

Foundations of Artificial Intelligence

CS472/3 — Fall 1999

Lecture #20

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Slide CS472-1

Today's Lecture

Inference

Resolution / Unification

Chapter 8 & 9, R&N.

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Last time: Formal Representation of Circuit

- circuit diagram captured in
 - general rules about gates, signals, and connecting terminals
 - E.g. $\forall t_1, t_2 \text{ Connected}(t_1, t_2) \Rightarrow$
 $\text{Signal}(t_1) = \text{Signal}(t_2)$
 - with atomic facts given the actual circuit
 - E.g., $\text{Type}(A2) = \text{AND}$.

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Allows us to **reason** about overall behavior.

E.g. What inputs give a particular output.

Used in analysis of circuits/systems.

Contrast with Truth table method.

And querying (What logically follows from specification.).

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Diagnosis

So far, discussed checking specification.

How about diagnosing circuit?

*I.e., given inputs and outputs, find
faulty gates.*

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Why bother?

Don't you normally just throw the chip out??

A. Still need **good** testing patterns.

I.e., ones with large coverage,

Method applicable at higher level, i.e., in terms
of larger components.

Also other domains:

- Cassini mission to Saturn (on its way...)
real-time diagnosis / **25 msec decisions!**
2,000 vars and 10,000 clauses SAT problem
- Software diagnosis / synthesis
Components are subroutines / classes.

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Many different possibilities...

In practice, rank according to likelihood.

E.g. single-fault more likely than double fault.

Also, incorporate failure rates of components.

(reasoning with uncertainty)

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For example, what happens if we assert with our
initial KB that:

$Signal(In(1, C1)) = On, \quad Signal(In(2, C1)) = On$

$Signal(In(3, C1)) = On$

$Signal(Out(1, C1)) = On, \quad Signal(Out(2, c1)) = Off ?$

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Need to capture possibly faulty behavior.

$$4') \forall g ((Type(g) = OR) \wedge Functioning(g)) \Rightarrow \\ (Signal(Out(1, g)) = On \Leftrightarrow \\ \exists n Signal(In(n, g) = On)$$

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KB with $\forall x Functioning(x)$ with

$Signal(In(1, C1)) = On, Signal(In(2, C1)) = On$

$Signal(In(3, C1)) = On$

implies

$Signal(Out(1, C1)) = On, Signal(Out(2, c1)) = On.$

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So, if we **observe**

$Signal(Out(1, C1)) = On, \quad Signal(Out(2, c1)) = Off.$

It follows that one or more of the *Functioning()*
predicates must be **false**.

Finding the smallest set of non-functioning components
consistent with the observations is called
abductive or **diagnostic** reasoning.

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Which component(s) is (are) non-functioning?

Aside: abductive reasoning is generally computationally
harder than deductive reasoning.

Still, currently abductive reasoning more widely
applied because of the need for constant monitoring
of complex systems.

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One more example: Graph Coloring

Graph: N nodes, K colors.

- 1) $\forall i (1 \leq i \leq N) \exists j (1 \leq j \leq K) \text{Color}(i, j)$
 $\forall i, j, l (1 \leq i \leq N) (1 \leq j, l \leq K)$
 $[(\text{Color}(i, j) \wedge \text{Color}(i, l)) \Rightarrow (j = l)]$
- 2) $\forall i, j (1 \leq i, j \leq N) [(i \neq j) \Rightarrow$
 $(\text{Edge}(i, j) \Rightarrow [\neg \exists k (1 \leq k \leq K)$
 $((\text{Color}(i, k) \wedge \text{Color}(j, k)))])]$

Slide CS472–13

alternative:

- 3) $\forall i, j (1 \leq i, j \leq N) [(i \neq j) \Rightarrow$
 $(\text{Edge}(i, j) \Rightarrow [\forall k (1 \leq k \leq K)$
 $(\neg \text{Color}(i, k) \vee \neg \text{Color}(j, k))])]$

Now actual graph given by, e.g.,:

- 4) $\text{Edge}(1, 3), \text{Edge}(2, 4), \text{Edge}(5, 6) \dots$ etc.

Slide CS472–14

reasoning: 3 & 4 gives e.g.:

$$\forall k(1 \leq k \leq K) (\neg Color(1, k) \vee \neg Color(3, k))$$

uses “unification” $\{i/1, j/3\}$ with Modus Ponens (p. 269 R&N)

For $K = 5$, we get:

$$(\neg Color(1, 1) \vee \neg Color(3, 1)), (\neg Color(1, 2) \vee \neg Color(3, 2)), \\ \dots (\neg Color(1, 5) \vee \neg Color(3, 5))$$

in propositional form.

uses Universal Elimination, e.g., substitute $\{k/1\}$, etc.

