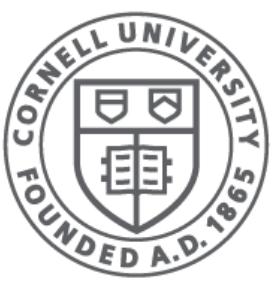


Disks and RAID

CS 4410
Operating Systems



Cornell CIS
COMPUTING AND INFORMATION SCIENCE

[R. Agarwal, L. Alvisi, A. Bracy, F. Schneider, E. Sirer, R. Van Renesse]

Disk Abstraction

- `disk.getsize()`
 - returns the #blocks on the disk
- `disk.read(offset) → block`
 - returns the block at the given offset
- `disk.write(offset, block)`
 - writes the block at the given offset

Typical block size: 512 bytes (hard drives) to 2 Kbytes (CDs/DVDs) to 4 Kbytes (SSDs)

What do we want from storage?

- **Fast**: data is there when you want it
- **Reliable**: data fetched is what you stored
- **Plenty**: there should be lots of it
- **Affordable**: won't break the bank

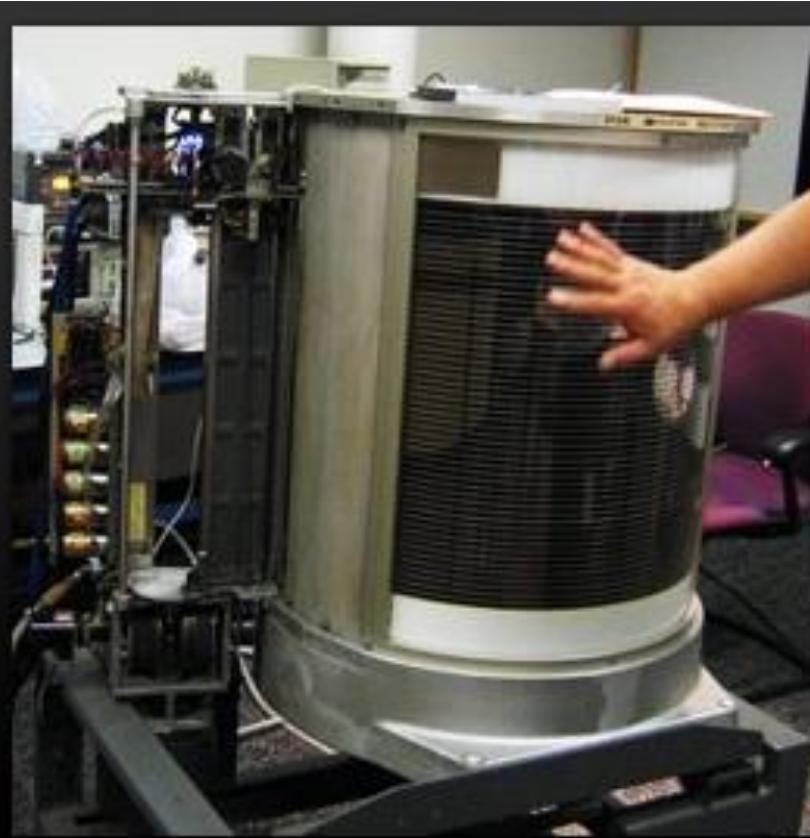
Storage Devices

- Magnetic disks (HDD)
- Flash drives (SSD)

Magnetic Disks are 65 years old!

THAT WAS THEN

- 13th September 1956
- The IBM RAMAC 350
- Total Storage = 5 million characters
(about 3.75 MB)



THIS IS NOW

- 2.5-3.5" hard drive
- Example: 500GB Western Digital Scorpio Blue hard drive
- easily up to a few TB



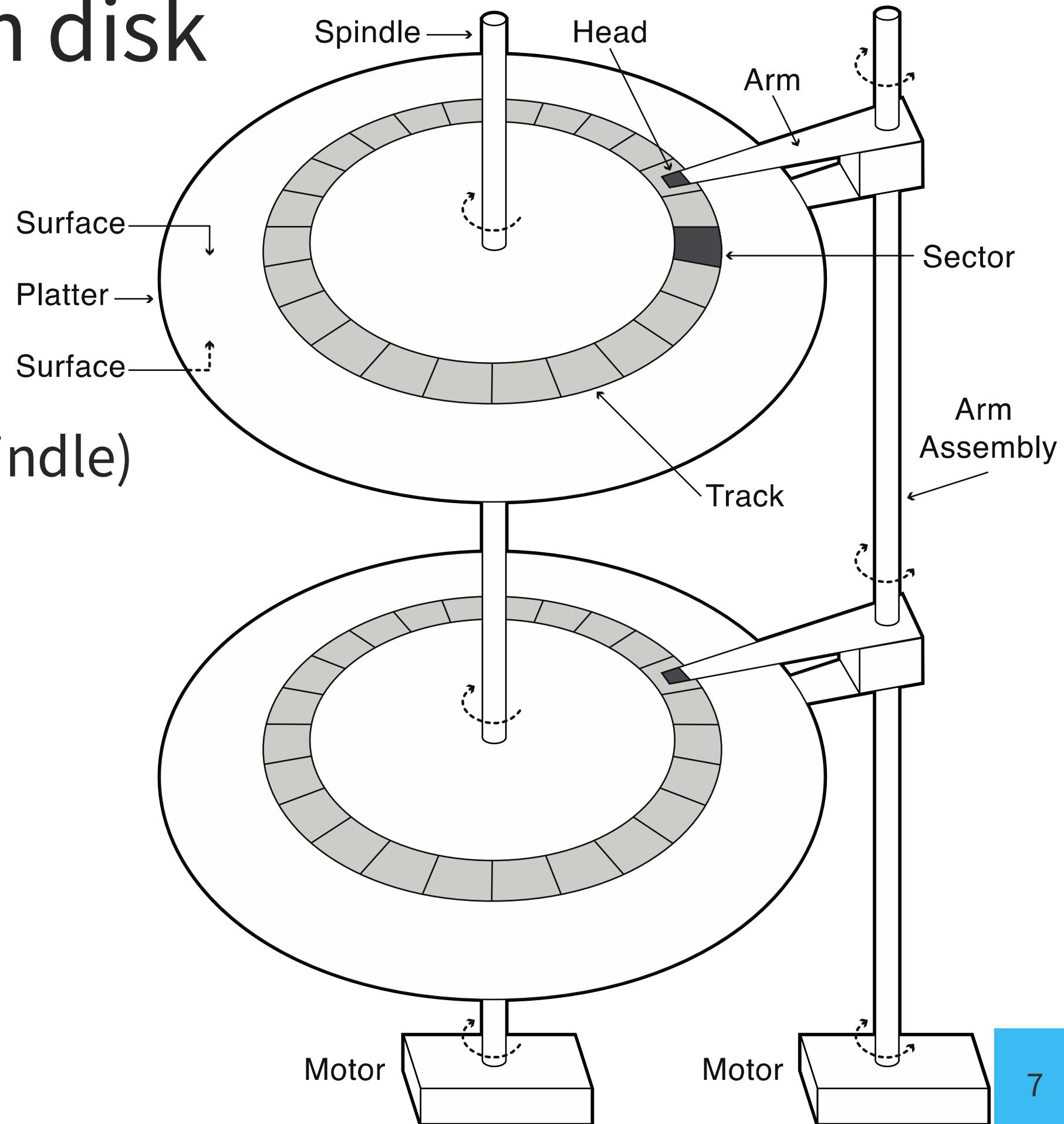
RAM (Memory) vs HDD (Disk) vs SSD, 2020's

