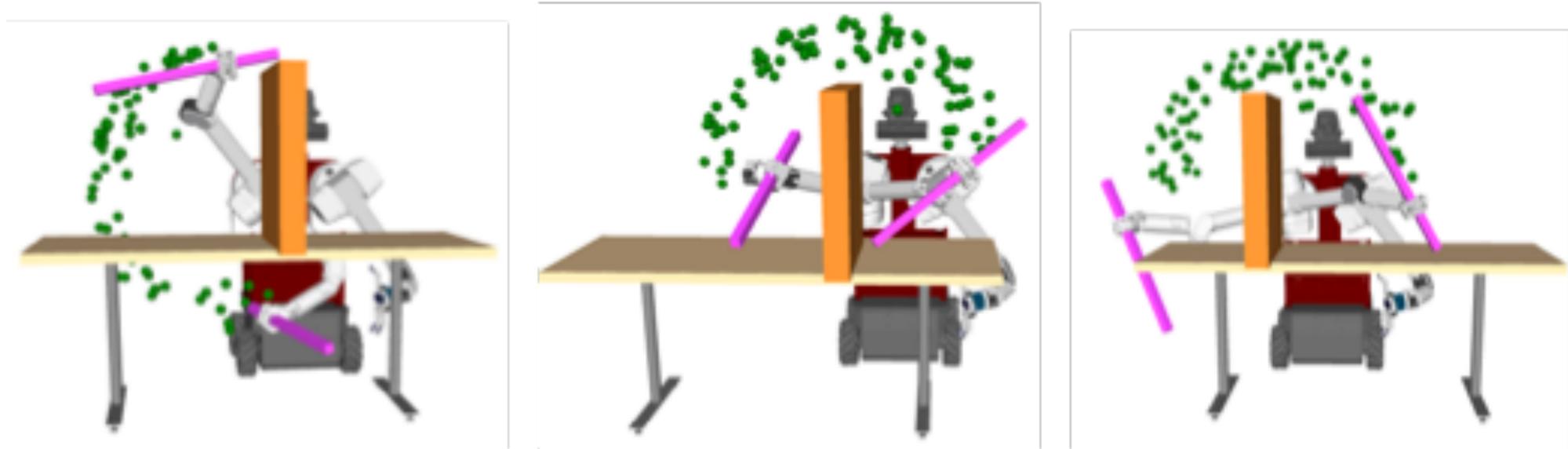
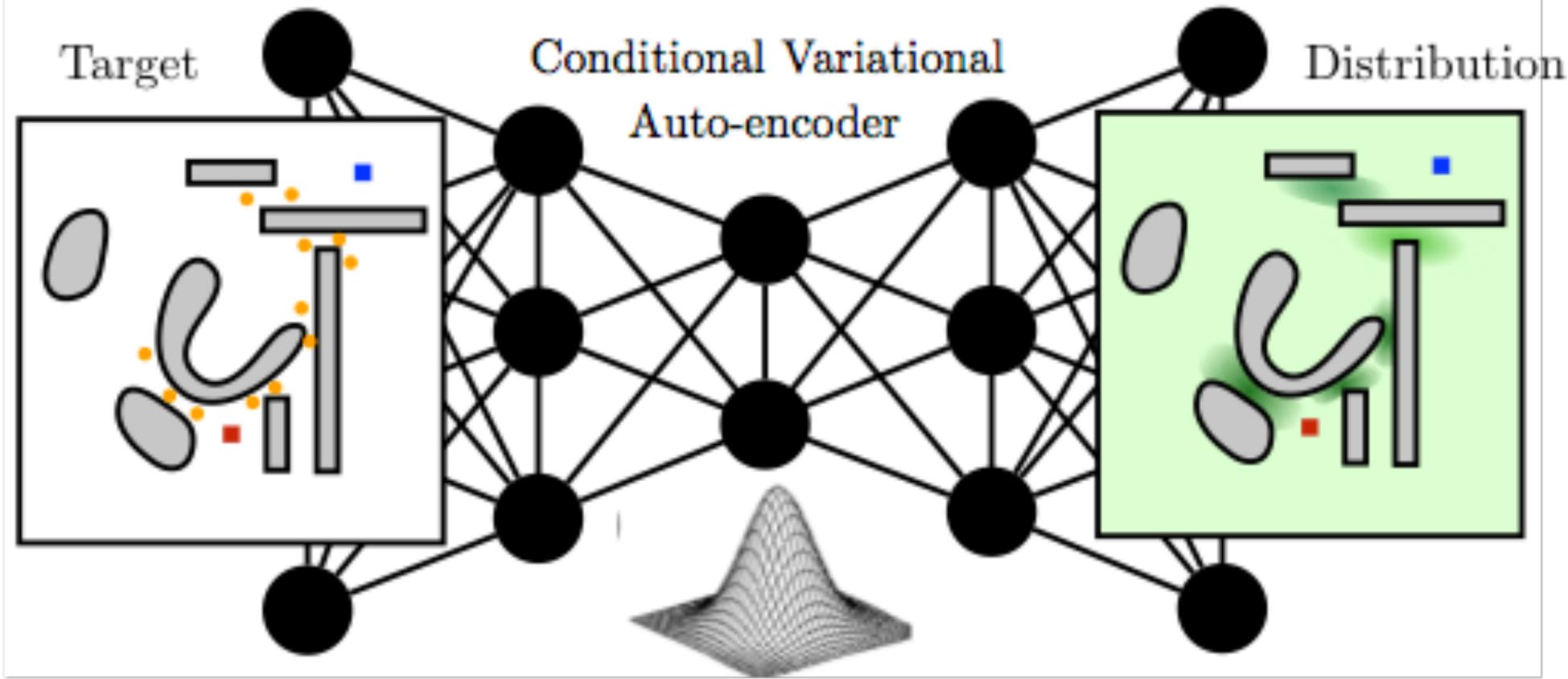
×

