



# CS519: Computer Networks

Lecture 5, Part 3: Mar 10, 2004

*Transport: TCP performance*



# TCP performance

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- We've seen how TCP “the protocol” works
- But there are a lot of tricks required to make it work well
  - Indeed, the Internet nearly died an early death because of bad TCP performance problems



# TCP performance

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- Making interactive TCP efficient for low-bandwidth links
- Filling the pipe for bulk-data applications
- Estimating round trip time (RTT)
- Keeping the pipe full
- Avoiding congestion



# Interactive TCP



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- Interactive applications like telnet or RPC send only occasional data
- Data sent in both directions
- Data often very small
- Packet overhead is huge for small packets
  - <3% efficiency for a 1-byte data packet
  - This is bad for low-bandwidth links



# Who cares about low-BW links?

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- Historically low-BW links were a serious problem
  - As access links got faster, people worried less about this
- Ubiquitous computing over TCP/IP wireless links makes this interesting again
  - Low-power devices



# Transmit versus wait



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- One basic engineering tradeoff is to wait before transmitting
- Wait for more data to send a bigger packet
- Hold off on the ACK so that data can be piggybacked with the ACK
- This is not an easy tradeoff to make--- you can only go so far with this approach



# TCP/IP header compression

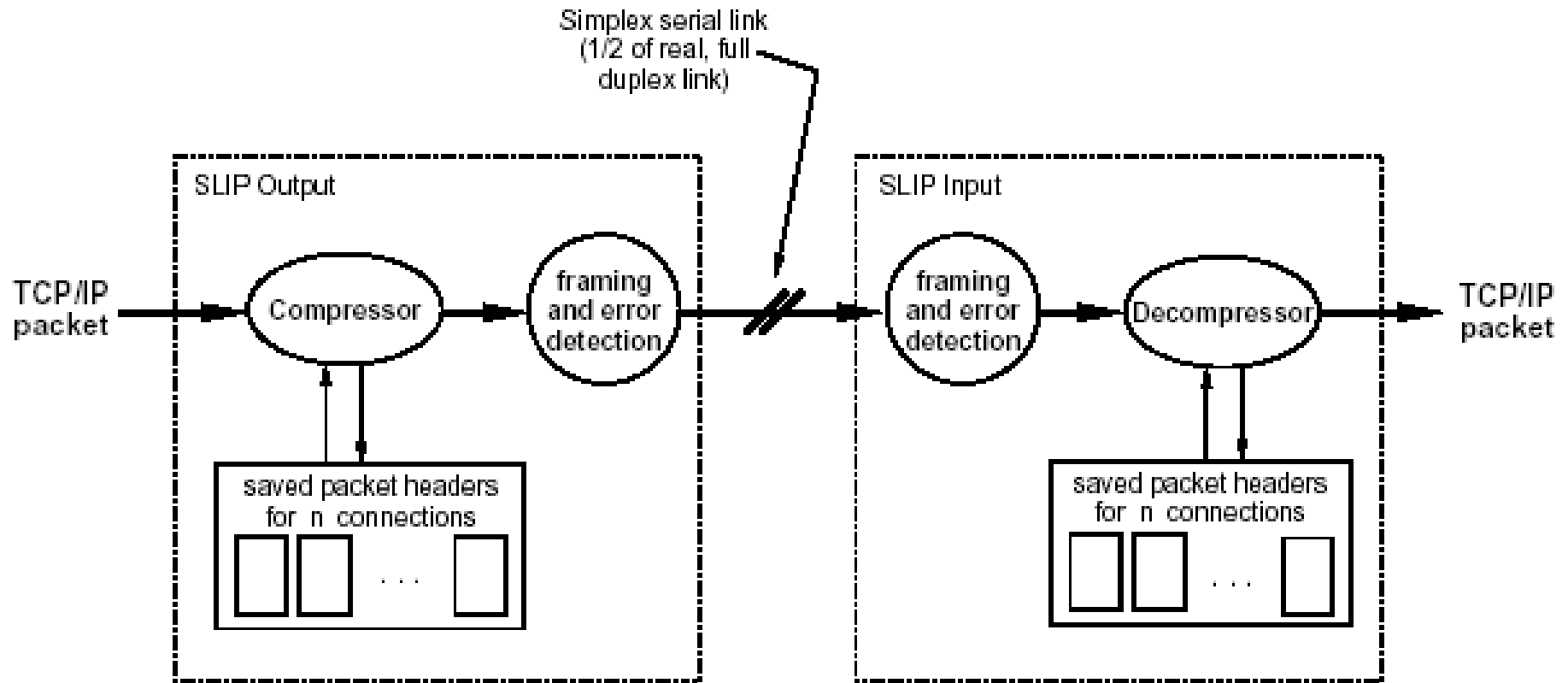
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- A better approach is to “compress” the TCP and IP headers (RFC 1144, 2507 - 2509)
- Basic idea is to:
  - not transmit fields that don’t change from packet to packet,
  - and to transmit only the deltas of those fields that do change

# TCP/IP compression components

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# TCP header compression

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- How much compression can we get out of TCP/IP
- From 40 bytes to:
  - 20 bytes?
  - 10 bytes?
  - 5 bytes?
  - 2 bytes?

# TCP/IP fields that don't change

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Byte	0							8								16										24							
0	Protocol Version				Header Length				Type of Service								Total Length																
4	Packet ID												D F		M F		Fragment Offset																
8	Time to Live								Protocol								Header Checksum																
12	Source Address																																
16	Destination Address																																
20	Source Port																Destination Port																
24	Sequence Number																																
28	Acknowledgment Number																																
32	Data Offset								urg	ack	push	rst	syn	fin	Window																		
36	Checksum																Urgent Pointer																
40	Data Byte 1								Data Byte 2								Data Byte 3								...								

