

EXCEPTIONS AND UNUSUAL CONTROL FLOW PATTERNS

Professor Ken Birman CS4414/5416 Lecture 8

IDEA MAP FOR TODAY

In many situations, we have a normal control flow but must also deal with abnormal events.

Can Dijkstra's concept of creating abstractions offer a unified way to deal with abnormal control flow?

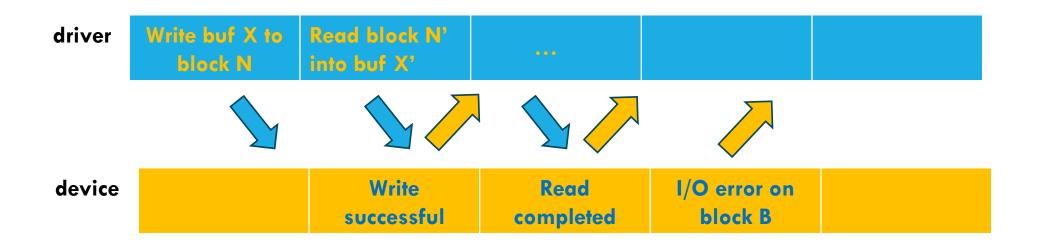
The hardware has this issue: an I/O event might finish more or less at any instant. Interrupts are like procedure calls that occur "when needed".

Linux offers programmable signal handling mechanisms that mimic interrupts.

C++ offers a similar concept via its throw statement, and the try/catch control structure.

All forms of exceptions can disrupt computation, making it very hard to write a "safe" handler!

VISUALIZE AN OS DEVICE DRIVER AND THE DEVICE IT TALKS TO

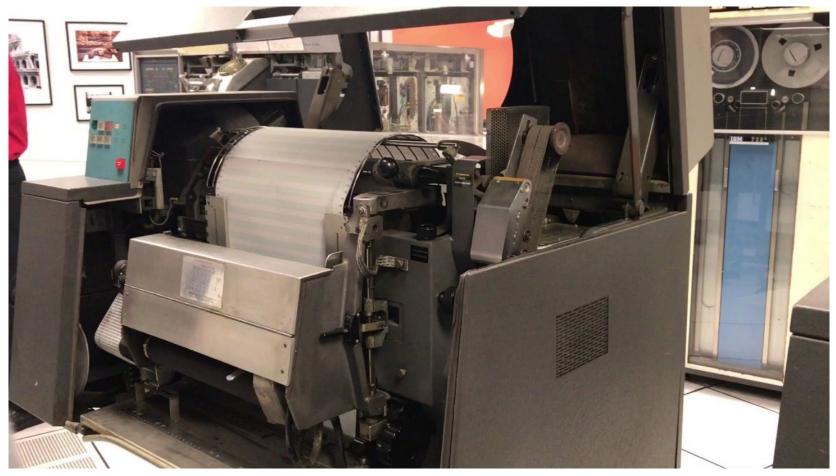


KIND OF LIKE A THREAD PLUS A FORM OF EXCEPTION NOTIFICATION!

The write... completed, read.... completed behavior is a lot like a thread in a loop, where the "I/O action" occurs in hardware but is like an asynchronous method finishing.

On the other hand the notification of the I/O error seems totally unexpected. The driver is poked by the disk: "Hey you, it looks like block B is messed up!"

EXCEPTIONS HAVE A DEEP HISTORY: PRINTERS APPARENTLY USED TO CATCH FIRE FAIRLY OFTEN!



