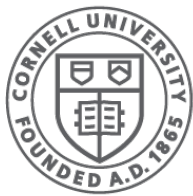


Concurrent Programming: Critical Sections

CS 6410



Cornell CIS
COMPUTING AND INFORMATION SCIENCE

[[Robbert van Renesse](#)]

Concurrent Programming is Hard

Why?

- Concurrent programs are *non-deterministic*
 - run them twice with same input, get two different answers
 - or worse, one time it works and the second time it fails
- Program statements are executed *non-atomically*
 - **`x += 1`** compiles to something like
 - **LOAD x**
 - **ADD 1**
 - **STORE x**

Enter *Harmony*

- A new concurrent programming language
 - heavily based on Python syntax to reduce learning curve for many
- A new underlying virtual machine

it tries *all* possible executions of a program (or rather, explores all possible reachable states) until it finds a problem, if any
(this is called “model checking”)

Non-Determinism

```
1      shared = True
2
3      def f(): assert shared
4      def g(): shared = False
5
6      f()
7      g()
```

(a) [[code/prog1.hny](#)] Sequential

```
1      shared = True
2
3      def f(): assert shared
4      def g(): shared = False
5
6      spawn f()
7      spawn g()
```

(b) [[code/prog2.hny](#)] Concurrent

Figure 3.1: A sequential and a concurrent program.

Non-Determinism

```
1      shared = True
2
3      def f(): assert shared
4      def g(): shared = False
5
6      f()
7      g()
```

(a) [[code/prog1.hny](#)] Sequential

```
1      shared = True
2
3      def f(): assert shared
4      def g(): shared = False
5
6      spawn f()
7      spawn g()
```

(b) [[code/prog2.hny](#)] Concurrent

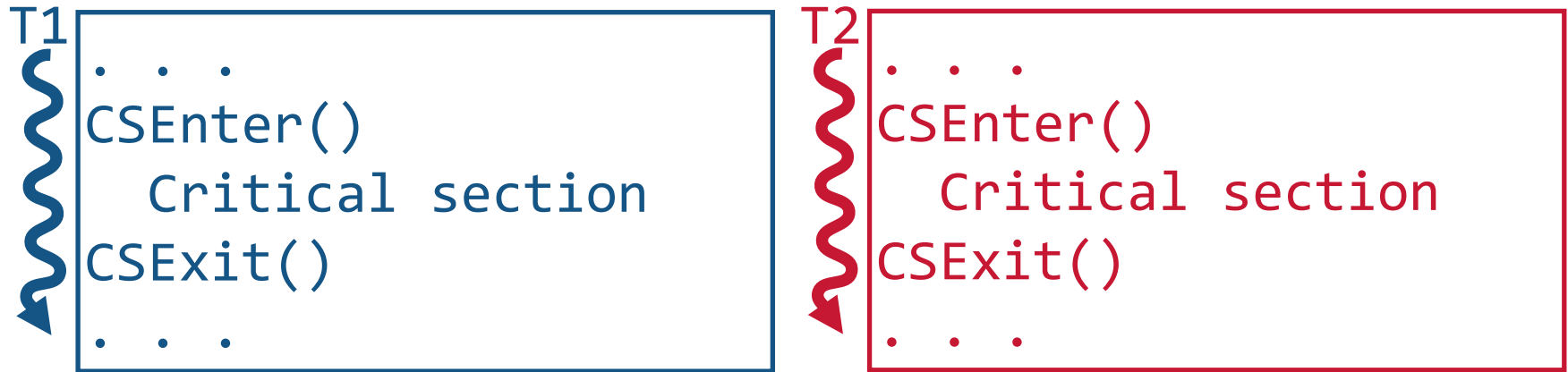
Figure 3.1: A sequential and a concurrent program.

#states 2
2 components, 0 bad states
No issues

#states 11
Safety Violation
T0: `__init__()` [0-3,17-25] { *shared*: True }
T2: `g()` [13-16] { *shared*: False }
T1: `f()` [4-8] { *shared*: False }
Harmony assertion failed

Critical Section

Must be serialized due to shared memory access



Goals

Mutual Exclusion: 1 thread in a critical section at time

Progress: at least one thread makes it into the CS if desired and no other thread is there

Fairness: equal chances of getting into CS

... in practice, fairness rarely guaranteed or needed

Mutual Exclusion and Progress

- Need both:
 - either one is trivial to achieve by itself

Critical Sections in Harmony

```
def thread(self):  
    while True:  
        ...    # code outside critical section  
        ...    # code to enter the critical section  
        ...    # critical section itself  
        ...    # code to exit the critical section
```

```
spawn thread(1)  
spawn thread(2)  
...
```

- How do we check mutual exclusion?
- How do we check progress?

Critical Sections in Harmony

```
def thread(self):  
    while True:  
        ...    # code outside critical section  
        ...    # code to enter the critical section  
        cs: assert countLabel(cs) == 1  
        ...    # code to exit the critical section
```

```
spawn thread(1)  
spawn thread(2)  
...
```

- How do we check mutual exclusion?
- How do we check progress?

Critical Sections in Harmony

```
def thread(self):  
    while choose( { False, True } ):  
        ...    # code outside critical section  
        ...    # code to enter the critical section  
        cs: assert countLabel(cs) == 1  
        ...    # code to exit the critical section
```

```
spawn thread(1)  
spawn thread(2)  
...
```

- How do we check mutual exclusion?
- How do we check progress?
 - *if code to enter/exit the critical section cannot terminate, Harmony with balk*

Sounds like you need a lock...

- True, but this is an O.S. class!
- The question is:

How does one build a lock?

- Harmony is a concurrent programming language. *Really, doesn't Harmony have locks?*

You have to program them!


First attempt: a naïve lock

```
1  lockTaken = False
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          await not lockTaken
7          lockTaken = True
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13         lockTaken = False
14
15  spawn thread(0)
16  spawn thread(1)
```

Figure 5.3: [[code/naiveLock.hny](#)] Naïve implementation of a shared lock.