Slide CS472–15

Defining **natural kinds** is much more difficult.

e.g. a *game*, or a *chair*.

difficulty with necessary and sufficient conditions.

p. 232 R&N.

problem with “strict definition” (Quine 1953)

“the Pope is a bachelor.”

Approaches?

Slide CS472–16

Inference

We've considered various first-order formalizations.

But, how do we reason with them? Derive new info?

A. Use resolution as in propositional case

From $(\alpha \vee p) \wedge (\neg p \vee \beta)$

conclude $\alpha \vee \beta$ until you reach contradiction.

Need some extra “tricks” to deal with

quantifiers and variables.

Slide CS472–17

Resolution

I **put in clausal form**

all variables universally quantified

main trick: “Skolemization” to remove existentials.

idea: invent names for unknown objects known to exist

II **use unification** to match atomic sentences

III **apply resolution rule** to the clausal set combined

with negated goal. Attempt to generate empty clause.

Slide CS472–18

Tricks

- **unification:** needed to match variables and terms between clauses that look similar
See R&N pp. 270-271.
- **normalization:** put in **clausal form**
move quantifiers / \wedge / \vee etc.
and **Skolemization** — remove \exists by giving an arbitrary, but unique name to the object in question.
E.g. D for the dog owned by Jack.
See R&N pp. 281-282.

Slide CS472–19

Unification

UNIFY (P,Q) takes two atomic sentences P and Q and returns a substitution that makes P and Q **look the same**.

Rules for substitutions:

- Can replace a variable by a constant.
- Can replace a variable by a variable.
- Can replace a variable by a function expression, as long as the function expression does not contain the variable.

Unifier: a substitution that makes two clauses resolvable.

$v_1 \rightarrow C; v_2 \rightarrow v_3; v_4 \rightarrow f(\dots)$

Slide CS472–20

Unification — Purpose

Given:

$Knows(John, x) \rightarrow Hates(John, x)$

$Knows(John, Jim)$

Derive

$Hates(John, Jim)$

Need **unifier** $\{x/Jim\}$ before resolution.

(simplest case)

Slide CS472–21

$\neg Knows(John, x) \vee Hates(John, x)$ and $Knows(John, Jim)$

How do we resolve? First, match them.

Solution:

$UNIFY(Knows(John, x), Knows(John, Jim)) = \{x/Jim\}$

Gives

$\neg Knows(John, Jim) \vee Hates(John, Jim)$ and

$Knows(John, Jim)$

Conclude by resolution

$Hates(John, Jim)$

Slide CS472–22

Unification (example)

general rule:

$$\textit{Knows}(\textit{John}, x) \rightarrow \textit{Hates}(\textit{John}, x)$$

facts:

$$\textit{Knows}(\textit{John}, \textit{Jim})$$

$$\textit{Knows}(y, \textit{Leo})$$

$$\textit{Knows}(y, \textit{Mother}(y))$$

$$\textit{Knows}(x, \textit{Jane})$$

“matching facts to general rules”

Slide CS472–23

$$\text{UNIFY}(\textit{Knows}(\textit{John}, x), \textit{Knows}(\textit{John}, \textit{Jim})) = \{x/\textit{Jim}\}$$

$$\text{UNIFY}(\textit{Knows}(\textit{John}, x), \textit{Knows}(y, \textit{Leo})) = \{x/\textit{Leo}, y/\textit{John}\}$$

$$\text{UNIFY}(\textit{Knows}(\textit{John}, x), \textit{Knows}(y, \textit{Mother}(y))) = \\ \{y/\textit{John}, x/\textit{Mother}(\textit{John})\};$$

$$\text{UNIFY}(\textit{Knows}(\textit{John}, x), \textit{Knows}(x, \textit{Jane})) = \textit{fail}$$

Slide CS472–24

- Last one fails because x can't take on both the value John **and** the value Jane. But intuitively we know that everyone John knows he hates and everyone knows Jane so we should be able to infer that John hates Jane.
- This is why we require, if possible, that every variable has a separate name. $\text{Knows}(\text{John},x)$ and $\text{Knows}(y,\text{Jane})$ works.

Slide CS472–25

Most General Unifier

In cases where there is more than one substitution choose the one that makes the least commitment (most general) about the bindings.

$$\begin{aligned} & \text{UNIFY}(\text{Knows}(\text{John}, x), \text{Knows}(y, z)) \\ &= \{y/\text{John}, x/z\} \\ & \text{or } \{y/\text{John}, x/z, z/\text{Freda}\} \\ & \text{or } \{y/\text{John}, x/\text{John}, z/\text{John}\} \\ & \text{or } \dots \end{aligned}$$

See page 303 for general unification algorithm. $O(n^2)$

Slide CS472–26

Normal form: Clausal

Slide CS472–27

See pages 281 & 282, R&N.

