

# CS 664

## Segmentation (2)



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# Recap

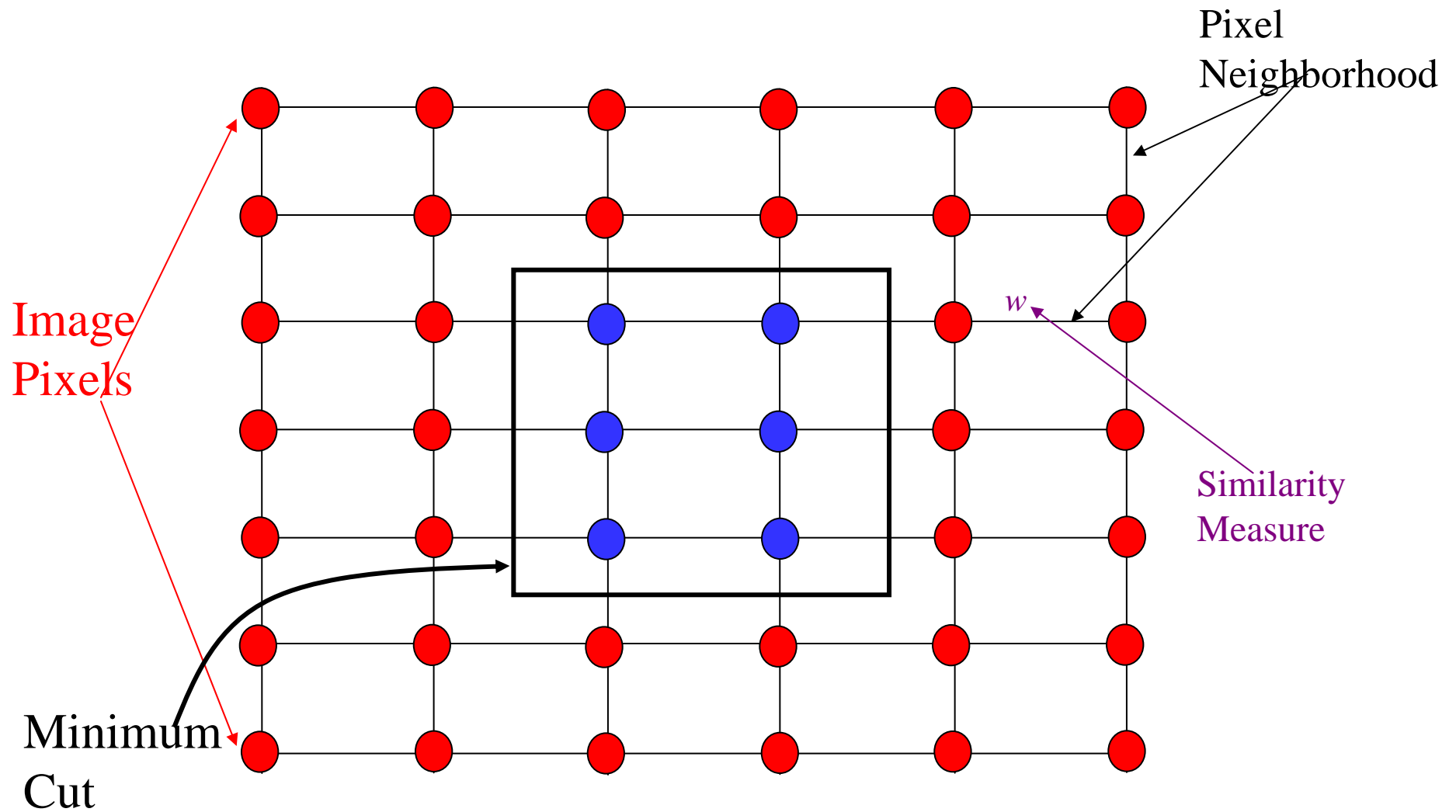
- Last time covered perceptual organization more broadly, focused in on pixel-wise segmentation
- Covered local graph-based methods such as MST and Felzenszwalb-Huttenlocher method
- Today
  - Cut-based methods such as grab cut, normalized cuts
  - Iterative local update methods such as mean shift