First attempt: a naïve lock

```
1  lockTaken = False
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          await not lockTaken
7          lockTaken = True
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13         lockTaken = False
14
15     spawn thread(0)
16     spawn thread(1)
```



wait till lock is free, then take it

Figure 5.3: [[code/naiveLock.hny](#)] Naïve implementation of a shared lock.

First attempt: a naïve lock

```
1  lockTaken = False
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          await not lockTaken      ← wait till lock is free, then take it
7          lockTaken = True
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13         lockTaken = False        ← release the lock
14
15     spawn thread(0)
16     spawn thread(1)
```

Figure 5.3: [[code/naiveLock.hny](#)] Naïve implementation of a shared lock.

First attempt: a naïve lock

```
1  lockTaken = False
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          await not lockTaken
7          lockTaken = True
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave
13         lockTaken = False
14
15     spawn thread(self)
16     spawn thread(self)
```

===== Safety violation =====

__init__ /() [0,26-36]	36 { lockTaken: False }
thread/0 [1-2,3(choose True),4-7]	8 { lockTaken: False }
thread/1 [1-2,3(choose True),4-8]	9 { lockTaken: True }
thread/0 [8-19]	19 { lockTaken: True }

>>> Harmony Assertion (file=code/naiveLock.hny, line=10) failed

Figure 5.3: [[code/naiveLock.hny](#)] Naive implementation of a shared lock.


Second attempt: *flags*

```
1  flags = [ False, False ]
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          flags[self] = True
7          await not flags[1 - self]
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13         flags[self] = False
14
15     spawn thread(0)
16     spawn thread(1)
```

Figure 5.5: [[code/naiveFlags.hny](#)] Naïve use of flags to solve mutual exclusion.

Second attempt: *flags*

```
1  flags = [ False, False ]
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          flags[self] = True
7          await not flags[1 - self]
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13         flags[self] = False
14
15     spawn thread(0)
16     spawn thread(1)
```

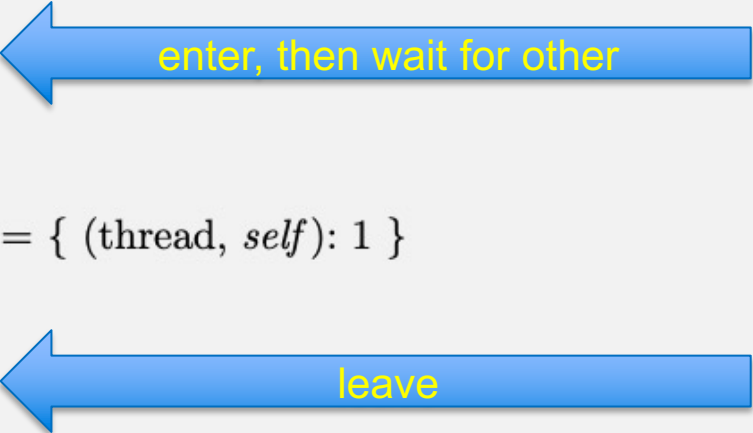


enter, then wait for other

Figure 5.5: [[code/naiveFlags.hny](#)] Naïve use of flags to solve mutual exclusion.

Second attempt: *flags*

```
1  flags = [ False, False ]
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          flags[self] = True
7          await not flags[1 - self]
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13         flags[self] = False
14
15     spawn thread(0)
16     spawn thread(1)
```



enter, then wait for other

leave

Figure 5.5: [[code/naiveFlags.hny](#)] Naïve use of flags to solve mutual exclusion.

Second attempt: *flags*

```
1  flags = [ False, False ]
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          flags[self] = True
7          await not flags[1 - self]
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13         flags[self] = False
14
15     spawn thread(0)
16     spawn thread(1)
```

Figure 5.5: [[code/naiveFlags.hny](#)] Naïve use of flags to solve mutual exclusion.

Second attempt: *flags*

```
1  flags = [ False, False ]
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          flags[self] = True
7          await not flags[1 - self]
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13         flags[self] = False
14
15     spawn thread(0)
16     spawn thread(1)
```

==== Non-terminating State ====

__init__ / () [0,36-46] 46 { flags: [False, False] }
thread/0 [1-2,3(choose True),4-12] 13 { flags: [True, False] }
thread/1 [1-2,3(choose True),4-12] 13 { flags: [True, True] }
blocked thread: thread/1 pc = 13
blocked thread: thread/0 pc = 13

Figure 5.5: [code/n](#)

Third attempt: *turn* variable

```
1    turn = 0
2
3    def thread(self):
4        while choose({ False, True }):
5            # Enter critical section
6            turn = 1 - self
7            await turn == self
8
9            # Critical section
10           @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12           # Leave critical section
13
14    spawn thread(0)
15    spawn thread(1)
```

Figure 5.7: [[code/naiveTurn.hny](#)] Naïve use of turn variable to solve mutual exclusion.

Third attempt: *turn* variable

```
1  turn = 0
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          turn = 1 - self
7          await turn == self
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13
14     spawn thread(0)
15     spawn thread(1)
```

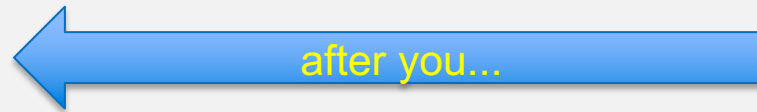
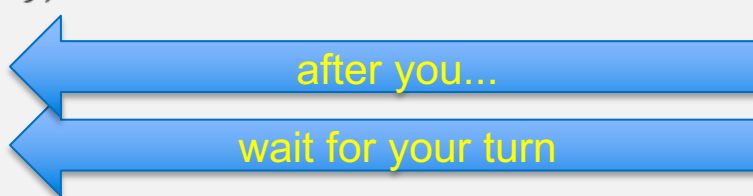


Figure 5.7: [[code/naiveTurn.hny](#)] Naïve use of turn variable to solve mutual exclusion.

Third attempt: *turn* variable

```
1  turn = 0
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          turn = 1 - self
7          await turn == self
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13
14     spawn thread(0)
15     spawn thread(1)
```



The diagram consists of two horizontal blue arrows pointing to the left. The top arrow is positioned above the bottom arrow. The top arrow has the text "after you..." in yellow. The bottom arrow has the text "wait for your turn" in yellow.