- **Eliminate implication**

$p \Rightarrow q$ becomes $\neg p \vee q$

- **Move \neg inwards**

e.g., $\neg(p \vee q)$ becomes $(\neg p \wedge \neg q)$

$\neg\exists x . p$ becomes $\forall x \neg p$

$\neg\forall x . p$ becomes ...

- **Standardize variables**

rename variables to avoid conflicts.

- **Move quantifiers left**

e.g., $p \vee \forall x q$ becomes $\forall x (p \vee q)$

Slide CS472–28

- **Skolemize** (remove existentials)

e.g. $\forall x \text{ Person}(x) \Rightarrow \exists y \text{ Heart}(y) \wedge \text{Has}(x, y)$

consider:

$\forall x \text{ Person}(x) \Rightarrow \text{Heart}(H) \wedge \text{Has}(x, H)$

problem??

$\forall x \text{ Person}(x) \Rightarrow \text{Heart}(F(x)) \wedge \text{Has}(x, F(x))$

- **Distribute \wedge over \vee**

$(a \wedge b) \vee c$ becomes $(a \vee c) \wedge (b \vee c)$

- **Flatten nested conjunctions and disjunctions**

e.g. $(a \vee b) \vee c$ becomes $(a \vee b \vee c)$

Slide CS472–29

Example

Jack owns a dog.

Every dog owner is an animal lover.

No animal lover kills an animal.

Either Jack or Curiosity killed the cat, who is named Tuna.

Did Curiosity kill the cat?

Slide CS472–30

Original Sentences (Plus Background Knowledge)

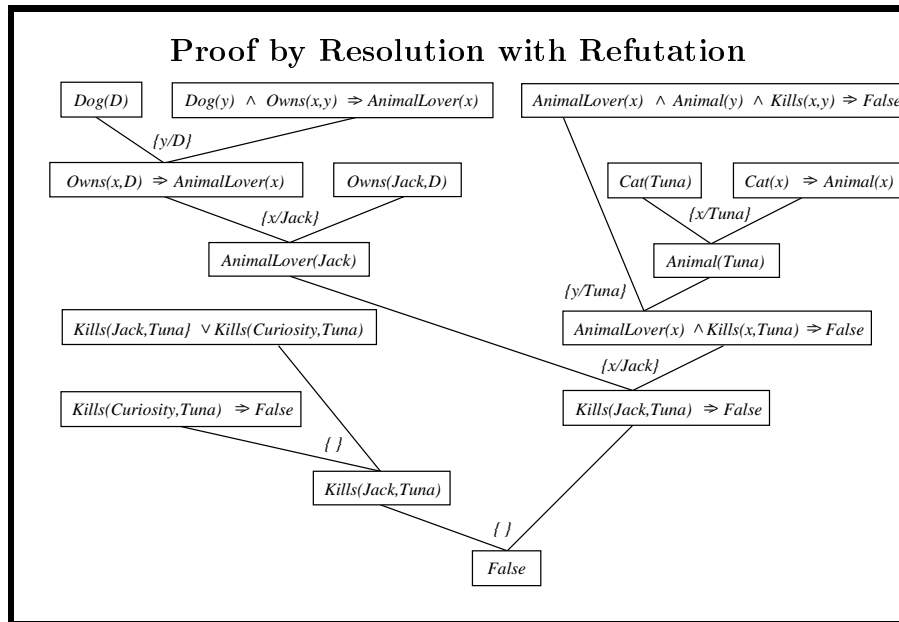
1. $\exists x : Dog(x) \wedge Owns(Jack, x)$
2. $\forall x (\exists y Dog(y) \wedge Owns(x, y)) \rightarrow AnimalLover(x)$
3. $\forall x AnimalLover(x) \rightarrow \forall y Animal(y) \rightarrow \neg Kills(x, y)$
4. $Kills(Jack, Tuna) \vee Kills(Curiosity, Tuna)$
5. $Cat(Tuna)$
6. $\forall x Cat(x) \rightarrow Animal(x)$

Slide CS472–31

Clausal Form

1. $Dog(D)$ (D is the function that finds Jack's dog)
2. $Owns(Jack, D)$
3. $\neg Dog(S(x)) \vee \neg Owns(x, S(x)) \vee AnimalLover(x)$
4. $\neg AnimalLover(w) \vee \neg Animal(y) \vee \neg Kills(w, y)$
5. $Kills(Jack, Tuna) \vee Kills(Curiosity, Tuna)$
6. $Cat(Tuna)$
7. $\neg Cat(z) \vee Animal(z)$

Slide CS472–32



Slide CS472–33

Completeness

Resolution with **unification** applied to **clausal form**,
is **refutation** complete.

Interesting proof! Based on building an “artificial” domain
of interpretation, called the **Herbrand universe**.
See Section 9.7 R&N.

Slide CS472–34

Practice

Complete in principle, in practice still significant
search problem!

Many different search strategies: **resolution strategies**

Slide CS472–35