# Cut Based Techniques

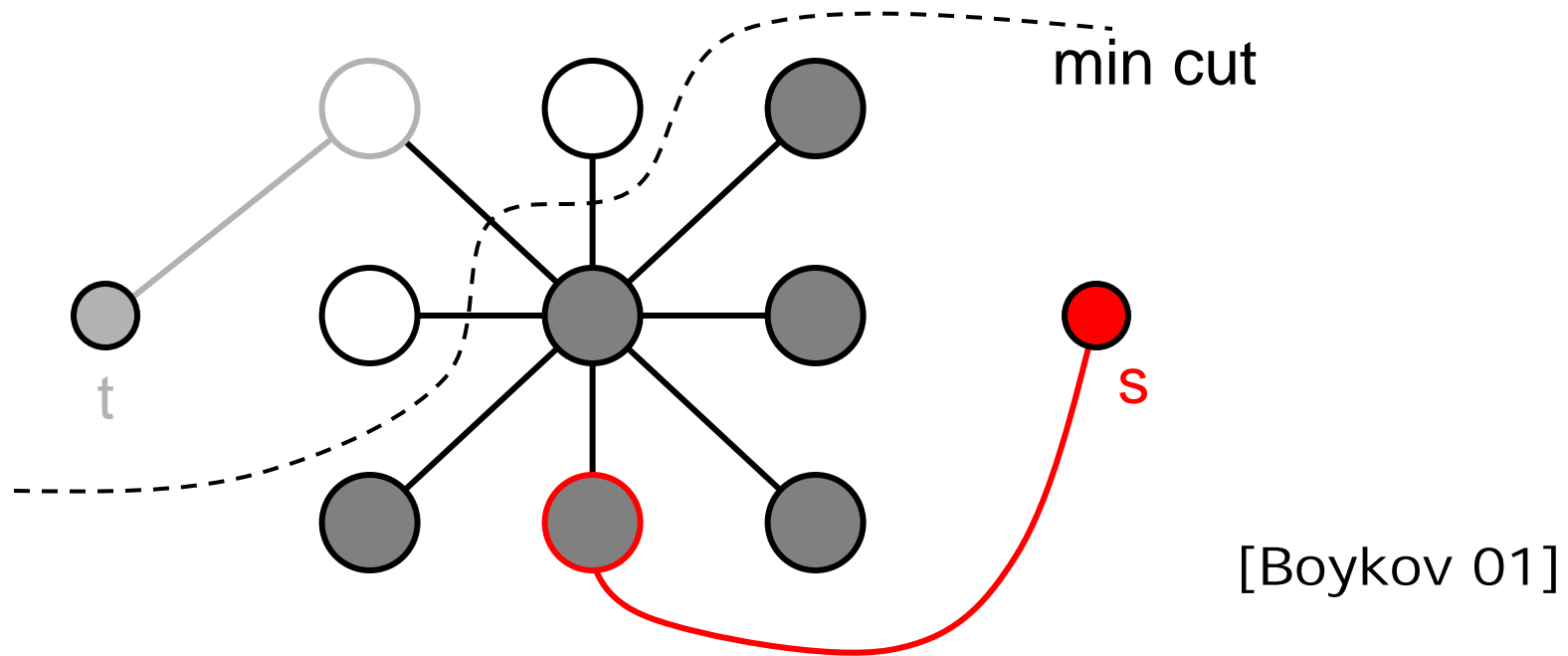
- For costs, natural to consider minimum cost cuts
  - Removing edges with smallest total cost, that cut graph in two parts
  - Graph only has finite-weight edges
- Manually assisted techniques, foreground vs. background
- General segmentation, recursively cut resulting components
  - Question of when to stop



# Image Segmentation & Minimum Cut



# Segmentation by Min (s-t) Cut

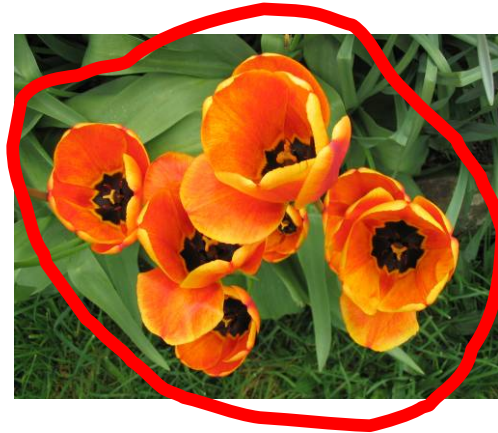
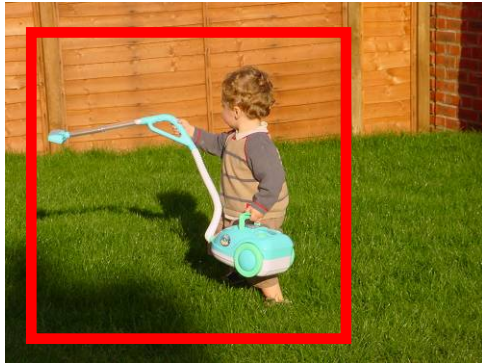


- Manually select a few fg and bg pixels
  - Infinite cost link from each bg pixel to the "t" node, and each fg pixel to "s" node
  - Compute min cut that separates s from t

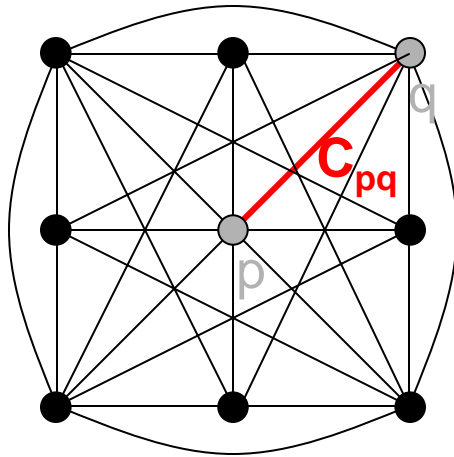


# Grabcut

[Rother et al., SIGGRAPH 2004]



