

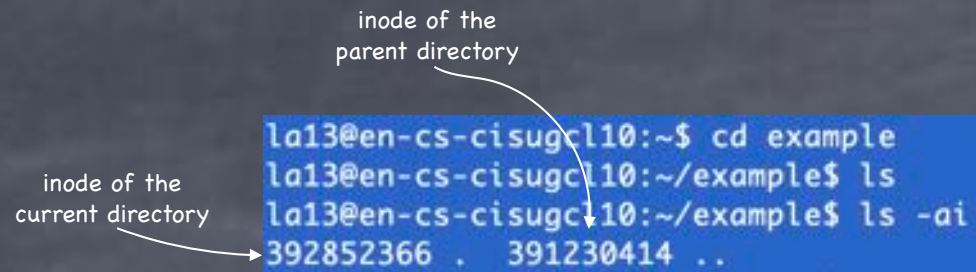
```
la13@en-cs-cisugcl10:~$ cd example
la13@en-cs-cisugcl10:~/example$ ls
la13@en-cs-cisugcl10:~/example$ ls -ai
392852366 . 391230414 ..
la13@en-cs-cisugcl10:~/example$ echo ezra > cornell
la13@en-cs-cisugcl10:~/example$ cat cornell
ezra
la13@en-cs-cisugcl10:~/example$ ls -ai
392852366 . 391230414 .. 392852368 cornell
la13@en-cs-cisugcl10:~/example$ ln cornell bigred
la13@en-cs-cisugcl10:~/example$ cat bigred
ezra
la13@en-cs-cisugcl10:~/example$ ls -i
392852368 bigred 392852368 cornell
```

Example

inode of the
parent directory

inode of the
current directory

```
la13@en-cs-cisugcl10:~$ cd example
la13@en-cs-cisugcl10:~/example$ ls
la13@en-cs-cisugcl10:~/example$ ls -ai
392852366 . 391230414 ..
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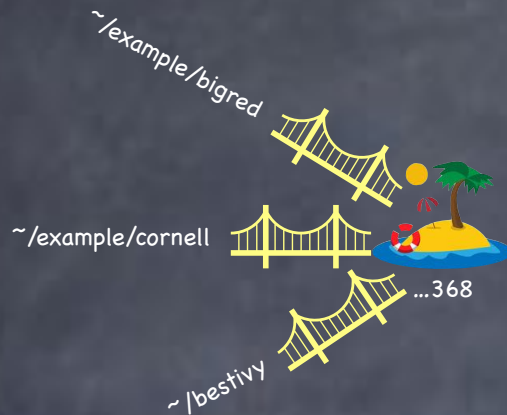


Example



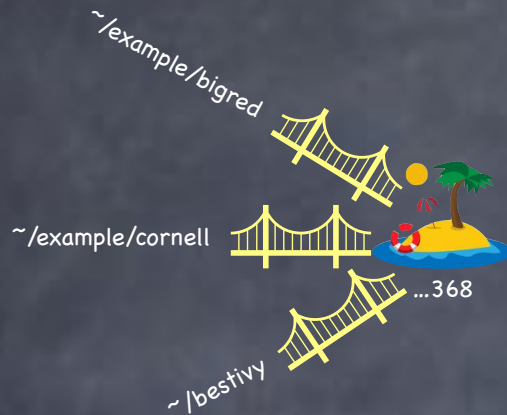
```
la13@en-cs-cisugcl10:~$ cd example
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la13@en-cs-cisugcl10:~/example$ ls -i
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la13@en-cs-cisugcl10:~/example$ ln bigred ../bestivy
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la13@en-cs-cisugcl10:~/example$ cd ..
la13@en-cs-cisugcl10:~$ cat bestivy
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la13@en-cs-cisugcl10:~$ ls -i
392852368 bestivy 398842589 CS4410-2020sp-A4 392852366 example
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Example

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392852368 bestivy 398842589 CS4410-2020sp-A4 392852366 example
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la13@en-cs-cisugcl10:~/example$ rm cornell
la13@en-cs-cisugcl10:~/example$ rm bigred
la13@en-cs-cisugcl10:~/example$ ls -i
la13@en-cs-cisugcl10:~/example$ cd ..