HIGHLY EXCEPTIONAL CONTROL FLOW

```
static int lp check status(int minor)
235
236
             int error = 0;
237
             unsigned int last = lp table[minor].last error;
238
             unsigned char status = r str(minor);
239
             if ((status & LP PERRORP) && !(LP F(minor) & LP CAREFUL))
240
                     /* No error. */
241
                     last = 0;
242
             else if ((status & LP POUTPA)) {
243
                     if (last != LP POUTPA) {
244
                             last = LP POUTPA;
245
                             printk(KERN INFO "lp%d out of paper\n", minor);
246
247
                     error = -ENOSPC;
248
             } else if (!(status & LP PSELECD)) {
249
                     if (last != LP PSELECD) {
250
                             last = LP PSELECD;
251
                             printk(KERN INFO "lp%d off-line\n", minor);
252
                     error = -EIO;
253
254
             } else if (!(status & LP PERRORP)) {
                     if (last != LP PERRORP) {
255
256
                             last = LP PERRORP;
257
                             printk(KERN INFO "lp%d on fire\n", minor);
258
259
                     error = -EIO;
260
             } else {
261
                     last = 0; /* Come here if LP CAREFUL is set and no
262
                                  errors are reported. */
263
264
265
             lp table[minor].last error = last;
266
267
             if (last != 0)
268
                     lp error(minor);
269
270
             return error;
271
```

TODAY

How our "thread" with asynchronous completions really works: in fact there are two threads (the one in the OS and the one the hardware implements) and a notification from the disk to the OS

This same mechanism also reports exceptions

[Later in the lecture]: Linux signals

Programming language-level exceptions

C++ features for handling exceptions

KERNEL THREADS

Linux has threads handling work concurrently with your process executing. The logic to prefetch blocks you will need soon is a kind of kernel thread.

These threads (like any thread) can be running or waiting.

In our disk I/O example, each disk request is like a spun-off thread that constructs and issues a request, then waits for completion.

... AND DISKS REALLY DO HAVE THREADS TOO!

Modern hardware is often controlled by firmware written in C or C++, running right on the disk "controller" (a small computer)

<u>That</u> thread waits for a request, then interacts with the physical hardware to perform it.

HOW DO THEY WAKE EACH OTHER UP?

In effect, the disk needs a way to poke the O/S: "wake up!"

If you dig deep into the design, the O/S wakes up the disk controller thread, too. "Hey disk, I have work for you!"

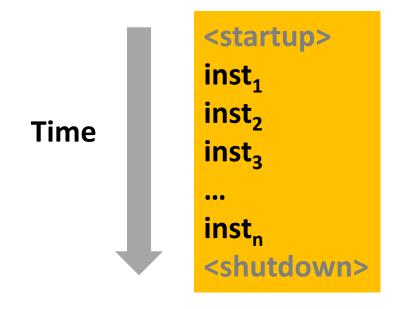
And they talk to one-another using... "interrupts"

CONTROL FLOW

Processors do only one thing:

- From startup to shutdown, a CPU simply reads and executes (interprets) a sequence of instructions, one at a time
- > This sequence is the CPU's control flow (or flow of control)

Physical control flow



ALTERING THE CONTROL FLOW

Up to now: two mechanisms for changing control flow:

- Jumps and branches... Call and return
- > In effect, we change control flow to react to changes in program state

Insufficient: We also need to react to changes in system state

- Data arrives from a disk or a network adapter
- Instruction divides by zero
- User hits Ctrl-C at the keyboard... Timer expires...

EXCEPTIONS: SEVERAL "FLAVORS" BUT MANY COMMONALITIES

All exceptions "seize control," generally by forcing the immediate execution of a handler procedure, no matter what your process was doing.

When a hardware device wants to signal that something needs attention, or has gone wrong, we say that the device triggers an interrupt. Linux generalizes this and views all forms of exceptions as being like interrupts.

Once this occurs, we can "handle" the exception in ways that might hide it, or we may need to stop some task entirely (like with ^C).

BIGGEST CONCERN

An exception can occur in the middle of some sort of expression evaluation, or data structure update.

For example, if your code manages a linked list, the exception could occur in the middle of adding a node!

So... the handler cannot assume that data structures are intact!

HOW WE HANDLE THIS

We think in terms of "recoverable" exceptions and "non-recoverable" ones.

A recoverable exception occurs if the kernel or the program can handle the exception, then resume normal execution.

A non-recoverable exception terminates the task (or perhaps just part of some task).

LET'S LOOK FIRST AT <u>MECHANISMS</u>, BUT THEN WE WILL SEE AN <u>ABSTRACTION</u> EMERGE

A mechanistic perspective looks at how each class of event arises. Each form of abnormal control flow has a concrete cause

Because the hardware features are diverse, we could end up with a diverse set of language features to deal with them.