e.g. fancy
way of
densifying

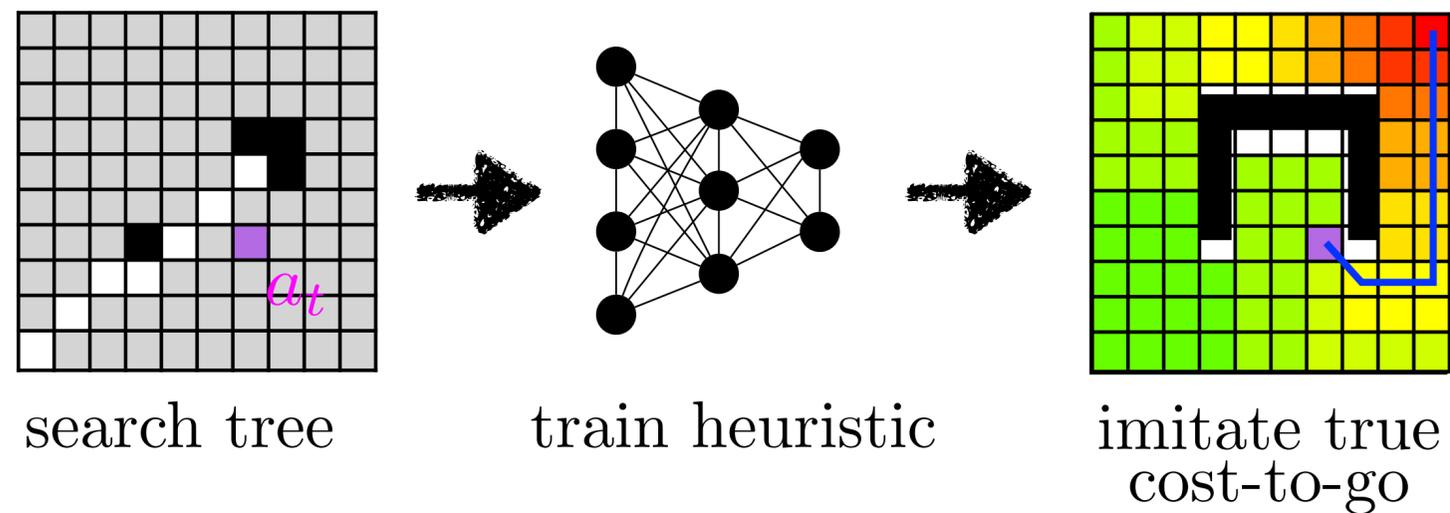
Learn
sampler!

Learn
heuristic!

Learning a Sampler



Learn a Heuristic



				Ours	Baseline Learning			Baseline Handcrafted			
				SAIL	SL	CEM	QL	h_{EUC}	h_{MAN}	A*	MHA*
alternating_gap				0.039	0.432	0.042	1.000	1.000	1.000	1.000	1.000
single_gap				0.158	0.214	0.057	1.000	0.184	0.192	1.000	0.286
shifting_gap				0.104	0.464	1.000	1.000	0.506	0.589	1.000	0.804
forest				0.036	0.043	0.048	0.121	0.041	0.043	1.000	0.075
bugtrap_forest				0.147	0.384	0.182	1.000	0.410	0.337	1.000	0.467
gaps_forest				0.221	1.000	1.000	1.000	1.000	1.000	1.000	1.000
maze				0.103	0.238	0.479	0.399	0.185	0.171	1.000	0.279
multiple_bugtrap				0.479	0.480	1.000	0.835	0.648	0.617	1.000	0.876 ₄₃



Open Challenges