	RAM	HDD	SSD
Typical Size	16 GB	1 TB	1TB
Cost	\$5-10 per GB	\$0.05 per GB	\$0.10 per GB
Latency	15 ns	15 ms	1ms
Throughput (Sequential)	8000 MB/s	175 MB/s	500 MB/s
Power Reliance	volatile	non-volatile	non-volatile

Reading from disk

Must specify:

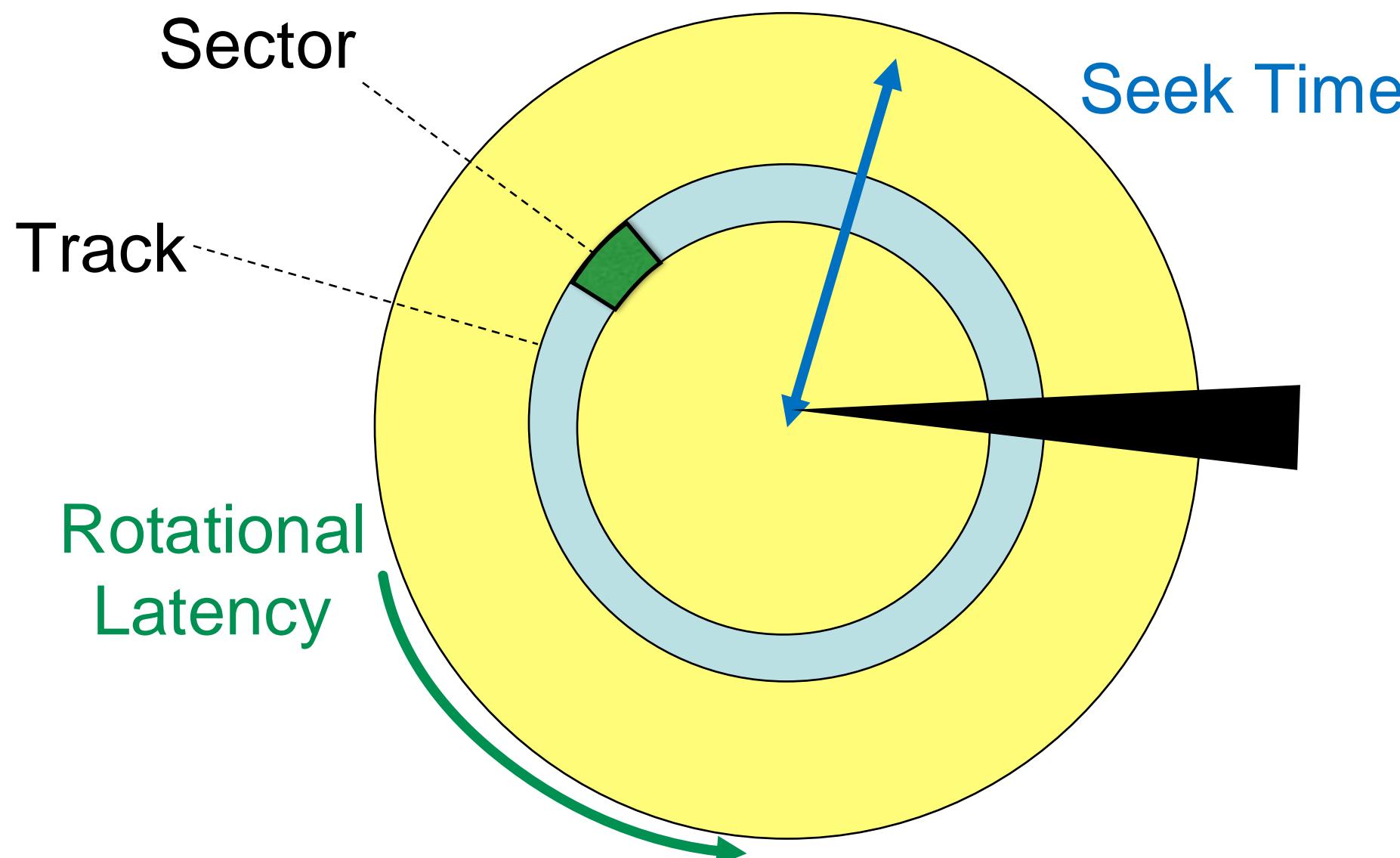
- cylinder #
(distance from spindle)
- head #
- sector #
- transfer size
- memory address



Disk overheads

$$\text{Disk Latency} = \text{Seek Time} + \text{Rotation Time} + \text{Transfer Time}$$

- **Seek:** to get to the track (5-15 millisecs (ms))
- **Rotational Latency:** to get to the sector (4-8 millisecs (ms))
(on average, only need to wait half a rotation)
- **Transfer:** get bits off the disk (25-50 microsecs (μ s))



Disk Scheduling

Objective: minimize seek time

Context: a queue of cylinder numbers (#0-199)

Head pointer @ 53

Queue: 98, 183, 37, 122, 14, 124, 65, 67

Metric: how many cylinders traversed?

“elevator algorithms”

Disk Scheduling: **FIFO**

- Schedule disk operations in order they arrive
- Downsides?

FIFO Schedule?

Total head movement?

Head pointer @ 53

Queue: 98, 183, 37, 122, 14, 124, 65, 67

Disk Scheduling: **FIFO**

- Schedule disk operations in order they arrive
- Downsides?

FIFO Schedule?

Total head movement?