In practice, there is a surprisingly degree of uniformity representing one abstraction that is applies in various ways

THIS ILLUSTRATES CONCEPTUAL ABSTRACTION

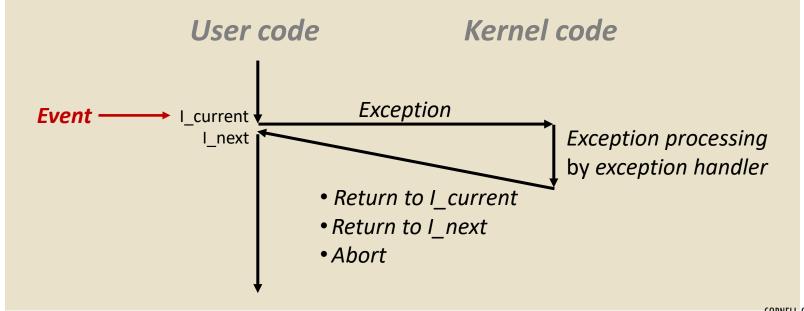
Rather than abstracting storage, the way a file system abstracts the storage blocks on a device, control flow abstractions have a conceptual flavor.

They illustrate a reused design pattern and a way of thinking about abnormal control flow. This concept is universal, yet the embodiment varies.

THIS DESIGN PATTERN IS A LINUX FEATURE

An exception often causes a transfer of control to the OS kernel in response to some event (i.e., change in processor state)

Examples: Divide by 0, arithmetic overflow, page fault, I/O request completes, typing Ctrl-C

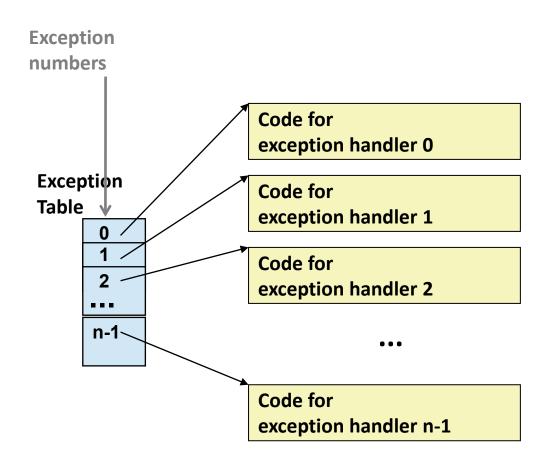


EXCEPTION TABLES

Each type of event has a unique exception number k

k = index into exception table
(a.k.a. interrupt vector)

Handler k is called each time exception k occurs



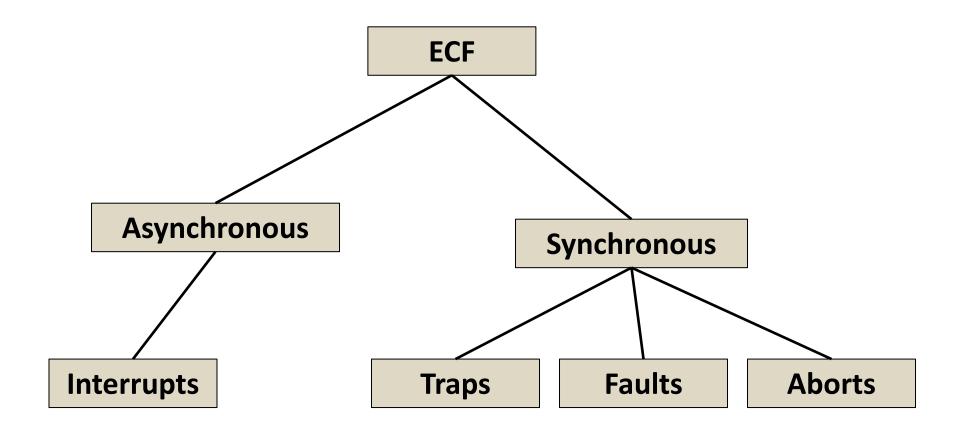
EXCEPTION TABLES

The kernel has one for interrupts.

Each process has one for signals.

The entries are simply the addresses of the handler methods. A special exception handler turns the exception into a kind of procedure call, at which the handler runs like normal code.