This cuts the header in half!



# More compression

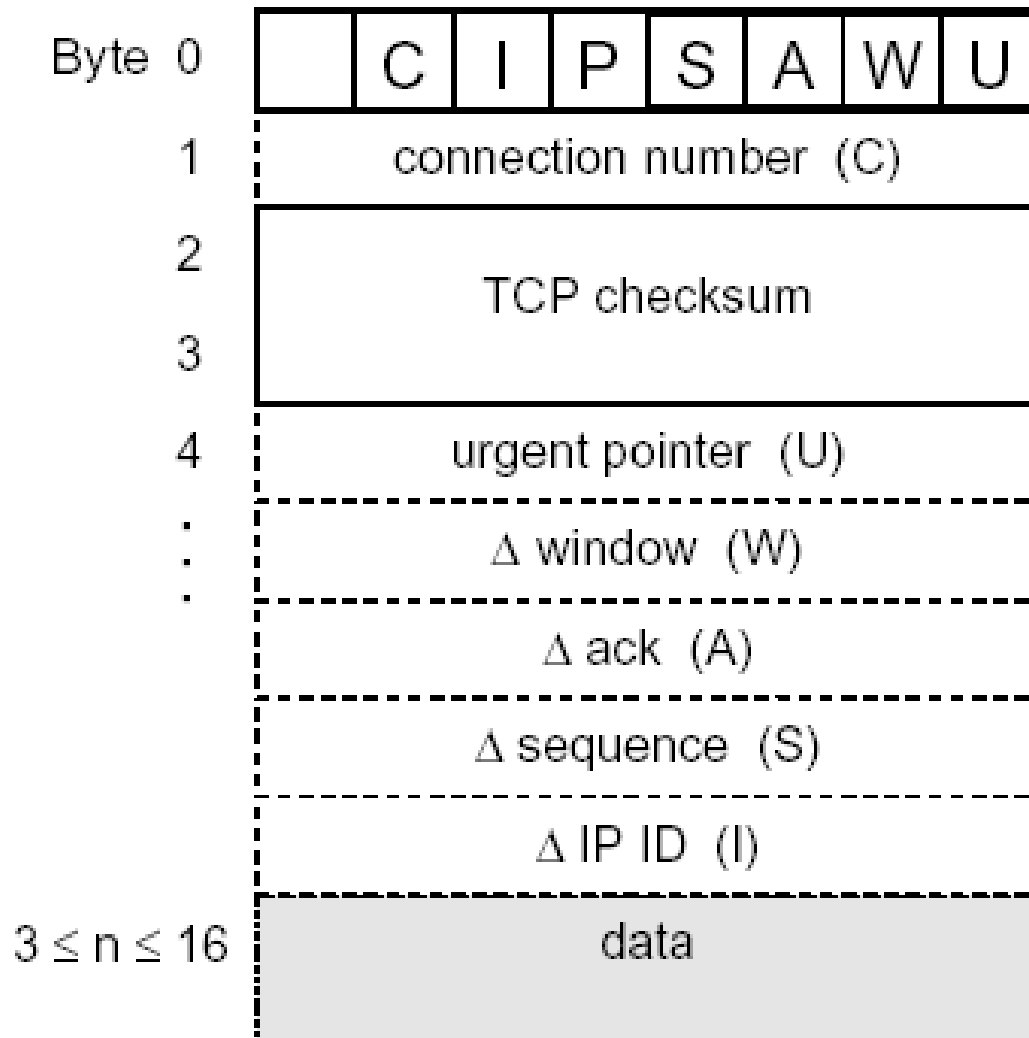


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- 
- Total length not needed because link layer transmits that (2 bytes)
  - IP checksum not needed because there isn't much left to checksum (2 more bytes)

# Compression header

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# Compression issues

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- The main issue is how to deal with errors
- Once an error occurs, the decompressor can't recover unless a new complete packet is sent
- RFC1144 has a clever solution to this  
...



# When to schedule transmission

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- As we saw, TCP segment transmit doesn't have to correspond to `app send()`
- When should TCP send a fragment?
  - As soon as it gets data to send?
  - As soon as it has a packet's worth to send (MSS Max Segment Size)?
  - Not until some timer goes off?