640 cylinders

Head pointer @ 53

Queue: 98, 183, 37, 122, 14, 124, 65, 67

Disk Scheduling: Shortest Seek Time First

- Select request with minimum seek time from current head position
- A form of Shortest Job First (SJF) scheduling
- Not optimal: suppose cluster of requests at far end of disk → starvation!

SSTF Schedule?

Total head movement?

Head pointer @ 53

Queue: 98, 183, 37, 122, 14, 124, 65, 67

Disk Scheduling: Shortest Seek Time First

- Select request with minimum seek time from current head position
- A form of Shortest Job First (SJF) scheduling
- Not optimal: suppose cluster of requests at far end of disk → starvation!

SSTF Schedule?

Total head movement?

236 cylinders

Head pointer @ 53

Queue: 98, 183, 37, 122, 14, 124, 65, 67

Disk Scheduling: SCAN

Elevator Algorithm:

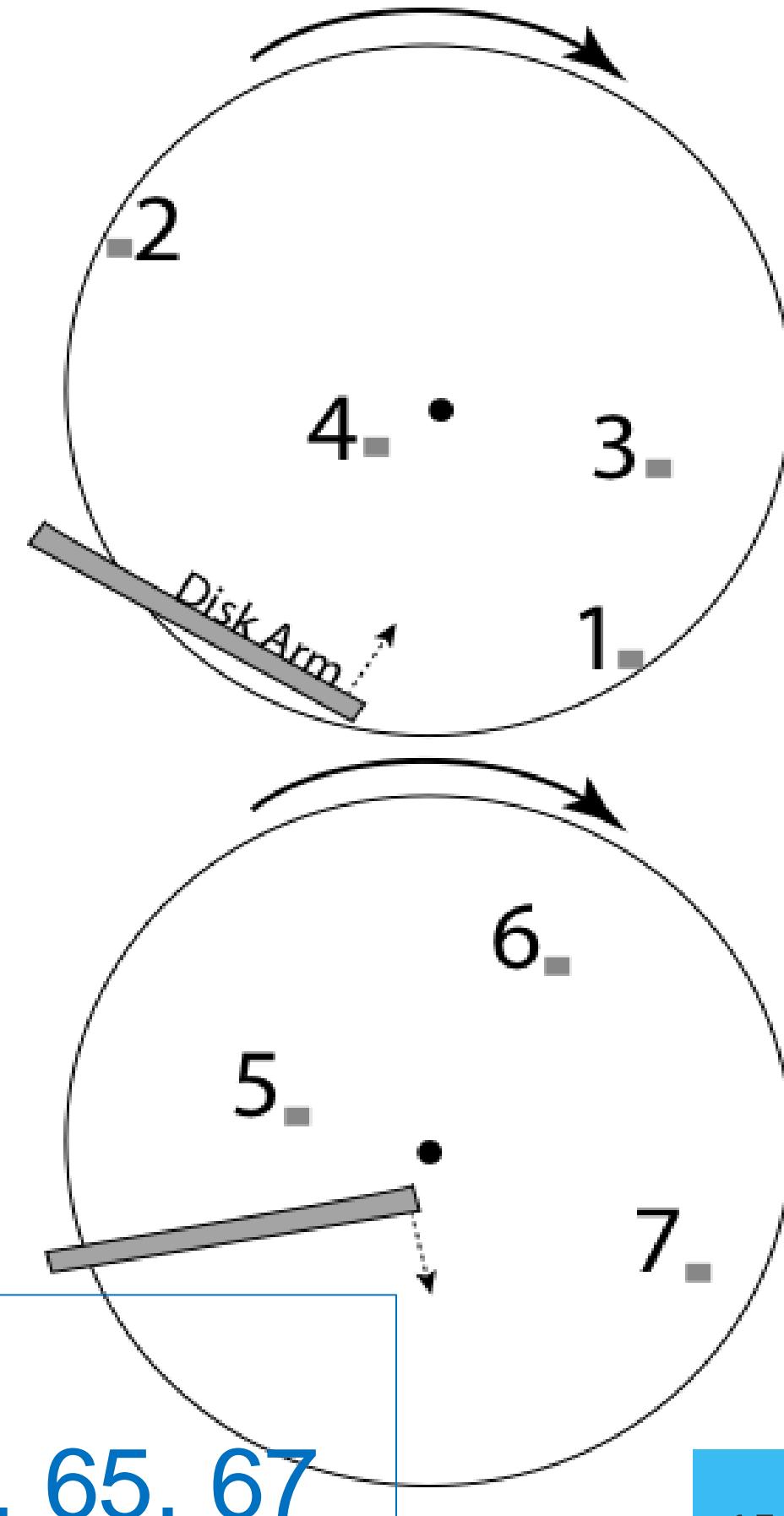
- arm starts at one end of disk
- moves to other end, servicing requests
- movement reversed @ end of disk
- repeat

SCAN Schedule?

Total head movement?

Head pointer @ 53

Queue: 98, 183, 37, 122, 14, 124, 65, 67



Disk Scheduling: SCAN

Elevator Algorithm:

- arm starts at one end of disk
- moves to other end, servicing requests
- movement reversed @ end of disk
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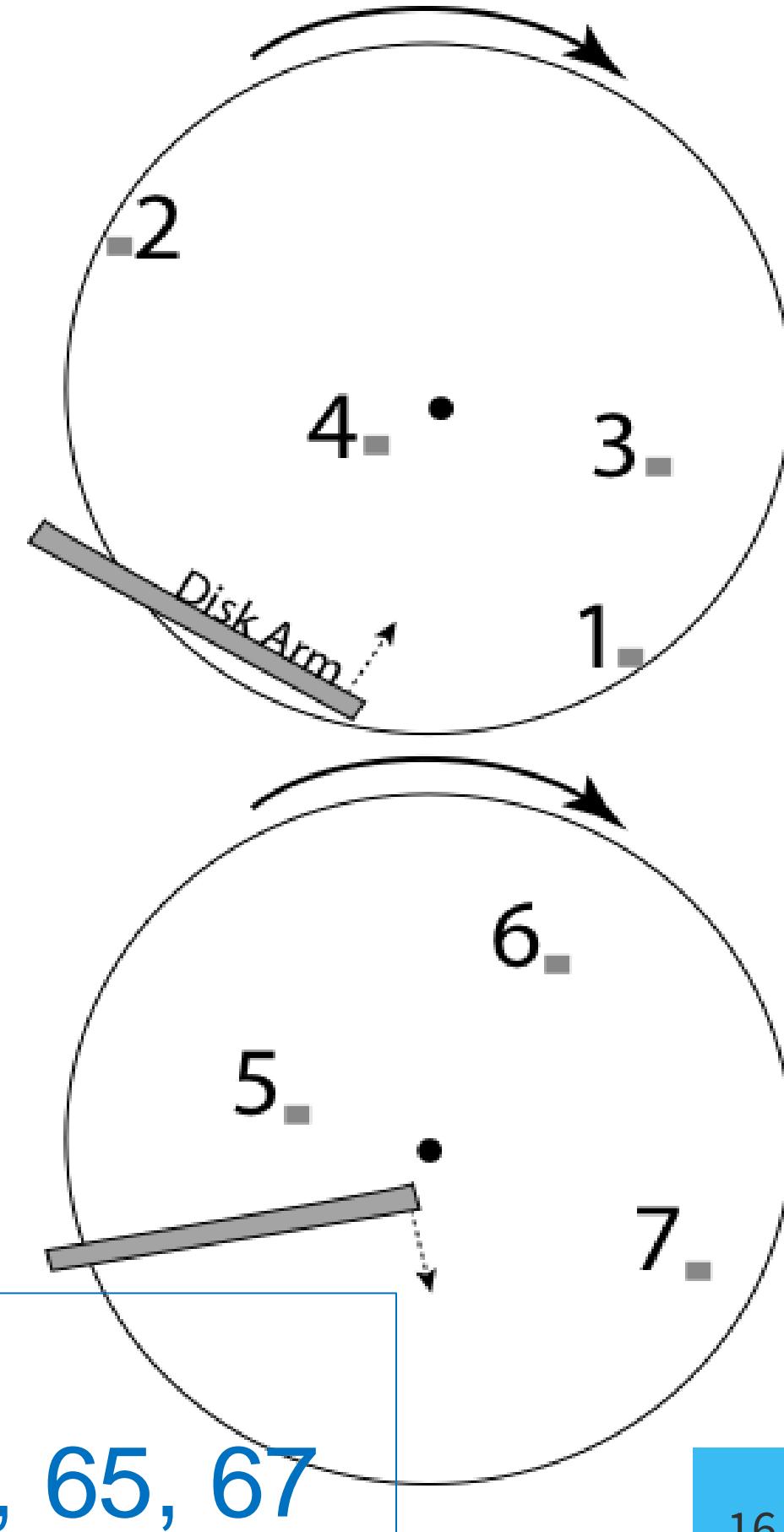
SCAN Schedule?

Total head movement?

208 cylinders

Head pointer @ 53

Queue: 98, 183, 37, 122, 14, 124, 65, 67

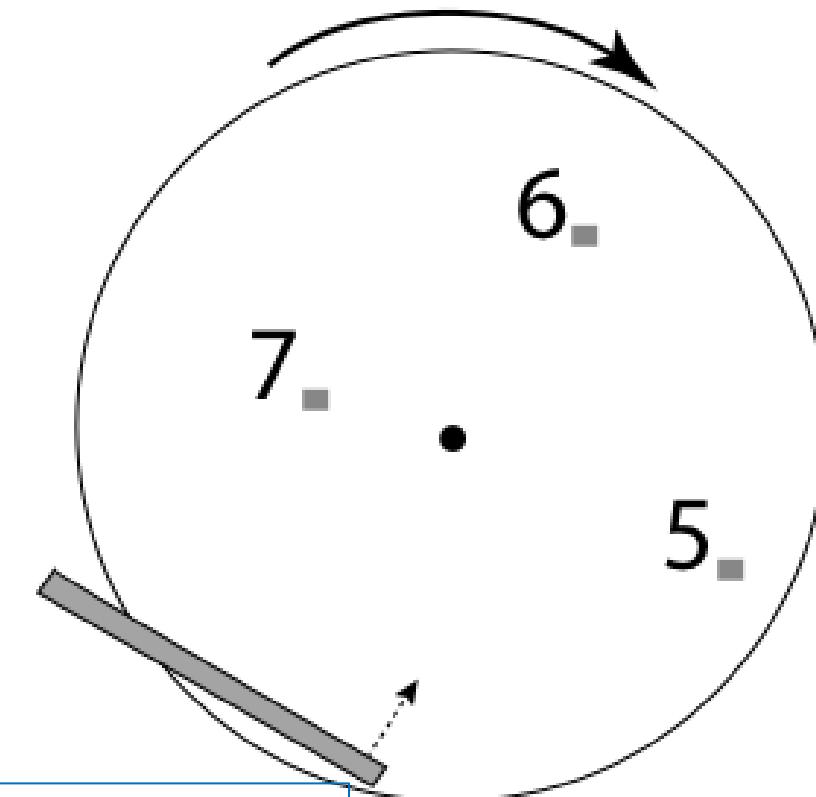
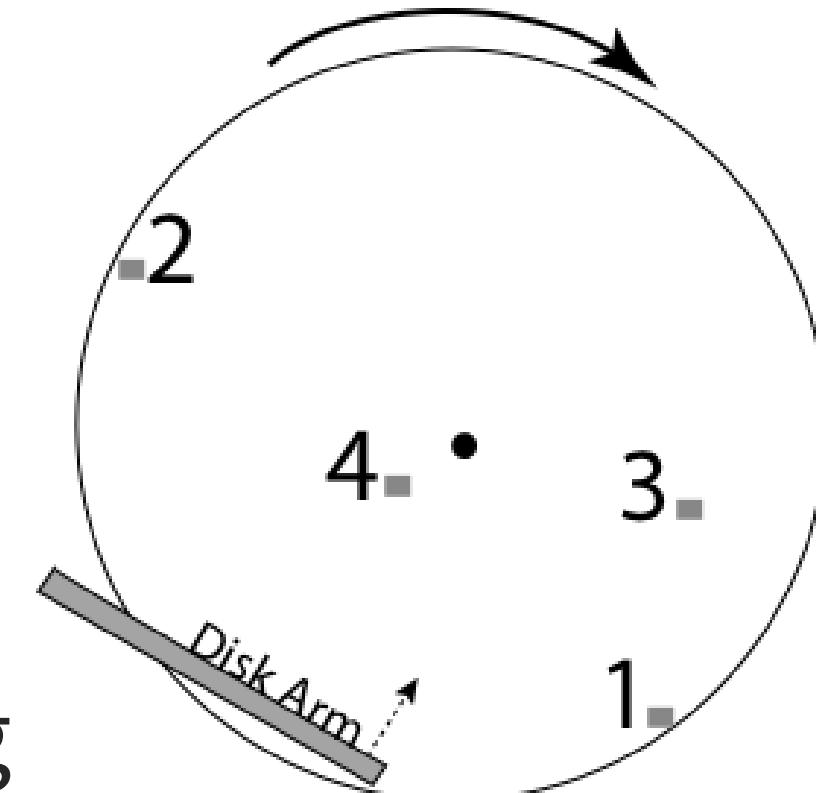


Disk Scheduling: C-SCAN

Circular list treatment:

- head moves from one end to other
- servicing requests as it goes
- reaches the end, returns to beginning
- no requests serviced on return trip

+ More uniform wait time than SCAN



C-SCAN Schedule?