(PARTIAL) TAXONOMY



ASYNCHRONOUS EXCEPTIONS (INTERRUPTS)

Caused by events external to the processor

- Indicated by setting the processor's interrupt pin
- Handler returns to the instruction that was about to execute

Examples:

- Timer interrupt
 - Every few ms, an external timer chip triggers an interrupt.
 - Used by the kernel to take back control from user programs
- I/O interrupt from external device
 - > Typing a character or hitting Ctrl-C at the keyboard
 - > Arrival of a packet from a network, or data from a disk

SYNCHRONOUS EXCEPTIONS

Caused by events that occur as a result of executing an instruction:

- Traps
 - Intentional, set program up to "trip the trap" and do something
 - Examples: system calls, gdb breakpoints. Control resumes at "next" instruction

Faults

- Unintentional but possibly recoverable
- Examples: page faults (recoverable), protection faults (unrecoverable), floating point exceptions
- Either re-executes faulting ("current") instruction or aborts

Aborts

- Unintentional and unrecoverable... Aborts current program
- Examples: illegal instruction, memory parity error, hardware malfunction

SYSTEM CALLS

- Each Linux system call has a unique ID number
- **Examples:**

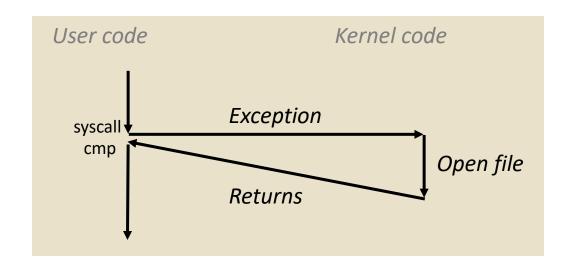
Number	Name	Description
0	read	Read file
1	write	Write file
2	open	Open file
3	close	Close file
4	stat	Get info about file
57	fork	Create process
59	execve	Execute a program
60	_exit	Terminate process
62	kill	Send signal to process

SYSTEM CALL EXAMPLE: OPENING FILE

User calls: open (filename, options)

Calls __open function, which invokes system call instruction syscall

```
0000000000e5d70 <__open>:
...
e5d79: b8 02 00 00 00 mov $0x2,%eax # open is syscall #2
e5d7e: 0f 05 syscall # Return value in %rax
e5d80: 48 3d 01 f0 ff ff cmp $0xfffffffffff001,%rax
...
e5dfa: c3 retq
```



- %rax contains syscall number
- Other arguments in %rdi, %rsi, %rdx, %r10, %r8, %r9
- Return value in %rax
- Negative value is an error corresponding to negative

errno

SYSTEM CA Almost like a function call

Calls __open function,

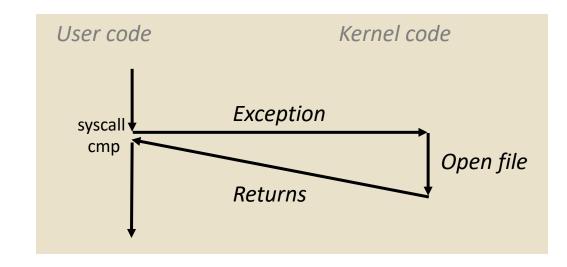
00000000000e5d70 <__op e5d79: b8 02 00 00 00 e5d7e: 0f 05 Sysca e5d80: 48 3d 01 f0 ff ff c

e5dfa: c3

- Transfer of control
- User calls: open (fil On return, executes next instruction
 - Passes arguments using calling convention
 - Gets result in %rax

One Important exception!