```



Example

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```

Symbolic (Soft) links

- More flexible than hard links
 - can link to a directory
 - can link to files in another volume
- A map between pathnames
 - to link newpathname to existingpathname for file inode1:
 - ▶ create a hard link between newpathname and new file inode2
 - ▶ store in inode2 the existingpathname for inode1
 - so, a symbolic link is really a file (inode2 in our example) of a third type
 - ▶ neither a regular file nor a directory
- Created using `ln`, but with the `-s` flag

Example

~/example/cornell  
...367

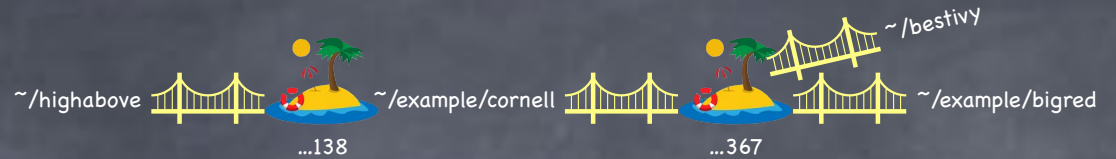
```
lal3@en-cs-cisugcl05:~$ cd example
lal3@en-cs-cisugcl05:~/example$ echo ezra > cornell
lal3@en-cs-cisugcl05:~/example$ ls -li
392852367 cornell
```


Example

~/example/cornell    ~/example/bigred
...367

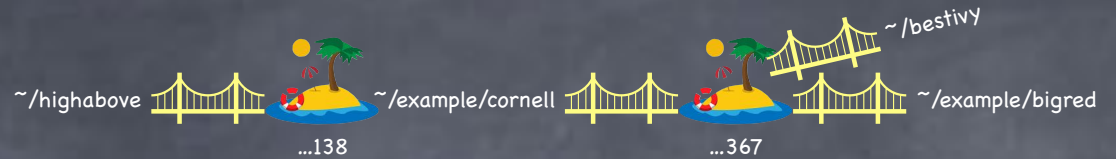
```
lal3@en-cs-cisugcl05:~$ cd example
lal3@en-cs-cisugcl05:~/example$ echo ezra > cornell
lal3@en-cs-cisugcl05:~/example$ ls -l
392852367 cornell
lal3@en-cs-cisugcl05:~/example$ ln cornell bigred
lal3@en-cs-cisugcl05:~/example$ ls -l
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```

Example



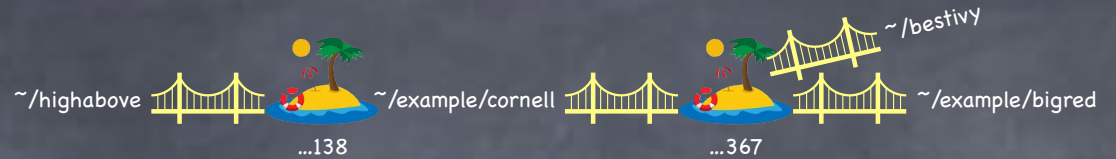
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lal3@en-cs-cisugcl05:~$ cd example
lal3@en-cs-cisugcl05:~/example$ echo ezra > cornell
lal3@en-cs-cisugcl05:~/example$ ls -i
392852367 cornell
lal3@en-cs-cisugcl05:~/example$ ln cornell bigred
lal3@en-cs-cisugcl05:~/example$ ls -i
392852367 bigred 392852367 cornell
lal3@en-cs-cisugcl05:~/example$ cd ..
lal3@en-cs-cisugcl05:~$ ln example/cornell bestivy
lal3@en-cs-cisugcl05:~$ ln -s example/cornell highabove
lal3@en-cs-cisugcl05:~$ ls -i
392852367 bestivy 398842589 CS4410-2020sp-A4 392852366 example 392971138 highabove
```

Example



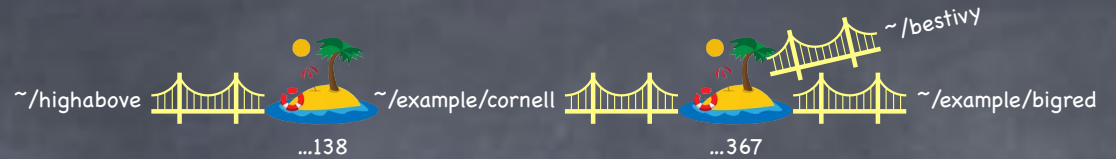
```
lal3@en-cs-cisugcl05:~$ cd example
lal3@en-cs-cisugcl05:~/example$ echo ezra > cornell
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lal3@en-cs-cisugcl05:~$ ls -i
392852367 bestivy 398842589 CS4410-2020sp-A4 392852366 example 392971138 highabove
lal3@en-cs-cisugcl05:~$ ls -l
total 8
-rw-r--r-- 3 lal3 pug-lal3 5 Apr 28 23:03 bestivy
drwxr-sr-x 4 lal3 pug-lal3 4096 Apr 27 11:55 CS4410-2020sp-A4
drwxr-sr-x 2 lal3 pug-lal3 4096 Apr 28 23:03 example
lrwxrwxrwx 1 lal3 pug-lal3 15 Apr 28 23:04 highabove -> example/cornell
```

Example



```
lal3@en-cisugcl05:~$ cd example