Total Head movement?

Head pointer @ 53

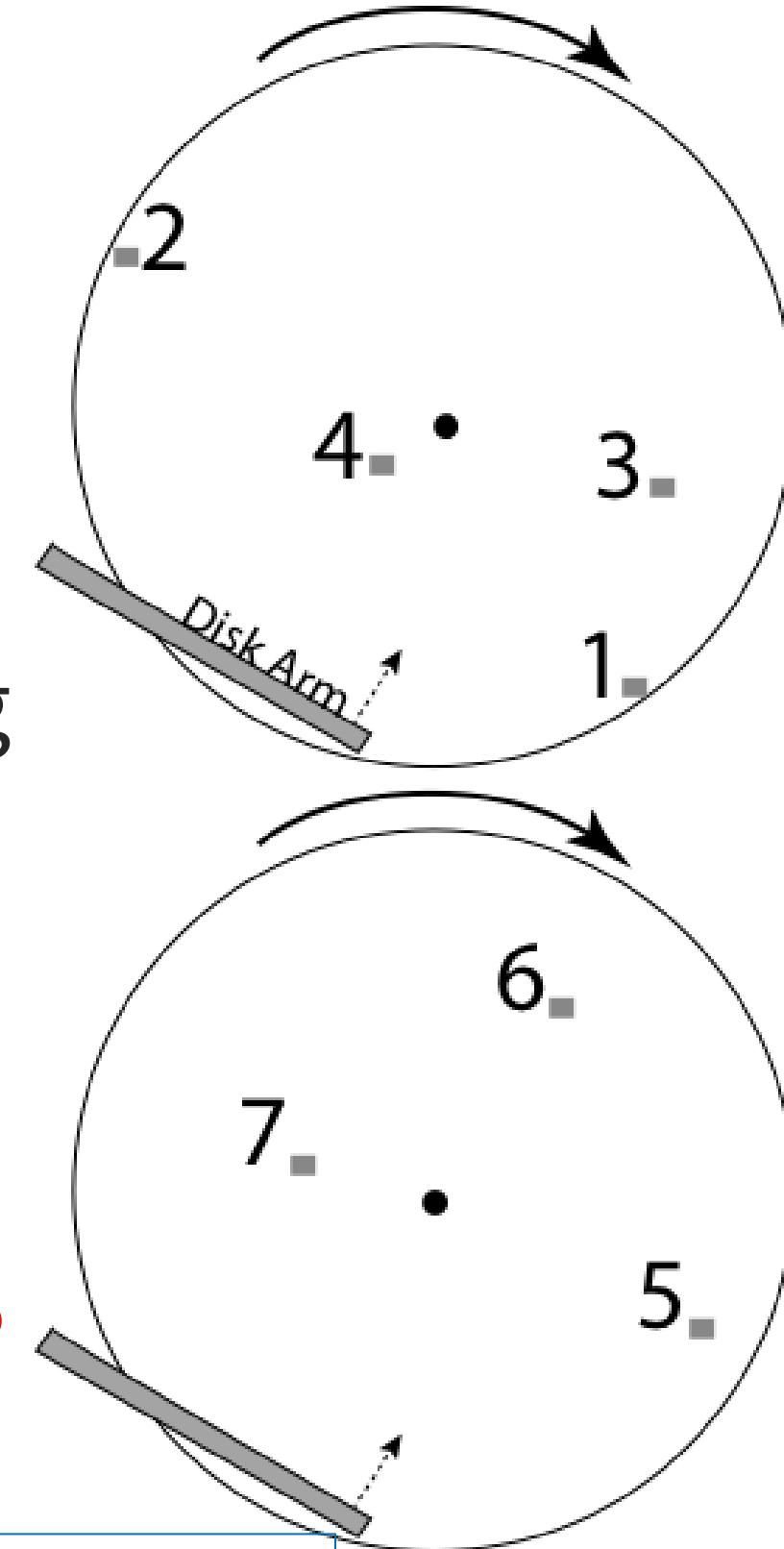
Queue: 98, 183, 37, 122, 14, 124, 65, 67

Disk Scheduling: C-SCAN

Circular list treatment:

- head moves from one end to other
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- reaches the end, returns to beginning
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C-SCAN Schedule? 387 cylinders

Total Head movement?

