## CS5740: Natural Language Processing Spring 2018

## Dependency Parsing

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#### Overview

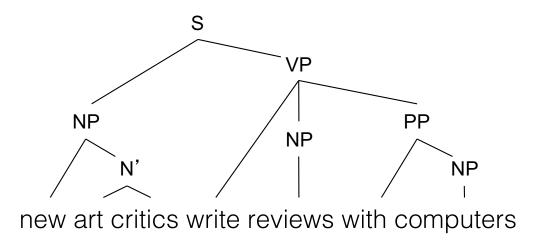
- The parsing problem
- Methods
  - Transition-based parsing
- Evaluation
- Projectivity

#### Parse Trees

- Part-of-speech Tagging:
  - Word classes
- Parsing:
  - From words to phrases to sentences
  - Relations between words
- Two views
  - Constituency
  - Dependency

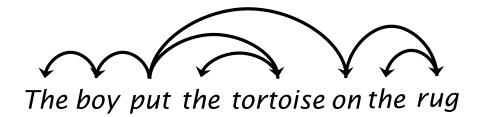
# Constituency (Phrase Structure) Parsing

- Phrase structure organizes words into nested constituents
- Linguists can, and do, argue about details
- Lots of ambiguity



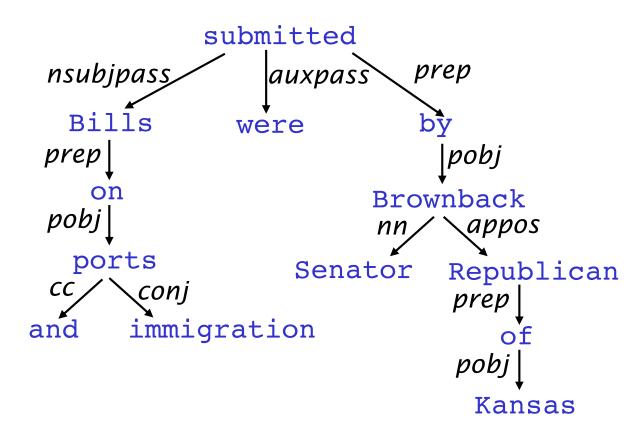
## Dependency Parsing

 Dependency structure shows which words depend on (modify or are arguments of) which other words.

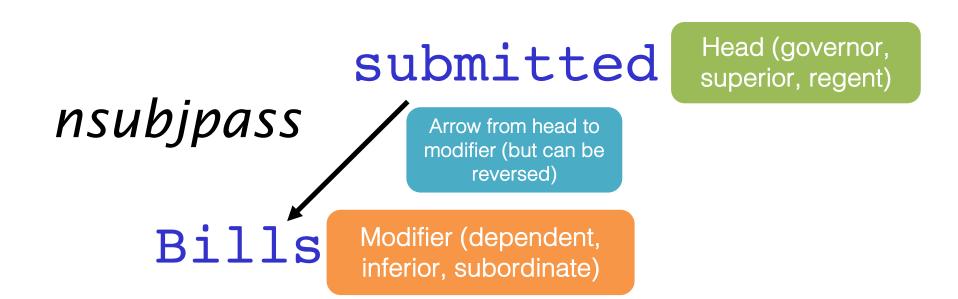


- Syntactic structure consists of:
  - Lexical items
  - Binary asymmetric relations → dependencies