lal3@en-cisugcl05:~/example$ echo ezra > cornell
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392852367 cornell
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lal3@en-cisugcl05:~$ cat bestivy
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ezra
```


Example



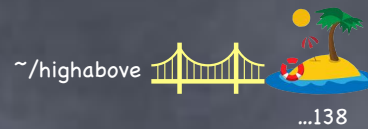
```
lal3@en-cisugcl05:~$ cd example
lal3@en-cisugcl05:~/example$ echo ezra > cornell
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lal3@en-cisugcl05:~$ cat highabove
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lal3@en-cisugcl05:~$ rm example/cornell
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Example



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Example



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lal3@en-cs-cisugcl05:~$ cat bestivy
ezra
lal3@en-cs-cisugcl05:~$ cat highabove
cat: highabove: No such file or directory
lal3@en-cs-cisugcl05:~$
```


Permission Bits

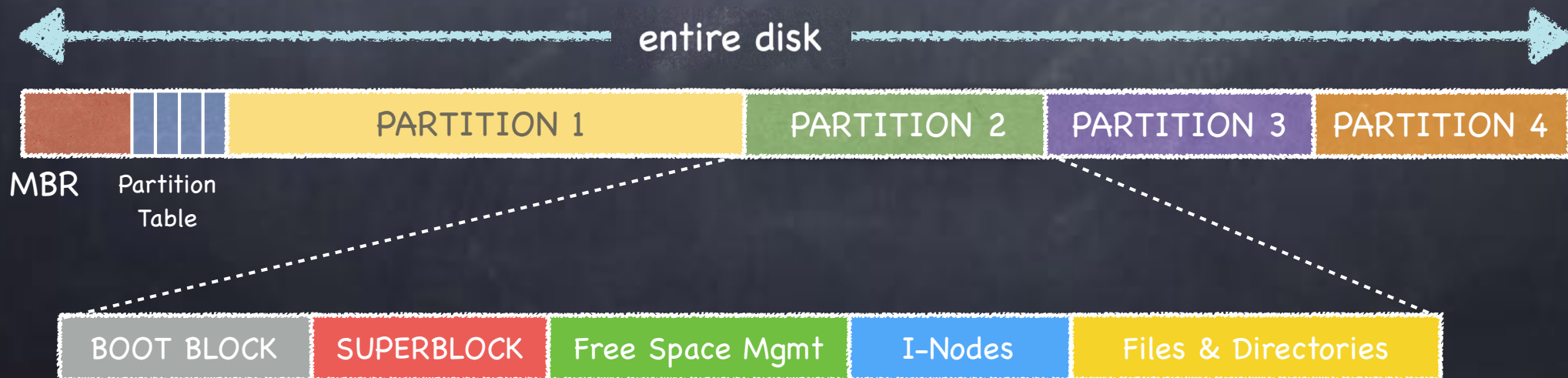
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🕒 File bestivy

- ❑ leading **-** says bestivy is a regular file
 - ▶ **d** is for directory; **l** is for soft link
- ❑ Next nine characters are permission bits
 - ▶ **rw**x for owner, group, everyone
 - owner can read and write; group and others can just read
 - **x** set in a regular file means means file can be executed
 - **x** set in a directory that user/group/everybody is allow to cd to that directory
 - ▶ can be set using **chmod**

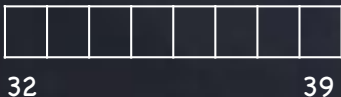
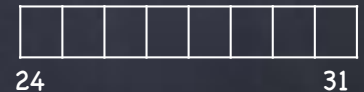
File System Layout

- File System is stored on disks
 - ❑ disk can be divided into one or more partitions
 - ❑ Sector 0 of disk: Master Boot Record (MBR). It contains:
 - ▶ bootstrap code (loaded and executed by firmware)
 - ▶ partition table (addresses of where partitions start & end)
 - ❑ First block of each partition has boot block
 - ❑ loaded by executing code in MBR and executed on boot



Peeking Inside

- 👁 Persistent storage modeled as a sequence of N blocks
 - ❑ from 0 to $N-1$
 - ▶ in this example, 64 blocks, each 4KB
 - ❑ some blocks store data



Peeking Inside

- Persistent storage modeled as a sequence of N blocks

- from 0 to N-1

- ▶ in this example, 64 blocks, each 4KB

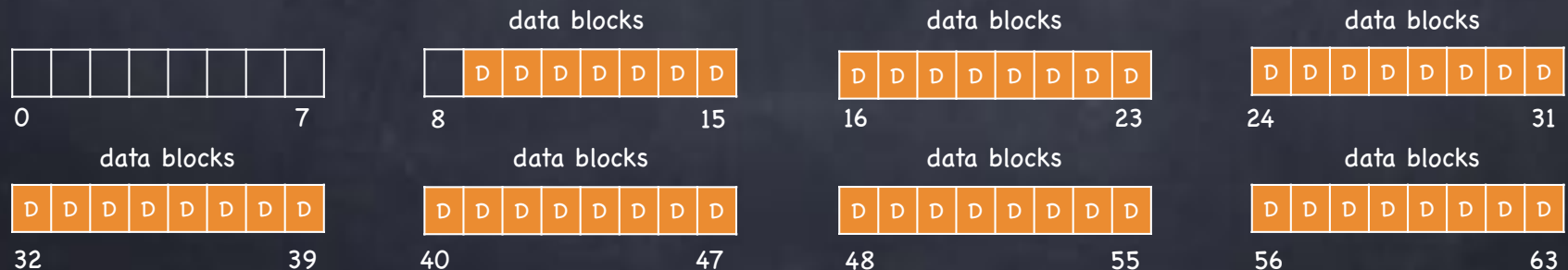
- some blocks store data

- other blocks store metadata

- ▶ an array of inodes

- if an inode is 256 bytes, then 16 inodes per block.