- **Executed by Kernel**
- Different set of privileges
- And other differences:
 - e.g., "address" of "function" is in %rax
 - Uses errno
 - Etc.



retq

- %rax contains syscall number
- Other arguments in %rdi, %rsi, %rdx, %r10, %r8, %r9
- Return value in %rax
- Negative value is an error corresponding to negative

errno

FAULT EXAMPLE: PAGE FAULT

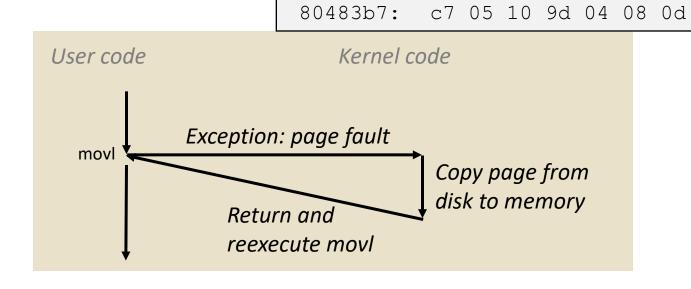
User writes to memory location

That portion (page) of user's memory is currently paged out (on disk)

```
int a[1000];
main ()
{
    a[500] = 13;
}
```

\$0xd,0x8049d10

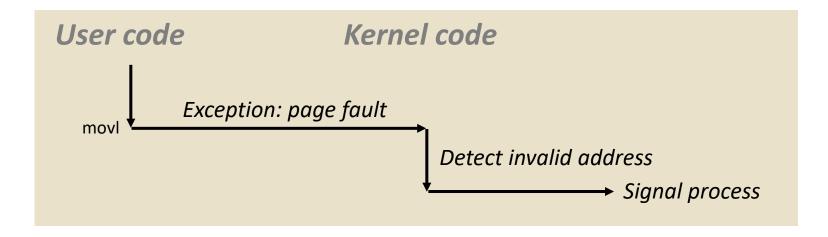
movl



FAULT EXAMPLE: INVALID MEMORY REFERENCE

```
int a[1000];
main ()
{
    a[5000] = 13;
}
```

80483b7: c7 05 10 9d 04 08 0d movl \$0xd,0x8049d10



Sends SIGSEGV signal to user process

User process exits with "segmentation fault"

SOME FLAVORS OF SEGMENT FAULTS

Trying to read or write into memory that isn't part of your address space.

Trying to modify a write-protected data or code segment.

Trying to jump into (execute) a data segment (this is actually possible, but you have to do something special).

YET EXCEPTIONS ALSO ALLOW US TO EMULATE "INFINITE NUMBER OF CORES"

Basic idea: if we have more threads than cores, we can use timer exceptions to switch from thread to thread (or process to process)

This is called a "context switch" and involves saving the state of the interrupted thread: the contents of the registers.

Then we can load the state of the thread we wish to switch to.

CONTEXT SWITCHES BETWEEN PROCESSES

For the hardware, a process is simply a set of threads plus a memory map that tells which memory pages belong to the process, and what protection rules to apply.

As part of the context switch, the kernel simply tells the hardware which "page table" to use for this process.

TODAY

Exceptional Control Flow

Linux signals

Programming language-level exceptions

C++ features for handling exceptions

LINUX SIGNALS

Linux uses a variety of signals to "tell" an active process about exceptions relevant to it. The approach mimics what the hardware does for interrupts.

The signal must be caught or ignored. Some signals are ignored by default. Others must be caught and will terminate the process if not.

To catch a signal, a process (or some library it uses) must register a "signal handler" procedure. Linux will pause normal execution and call the handler. When the handler returns, the interrupted logic resumes.

LIST OF LINUX SIGNALS

SIGABRT	Abort signal from abort(3)	
SIGALRM	Timer signal from alarm(2)	
SIGBUS	Bus error (bad memory access)	
SIGCHLD	Child stopped or terminated	
SIGCONT	Continue if stopped	
SIGEMT	Emulator trap	
SIGFPE	Floating-point exception	
SIGHUP	User logged out or controlling process	
terminated		
SIGILL	Illegal Instruction	
SIGINFO	A synonym for SIGPWR	
SIGINT	Interrupt from keyboard	
SIGIO	I/O now possible (4.2BSD)	
	1, 5 115 11 10001010 (112202)	
SIGIOT	IOT trap. A synonym for SIGABRT	
SIGIOT SIGKILL	,	
	IOT trap. A synonym for SIGABRT	
SIGKILL	IOT trap. A synonym for SIGABRT Kill signal (cannot be caught or ignored)	

GDB — LINUX DEBUGGER

Allows you to understand where an exception occurred.