# When to schedule transmission

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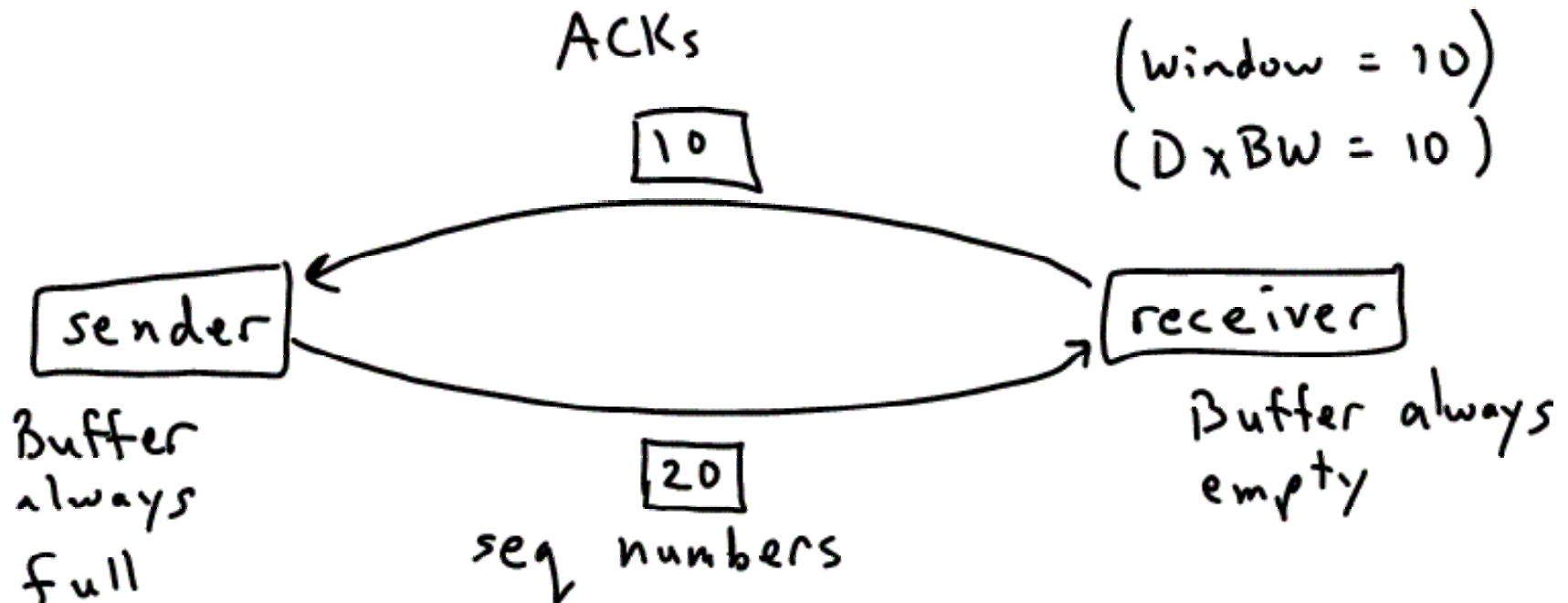
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- If TCP sends right away, it may send many small packets
- If TCP waits for a full MSS, it may delay important data
- If TCP waits for a timer, then bad behavior can result
  - Lots of small packets get sent anyway
  - Silly Window Syndrome

# Silly Window Syndrome

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- This is a nice situation:
  - (nice big packets, full pipe)

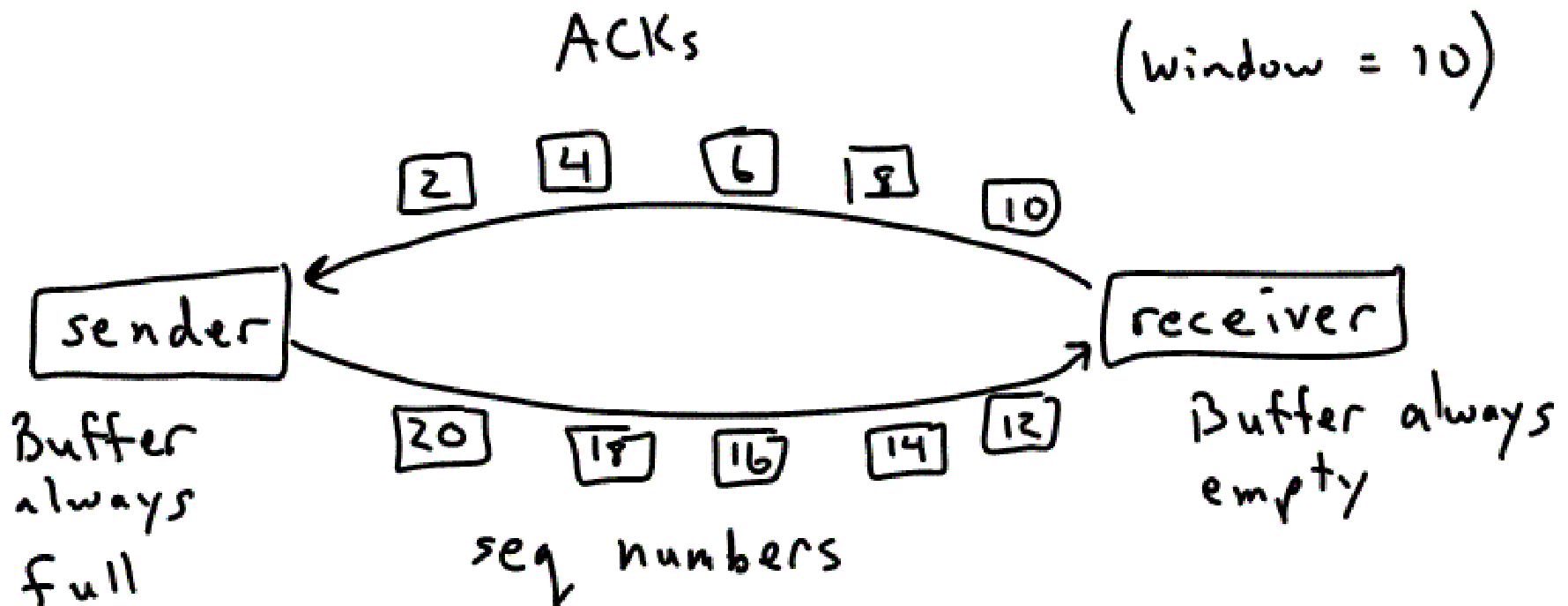




# Silly Window Syndrome

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- Imagine this situation:
  - How could we get out of it???