Figure 5.7: [[code/naiveTurn.hny](#)] Naïve use of *turn* variable to solve mutual exclusion.

Third attempt: *turn* variable

```
1  turn = 0
2
3  def thread(self):
4      while choose({ False, True }):
5          # Enter critical section
6          turn = 1 - self
7          await turn == self
8
9          # Critical section
10         @cs: assert atLabel(cs) == { (thread, self): 1 }
11
12         # Leave critical section
13
14     spawn thread(0)
15     spawn thread(1)
```

==== Non-terminating State ====

__init__/(0) [0,28-38]	38 { <i>turn</i> : 0 }
thread/0 [1-2,3(choose True),4-26,2,3(choose True),4]	5 { <i>turn</i> : 1 }
thread/1 [1-2,3(choose False),4,27]	27 { <i>turn</i> : 1 }
blocked thread: thread/0 pc = 5	


Figure 5.7: [coe

Peterson's Algorithm: *flags & turn*

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
14        @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

Figure 6.1: [[code/Peterson.hny](#)] Peterson's Algorithm

Peterson's Algorithm: *flags & turn*



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1 sequential flags, turn
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3 flags = [ False, False ]
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8         # Enter critical section
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11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
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15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

Figure 6.1: [<code/Peterson.hny>] Peterson's Algorithm

Peterson's Algorithm: *flags & turn*

```
1  sequential flags, turn
2
3  flags = [ False, False ]
4  turn = choose({0, 1})
5
6  def thread(self):
7      while choose({ False, True }):
8          # Enter critical section
9          flags[self] = True
10         turn = 1 - self
11         await (not flags[1 - self]) or (turn == self)
12
13         # critical section is here
14         @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16         # Leave critical section
17         flags[self] = False
18
19  spawn thread(0)
20  spawn thread(1)
```

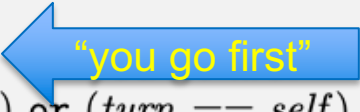


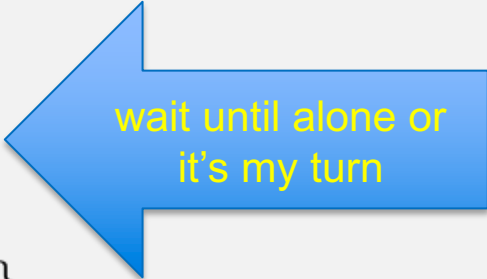
Figure 6.1: [<code/Peterson.hny>] Peterson's Algorithm

Peterson's Algorithm: *flags & turn*

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
14        @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```



you go first



wait until alone or
it's my turn

Figure 6.1: [[code/Peterson.hny](#)] Peterson's Algorithm

Peterson's Algorithm: *flags & turn*

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
14        @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

"you go first"

wait until alone or
it's my turn

leave

Figure 6.1: [[code/Peterson.hny](#)] Peterson's Algorithm

Peterson's Algorithm: *flags & turn*

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
14        @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

#states = 104 diameter = 5
#components: 37
no issues found

Figure 6.1: [[code/Peterson.hny](#)] Peterson's Algorithm

So, we proved Peterson's Algorithm correct by brute force, enumerating all possible executions. We now know *that* it works.

*But how does one prove it by deduction?
so one understands *why* it works...*

What and how?

- Need to show that, for any execution, all states reached satisfy mutual exclusion
 - in other words, mutual exclusion is *invariant*
invariant = predicate that holds in every reachable state

How to prove an invariant?

- Need to show that, for any execution, all states reached satisfy the invariant
- Sounds similar to sorting:
 - Need to show that, for any list of numbers, the resulting list is ordered
- Let's try *proof by induction* on the length of an execution

Proof by induction

You want to prove that some *Induction Hypothesis* $IH(n)$ holds for any n :

- Base Case:

- show that $IH(0)$ holds

- Induction Step:

- show that if $IH(i)$ holds, then so does $IH(i+1)$

Proof by induction in our case

To show that some **IH** holds for an *execution* **E** of any number of *steps*:

- Base Case:

- show that **IH** holds in the initial state(s)

- Induction Step:

- show that if **IH** holds in a state produced by **E**, then for any possible next step **s**, **IH** also holds in the state produced by **E + [s]**



But there's a problem

- How do we characterize a “state produced by E”?
 - or how do we characterize a *reachable state*?
- Instead, it's much easier if we proved a so-called “inductive invariant”:
 - Base Case:
 - show that **IH** holds in the initial state(s)
 - Induction Step:
 - show that if **IH** holds in **any** state, then for any possible next step, **IH** also holds in the resulting state

First question: what should IH be?

- Obvious answer: mutual exclusion itself
 - if $T0$ is in the critical section, then $T1$ is not
 - without loss of generality...
 - Formally: $T0@cs \Rightarrow \neg T1@cs$
- Unfortunately, this won't work...

State before T1 takes a step:

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11  await (not flags[1 - self]) or (turn == self)
12
13 # critical section is here
14  @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16 # Leave critical section
17 flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

flags = [True, True]
turn = 1

mutual exclusion holds

Figure 6.1: [code/Peterson.hny] Peterson's Algorithm

State after T1 takes a step:

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
14        @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

flags = [True, True]
turn = 1

T0

T1

mutual exclusion violated

Figure 6.1: [code/Peterson.hny] Peterson's Algorithm

So, is Peterson's Algorithm broken?

No, it'll turn out this prior state cannot be reached from the initial state (see later)

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
14        @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

flags = [True, True]
turn = 1

T1

T0

mutual exclusion holds

Figure 6.1: [code/Peterson.hny] Peterson's Algorithm

Useful and obvious but insufficient invariant

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
14        @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

$Tx@cs \Rightarrow flags[x]$

mutual exclusion holds

Figure 6.1: [code/Peterson.hny] Peterson's Algorithm

What else do we expect to hold @cs?