You can set breakpoints, examine variables, see the call stack

You can even watch individual variables

Uses exception handlers for all of this!

UNFORTUNATELY, NO TIME FOR A DEMO TODAY... I WOULD HAVE DONE ONE HERE (A PROCESS WITH AN ERROR TRIGGERING A SEGMENT FAULT)

BUGS THAT CAUSE EXCEPTIONS ARE COMMON... WE "DEBUG" THEM USING GDB

GDB cheatsheet - page 1

Running

gdb --pid <pid>
Start GDB and attach to process.

set args <args...>

Set arguments to pass to program to be debugged.

run

Run the program to be debugged.

kill

Kill the running program.

Breakpoints

break <where>

Set a new breakpoint.

delete <breakpoint#>

Remove a breakpoint.

clear

Delete all breakpoints.

enable <bre> <breakpoint#>

Enable a disabled breakpoint.

disable <bre> <bre>breakpoint#>
Disable a breakpoint.

Watchpoints

watch <where>

Set a new watchpoint.

delete/enable/disable <watchpoint#>
 Like breakpoints.

<where>

function name

Break/watch the named function.

line number

Break/watch the line number in the current source file.

file:line number

Break/watch the line number in the named source file.

Conditions

break/watch <where> if <condition>

Break/watch at the given location if the condition is met.

Conditions may be almost any C expression that evaluate to true or false.

condition

Set/change the condition of an existing break- or watchpoint.

Examining the stack

backtrace

where

Show call stack.

backtrace full

where full

Show call stack, also print the local variables in each frame.

frame <frame#>

Select the stack frame to operate on.

Stepping

step

Go to next instruction (source line), diving into function.

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next

Go to next instruction (source line) but don't dive into functions.

finish

Continue until the current function returns.

continue

Continue normal execution.

Variables and memory

print/format <what>

Print content of variable/memory location/register.

display/format <what>

Like "print", but print the information after each stepping instruction.

undisplay <display#>

Remove the "display" with the given number.

enable display <display#>
disable display <display#>

En- or disable the "display" with the given number.

x/nfu <address>

Print memory.

n: How many units to print (default 1).

f: Format character (like "print").

u: Unit.

Unit is one of:

b: Byte,

h: Half-word (two bytes)

w: Word (four bytes)

g: Giant word (eight bytes)).

GDB cheatsheet - page 2

	Format
а	Pointer.
C	Read as integer, print as character.
d	Integer, signed decimal.
f	Floating point number.
0	Integer, print as octal.
S	Try to treat as C string.
t	Integer, print as binary ($t = \text{"two"}$).
и	Integer, unsigned decimal.
X	Integer, print as hexadecimal.

<what>

expression

Almost any C expression, including function calls (must be prefixed with a cast to tell GDB the return value type).

file name::variable name

Content of the variable defined in the named file (static variables).

function::variable name

Content of the variable defined in the named function (if on the stack).

{type}address

Content at *address*, interpreted as being of the C type *type*.

\$register

Content of named register. Interesting registers are \$esp (stack pointer), \$ebp (frame pointer) and \$eip (instruction pointer).

Threads

thread <thread#>

Chose thread to operate on.

Manipulating the program

set var <variable name>=<value>

Change the content of a variable to the given value.

return <expression>

Force the current function to return immediately, passing the given value.

Sources

directory <directory>

Add *directory* to the list of directories that is searched for sources.

list

list <filename>:<function>
list <filename>:<line number>

list <first>,<last>

Shows the current or given source context. The *filename* may be omitted. If *last* is omitted the context starting at *start* is printed instead of centered around it.

set listsize <count>

Set how many lines to show in "list".

Signals

handle <signal> <options>

Set how to handle signles. Options are:

(no)print: (Don't) print a message when signals occurs.

(no)stop: (Don't) stop the program when signals occurs.

(no)pass: (Don't) pass the signal to the program.

Informations

disassemble

disassemble <where>

Disassemble the current function or given location.

info args

Print the arguments to the function of the current stack frame.

info breakpoints

Print informations about the break- and watchpoints.

info display

Print informations about the "displays".

info locals

Print the local variables in the currently selected stack frame.

info sharedlibrary

List loaded shared libraries.

info signals

List all signals and how they are currently handled.

info threads

List all threads.

show directories

Print all directories in which GDB searches for source files.

show listsize

Print how many are shown in the "list" command.

whatis variable name

Print type of named variable.