# Silly Window Syndrome

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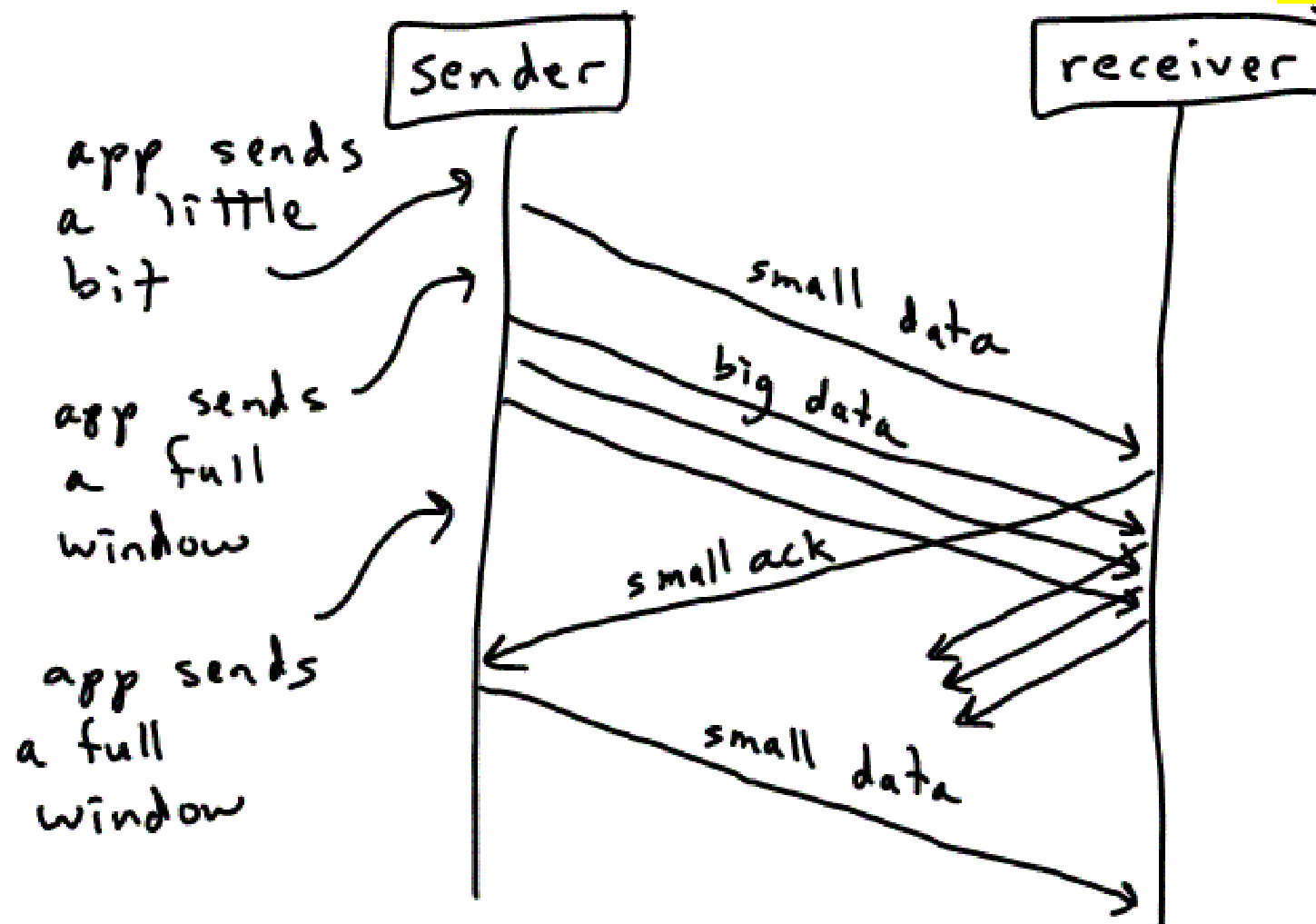


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- Small packets introduced into the loop tend to stay in the loop
- How do small packets get introduced into the loop?

# Silly Window Syndrome: Small packet introduced

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# Silly Window Syndrome prevention

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- Receiver and sender both wait until they have larger segments to ACK or send
- Receiver:
  - Receiver will not advertise a larger window until the window can be increased by one full-sized segment or
  - by half of the receiver's buffer space whichever is smaller



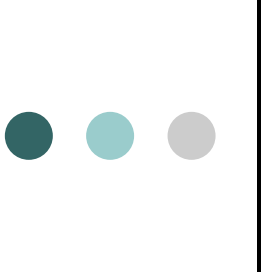
# Silly Window Syndrome prevention

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- Sender:
  - Waits to transmit until either a full sized segment (MSS) can be sent or
  - at least half of the largest window ever advertised by the receiver can be sent or
  - it can send everything in the buffer