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
14        @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

???

mutual exclusion holds

Figure 6.1: [code/Peterson.hny] Peterson's Algorithm

Another obvious IH to try

- Based on the **await** condition:

$$T0@cs \Rightarrow \neg flags[1] \vee turn = 0$$

- Promising because if $T0@cs \wedge T1@cs$ then

$$\left. \begin{array}{l} T0@cs \Rightarrow \neg flags[1] \vee turn = 0 \wedge \\ T1@cs \Rightarrow \neg flags[0] \vee turn = 1 \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} turn = 0 \wedge \\ turn = 1 \end{array} \right. \\ \Rightarrow \text{False (therefore mutual exclusion)}$$

- Unfortunately, this is not an invariant...

Another obvious IH to try

- Based on the **await** condition:

$$T0@cs \Rightarrow \neg flags[1] \vee turn = 0$$

- Easy to check with Harmony
Just run it with the following:
`@cs: assert (not flags[1 - self]) or (turn == self)`
- Unfortunately, this is not an invariant...

State before T1 takes a step:

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
14        @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

flags = [True, False]
turn = 1

T1

T0

$T0@cs \Rightarrow \neg flags[1] \vee turn = 0$ holds

note: this is a reachable state

Figure 6.1: [code/Peterson.hny] Peterson's Algorithm

State after T1 takes a step:

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11        await (not flags[1 - self]) or (turn == self)
12
13        # critical section is here
14        @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16        # Leave critical section
17        flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

flags = [True, True]
turn = 1

T1

T0



$T0@cs \Rightarrow \neg flags[1] \vee turn = 0$ violated

note: this is also a reachable state

Figure 6.1: [code/Peterson.hny] Peterson's Algorithm

But suggests an improved hypothesis

$$T0@cs \Rightarrow \neg flags[1] \vee turn = 0 \vee T1@gate$$

```
3  flags = [ False, False ]
4  turn = choose({0, 1})
5
6  def thread(self):
7      while choose({ False, True }):
8          # Enter critical section
9          flags[self] = True
10          @gate: turn = 1 - self
11         await (not flags[1 - self]) or (turn == self)
12
13         # Critical section
14          @cs: assert (not flags[1 - self]) or (turn == self) or
15             (atLabel(gate) == {(thread, 1 - self): 1})
16
17         # Leave critical section
18         flags[self] = False
```


But suggests an improved hypothesis

$$T0@cs \Rightarrow \neg flags[1] \vee turn = 0 \vee T1@gate$$

3 *flags* = [False, False]

Also easy to check with Harmony

Proves that it is invariant, but not necessarily an
inductive invariant

10

@cs: assert (not *flags*[1 - *self*]) or (*turn* == *self*) or
(atLabel(gate) == {(thread, 1 - *self*): 1})

Leave critical section
flags[*self*] = False

Inductive Invariance Proof

Let I be the induction hypothesis:

$$I \triangleq T0@cs \Rightarrow \neg flags[1] \vee turn == 0 \vee T1@gate$$

I clearly holds in the initial state because $\neg T0@cs$ (false implies anything)

We are going to show: if I holds in a state (*reachable or not*), then I also holds in any state after either $T0$ or $T1$ takes a step

Tricky Case 1:

$\neg T0@cs$ and $T0$ takes a step so that $T0@cs$

This must mean that $\neg flags[1] \vee turn = 0$
before the step (see code line 11)

```
11      await (not flags[1 - self]) or (turn == self)
12
13      # critical section is here
14      @cs: assert atLabel(cs) == { (thread, self): 1 }
```

But then $\neg flags[1] \vee turn = 0$ still holds after
the step

So $T0@cs \Rightarrow \neg flags[1] \vee turn = 0 \vee T1@gate$



Tricky Case 2:

$T0@cs$ and $T1$ takes a step

This must mean that before the step

$\neg flags[1] \vee turn = 0 \vee T1@gate$ (by IH).

So, 3 cases to consider:

- $\neg flags[1] \Rightarrow flags[1]$
→ this means $T1@gate$ after the step
- $turn = 0 \Rightarrow turn = 1$
→ can't happen (only $T0$ sets $turn$ to 1)
- $T1@gate \Rightarrow \neg T1@gate$
→ this means $turn = 0$ after step

```
# Enter critical section  
flags[self] = True  
@gate: turn = 1 - self
```

So, $T0@cs \Rightarrow \neg flags[1] \vee turn = 0 \vee T1@gate$



Finally, prove mutual exclusion

$$T0@cs \wedge T1@cs \Rightarrow$$

$$\left\{ \begin{array}{l} \neg flags[1] \vee turn = 0 \vee T1@gate \\ \neg flags[0] \vee turn = 1 \vee T0@gate \end{array} \right. \wedge$$

$$\Rightarrow turn = 0 \wedge turn = 1$$

$$\Rightarrow \textit{False}$$



Finally, prove mutual exclusion

$$T0@cs \wedge T1@cs \Rightarrow$$



$$\left\{ \begin{array}{l} \neg flags[1] \vee turn = 0 \vee T1@gate \\ \neg flags[0] \vee turn = 1 \vee T0@gate \end{array} \right. \wedge$$