TODAY

Exceptional Control Flow

Linux signals

Programming language-level exceptions

C++ features for handling exceptions

UNHANDLED SEGMENTATION FAULTS

Our program dereferenced a null pointer, causing a segmentation fault. gdb showed us the line and variable responsible for the crash.

Notice the contrast with the cases where Linux was able to handle the fault: page faults and stack faults... in those, the program hadn't done anything wrong... The instruction that caused the fault can be retried (and will succeed) once the new page is mapped in.

With a segmentation fault, there is no way to "repair" the issue.

WHAT CAN WE DO?

Segmentation faults terminate the process.

But you could also "imagine" catching them and just terminating some thread that triggered the fault.

Other kinds of exceptions might be user-designed ones intended to reflect program logic, like "divide by 0" in Bignum

... LEADING TO

The C++ concept of a "thrown" exception, and try/catch

We use this feature to manage many kinds of exceptions that we anticipated and want to handle in code

But it can be a bit tricky to get this right without leaking memory or other kinds of resources, as we will see next

TODAY

Exceptional Control Flow

Linux signals

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EXCEPTIONS AT THE LANGUAGE LEVEL

Many programming languages have features to help you manage exceptions.

For Linux signals, this is done purely through library procedures that register that register the desired handler method.

But for program exceptions, a program might halt, or there may be a way to manage the exception and resume execution.

One big difference: Linux can restart a program at the exact instruction and in the exact state it was in prior to an interrupt or signal. But a programming language generally can't resume the same instruction after an event like a zero divide, so we need a way to transfer control to "alternative logic"

WHAT CAN WE DO IF A FAULT MIGHT OCCUR, BUT CAN BE HANDLED?

Most languages, including C++, offer a way to attempt some action, but then "catch" exceptions that might occur.

As part of these mechanisms the application is given a way to "throw" an exception if the logic detects a problem.

C++ CONSTRUCT

```
try
     do_something...
catch (exception-type)
     handler for exception
```

// Something went wrong!
// "Fix" the issue (or report it)

C++ CONSTRUCT

```
try
    salaries[employee] *= 1.05;// Give a raise...
catch (EmployeeUnknown) // "Employee unknown"
    handler for exception
                             // Print an error msg
```

"DO_SOMETHING" WON'T BE RETRIED

When Linux handled a page fault, it restarted the program on the same instruction and in the same state as it had at the fault.

When C++ catches this "not found" error and prints the error message, we just continue with the next line of code.

A COMMON ISSUE THIS CAN RAISE

Suppose that your program was working with a resource such as an open file, or was holding a lock (we'll discuss locks soon...)

The try/catch can jump to a caller, exiting from one or more code blocks and method calls that were active.

Thus the resource could be left "dangling", causing memory leaks or open files or other potential problems.

VISUALIZING THIS ISSUE

```
void annual_sip(float standard_raise)
     for(auto emp: emp_list)
                                                                    void give_raise(char* name, float raise)
          try
                                                                         FILE *fp = fopen("Paychecks.dat");
                                                                         salaries[name] *= 1.0 + raise;
               give_raise(emp.name, .05);
                                                                         .... write a record in the paychecks file...
                                                                         fclose(fp);
          catch(EmployeeNotFound)
               cout << "Salary DB is missing an employee!" << endl;
```

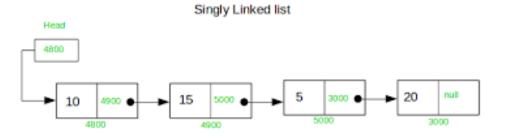
VISUALIZING THIS ISSUE

```
void annual_sip(float standard_raise)
                                  If this employee is not in
     for(auto emp: emp_list)
                                   the salaries database,
                                                                    void give_raise(char* name, float raise)
                                  exception is thrown here.
          try
                                                                         FILE *fp = fopen("Paychecks.dat");
                                                                         salaries[name] = 1.0 + raise;
               give_raise(emp.name, .05);
                                                                         .... write a record in the paychecks file...
                                                                         fclose(fp);
          catch(EmployeeNotFound)
               cout << "Salary DB is missing an employee!" << endl;
```