Dependencies are typed with name of grammatical relation

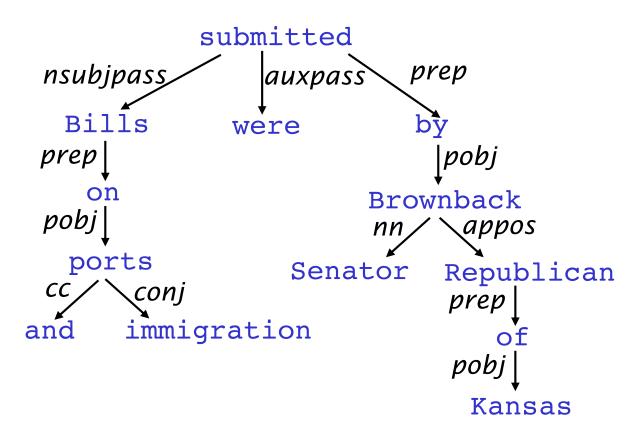


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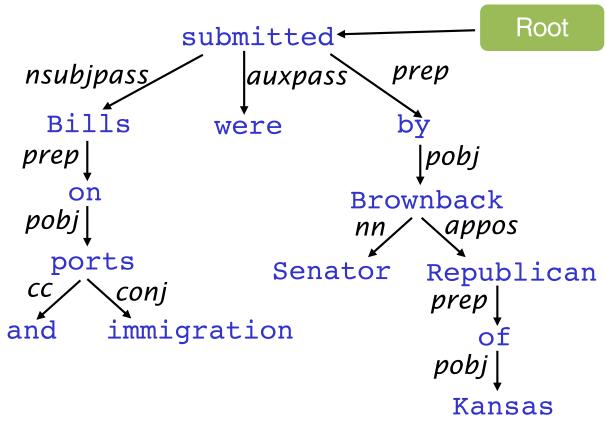
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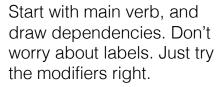
Dependencies form a tree



- Syntactic structure consists of:
  - Lexical items
  - Binary asymmetric relations → dependencies

Dependencies form a tree





#### Let's Parse

John saw Mary

He said that the boy who was wearing the blue shirt with the white pockets has left the building

#### Methods for Dependency Parsing

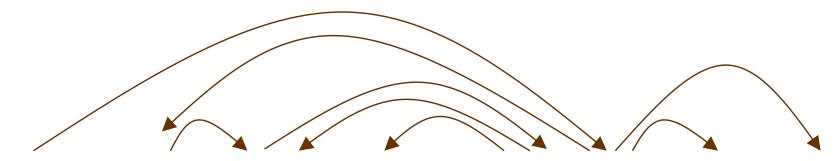
- Dynamic programming
  - Eisner (1996): O(n<sup>3</sup>)
- Graph algorithms
  - McDonald et al. (2005): score edges independently using classifier and use maximum spanning tree
- Constraint satisfaction
  - Start with all edges, eliminate based on hard constraints
- "Deterministic parsing"
  - Left-to-right, each choice is done with a classifier prep boy over det amod pobj

fence

## Making Decisions

What are the sources of information for dependency parsing?

- 1. Bilexical affinities
  - [issues → the] is plausible
- 2. Dependency distance
  - mostly with nearby words
- 3. Intervening material
  - Dependencies rarely span intervening verbs or punctuation
- 4. Valency of heads
  - How many dependents on which side are usual for a head?



ROOT Discussion of the outstanding issues was completed

## MaltParse (Nivre et al. 2008)

- Greedy transition-based parser
- Each decision: how to attach each word as we encounter it
  - If you are familiar: like shift-reduce parser
- Select each action with a classifier
- The parser has:
  - a stack  $\sigma$ , written with the top to the right
    - which starts with the ROOT symbol
  - a buffer  $\beta$ , written with the top to the left
    - which starts with the input sentence
  - a set of dependency arcs A
    - which starts off empty
  - a set of actions

## Arc-standard Dependency Parsing

Start: 
$$\sigma = [ROOT], \beta = w_1, ..., w_n, A = \emptyset$$

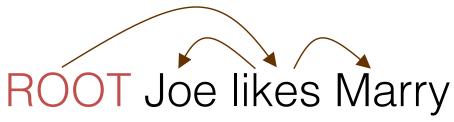
- Shift

Finish: 
$$\beta = \emptyset$$

$$\sigma, w_i | \beta, A \rightarrow \sigma | w_i, \beta, A$$

Left-Arc<sub>r</sub> 
$$\sigma |w_i, w_j| \beta, A \rightarrow \sigma, w_j |\beta, A \cup \{r(w_j, w_i)\}$$

• Right-Arc<sub>r</sub>  $\sigma |w_i, w_i| \beta, A \rightarrow \sigma, w_i |\beta, A \cup \{r(w_i, w_i)\}$  /