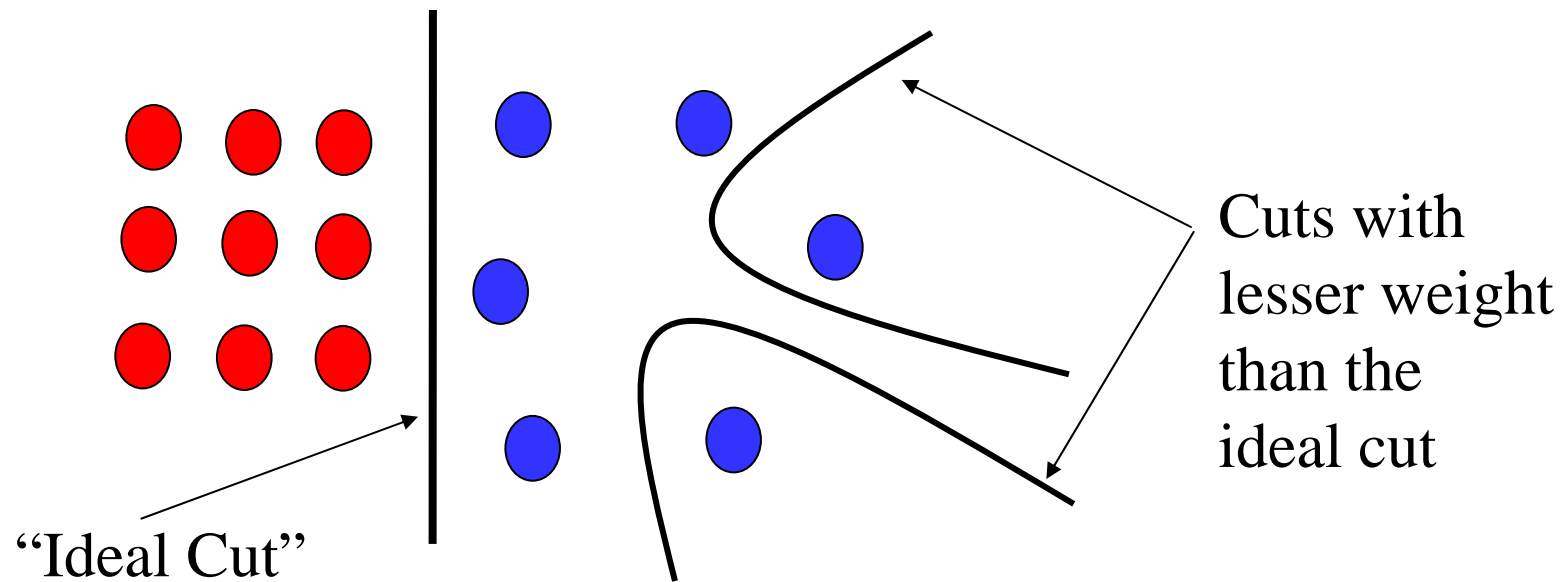
# Automatic Cut-Based Segmentation



- *Fully-connected* graph
  - Node for every pixel
  - Link between *every* pair of pixels,  $p, q$
  - Cost for each link measures *similarity*

# Drawbacks of Minimum Cut

- Weight of cut proportional to number of edges – preference for small regions
  - Motivation for Shi-Malik normalized cuts





# Normalized Cuts

- A number of normalization criteria have been proposed
- One that is commonly used [Shi&Malik ]

$$\text{Ncut}(A,B) = \frac{\text{cut}(A,B)}{\text{assoc}(A,V)} + \frac{\text{cut}(A,B)}{\text{assoc}(B,V)}$$

- Where  $\text{cut}(A,B)$  is standard definition

$$\sum_{i \in A, j \in B} W_{ij}$$

- And  $\text{assoc}(A,V) = \sum_j \sum_{i \in A} W_{ij}$



# Computing Normalized Cuts

- Has been shown this is equivalent to an integer programming problem, minimize

$$\frac{y^T (D-W)y}{y^T D y}$$

- Subject to the constraint that  $y_i \in \{1, b\}$  and  $y^T D \mathbf{1} = 0$ 
  - Where  $\mathbf{1}$  vector of all 1's
- $W$  is the affinity matrix
- $D$  is the degree matrix (diagonal)

$$D(i,i) = \sum_j w_{ij}$$



# Approximating Normalized Cuts

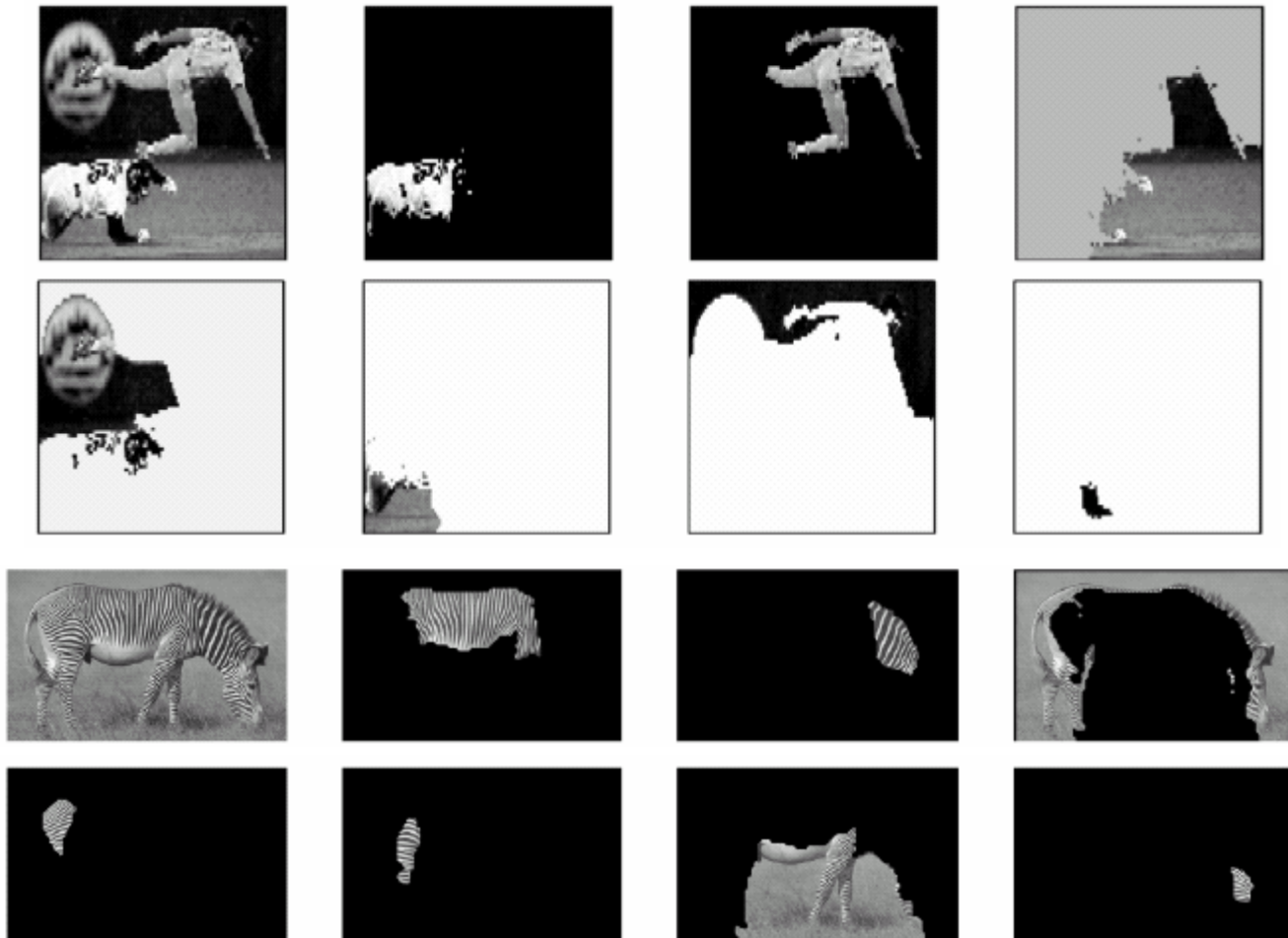
- Integer programming problem NP hard
  - Instead simply solve continuous (real-valued) version
  - This corresponds to finding second smallest eigenvector of