# When to schedule transmission (again)

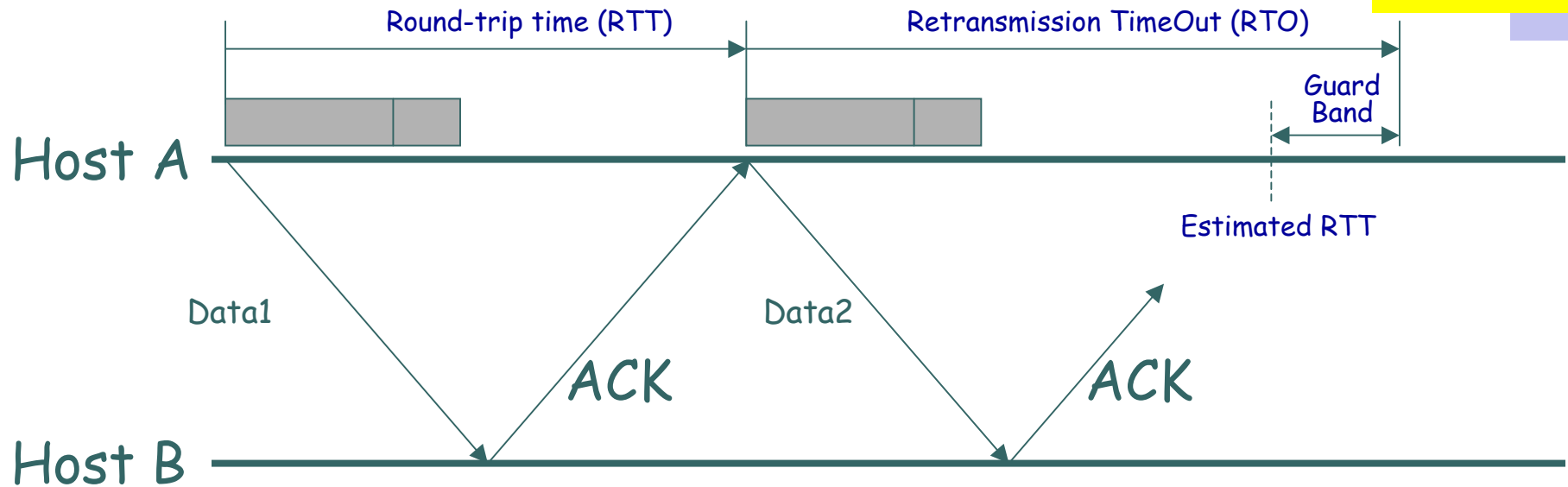
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- App can force sender to send immediately when data is available
  - Sockopt TCP\_NODELAY
- Otherwise, sender sends when a full MSS is available
- Or when a timer goes off
  - But with silly window constraints...

# TCP: Retransmission and Timeouts

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TCP uses an adaptive retransmission timeout value:

Congestion } RTT changes  
Changes in Routing } frequently

Next few slides from Nick McKeown, Stanford

# TCP: Retransmission and Timeouts

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Picking the RTO is important:

- ❖ Pick a value that's too big and it will wait too long to retransmit a packet,
- ❖ Pick a value too small, and it will unnecessarily retransmit packets.

The original algorithm for picking RTO:

1.  $\text{EstimatedRTT}_k = \alpha \text{ EstimatedRTT}_{k-1} + (1 - \alpha) \text{ SampleRTT}$
2.  $\text{RTO} = 2 * \text{EstimatedRTT}$

Determined empirically

Characteristics of the original algorithm:

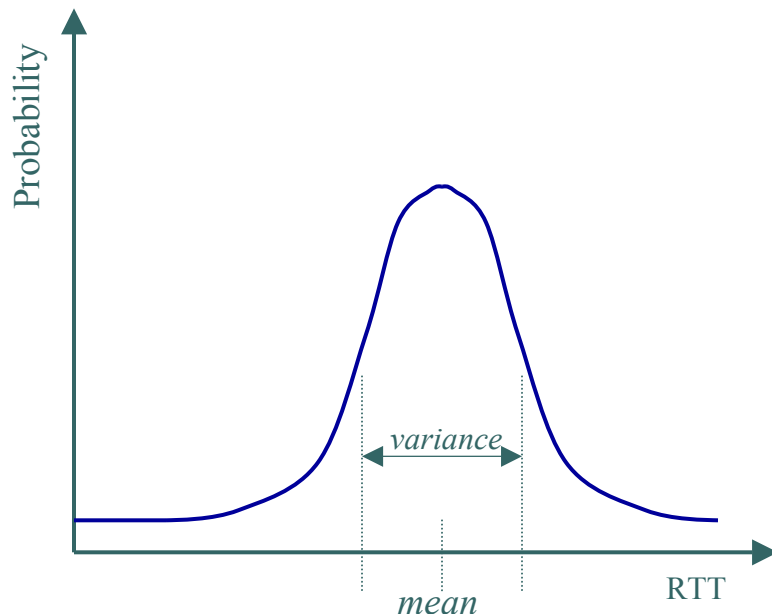
- ❖ Variance is assumed to be fixed.
- ❖ But in practice, variance increases as congestion increases.



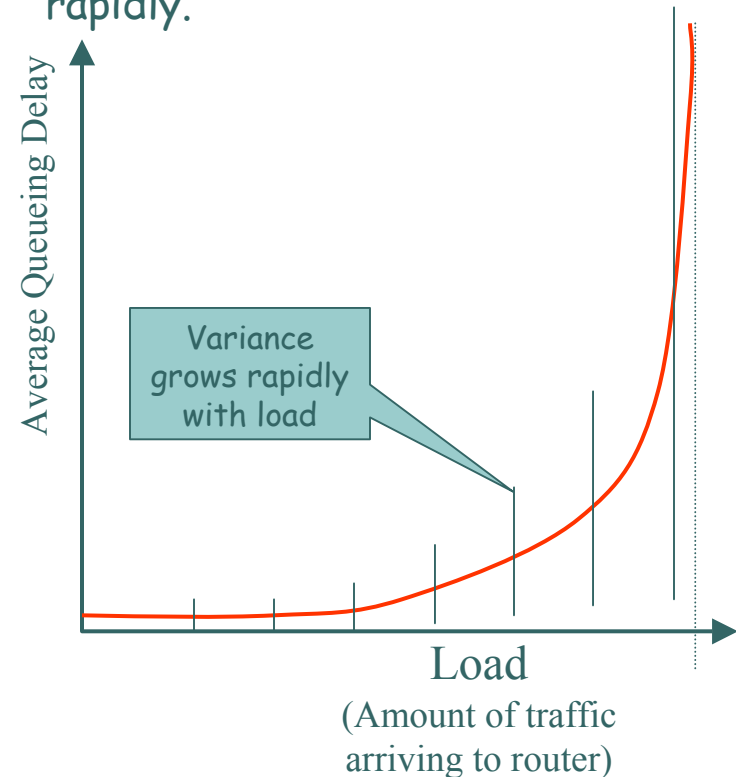
# TCP: Retransmission and Timeouts

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- ❖ There will be some (unknown) distribution of RTTs.
- ❖ We are trying to estimate an RTO to minimize the probability of a false timeout.