- With 5 blocks for inodes, file system can have up to 80 files



Peeking Inside

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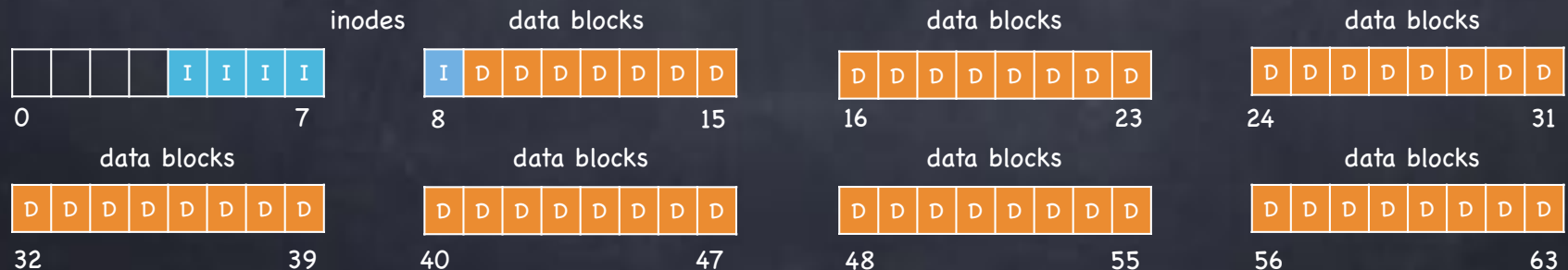
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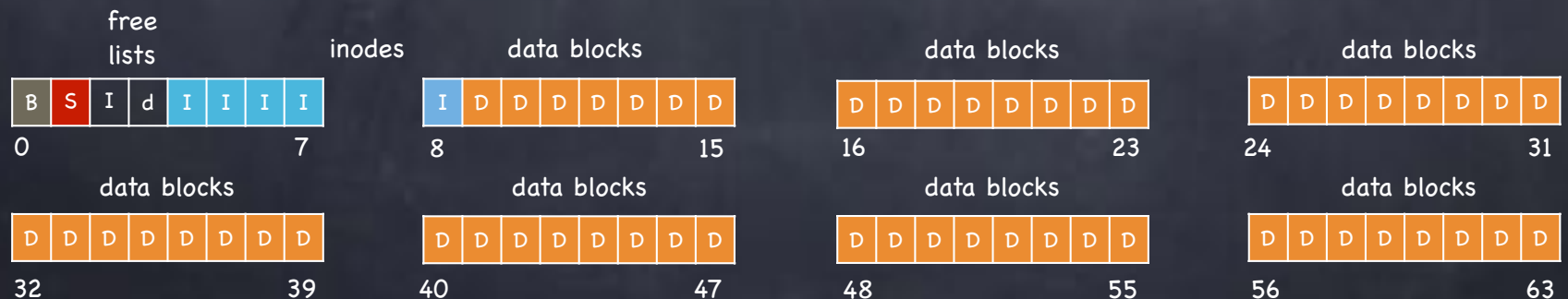
Peeking Inside

- Persistent storage modeled as a sequence of N blocks
 - from 0 to N-1
 - ▶ in this example, 64 blocks, each 4KB
 - some blocks store data
 - other blocks store metadata (remember stat()?)
 - ▶ an array of inodes
 - if an inode is 256 bytes, then 16 inodes per block.
 - With 5 blocks for inodes, file system can have up to 80 files
 - ▶ bitmaps tracking free inodes and data blocks;



Peeking Inside

- Persistent storage modeled as a sequence of N blocks
 - from 0 to $N-1$
 - ▶ in this example, 64 blocks, each 4KB
 - some blocks store data
 - other blocks store metadata (remember `stat()`)?
 - ▶ an array of inodes
 - if an inode is 256 bytes, then 16 inodes per block.
 - With 5 blocks for inodes, file system can have up to 80 files
 - ▶ bitmaps tracking free inodes and data blocks; Superblock; Boot block



The superblock

- One logical superblock per file system
 - at a well-known location
 - contains metadata about the file system, including
 - ▶ how many inodes
 - ▶ how many data blocks
 - ▶ where the inode table begins
 - ▶ may contain info to manage free inodes/data blocks
 - read first when mounting a file system

Storing Files

- Files can be allocated in different ways

- **Contiguous allocation**

- ▶ all bytes together, in order

- **Linked Structure**

- ▶ Each points to the next block

- **Indexed Structure**

- ▶ Index block, pointing to many other blocks

- Which is best?

- For sequential access? Random access?