$$(D-W)y_i = \lambda_i Dy_i$$

- Widely used method
  - Works well in practice
    - Large eigenvector problem, but sparse matrices
    - Often resolution reduce images, e.g, 100x100
  - But no longer clearly related to cut problem



# Normalized Cut Examples



# Another Look [Weiss 99]

- Consider eigen analysis of affinity matrix

$$W = [ w_{ij} ]$$

- Note  $W$  is symmetric; for images  $w_{ij} = w_{ji}$
- $W$  also essentially block diagonal
  - With suitable rearrangement of rows/cols so that vertices with higher affinity have nearer indices
  - Entries far from diagonal are small (though not quite zero)
- Eigenvectors of  $W$ 
  - Recall for real, symmetric matrix forms an orthogonal basis
    - Axes of decreasing “importance”



# Structure of $W$

- Eigenvectors of block diagonal matrix consist of eigenvectors of the blocks
  - Padded with zeroes
- Note rearrangement so that clusters lie near diagonal only conceptual
  - Eigenvectors of permuted matrix are permutation of original eigenvectors
- Can think of eigenvectors as being associated with high affinity “clusters”
  - Eigenvectors with large eigenvalues
  - Approximately the case

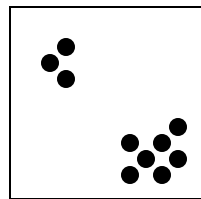


# Structure of $W$

- Consider case of point set where affinities

$$w_{ij} = \exp(-(y_i - y_j)^2 / \sigma^2)$$

- With two clusters
  - Points indexed to respect clusters for clarity
- Block diagonal form of  $W$ 
  - Within cluster affinities  $A$ ,  $B$  for clusters
  - Between cluster affinity  $C$