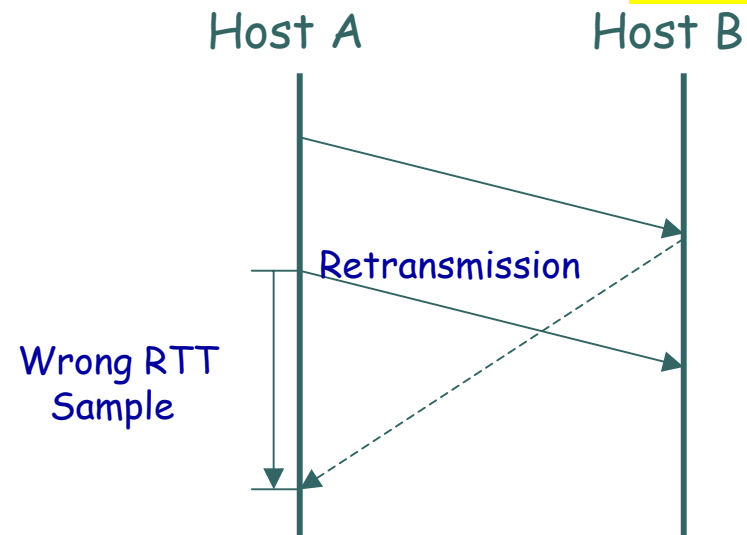
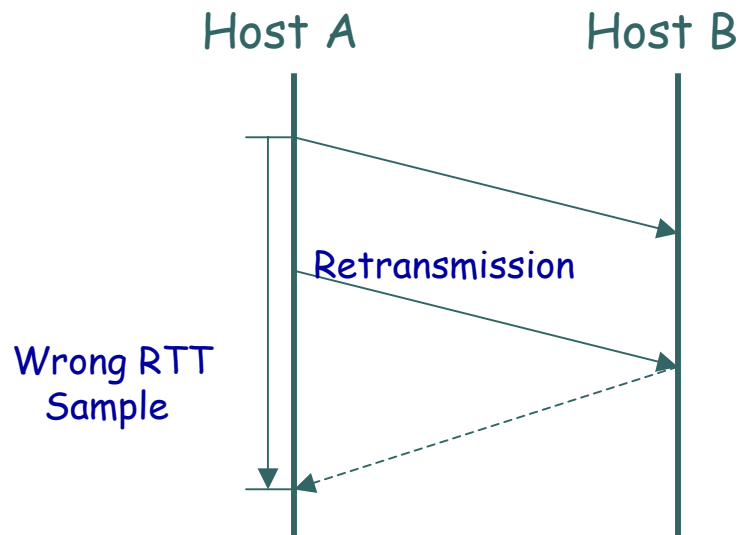
- ❖ Router queues grow when there is more traffic, until they become unstable.
- ❖ As load grows, variance of delay grows rapidly.



# TCP: Retransmission and Timeouts

*Karn's Algorithm*

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## Problem:

How can we estimate RTT when packets are retransmitted?

## Solution:

On retransmission, don't update estimated RTT (and double RTO).

# TCP: Retransmission and Timeouts

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Newer Algorithm includes estimate of variance in RTT:

Same as  
before

- ❖  $\text{Difference} = \text{SampleRTT} - \text{EstimatedRTT}$
- ❖  $\text{EstimatedRTT}_k = \text{EstimatedRTT}_{k-1} + (\delta * \text{Difference})$
- ❖  $\text{Deviation} = \text{Deviation} + \delta * (|\text{Difference}| - \text{Deviation})$
- ❖  $\text{RTO} = \mu * \text{EstimatedRTT} + \phi * \text{Deviation}$ 
  - $\mu \approx 1$
  - $\phi \approx 4$



# Fast implementation of this

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```
SampleRTT -= (EstimatedRTT >> 3);  
EstimatedRTT += SampleRTT;  
if (SampleRTT < 0)  
    SampleRTT = -SampleRTT;  
SampleRTT -= (Deviation >> 3);  
Deviation += SampleRTT;  
TimeOut = (EstimatedRTT >> 3) + (Deviation >> 1);
```



# Fast implementation of this

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- Note no floating point arithmetic, just adds, subtract, and shift!