#### Arc-standard Dependency Parsing

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$$\sigma|w_i, w_i|\beta, A \rightarrow \sigma, w_i|\beta, A \cup \{r(w_i, w_i)\}$$

Finish:  $\beta = \emptyset$ 



	[ROOT]	[Joe, likes, marry]	Ø
Shift	[ROOT, Joe]	[likes, marry]	Ø
Left-Arc	[ROOT]	[likes, marry]	$\{(likes, Joe)\} = A_1$
Shift	[ROOT, likes]	[marry]	$A_1$
Right-Arc	[ROOT]	[likes]	$A_1 \cup \{(likes, Marry)\} = A_2$
Right-Arc	[]	[ROOT]	$A_2 \cup \{(ROOT, likes)\} = A_3$
Shift	[ROOT]	[]	$A_3$

#### Arc-standard Dependency Parsing

Start: 
$$\sigma = [ROOT], \beta = w_1, ..., w_n, A = \emptyset$$

Shift

- $\sigma, w_i | \beta, A \rightarrow \sigma | w_i, \beta, A$

- Left-Arc<sub>r</sub>  $\sigma|w_i, w_j|\beta, A \rightarrow \sigma, w_j|\beta, A \cup \{r(w_j, w_i)\}$

- Right-Arc<sub>r</sub>  $\sigma |w_i, w_i| \beta, A \rightarrow \sigma, w_i |\beta, A \cup \{r(w_i, w_i)\}$  /

Finish:  $\beta = \emptyset$ 



## Arc-eager Dependency Parsing

```
Start: \sigma = [ROOT], \beta = w_1, ..., w_n, A = \emptyset
• Left-Arc<sub>r</sub> \sigma|w_i, w_j|\beta, A \rightarrow \sigma, w_j|\beta, AU\{r(w_j, w_i)\}
- Precondition: r'(w_k, w_i) \notin A, w_i \neq ROOT
• Right-Arc<sub>r</sub> \sigma|w_i, w_j|\beta, A \rightarrow \sigma|w_i|w_j, \beta, AU\{r(w_i, w_j)\}
• Reduce \sigma|w_i, \beta, A \rightarrow \sigma, \beta, A
- Precondition: r'(w_k, w_i) \in A
• Shift \sigma, w_i|\beta, A \rightarrow \sigma|w_i, \beta, A
Finish: \beta = \emptyset
```

This is the common "arc-eager" variant: a head can immediately take a right dependent, before *its* dependents are found

Arc-eager

- 1. Left-Arc,  $\sigma|w_i$ ,  $w_j|\beta$ , A  $\rightarrow \sigma$ ,  $w_j|\beta$ , AU $\{r(w_j, w_i)\}$ Precondition:  $r(w_k, w_i) \notin A$ ,  $w_i \neq ROOT$
- 2. Right-Arc<sub>r</sub>  $\sigma(w_i, w_j)\beta$ ,  $A \rightarrow \sigma(w_i, w_j)\beta$ ,  $A \cup \{r(w_i, w_j)\}$
- 3. Reduce  $\sigma|w_i, \beta, A \rightarrow \sigma, \beta, A$ Precondition:  $r'(w_k, w_i) \in A$
- 4. Shift  $\sigma$ ,  $w_i \beta$ ,  $A \rightarrow \sigma | w_i$ ,  $\beta$ , A

ROOT Happy children like to play with their friends .

#### Arc-eager

- 1. Left-Arc,  $\sigma|w_i$ ,  $w_j|\beta$ , A  $\rightarrow \sigma$ ,  $w_j|\beta$ , AU $\{r(w_j, w_i)\}$ Precondition:  $r(w_k, w_i) \notin A$ ,  $w_i \neq ROOT$
- 2. Right-Arc<sub>r</sub>  $\sigma(w_i, w_j)$ ,  $\beta$ ,  $A \rightarrow \sigma(w_i, w_j)$ ,  $\beta$ ,  $A \cup \{r(w_i, w_j)\}$ 
  - B. Reduce  $\sigma|w_i, \beta, A \rightarrow \sigma, \beta, A$ Precondition:  $r'(w_k, w_i) \in A$
- 4. Shift  $\sigma$ ,  $w_{i}\beta$ ,  $A \rightarrow \sigma | w_{i}\beta$ , A

ROOT Happy children like to play with their friends .