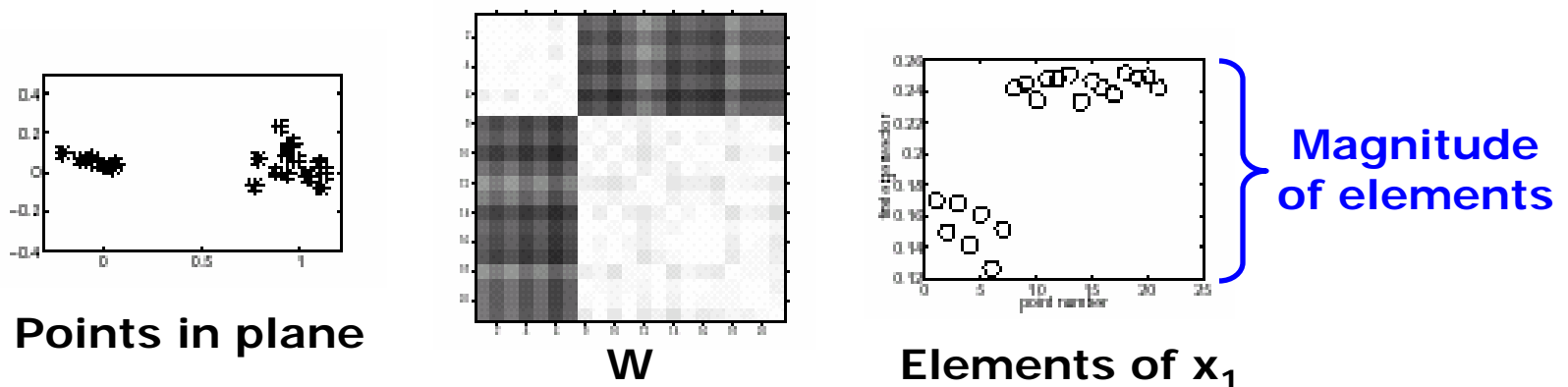


$$W = \begin{pmatrix} A & C \\ C^T & B \end{pmatrix}$$



# First Eigenvector of $W$

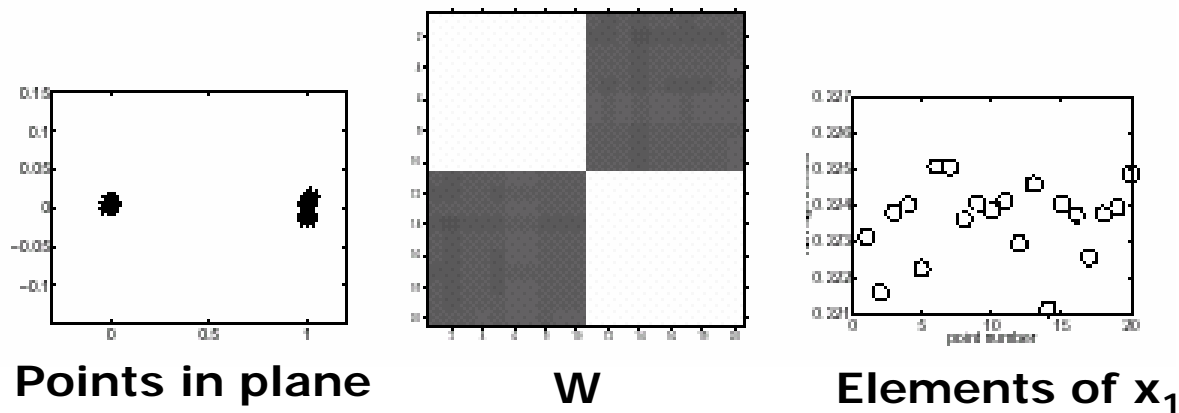
- Recall, vectors  $x_i$  satisfying  $Wx_i = \lambda_i x_i$
- Consider ordered by eigenvalues  $\lambda_i$ 
  - First eigenvector  $x_1$  has largest eigenvalue  $\lambda_1$
- Elements of first eigenvector serve as “index vector” [Perona, Freeman]
  - Selecting elements of highest affinity cluster





# Clustering

- First eigenvector of  $W$  has been suggested as clustering or segmentation criterion
  - For selecting most significant segment
  - Then recursively segment remainder
- Problematic when nonzero non-diagonal blocks (similar affinity clusters)



# Understanding Normalized Cuts

- Intractable discrete graph problem used to motivate continuous (real valued) problem
  - Find second *smallest* “generalized eigenvector”
$$(D-W)x_i = \lambda_i D x_i$$
  - Where D is (diagonal) degree matrix  $d_{ii} = \sum_j w_{ij}$
- Can be viewed in terms of first two eigenvectors of normalized affinity matrix
  - Let  $N = D^{-1/2} W D^{-1/2}$
  - Note  $n_{ij} = w_{ij} / (\sqrt{d_{ii}} \sqrt{d_{jj}})$ 
    - Affinity normalized by degree of the two nodes



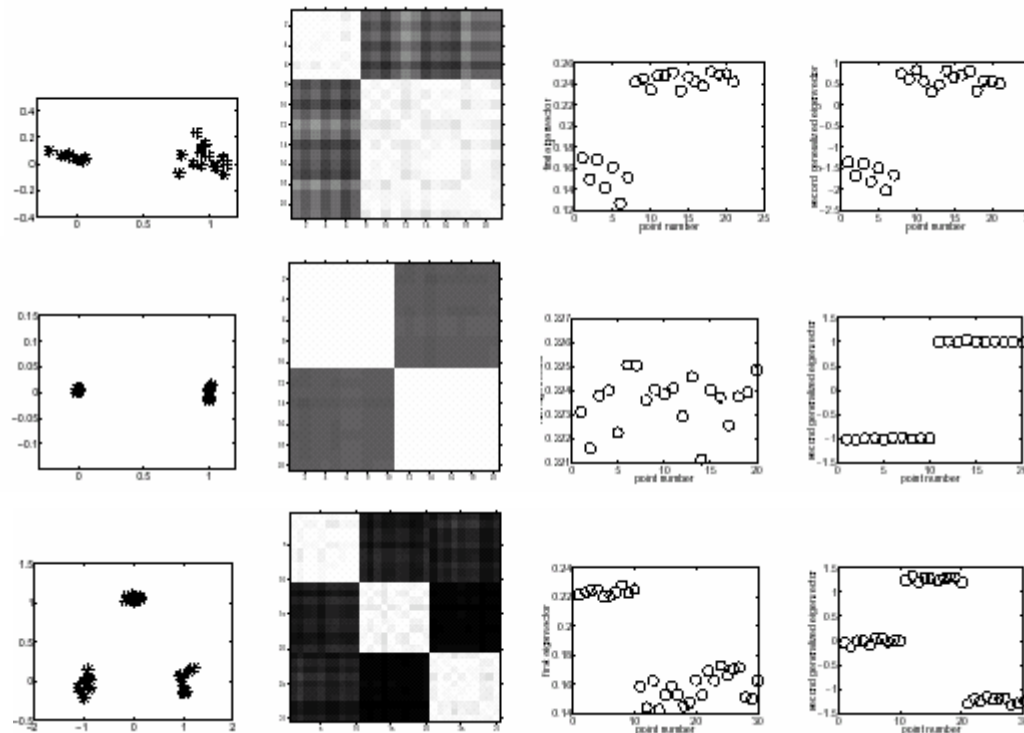
# Normalized Affinities

- Can be shown that
  - If  $x$  is an eigenvector of  $N$  with eigenvalue  $\lambda$  then  $D^{-1/2}x$  is a generalized eigenvector of  $W$  with eigenvalue  $1-\lambda$
  - The vector  $D^{-1/2}1$  is an eigenvector of  $N$  with eigenvalue 1
- It follows that
  - Second smallest generalized eigenvector of  $W$  is ratio of first two eigenvectors of  $N$
  - So ncut uses normalized affinity matrix  $N$  and first two eigenvectors rather than affinity matrix  $W$  and first eigenvector