$$\Rightarrow turn = 0 \wedge turn = 1$$

$$\Rightarrow \textit{False}$$



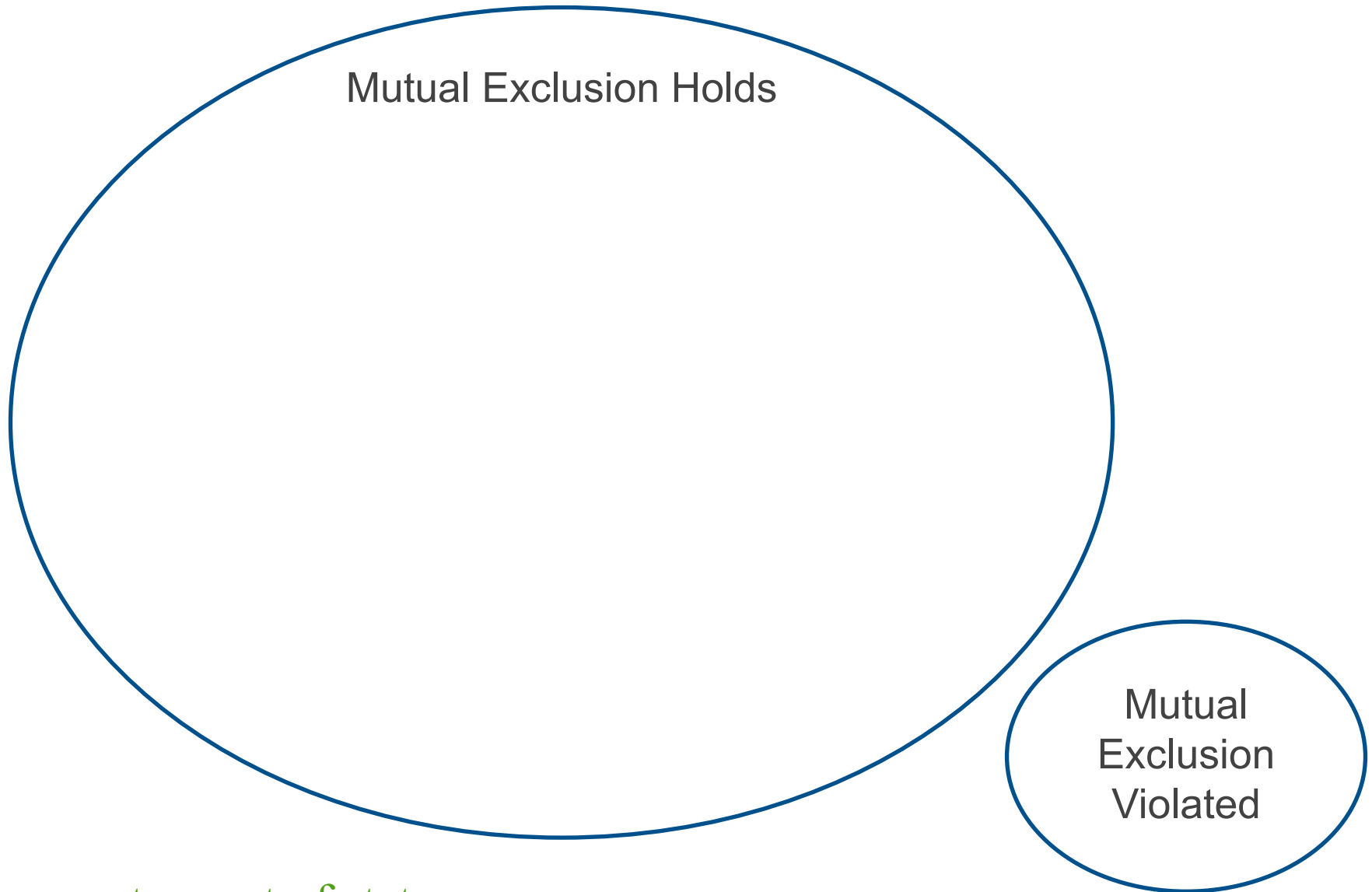
Now we can see why this state cannot be reached!

```
1 sequential flags, turn
2
3 flags = [ False, False ]
4 turn = choose({0, 1})
5
6 def thread(self):
7     while choose({ False, True }):
8         # Enter critical section
9         flags[self] = True
10        turn = 1 - self
11  await (not flags[1 - self]) or (turn == self)
12
13 # critical section is here
14  @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16 # Leave critical section
17 flags[self] = False
18
19 spawn thread(0)
20 spawn thread(1)
```

flags = [True, True]
turn = 1

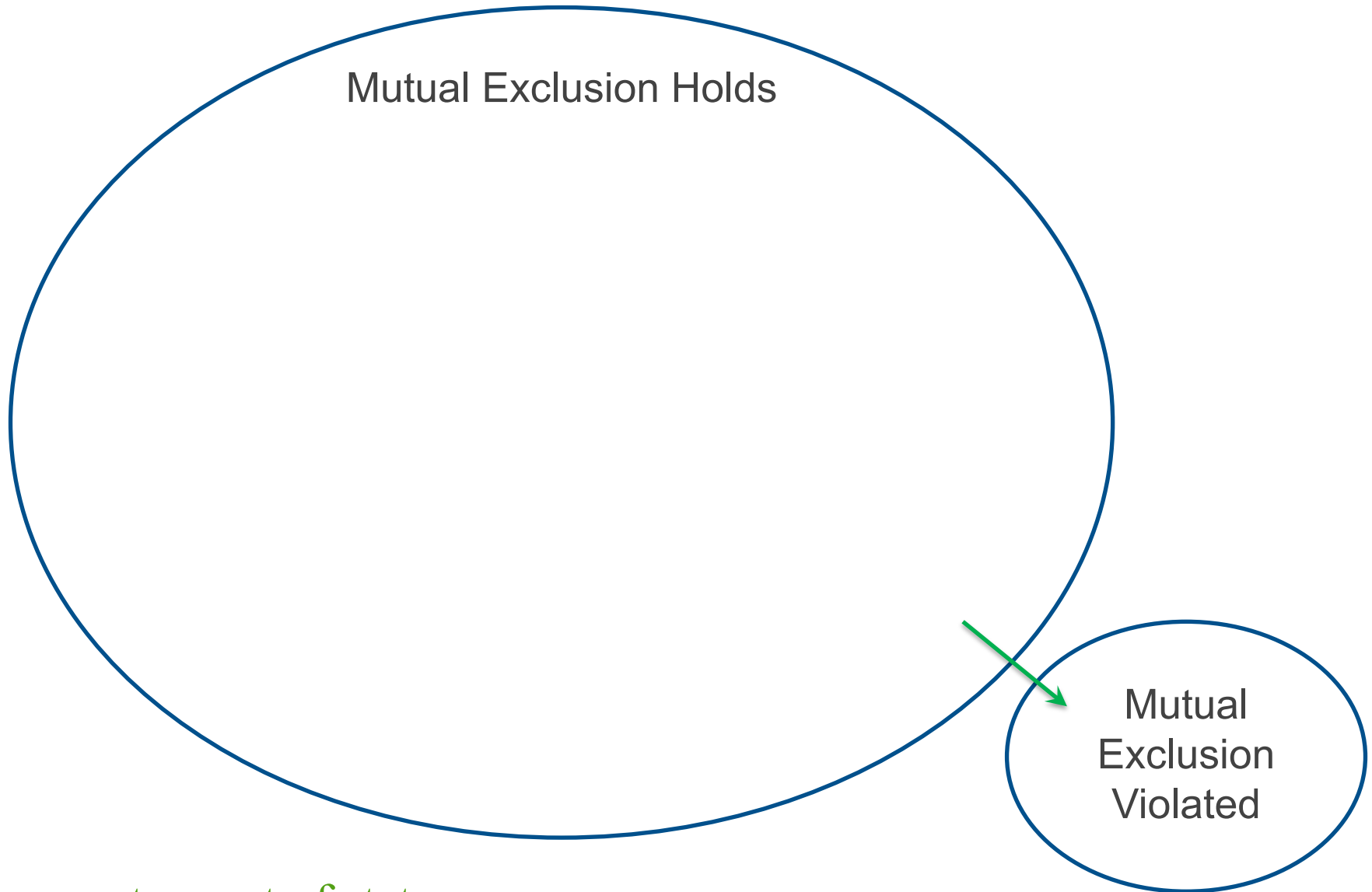
$T0@cs \Rightarrow \neg flags[1] \vee turn = 0 \vee T1@gate \quad \times$

