

# Perceptron

CS4780 – Machine Learning  
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Reading: Mitchell Chapter 4.4-4.4.2 & Chapter 7.5  
Cristianini/Shawe-Taylor Chapter 2-2.1.1

## Outline

- Linear classification rules
- Perceptron learning algorithm
- Mistake-bound model
- Perceptron mistake bound

## Example: Spam Filtering

	viagra	learning	the	dating	nigeria	spam?
$\vec{x}_1 =$	1	0	1	0	0	$y_1 = 1$
$\vec{x}_2 =$	0	1	1	0	0	$y_2 = -1$
$\vec{x}_3 =$	0	0	0	0	1	$y_3 = 1$

- **Instance Space X:**
  - Feature vector of word occurrences => binary features
  - N features (N typically > 50000)
- **Target Concept c:**
  - Spam (+1) / Ham (-1)

## Linear Classification Rules

- **Hypotheses of the form**
  - unbiased:  $h_{\vec{w}}(\vec{x}) = \begin{cases} 1 & w_1x_1 + \dots + w_Nx_N > 0 \\ -1 & \text{else} \end{cases}$
  - biased:  $h_{\vec{w},b}(\vec{x}) = \begin{cases} 1 & w_1x_1 + \dots + w_Nx_N + b > 0 \\ -1 & \text{else} \end{cases}$ 
    - Parameter vector  $w$ , scalar  $b$
- **Hypothesis space H**
  - $H_{unbiased} = \{h_{\vec{w}} : \vec{w} \in \mathbb{R}^N\}$
  - $H_{biased} = \{h_{\vec{w},b} : \vec{w} \in \mathbb{R}^N, b \in \mathbb{R}\}$
- **Notation**
  - $w_1x_1 + \dots + w_Nx_N = \vec{w} \cdot \vec{x}$  and  $sign(a) = \begin{cases} 1 & a > 0 \\ -1 & \text{else} \end{cases}$
  - $h_{\vec{w}}(\vec{x}) = sign(\vec{w} \cdot \vec{x})$
  - $h_{\vec{w},b}(\vec{x}) = sign(\vec{w} \cdot \vec{x} + b)$

## (Online) Perceptron Algorithm

- Input:  $S = ((\vec{x}_1, y_1), \dots, (\vec{x}_n, y_n))$ ,  $\vec{x}_i \in \mathbb{R}^N$ ,  $y_i \in \{-1, 1\}$ ,  $\eta \in \mathbb{R}$
- Algorithm:
  - $\vec{w}_0 = \vec{0}$ ,  $k = 0$
  - FOR  $i=1$  TO  $n$ 
    - \* IF  $y_i(\vec{w}_k \cdot \vec{x}_i) \leq 0$  ### makes mistake
      - $\vec{w}_{k+1} = \vec{w}_k + \eta y_i \vec{x}_i$
      - $k = k + 1$
    - \* ENDIF
  - ENDFOR
- Output:  $\vec{w}_k$

## Margin of a Linear Classifier

**Definition:** For a linear classifier  $h_{\vec{w}}$ , the **margin**  $\delta$  of an example  $(\vec{x}, y)$  with  $\vec{x} \in \mathbb{R}^N$  and  $y \in \{-1, +1\}$  is  $\delta = y(\vec{w} \cdot \vec{x})$ .

**Definition:** The margin is called **geometric margin**, if  $\|\vec{w}\| = 1$ . Otherwise, **functional margin**.

**Definition:** The (hard) margin of an unbiased linear classifier  $h_{\vec{w}}$  on a sample  $S$  is  $\delta = \min_{(\vec{x}, y) \in S} y(\vec{w} \cdot \vec{x})$ .

**Definition:** The (hard) margin of an unbiased linear classifier  $h_{\vec{w}}$  on a task  $P(X, Y)$  is  $\delta = \inf_{S \sim P(X, Y)} \min_{(\vec{x}, y) \in S} y(\vec{w} \cdot \vec{x})$ .

## (Batch) Perceptron Algorithm

Input:  $S = ((\vec{x}_1, y_1), \dots, (\vec{x}_n, y_n))$ ,  $\vec{x}_i \in \mathbb{R}^N$ ,  $y_i \in \{-1, 1\}$ ,  
 $\eta \in \mathbb{R}$ ,  $I \in [1, 2, \dots]$

Algorithm:

- $\vec{w}_0 = \vec{0}$ ,  $k = 0$
  - repeat
    - FOR  $i=1$  TO  $n$ 
      - \* IF  $y_i(\vec{w}_k \cdot \vec{x}_i) \leq 0$  ### makes mistake
        - $\vec{w}_{k+1} = \vec{w}_k + \eta y_i \vec{x}_i$
        - $k = k + 1$
      - \* ENDIF
- ENDFOR
- until  $I$  iterations reached

## Example: Reuters Text Classification