```
SampleRTT -= (EstimatedRTT >> 3);
EstimatedRTT += SampleRTT;
if (SampleRTT < 0)
    SampleRTT = -SampleRTT;
SampleRTT -= (Deviation >> 3);
Deviation += SampleRTT;
TimeOut = (EstimatedRTT >> 3) + (Deviation >> 1);
```

- Also, TCP implementations use “header prediction” to gain execution speed



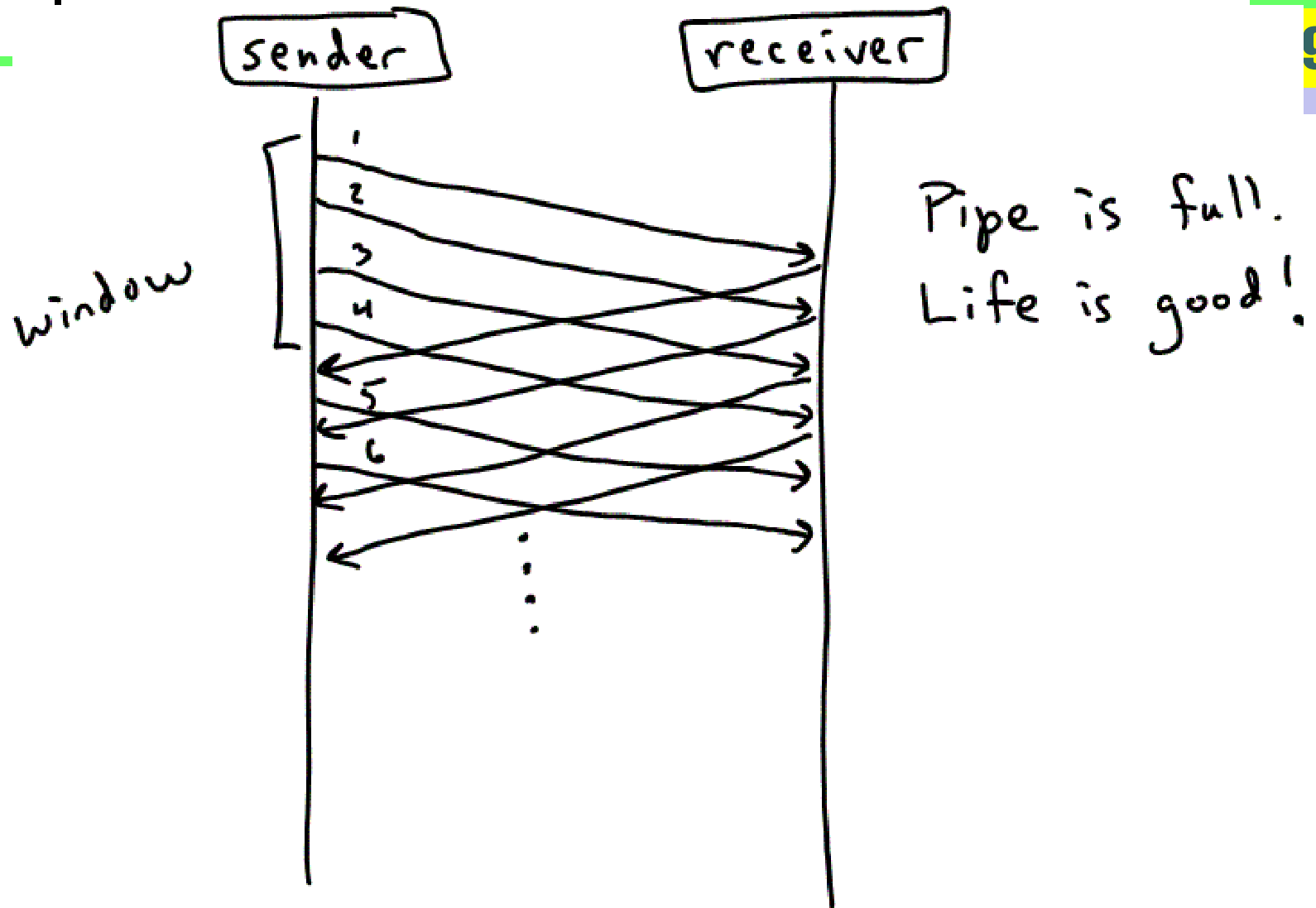
# Fast Retransmit

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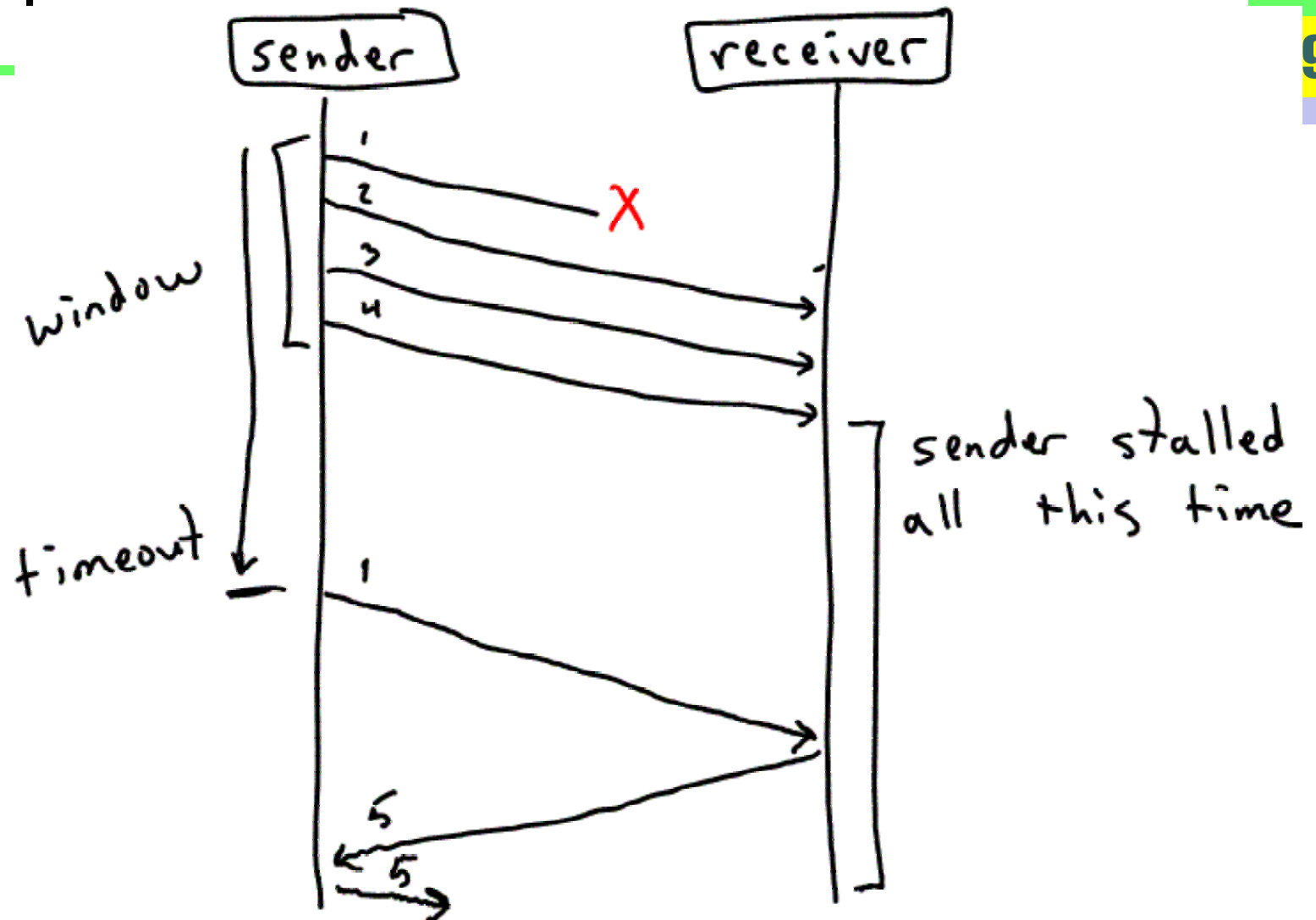
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- Even with all this fancy RTT estimation, retransmits still tend to over-estimate, and TCP can stall while waiting for a time-out