Head pointer @ 53

Queue: 98, 183, 37, 122, 14, 124, 65, 67

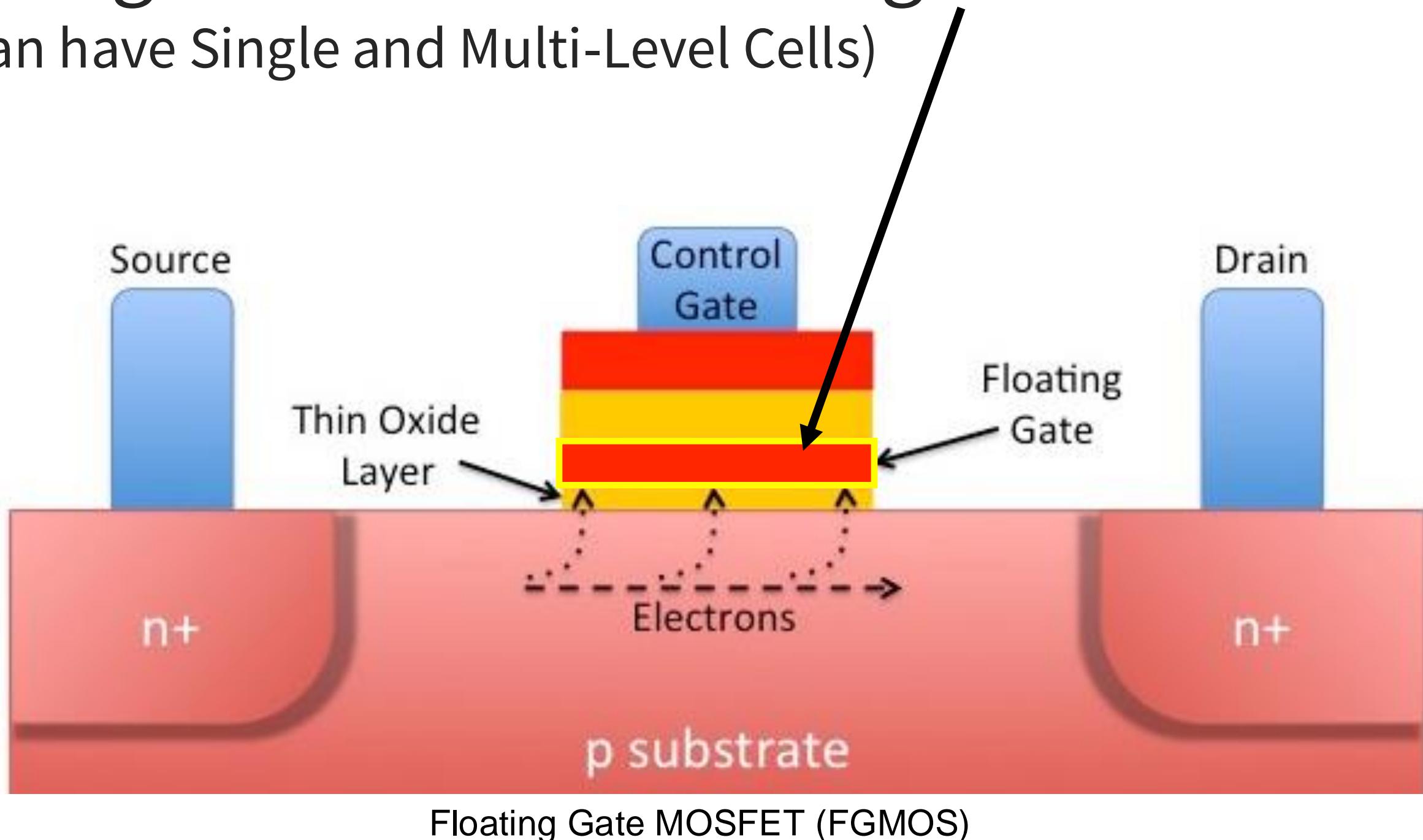
Solid State Drives (Flash)

Most SSDs based on NAND-flash

- retains its state for years without power

NAND Flash

Charge is stored in Floating Gate
(can have Single and Multi-Level Cells)



Flash Operations

- **Erase block:** sets each cell to “1”
 - erase granularity = “erasure block” = 128-512 KB
 - time: several ms
- **Write page:** can only write erased pages
 - write granularity = 1 page (2-4KBytes)
 - time: 100s of microseconds
- **Read page:**
 - read granularity = 1 page
 - time: 10s of microseconds
- Flash drive consists of several *banks* that can be accessed in parallel
 - Each bank can have thousands of blocks

Note: SSD page == disk block
(not “erasure block”)

Flash Limitations

- can't write 1 word or page
 - must first erase whole blocks to write a page
- limited # of erase cycles per block (memory wear)
 - 10^3 - 10^6 erases and the cell wears out
 - reads can “disturb” nearby words and overwrite them with garbage
- **Lots of techniques to compensate:**
 - error correcting codes
 - bad page/erasure block management
 - wear leveling: trying to distribute erasures across the entire driver

Flash Translation Layer (FTL)

Flash device firmware maps logical page # to a physical location

- Garbage collect erasure block by copying live pages to new location, then erase
- Wear-leveling: only write each physical page a limited number of times
- Sector sparing: Remap pages that no longer work

Transparent to the device user

What do we want from storage?

- **Fast**: data is there when you want it
- **Reliable**: data fetched is what you stored
- **Plenty**: there should be lots of it
- **Affordable**: won't break the bank

Disks can fail

- Either individual blocks
 - bit flips
 - scratches on hard disk platter
 - wear on SSD
- Or the entire disk
 - damage to hard disk head
- Metrics: MTTF and MTTR
 - Mean Time To Failure
 - Mean Time To Repair

Throughput, Bandwidth, and Latency

- **Throughput** is usually measured in “number of operations per second”
- **Bandwidth** is usually measured in “number of bytes per second”
- **Latency** is usually measured in “seconds”

Throughput and bandwidth are essentially the same thing, as each disk read/write operation transfers a fixed number of bytes (“block size”)

Latency vs Throughput

- If you do one operation at a time, then
Latency \times Throughput = 1.
 - e.g., if it takes 100 ms to do a read or write operation, then you can do 10 operations per second
- But operations can often be **pipelined** or executed in **parallel**
 - throughput higher than 1/latency
 - (road analogy)

Sequential vs Random access

- With disks and file systems, sequential access is usually much faster than random access
- Reasons for faster sequential access:
 - “fewer seeks” on the disk
 - blocks can be “prefetched”

RAID

- Redundant Array of Inexpensive Disks
- In industry, “I” is for “Independent”
- The alternative is SLED, single large expensive disk
- RAID + RAID controller looks just like SLED to computer
 - *yay, abstraction!*

RAID-0

Blocks striped across disks

+ Fast

latency?

throughput?