Review in Pictures: State Space



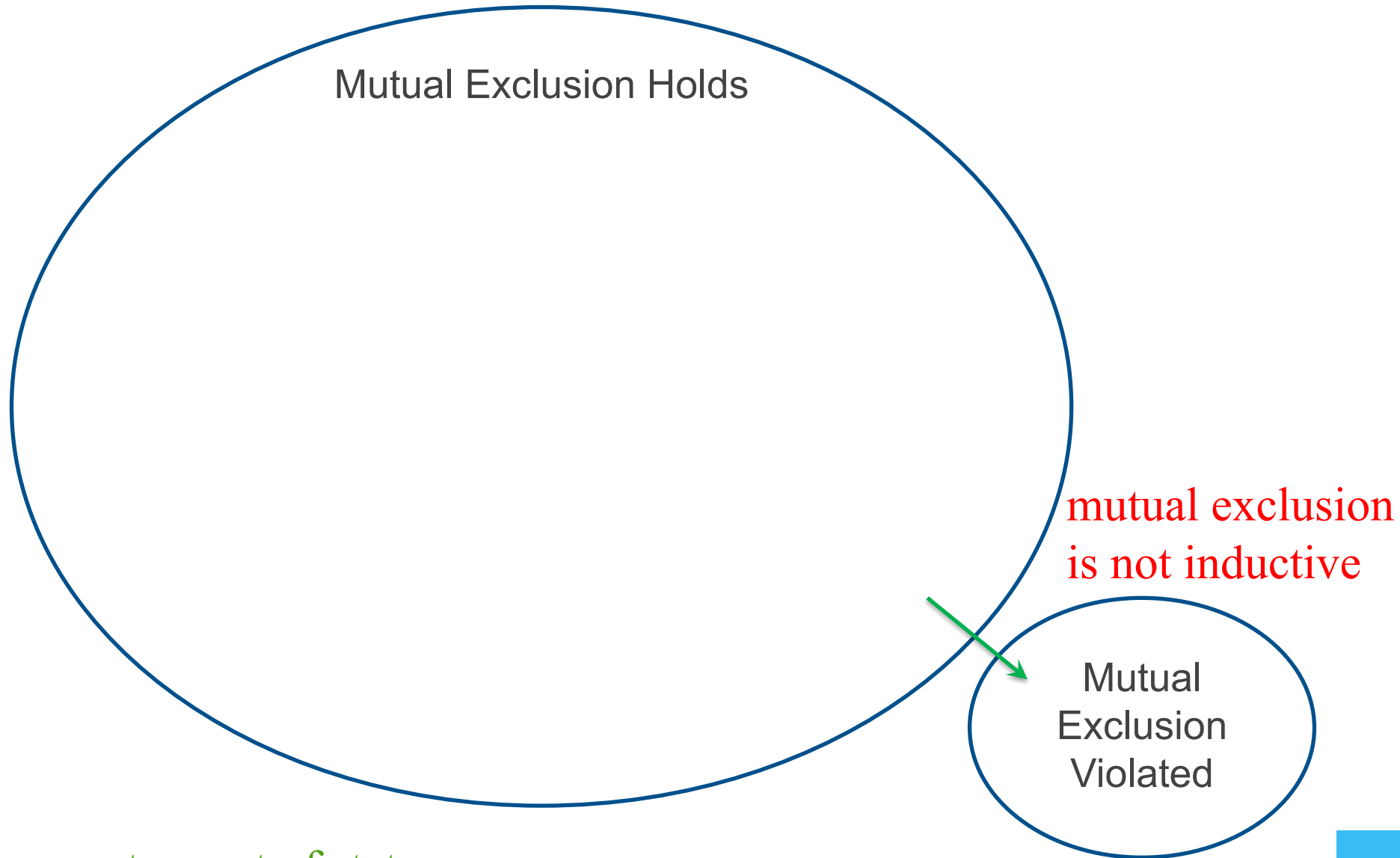
property = set of states

Review in Pictures: State Space



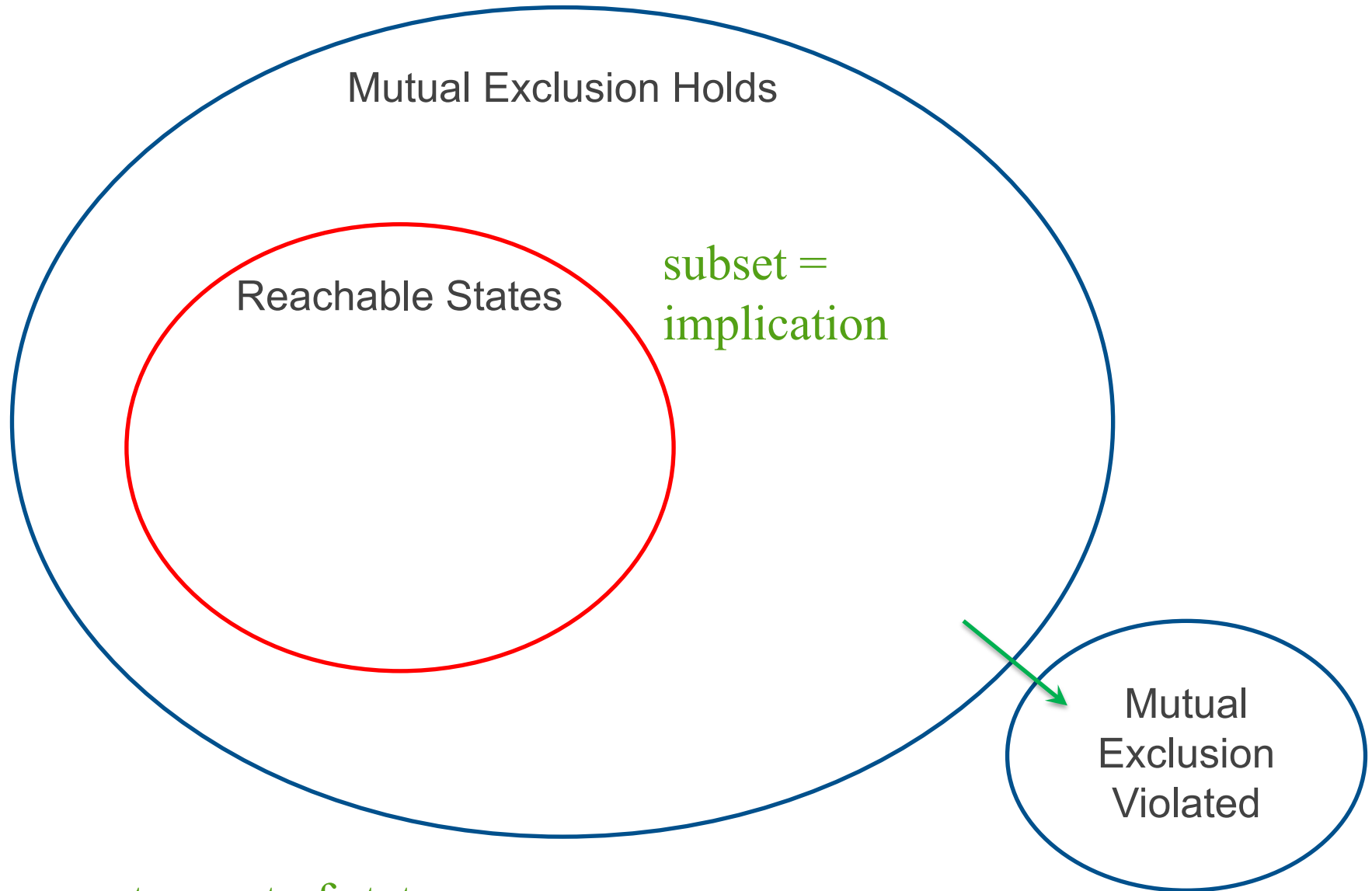
property = set of states

Review in Pictures: State Space



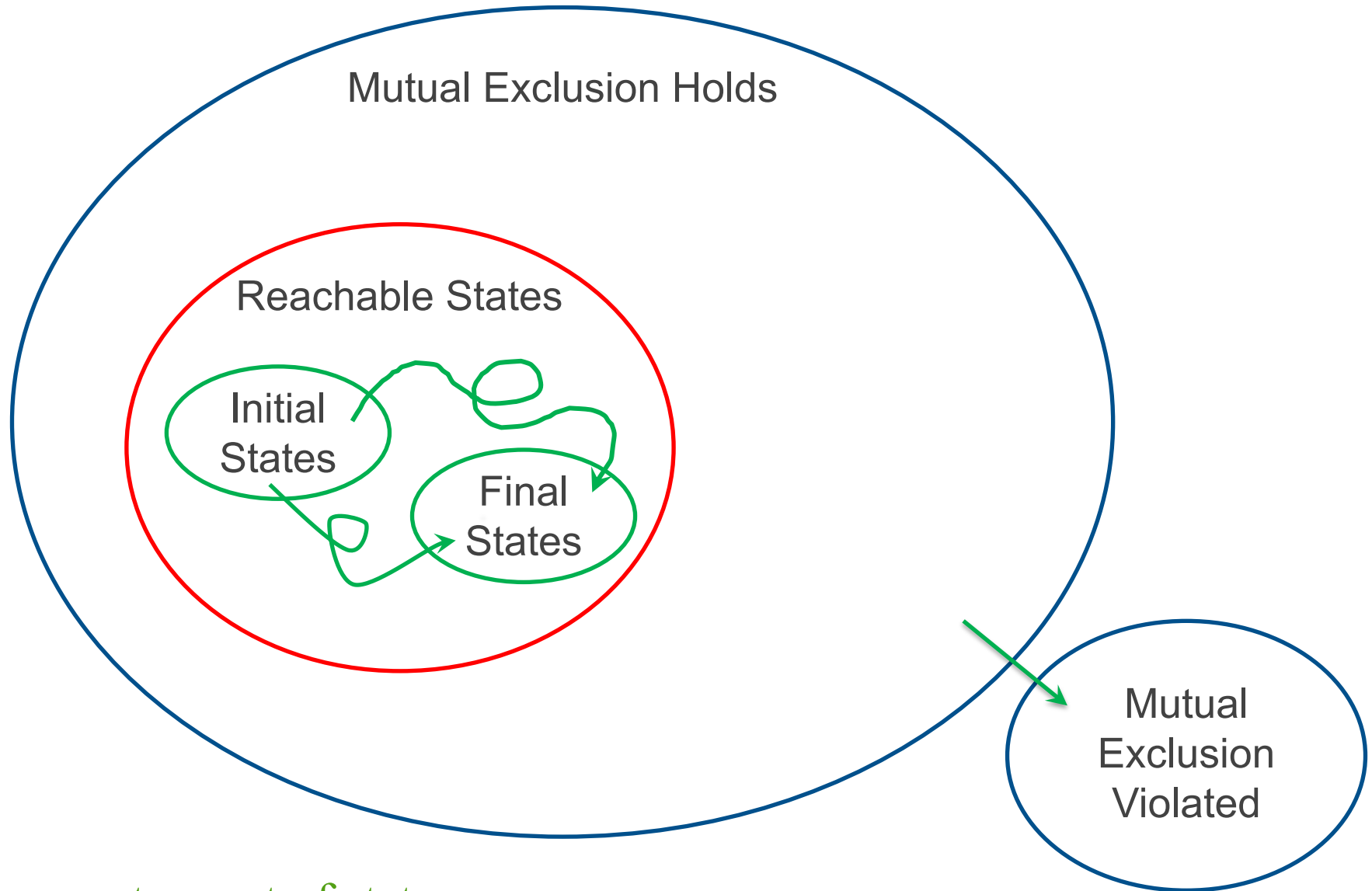
property = set of states

Review in Pictures: State Space



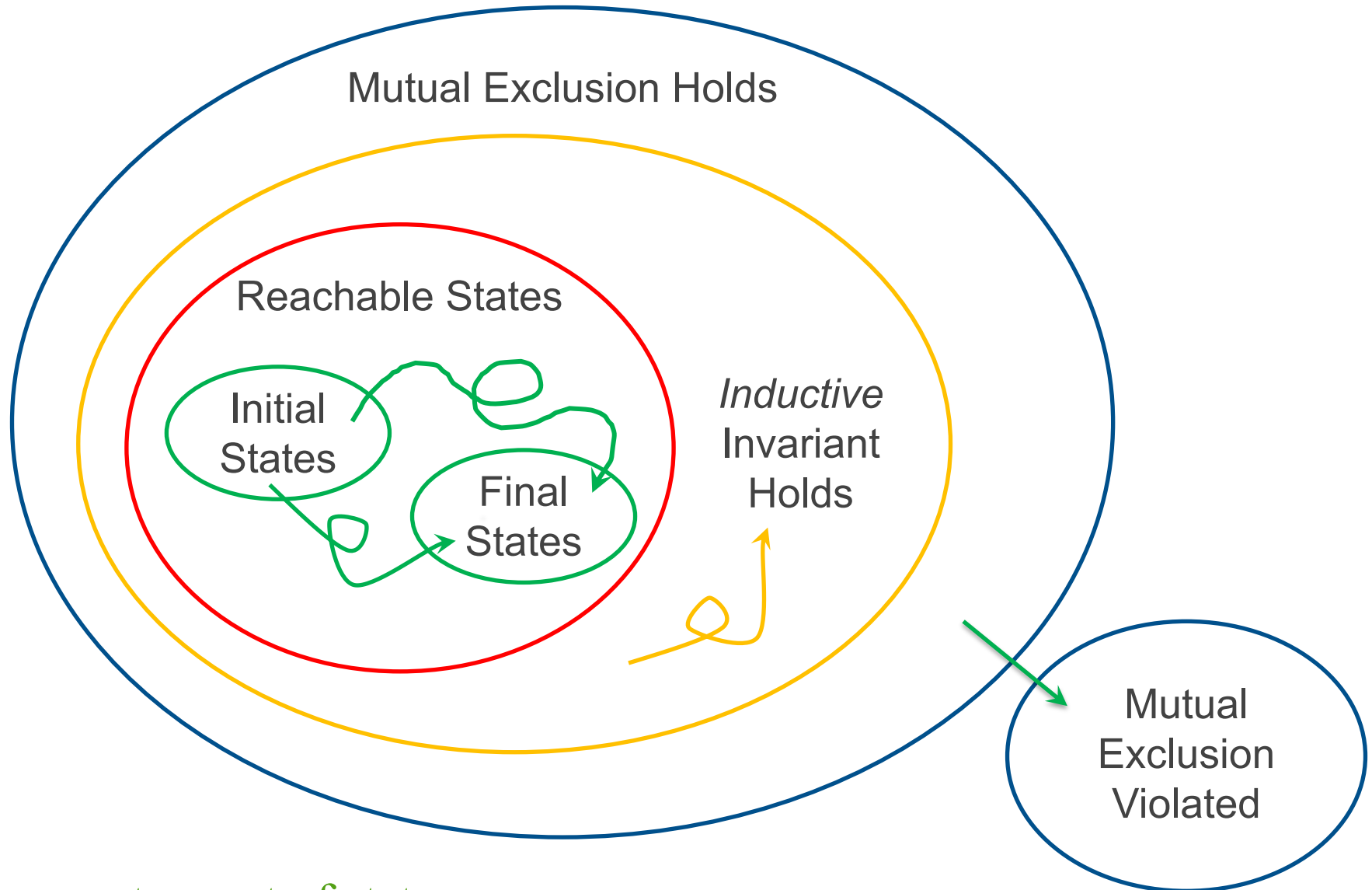
property = set of states

Review in Pictures: State Space



property = set of states

Review in Pictures: State Space



property = set of states

Swapping lines 9 and 10?

```
1  sequential flags, turn
2
3  flags = [ False, False ]
4  turn = choose({0, 1})
5
6  def thread(self):
7      while choose({ False, True }):
8          # Enter critical section
9          flags[self] = True
10         turn = 1 - self
11         await (not flags[1 - self]) or (turn == self)
12
13         # critical section is here
14         @cs: assert atLabel(cs) == { (thread, self): 1 }
15
16         # Leave critical section
17         flags[self] = False
18
19  spawn thread(0)
20  spawn thread(1)
```

Figure 6.1: [[code/Peterson.hny](#)] Peterson's Algorithm