- Large files? Small files? Mixed?

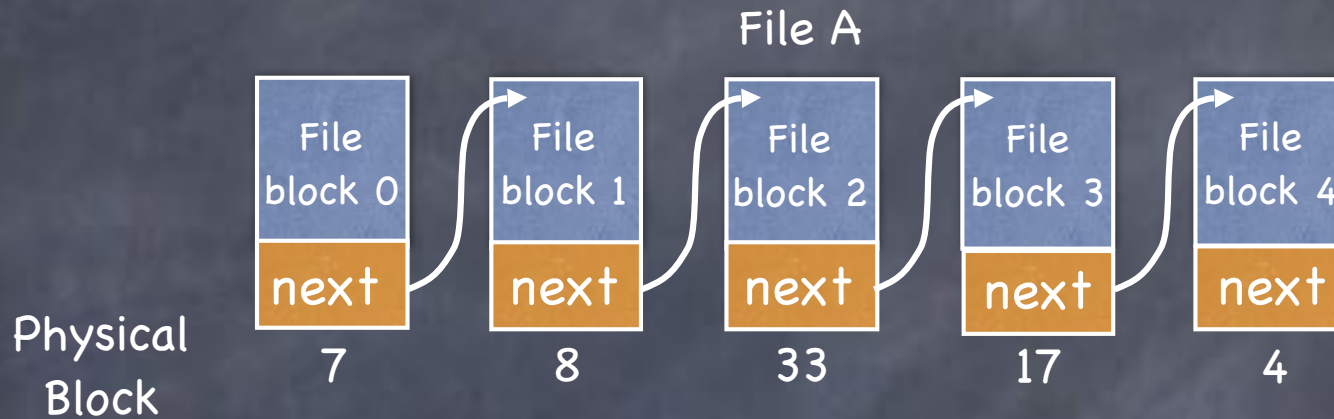
Contiguous Allocation



- All bytes together, in order
 - ❑ **Simple:** only need start block and size
 - ❑ **Efficient:** one seek to read entire file
 - ❑ **Fragmentation:** external, and can be serious
 - ❑ **Usability:** User need to know file's size at time of creation

Used in CD-ROM, DVDs

Linked List Allocation

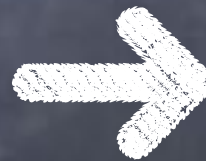
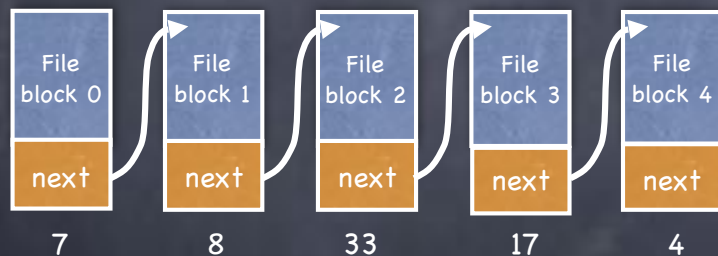


- Each file is stored as a linked list of blocks
 - first word of each block points to next block
 - the rest of the block is data
- **Space utilization:** no external fragmentation
- **Simplicity:** only need to find first block of each file
- **Performance:** random access is slow
- **Implementation:** blocks mix data and metadata

File Allocation Table (FAT) FS

• Decouple data and metadata

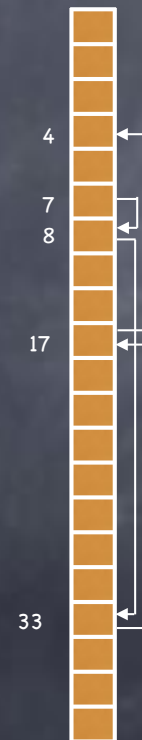
- ❑ reduces disk seeks (and enables caching!)



Microsoft, late 70s

- ❑ still widely used today
 - ▶ thumb drives, camera cards, CD ROMs

Metadata



not to scale!

Data



FAT File system

Index Structures

File Allocation Table (FAT)

- array of 32-bit entries
- one entry per block
- file represented as a linked list of FAT entries
- file # = index of first FAT entry

Free space map

- If data block i is free, then $FAT[i] = 0$
- find free blocks by scanning FAT

Locality heuristics

- As simple as next fit:
 - scan sequentially from last allocated entry and return next free entry
- Can be improved through **defragmentation**

Directory

- Maps file name to FAT index

Directory	
jack.txt	12
jill.txt	9

FAT

0	0
1	0
2	0
3	*
4	0
5	0
6	0
7	0
8	0
9	*
10	*
11	*
12	*
13	0
14	0
15	0
16	0
17	0
18	*
19	0
20	0