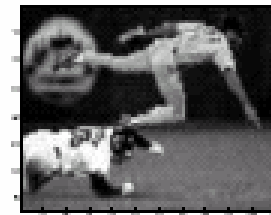
# Contrasting $W$ and $N$

- Three simple point clustering examples
  - $W$ , first eigenvector of  $W$ , ratio of first two eigenvectors of  $N$  (generalized eigenvector of  $W$ )

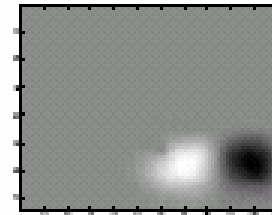
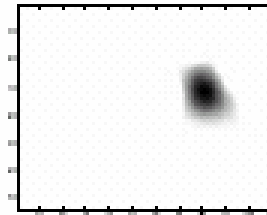
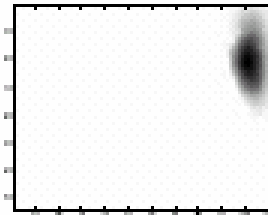
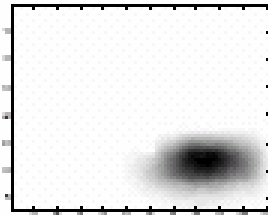


# Image Segmentation

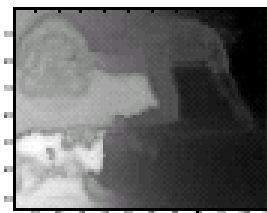
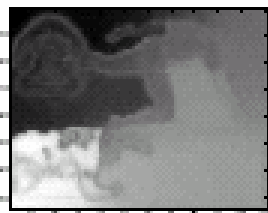
- Considering  $W$  and  $N$  for segmentation
  - Affinity a negative exponential based on distance in  $x, y, b$  space
- Eigenvectors of  $N$  more correlated with regions



First 4  
eigenvectors  
of  $W$



First 4  
eigenvectors  
of  $N$



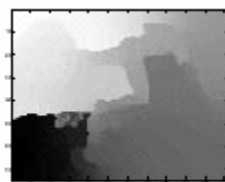
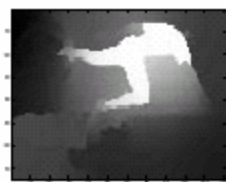
# Using More Eigenvectors

- Based on  $k$  largest eigenvectors
  - Construct matrix  $Q$  such that (ideally)  $q_{ij}=1$  if  $i$  and  $j$  in same cluster, 0 otherwise
- Let  $V$  be matrix whose columns are first  $k$  eigenvectors of  $W$
- Normalize rows of  $V$  to have unit Euclidean norm
  - Ideally each node (row) in one cluster (col)
- Let  $Q=VV^T$ 
  - Each entry product of two unit vectors



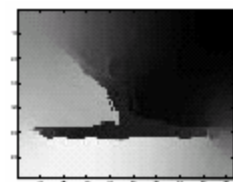
# Normalization and k Eigenvectors

- Normalized affinities help correct for variations in overall degree of affinity
  - So compute  $Q$  for  $N$  instead of  $W$
- Contrasting  $Q$  with ratio of first two eigenvectors of  $N$  (ncut criterion)
  - More clearly selects most significant region
    - Using  $k=6$  eigenvectors
  - Row of  $Q$  matrix vs. ratio of eigenvectors of  $N$



Q

N



Q

N



# Spectral Methods

- Eigenvectors of affinity and normalized affinity matrices
- Widely used outside computer vision for graph-based clustering
  - Link structure of web pages, citation structure of scientific papers
  - Often directed rather than undirected graphs