	[ROOT]	[Happy, children,]	Ø
Shift	[ROOT, Happy]	[children, like,]	Ø
LA <sub>amod</sub>	[ROOT]	[children, like,]	$\{amod(children, happy)\} = A_1$
Shift	[ROOT, children]	[like, to,]	$A_1$
LA <sub>nsubj</sub>	[ROOT]	[like, to,]	$A_1 \cup \{\text{nsubj}(\text{like, children})\} = A_2$
RA <sub>root</sub>	[ROOT, like]	[to, play,]	$A_2 \cup \{\text{root}(ROOT, like}) = A_3$
Shift	[ROOT, like, to]	[play, with,]	$A_3$
LA <sub>aux</sub>	[ROOT, like]	[play, with,]	$A_3 \cup \{aux(play, to) = A_4\}$
$RA_{xcomp}$	[ROOT, like, play]	[with their,]	$A_4 \cup \{xcomp(like, play) = A_5$

```
Arc-eager
```

- 1. Left-Arc,  $\sigma|w_i, w_j|\beta, A \rightarrow \sigma, w_j|\beta, A \cup \{r(w_j, w_i)\}$ Precondition:  $r(w_k, w_i) \notin A, w_i \neq ROOT$
- 2. Right-Arc<sub>r</sub>  $\sigma(w_i, w_j)$ ,  $\beta$ ,  $A \rightarrow \sigma(w_i, w_j)$ ,  $\beta$ ,  $A \cup \{r(w_i, w_j)\}$
- 3. Reduce  $\sigma|w_i$ ,  $\beta$ ,  $A \rightarrow \sigma$ ,  $\beta$ , APrecondition:  $r'(w_k, w_i) \in A$
- 4. Shift  $\sigma$ ,  $w_i \beta$ ,  $A \rightarrow \sigma | w_i$ ,  $\beta$ , A

ROOT Happy children like to play with their friends.

$RA_{xcomp}$	[ROOT, like, play]	[with their,]	$A_4 \cup \{xcomp(like, play) = A_5\}$
RA <sub>prep</sub>	[ROOT, like, play, with]	[their, friends,]	$A_5 \cup \{\text{prep(play, with)} = A_6$
Shift	[ROOT, like, play, with, their]	[friends, .]	$A_6$
$LA_{poss}$	[ROOT, like, play, with]	[friends, .]	$A_6U$ {poss(friends, their) = $A_7$
$RA_{pobj}$	[ROOT, like, play, with, friends]	[.]	$A_7 \cup \{pobj(with, friends) = A_8$
Reduce	[ROOT, like, play, with]	[.]	$A_8$
Reduce	[ROOT, like, play]	[.]	$A_8$
Reduce	[ROOT, like]	[.]	$A_8$
$RA_{punc}$	[ROOT, like, .]	[]	$A_8 \cup \{\text{punc(like, .)} = A_9$

You terminate as soon as the buffer is empty. Dependencies =  $A_9$ 

### MaltParser (Nivre et al. 2008)

- Selecting the next action:
  - Discriminative classifier (SVM, MaxEnt, etc.)
  - Untyped choices: 4
  - Typed choices: |R| \* 2 + 2
- Features: POS tags, word in stack, word in buffer, etc.
- Greedy → no search
  - But can easily do beam search
- Close to state of the art
- Linear time parser → very fast!