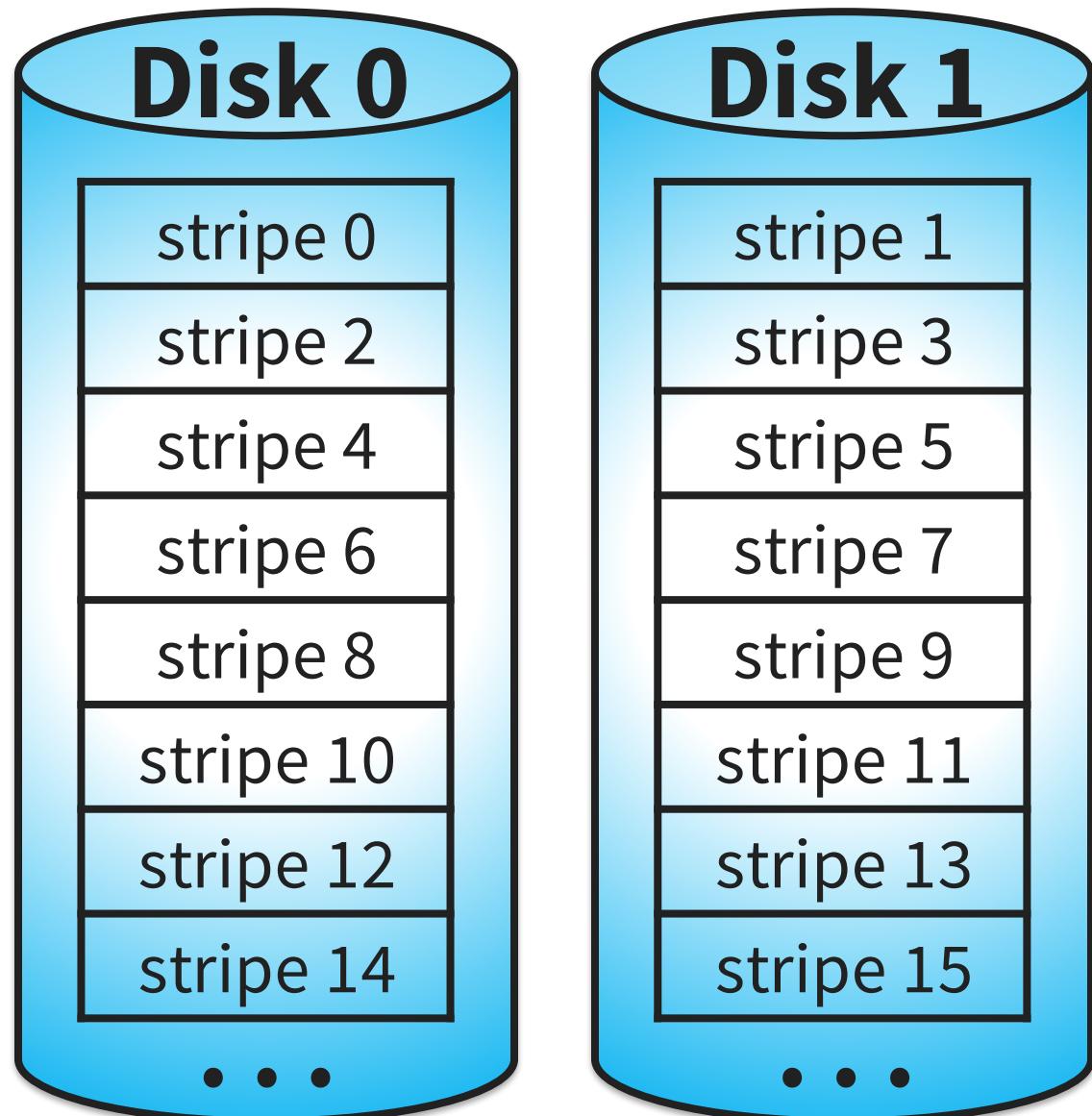
+ Cheap

capacity?

- Unreliable

max #failures?

MTTF?



Striping and Reliability

Striping *reduces* reliability

- More disks → higher probability of some disk failing
- N disks: $1/N^{\text{th}}$ mean time between failures of 1 disk



What can we do to improve Disk Reliability?

RAID-1

Disks Mirrored:
blocks written in 2 places

+ Reliable

deals well with disk loss
but not corruption

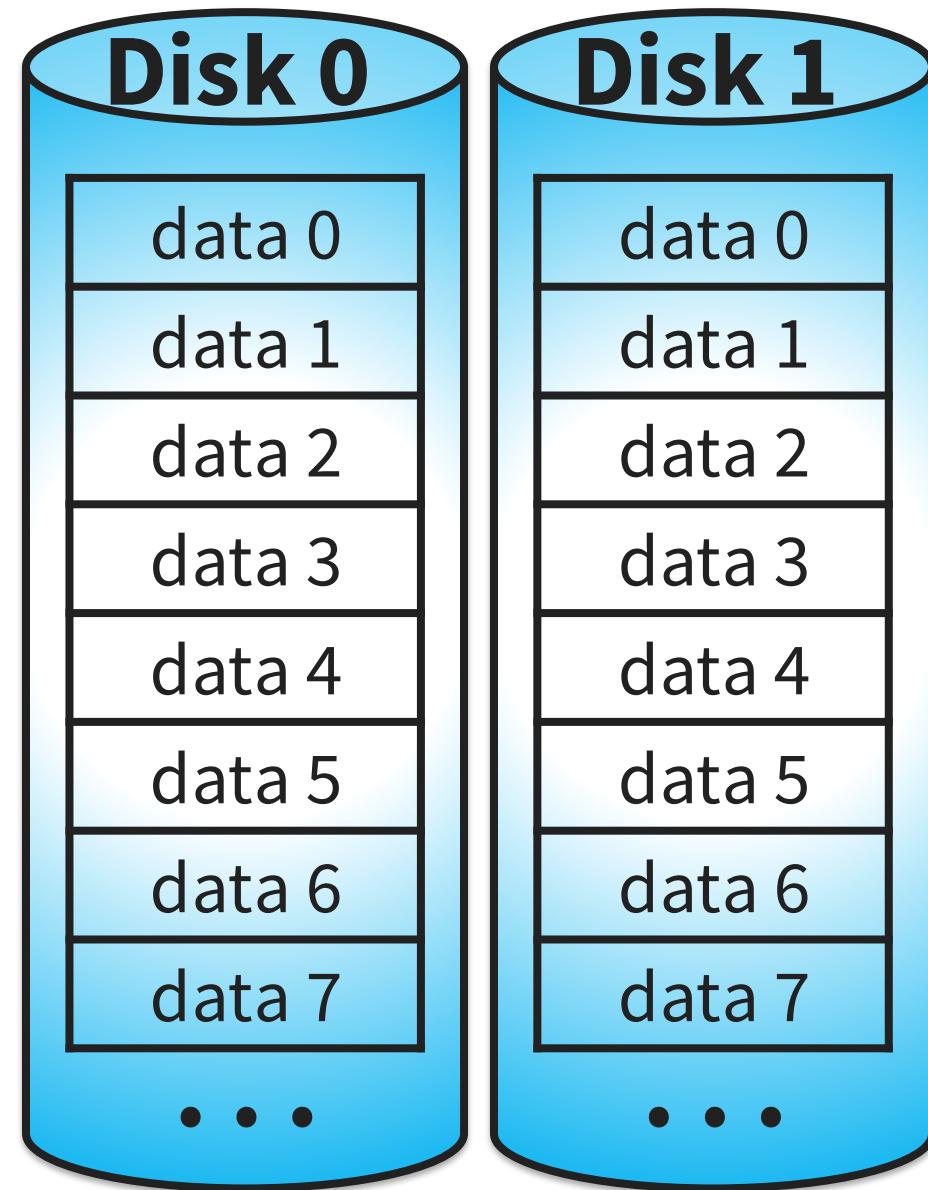
(how many needed for that?)

+ Fast

latency?

throughput?