  - Stall because pipe often bigger than window!
- This leads to the notion of “fast retransmit”

# Delayed connection



# Delayed connection







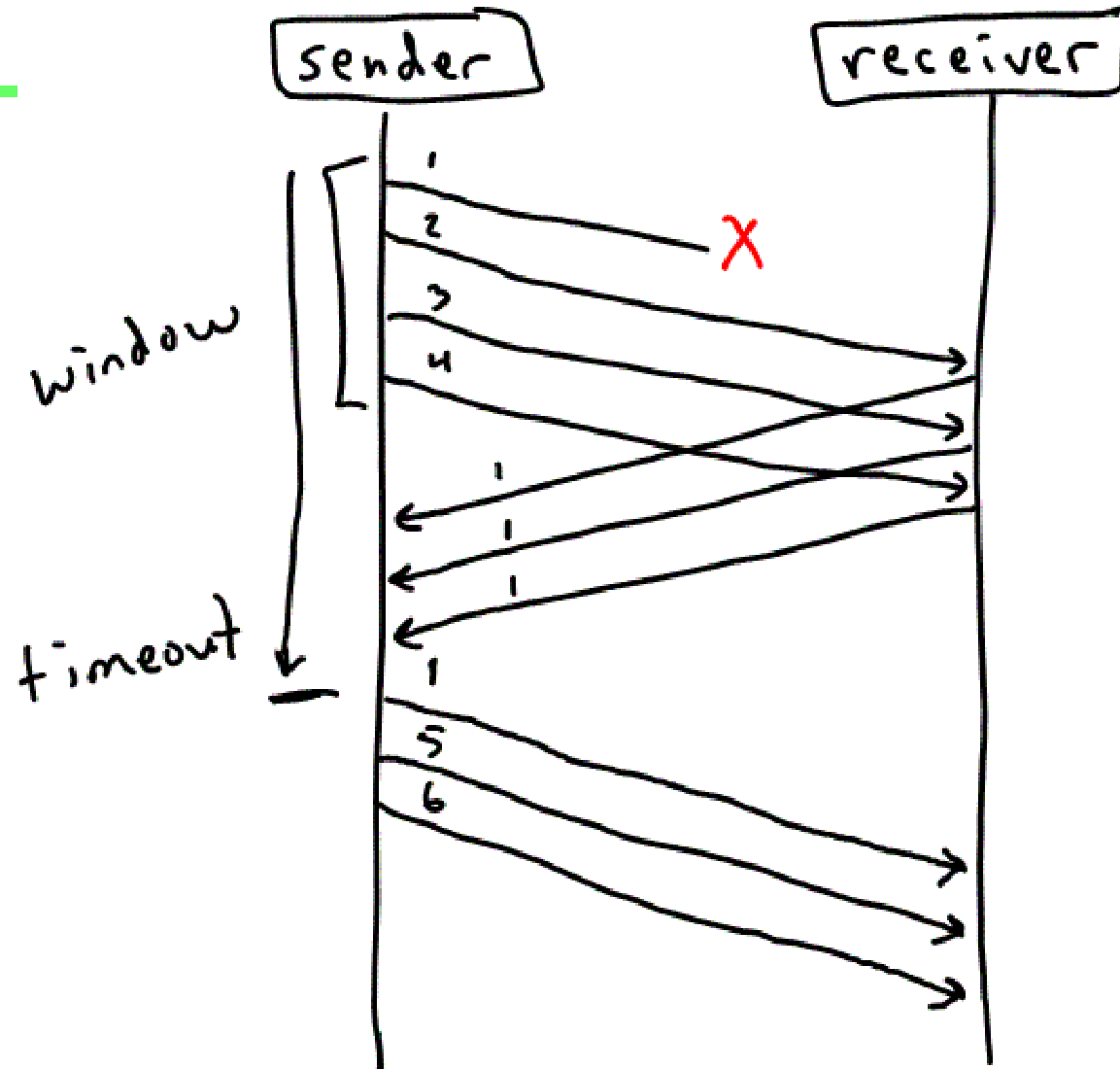
# Fast Retransmit

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- Receiver should send an ACK every time it receives a packet, not only when it gets something new to ACK
  - If same bytes are ACK'd, this is called “duplicate ACK”
- Sender interprets 3 duplicate ACKs as a loss signal, retransmits right away
  - Don't wait for timeout

# Fast Retransmit





# Next Lecture

- 
- TCP congestion control



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