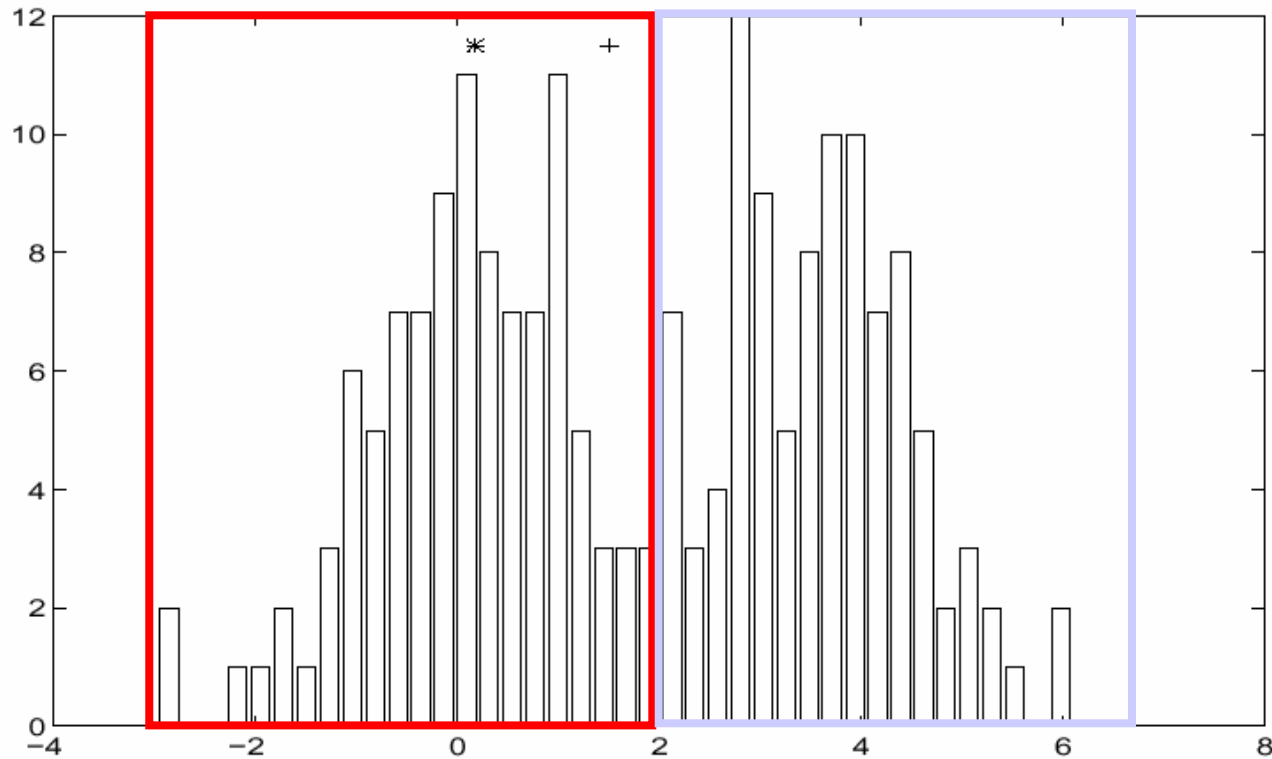


# Iterative Clustering Methods

- Techniques such as k-means, but for image segmentation generally have no idea about number of regions
- Mean-shift a nonparametric method



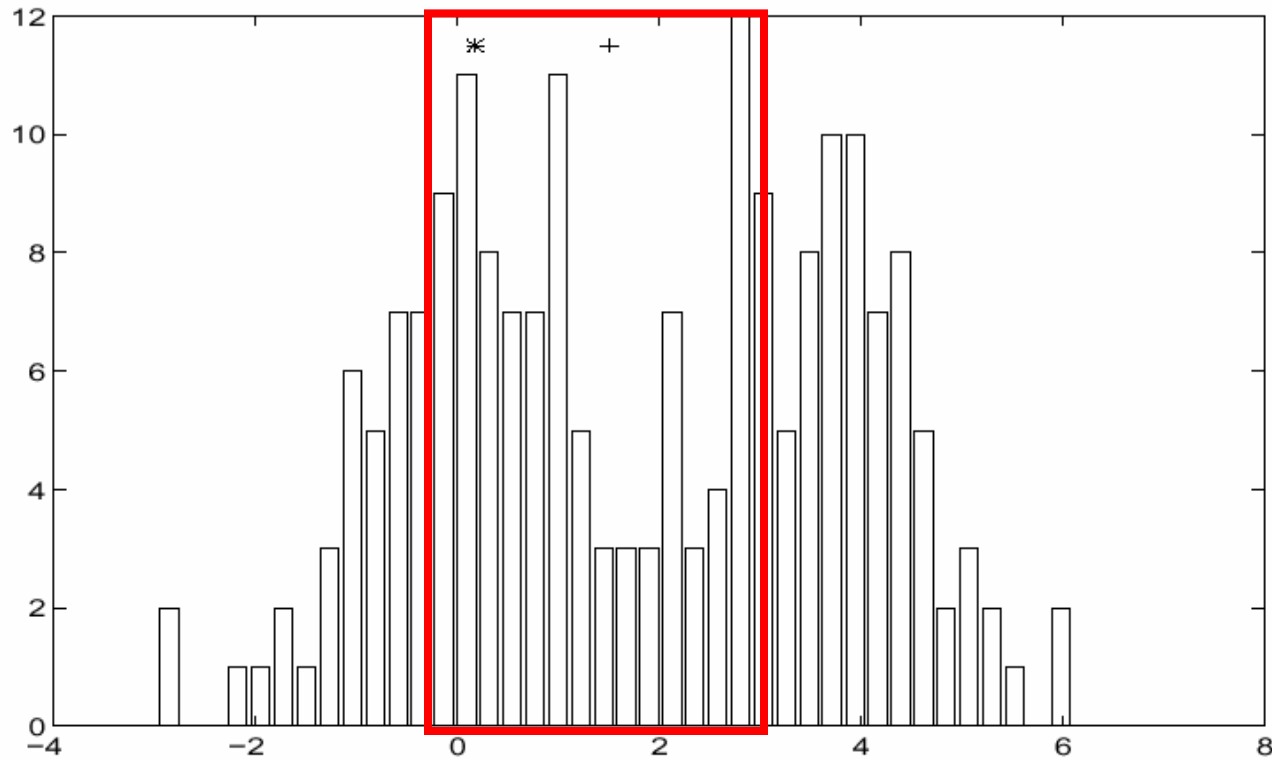
# Finding Modes in a Histogram



- How Many Modes Are There?
  - Easy to see, less easy to compute



# Mean Shift [Comaniciu & Meer]



- Iterative Mode Search
  1. Initialize random seed, and window  $W$
  2. Calculate center of gravity ( "mean" ) of  $W$  and shift



# Mean Shift

- Used both for segmentation and for edge preserving filtering
- Operates on collection of points  $X = \{x_1, \dots, x_n\}$  in  $R^d$
- Replace each point with value derived from mean shift procedure
  - Searches for a local density maximum by repeatedly shifting a d-dimensional hypersphere of fixed radius  $h$
  - Differs from most clustering, such as k-means in that no fixed number of clusters