Data blocks

file 9 block 3
file 9 block 0
file 9 block 1
file 9 block 2
file 12 block 0
file 12 block 1
file 9 block 4

FAT File system

Advantages

- simple!
 - per file, needs only start block
- widely supported
- no external fragmentation
- no conflating data and metadata in the same block

Disadvantages

- Poor locality
 - many file seeks unless entire FAT in memory
 - 1 TB (2^{40} bytes) disk, 4kb (2^{12} bytes) block, 2^{28} FAT entries; at 4B/entry, 1 GB (!)
- Poor random access
 - needs sequential traversal
- Limited access control
 - no file owner or group ID
 - any user can read/write any file
- No support for hard links
- Volume and file size are limited
 - FAT entry is 32 bits, but top 4 are reserved
 - no more than 2^{28} blocks
 - with 4kB blocks, at most 1TB FS
 - file no bigger than 4GB
- No support for advanced reliability techniques

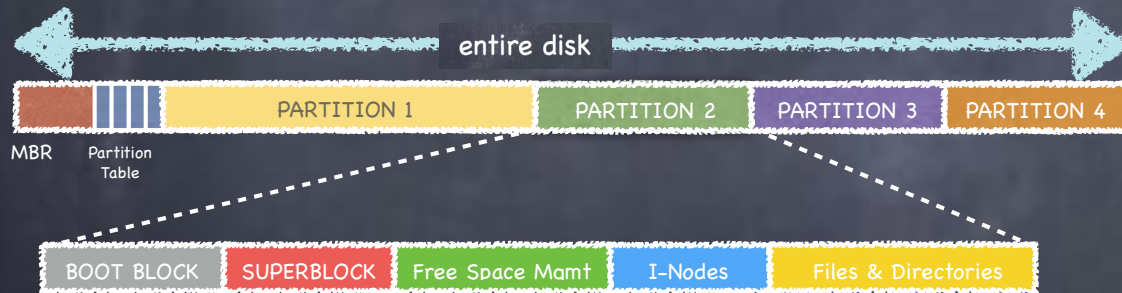
FAT

0	0
1	0
2	0
3	
4	0
5	0
6	0
7	0
8	0
9	*
10	
11	
12	*
13	0
14	0
15	0
16	
17	0
18	
19	0
20	0

Data blocks

file 9 block 3
file 9 block 0
file 9 block 1
file 9 block 2
file 12 block 0
file 12 block 1
file 9 block 4

File System Layout



FAT

0	0
1	0
2	0
3	*
4	0
5	0
6	0
7	0
8	0
9	*
10	*
11	*
12	*
13	0
14	0
15	0
16	*

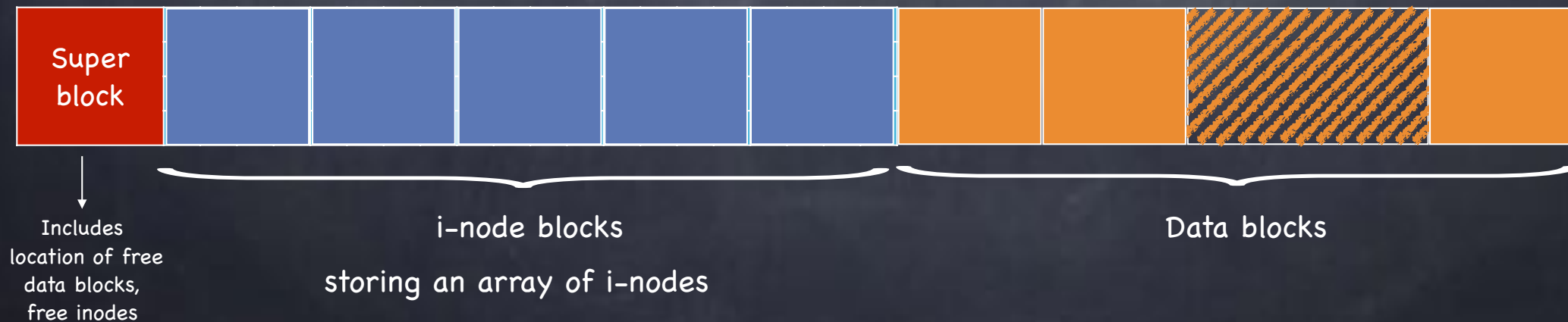
Data blocks

file 9 block 3
file 9 block 0
file 9 block 1
file 9 block 2
file 12 block 0
file 12 block 1
file 9 block 4



Tree-based Multi-level Index

- UFS (Unix File System) (Ken Thompson, 1969)
- 4.2 BSD FFS (Fast File System) (McKusick, Joy, Leffler, Fabry, 1983)



Multilevel index

Inode Array

- at known location on disk
- file number =
inode number =
index in the array



Super block	0	1	2	3	16	17	18	19	32	33	34	35	48	49	50	51	64	65	66	67				
