

28 Apr 2021 Reductions and Undecidability

Announcement

Prelim 2 is graded now. Solution Set uploaded to CMS soon.

Distribution (max 40)

	<u>Tues 4/13</u>	<u>Thurs 4/15</u>
80%	38.0	33.8
60%	35.7	30.3
40%	32.8	27.1
20%	25.5	22.5

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Recap:

For a Turing machine  $M$ ,

$$L(M) = \{x \mid M \text{ accepts } x\}$$

"The language of  $M$ "

Def. (1) A set of strings,  $L$ , is called r.e.

if  $\exists M$  such that  $L = L(M)$ .

(2)  $L$  is recursive if  $\exists M$  that always halts on every input str.  $L = L(M)$ .

## Important Facts, (From last Wed)

(A)  $L$  is recursive if and only if  $L$  and its complement are both r.e.  
( $\Rightarrow$ ) easy  
( $\Leftarrow$ ) If  $L = L(M_1)$  and  $\bar{L} = L(M_0)$ , then a machine  $M$  that decides  $L$  is defined by running  $M_0$  and  $M_1$  in parallel on two different tapes and accepting  $x$  if  $M_1$  accepts it rejecting  $x$  if  $M_0$  accepts it.

(B) Let **HP** (for "halting problem") denote set

$$HP = \left\{ x \# y \mid \begin{array}{l} x \text{ describes a Turing machine} \\ \text{that halts on input } y \end{array} \right\}$$

Then HP is r.e. but not recursive.

(C) The complement of HP, denoted **coHP**, is neither r.e. nor recursive.

"coHP is not r.e." means, "There is no algorithm to test that a machine is not going to

halt on a specified input, even if we allow the algorithm to run for an unbounded length of time. Algorithms for this problem are guaranteed to either:

1. Not halt on some input  $x \# y$  even though the answer is, "Yes,  $M_x$  runs forever on input  $y$ ."
2. They halt and output an answer on  $x \# y$  but it's the wrong answer.

TODAY. Using reductions to show other problems are "undecidable" (not recursive).

THEME. Almost every task in program analysis (predicting the behavior of a program, given its code) is undecidable.

Example. Given  $x \# y \# z$  where

- $x$  is a description of a TM
- $y$  is a description of its input

•  $z$  is a description of a special "forbidden symbol".

$$(z = 0^f 1 \text{ where } 1 \leq f \leq |P|).$$

When machine  $M_x$  executes on input  $y$ , does it ever write  $z$  on its working tape?

$$FSP = \left\{ x \# y \# z \mid M_x \text{ writes } z \text{ when processing } y \right\}$$

To prove FSP is undecidable, we'll come up with a reduction  $HP \leq FSP$ .

That means a function  $R$  mapping  $a \# b \mapsto x \# y \# z = R(a \# b)$  such that

[1]  $R$  is computable by a Turing machine.

[2]  $a \# b \in HP \iff R(a \# b) \in FSP$ .

This will show FSP is not decidable because if  $FSP = L(K)$  for some  $K$  that halts on all inputs, then the following  $M$  halts on all inputs and satisfies  $HP = L(M)$ .

### Pseudocode for $M$ :

1. On input  $a\#b$ , compute  $R(a\#b)$ .
2. Run machine  $K$  on  $R(a\#b)$ .

Since there is no  $M$  that solves HP  
there is no  $K$  that solves FSP.

Missing piece: what is  $R$ ?

$R$  takes  $a\#b$  and modifies a  
(description of Turing machine  $M_a$ )  
as follows:

- Working alphabet size increases  
from  $m$  to  $m+1$ .  
(Extra symbol will be "forbidden symbol.")
- Transition rule modified so that  
any transitions that enter  
the halting states,  $t$  or  $r$ ,  
also write symbol  $m+1$  on the tape.
- Add "dummy rules" to transition  
rule that say if you read  
symbol  $m+1$  in any state  $q$ ,  
remain in state  $q$ , write symbol  $m+1$ ,  
don't move anywhere on the tape.

These instrux  
are not important  
for correctness.

Let  $x$  be the string describing this new TM.

$$R(a\#b) = \underbrace{x}_{\text{new TM as described above}} \# \underbrace{b}_{\text{same input}} \# \underbrace{0^{m+1}1}_{\text{forbidden symbol } m+1.}$$

Why does this work?

① IF  $a\#b$  is a "yes" instance of HP then  $M_a$  halts on input  $b$ , so  $M_x$  halts on input  $b$  and writes symbol  $m+1$  as it is halting

$$\text{so } x\#b\#0^{m+1}1 \in \text{FSP}$$

② IF  $R(a\#b) \in \text{FSP}$  then

$M_x$  writes  $m+1$  when processing  $b$ . The first time  $M_x$  writes  $m+1$  on its tape, it is not yet reading  $m+1$ . ( $m+1$  is not among the input symbols.)

That means  $M_a$  halts at that moment when processing  $b$ .

$$\Rightarrow a\#b \in \text{HP.}$$

Showing a set is not even r.e.  
by reducing from coHP.

Example.  $INF = \left\{ x \mid \begin{array}{l} x \text{ is a description of a} \\ \text{Turing machine } M \text{ and} \\ L(M) \text{ is infinite.} \end{array} \right\}$

Prove INF is not r.e.

Reduction from coHP to INF.

Again, same rules:

[1]  $R(a\#b)$  can be computed by a TM.

[2]  $a\#b \in \text{coHP} \iff R(a\#b) \in \text{INF}$ .

Given  $a\#b$  let  $M$  be a TM  
that does the following.

1. Write  $a\#b$  on Tape 2.  
(Tape 1 is its input tape.)

2. Use universal TM to

simulate, on Tape 2,  
the execution of a processing  $b$ .

3. At the same time, in every transition it moves right on Tape 1.

4. If it ever reaches blank space on Tape 1, it accepts its input.

5. If the simulation of  $M_a$  halts before blank space reached on tape 1, rejects the input.

This  $M$  accepts input  $y$  iff

$$\text{length}(y) \leq \text{running time of } M_a \text{ on } b.$$

If  $M_a$  runs forever on  $b$  infinitely many inputs  $y$  satisfy this property.  
If  $M_a$  halts on  $b$ , only finitely



many  $y$  will be accepted.