- Expensive



RAID-2

bit-level striping with ECC codes

- 7 disk arms synchronized, move in unison
- Complicated controller (\rightarrow very unpopular)
- Detect & Correct 1 error with no performance degradation

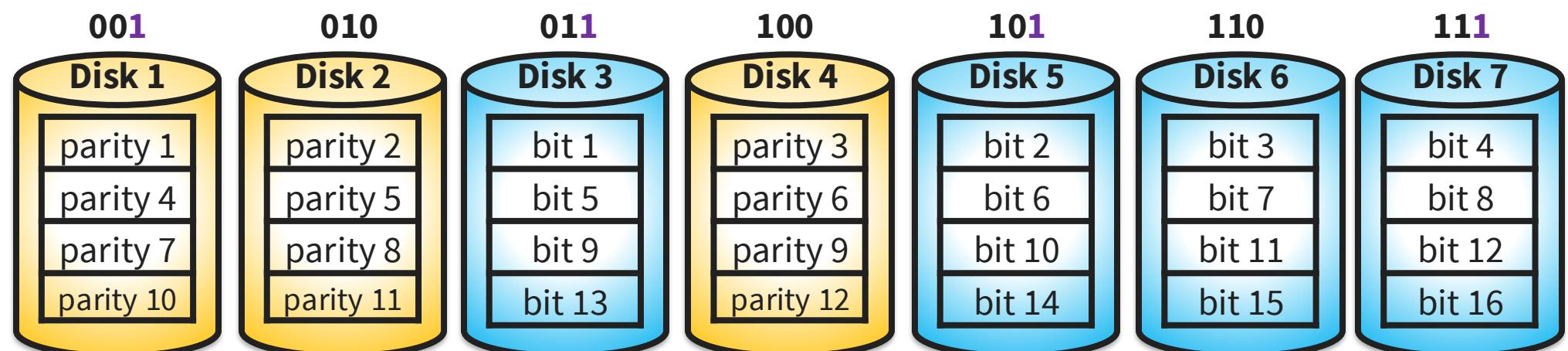
+ Reliable

- Expensive (7/4th x single disk)

$$\text{parity 1} = 3 \oplus 5 \oplus 7$$

$$\text{parity 2} = 3 \oplus 6 \oplus 7$$

$$\text{parity 4} = 5 \oplus 6 \oplus 7$$



2 more rarely-used RAIDS

RAID-3: **byte**-level striping + parity disk

- read accesses all data disks
- write accesses all data disks + parity disk
- On disk failure: read parity disk, compute missing data

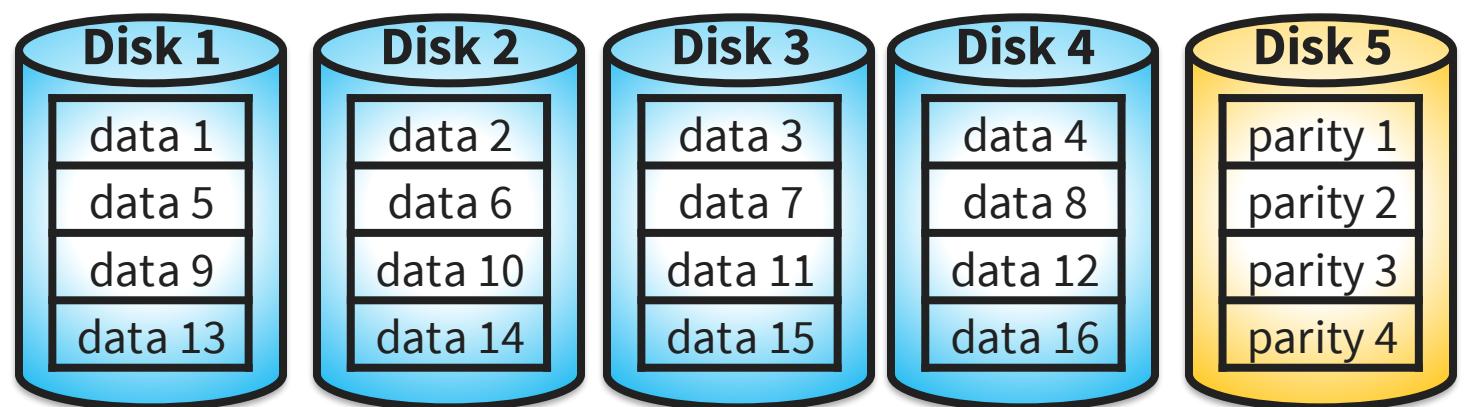
RAID-4: **block**-level striping + parity disk

+ better spatial locality for disk access

+ Cheap

- Slow Writes

- Reliability?



Using a parity disk

- $D_N = D_1 \oplus D_2 \oplus \dots \oplus D_{N-1}$
 - \oplus = XOR operation
 - therefore $D_1 \oplus D_2 \oplus \dots \oplus D_N = 0$
- If one of $D_1 \dots D_{N-1}$ fails, we can reconstruct its data by XOR-ing all the remaining drives
- $D_i = D_1 \oplus \dots \oplus D_{i-1} \oplus D_{i+1} \oplus \dots \oplus D_N$

Updating a block in RAID-4

- Suppose block lives on disk D_1
- Method 1:
 - read corresponding blocks on $D_2 \dots D_{N-1}$
 - XOR all with new content of block
 - write disk D_1 and D_N in parallel
- Method 2 (better):
 - read D_1 (old content) and D_N
 - $$\begin{aligned} D'_N &= D_N \oplus D_1 \oplus D'_1 \\ &= D_1 \oplus D_2 \oplus \dots \oplus D_{N-1} \oplus D_1 \oplus D'_1 \\ &= D'_1 \oplus D_2 \oplus \dots \oplus D_{N-1} \end{aligned}$$
 - write disk D_1 and D_N in parallel
 - write throughput: $\frac{1}{2}$ of single disk
 - parity disk is the bottleneck
 - write latency: double of single disk

Streaming update in RAID-4

- Save up updates to stripes across $D_1 \dots D_{N-1}$
 - Batching!
- Compute $D_N = D_1 \oplus D_2 \oplus \dots \oplus D_{N-1}$
- Write $D_1 \dots D_N$ in parallel
- Throughput: $(N - 1)$ times single disk
- Note that in all write cases D_N must always be updated
 - D_N is a write performance bottleneck
 - and suffers from more wear than the other disks

RAID 5: Rotating Parity w/Striping

+ Reliable

you can lose one disk

+ Fast

$(N - 1) \times$ seq. write throughput of single disk

$N \times$ read throughput of single disk

$N/4 \times$ random write throughput of single disk

+ Affordable