# Parsing with Neural Networks Chen and Manning (2014)

- Arc-standard Transitions
  - Shift
  - Left-Arc<sub>r</sub>
  - Right-Arc<sub>r</sub>
- Selecting the next actions:
  - Untyped choices: 3
  - Typed choices: |R| \* 2 + 1
  - Neural network classifier
- With a few model improvements and very careful hyper-parameter tuning gives SOTA results

# Parsing with Neural Networks Chen and Manning (2014)

[Chen & Manning, 2014] Softmax Layer 000000 Hidden Layer Embedding Layer (words labels pos) stack<sub>0</sub>-word = "ticket" buffer<sub>0</sub>-word = "to" stack<sub>0</sub>-label = "det" buffer<sub>0</sub>-POS = "IN"



- Regularization
- Loss function





- Regularization
- Loss function



- Dimensions
- Activation function
- Initialization
- Adagrad
- Dropout





- Regularization
- Loss function
- Dimensions
- Activation function
- Initialization
- Adagrad
- Dropout
- Mini-batch size
- Initial learning rate
- Learning rate schedule
- Momentum





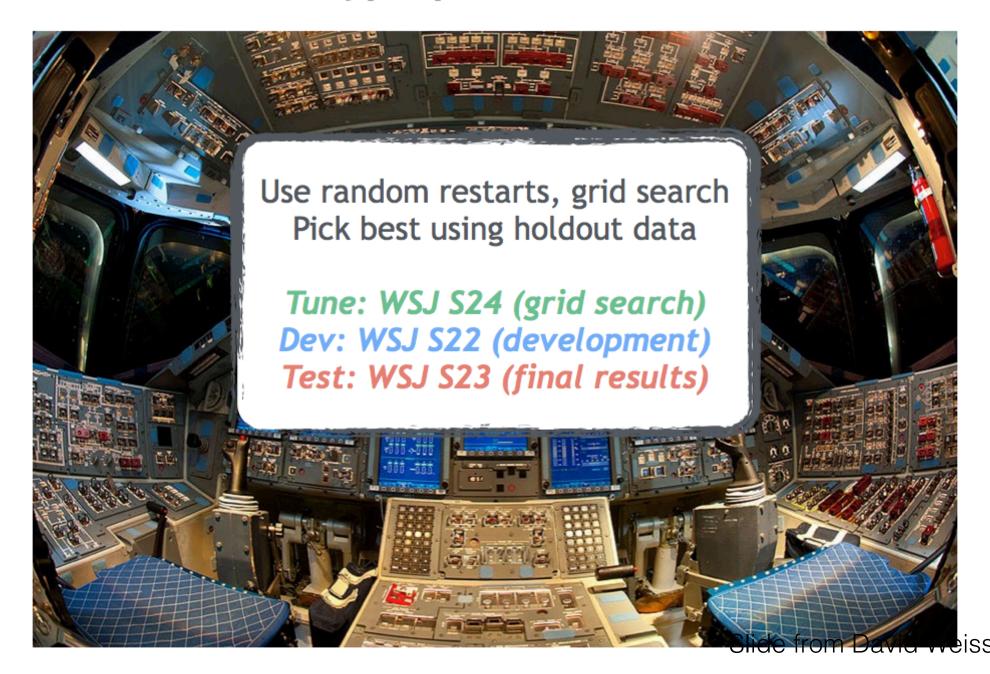


- Stopping time
- Parameter averaging

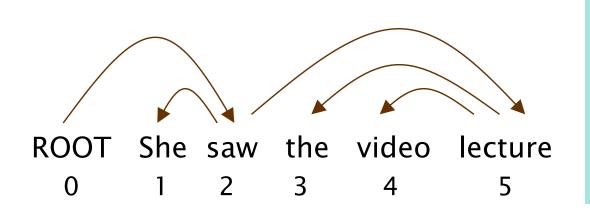








#### Evaluation



```
Acc = # correct deps 
 # of deps
```

```
UAS = 4/5 = 80\%

LAS = 2/5 = 40\%
```

Gc	old		
1	2	She	nsubj
2	0	saw	root
3	5	the	det
4	5	video	nn
5	2	lecture	dobj

Parsed			
1	2	She	nsubj
2	0	saw	root
3	4	the	det
4	5	video	nsubj
5	2	lecture	ccomp

## Projectivity

- Dependencies from CFG trees with head rules must be projective
  - Crossing arcs are not allowed
- But: theory allows to account for displaced constituents → non-projective structures



## Projectivity

- Arc-eager transition system:
  - Can't handle non-projectivity
- Possible directions:
  - Give up!
  - Post-processing
  - Add new transition types
  - Switch to a different algorithm
    - Graph-based parsers (e.g., MSTParser)