	4	5	6	7	20	21	22	23	36	37	38	39	52	53	54	55	68	69	70	71				
	8	9	10	11	24	25	26	27	40	41	42	43	56	57	58	59	72	73	74	75				
	12	13	14	15	28	29	30	31	44	45	46	47	60	61	62	63	76	77	78	79				

File structure

- Each file is a **fixed, asymmetric tree**, with fixed size data blocks (e.g. 4KB) as its leaves
- The root of the tree is the file's **inode**, containing
 - metadata (more about it later)
 - a set of 15 pointers
 - ▶ first 12 point to data blocks
 - ▶ last three point to intermediate blocks, themselves containing pointers...
 - #13: pointer to a block containing pointers to data blocks
 - #14: double indirect pointer
 - #15: triple indirect pointer (!)

Multilevel index

Inode Array

- at known location on disk
- file number =
inode number =
index in the array



I-node



4 Bytes entries

indirect block
contains pointers to data blocks

double indirect block
contains pointers to indirect blocks

triple indirect block
contains pointers to double indirect blocks

Data blocks

12 x
4KB =
48KB

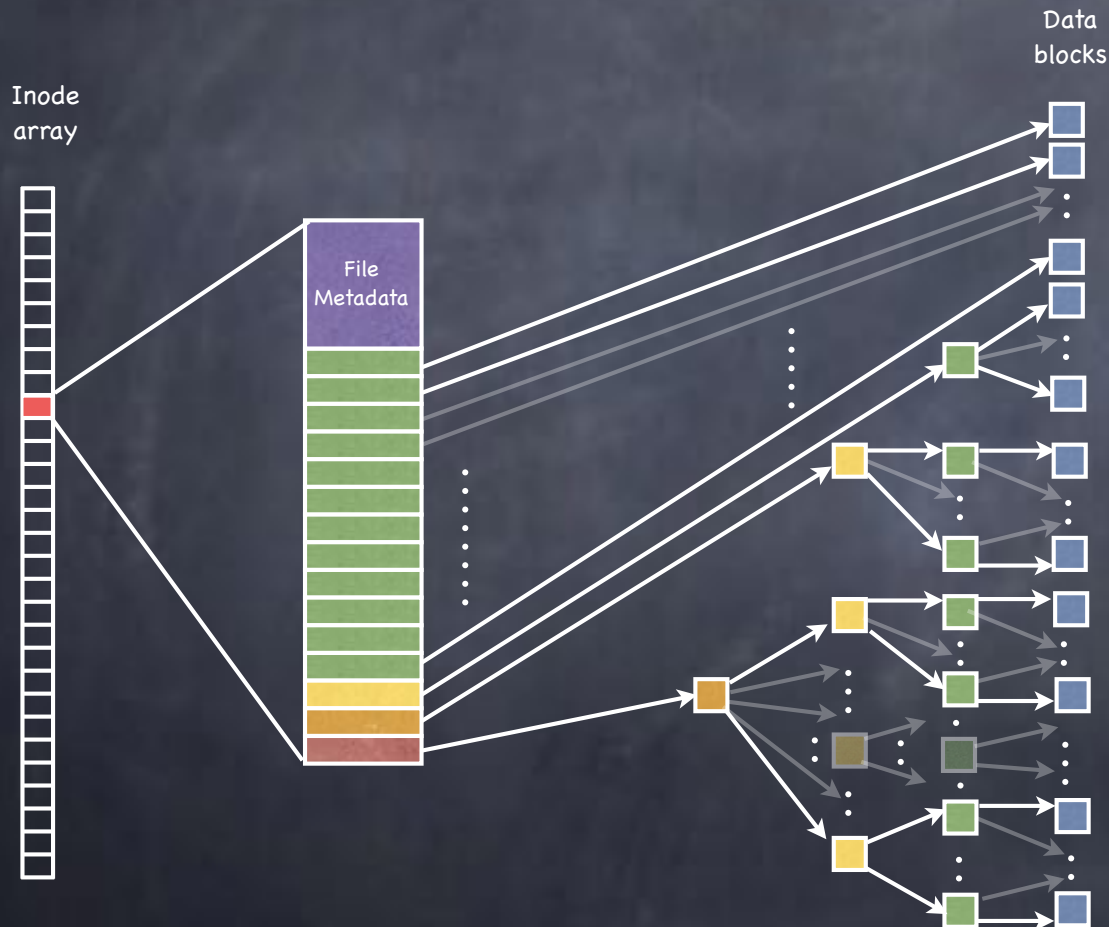
1K x 4KB
= 4MB

1K x 1k x
4KB =
4GB

1K x
1k x
1k x
4KB =
4TB

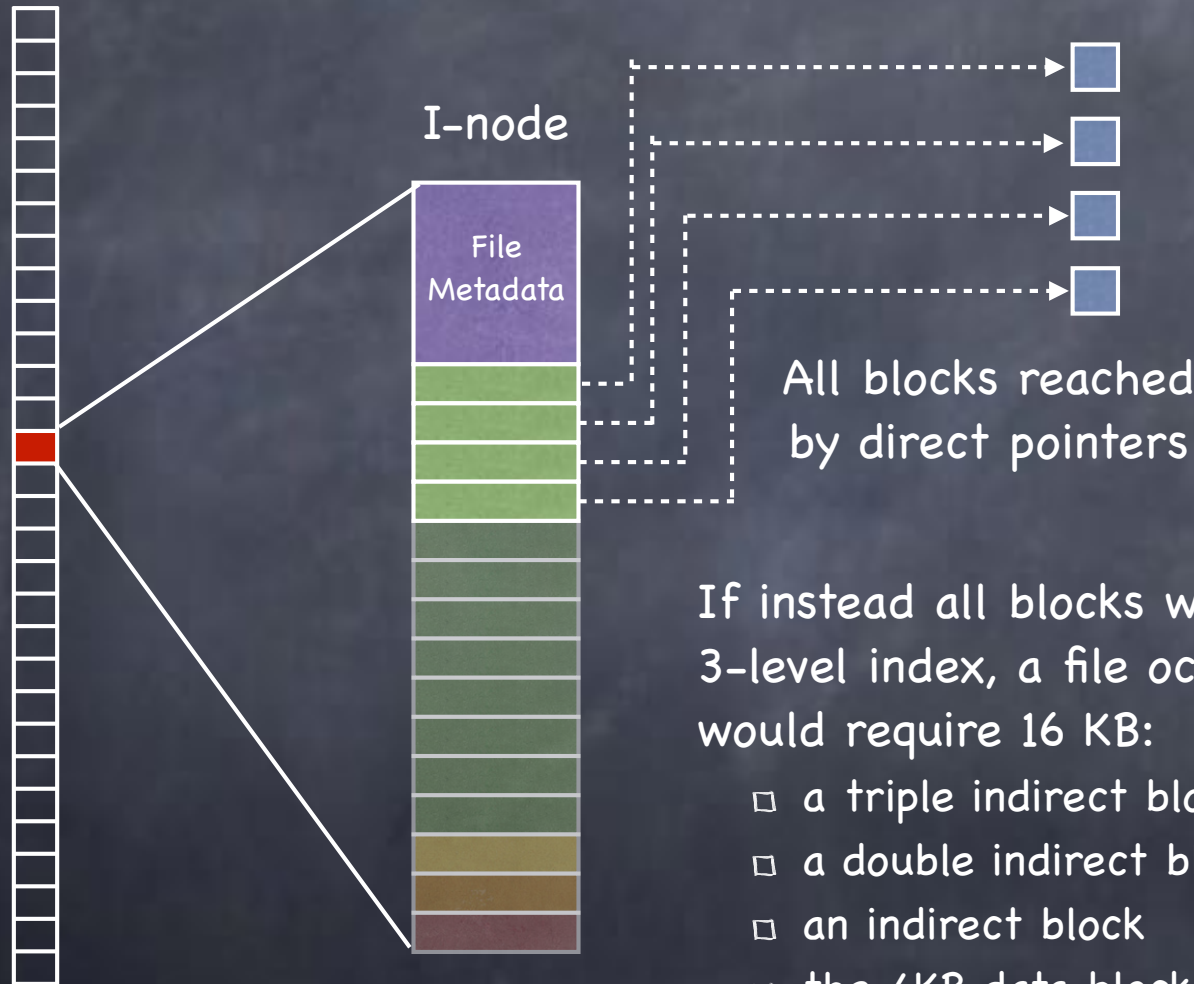
Super block	0	1	2	3	16	17	18	19	32	33	34	35	48	49	50	51	64	65	66	67				
	4	5	6	7	20	21	22	23	36	37	38	39	52	53	54	55	68	69	70	71				
	8	9	10	11	24	25	26	27	40	41	42	43	56	57	58	59	72	73	74	75				
	12	13	14	15	28	29	30	31	44	45	46	47	60	61	62	63	76	77	78	79				

Multilevel index: key ideas



- Tree structure
 - efficient in finding blocks
- High degree
 - efficient in sequential reads
 - ▶ once an indirect block is read, can read 100s of data block
- Fixed structure
 - simple to implement
- Asymmetric
 - supports large files
 - small files don't pay large overheads

Good for small files...