# Mean Shift Procedure

- For given point  $x \in X$  let  $y_1, \dots, y_T$  denote successive locations of that point

$$y_1 = x$$

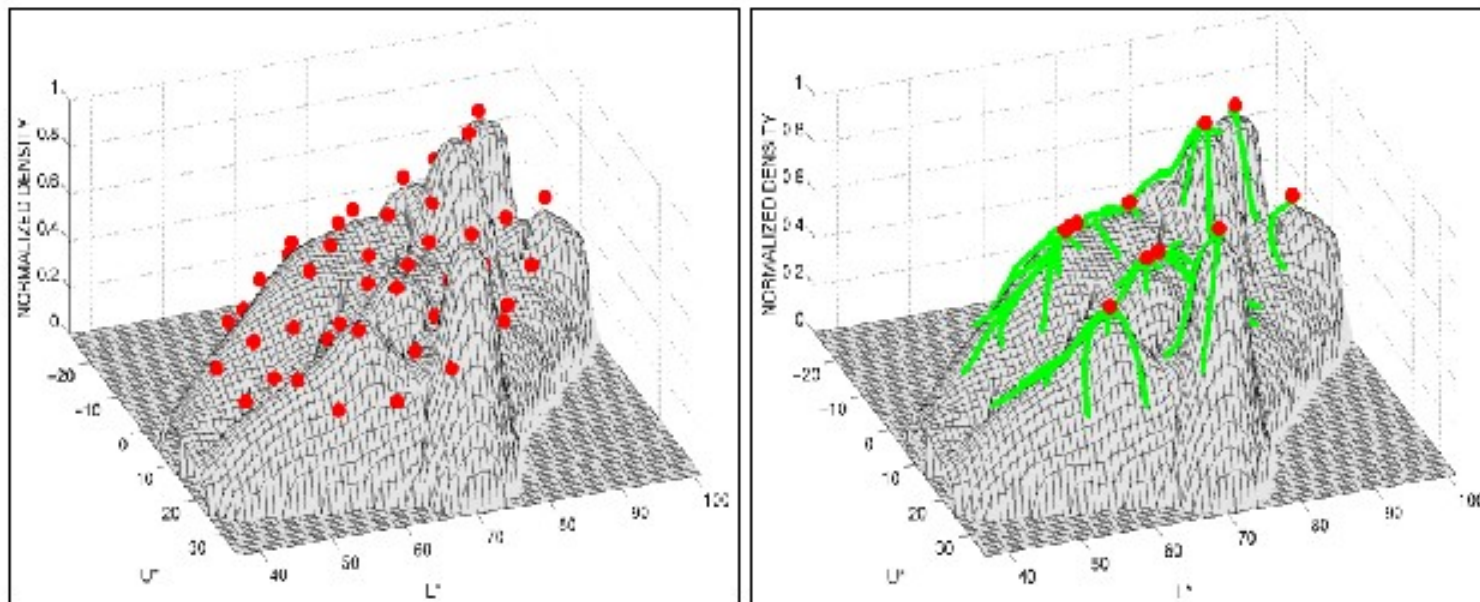
$$y_{k+1} = 1/|S(y_k)| \sum_{x \in S(y_k)} x$$

- Where  $S(y_k)$  is the subset of  $X$  contained in a hyper-sphere of radius  $h$  centered at  $y_k$ 
  - The radius  $h$  is a fixed parameter of the method
- For a point set  $X$ , the mean shift procedure is applied separately to all the points



# Mean-Shift

- Initialize window around each point
  - Where it shifts determines which region it's in
  - Multiple points will shift to the same region



Mean shift trajectories

# Mean Shift Image Filtering

- Map each image pixel to point in  $u, v, b$  space

$$x_i = (u_i, v_i, b_i / \sigma)$$

- Analogous for color images, with three intensity values instead of one
- Scale factor  $\sigma$  normalizes intensity vs. spatial dimensions
- Perform mean shift for each point
  - Let  $Y_i = (U_i, V_i, B_i)$  denote mean shifted value
- Assign result  $z_i = (u_i, v_i, B_i)$ 
  - Original spatial coords, mean shifted intensity



# Mean Shift Example





# Mean Shift Example

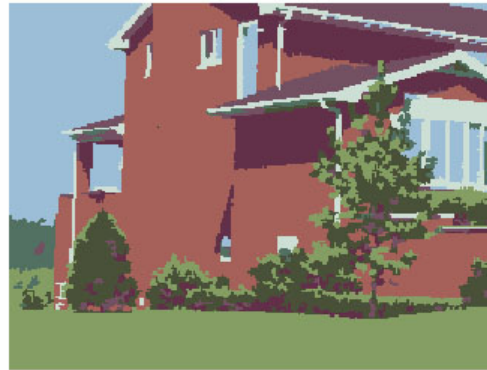
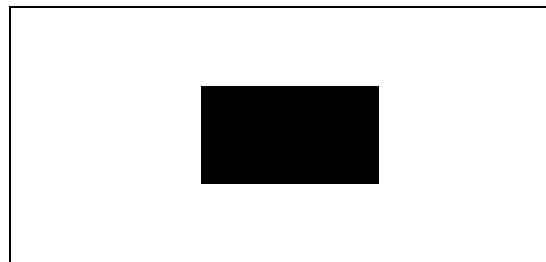


Figure 2: The *house* image,  $255 \times 192$  pixels, 9603 colors.



# Edge Preserving Filtering

- Mean shift tends to preserve edges
- Edges are where intensity is changing rapidly
- Rapid changes in intensity will result in lower density regions in joint spatial-intensity space
- Mean shift finds local density maxima



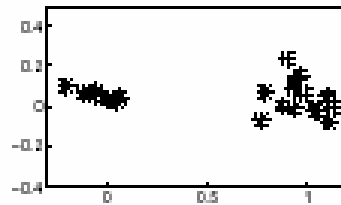
# Mean Shift Clustering

- Run mean shift procedure for each point
- Cluster resulting convergence points that closer than some small constant
- Assign each point label of its cluster
- Analogous to filtering, but with added step of merging cluster that are nearby in the joint spatial-intensity domain



# About Mean Shift

- Convergence to local density maximum
  - Where “local” determined by sphere radius
- Consider simple point set



- Over wide range of sphere radii end up with two clusters
  - Relationship to MST