VISUALIZING THIS ISSUE

```
void annual_sip(float standard_raise)
     for(auto emp: emp_list)
                                                                     void give_raise(char* name, float raise)
        The exception transfers control to the catch block
        in annual_sip. The stack frame of give_raise is
                                                                          FILE *fp = fopen("Paychecks.dat");
        released. But this means that the line that calls
                                                                          salaries[name] *= 1.0 + raise;
        fclose will never execute, so we "leak" open files!
                                                                          .... write a record in the paychecks file...
                                                                          fclose(fp);
          catch(EmployeeNotFound)
               cout << "Salary DB is missing an employee!" << endl;
```

LINKED LIST EXAMPLE



Suppose that your code is adding a node in a linked list. Now the exception handler tries to access that list data structure.

The list might sometimes "seem to be broken" because not all the pointers will have their correct values!

Any data that your program *updates* could be seen during the update, rather than just before or after!

EXCEPTIONS RUN A RISK OF BUGS!

If an exception handler were to look at this list while it was changing, it could crash! Similarly, an exception handler can't allocate new memory objects, or print a message – all of those could be unsafe at some random moment when the handler runs!

Solution? Sometimes you can temporarily disable exception handling. Additionally, it is always best for exceptional handlers to be short, self-contained, and to not invoke library methods!

ANOTHER SOLUTION

Often we package pointers as std::shared_ptr<T> objects.

These have a getter that pretends the object is of type T*.

But they are reference counted: each time a "pointer" goes out of scope, the count decrements. They automatically call free when the count drops to 0. And this works even with exceptions!

C++ CATCH WON'T AUTOMATICALLY HELP BUT AT LEAST ENABLES "WORK AROUNDS"

For cases where we don't just have a pointer to worry about, a throw/catch sequence won't resume the code that threw the exception. But you can catch anticipated exceptions and do any needed cleanup.

Moreover, in C++ we will have run the destructors for all stack allocated objects that went out of scope before running catch. This is why shared_ptr<T> works even with exceptions.

COULD C++ THROW/CATCH REPLACE SIGNALS?

It may seem natural to think about using throw/catch as a signal replacement, but this won't work.

The problem is that a signal is asynchronous and unpredictable. With throw/catch the exception is synchronous and usually involves a software "choice" to throw the exception.

This is a shame, in fact, because it is so hard to write safe signal handlers.

REVIEW: THE EXCEPTION ABSTRACTION

```
Normal flow of events triggers some exception {
       if(automatically fixable) {
            OS kernel fixes the issue, resumes invisibly.
       } else if catchable and there is a catch block {
            control transfers to the catch block
       } else {
            abort terminates the program, creates a
            core file you can examine using gdb
```

SUMMARY

The exception pattern is very widely seen in Linux and C++. Broadly, exception handling mimics hardware interrupts. But hardware interrupts and signals can be "inhibited".

C++ try/catch control flow can't be inhibited and can easily disrupt updates and resource management: a potential source of <u>serious</u> bugs.

Per-resource wrappers offer an elegant solution.

SELF-TEST QUESTIONS

In C++ we almost always use std::shared_ptr<T> rather than *T.

Can you invent a scenario where you would much rather use true pointers, not these separate objects? (Hint: think about prior lectures on parallelism).

We mentioned that in Rust, "use after free" cannot occur. Does a program that uses shared_ptr<T> instead of *T risk "use after free" errors?

SELF-TEST QUESTIONS

People who work on "product sustainability" often need to correct rare bugs seen in deployment.

Sometimes they have the idea of catching exceptions, as a way to work around a bug.

Why is this often found to introduce new memory leaks?

SELF-TEST QUESTIONS

We saw that Linux supports signals that can be used by programmers, for one process to notify another process.

What kinds of bugs can arise if a signal handler runs at an arbitrary instant while the receiver process is actively running? (Hint: think about data structures, critical sections).

What sorts of logic is always safe in a signal handler?