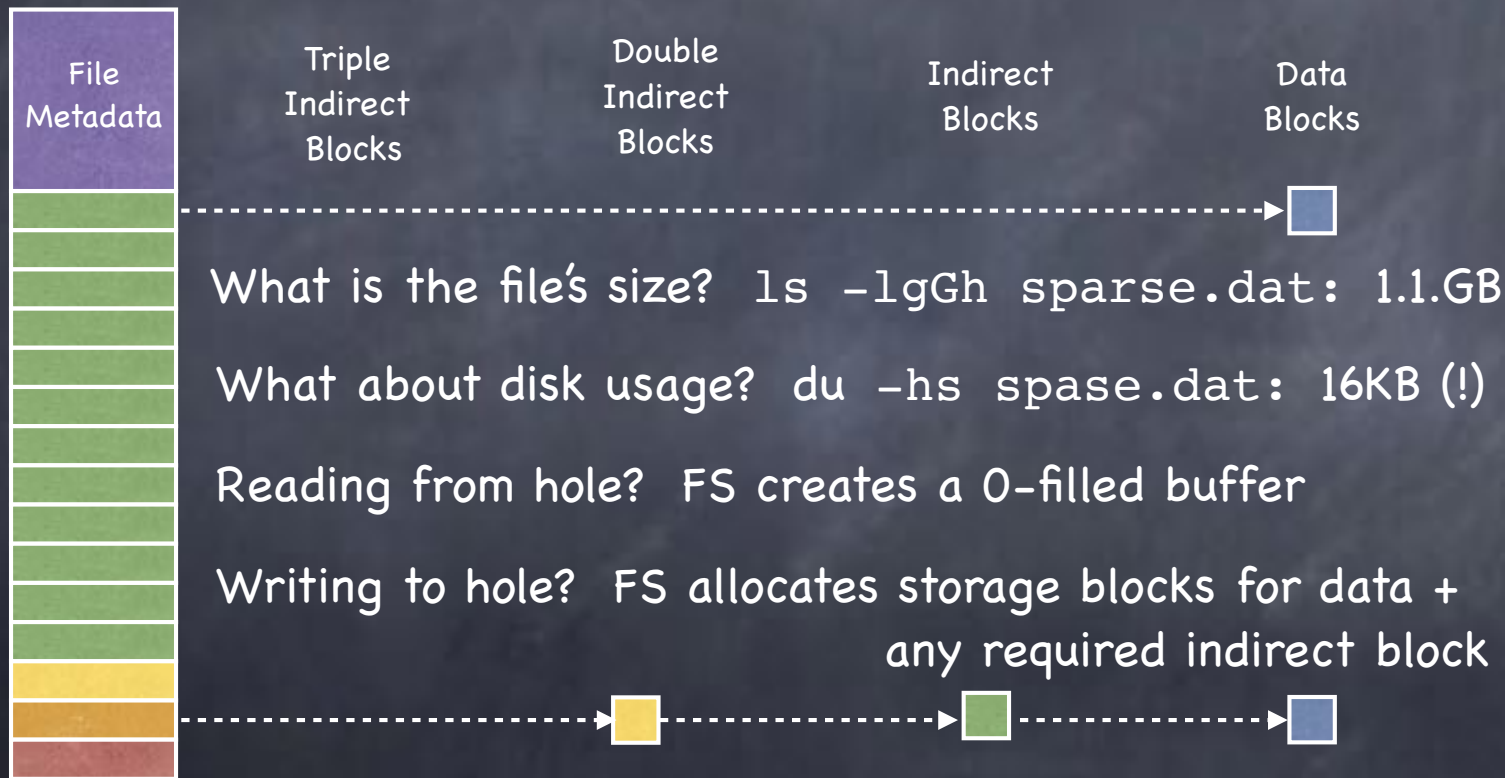


If instead all blocks were accessed through a 3-level index, a file occupying a single 4KB block would require 16 KB:

- ❑ a triple indirect block
- ❑ a double indirect block
- ❑ an indirect block
- ❑ the 4KB data block
- ❑ reading would require reading 5 blocks to traverse the tree

...and for sparse files

Consider file `sparse.dat` with **two** 4K blocks: one at offset 0;
the other at offset 2^{30}



What else is in an i-node?

- Type
 - ❑ ordinary file
 - ❑ directory
 - ❑ symbolic link
 - ❑ special device
- Size of the file (in bytes)
- No. of links to the i-node
- Owner (user id & group id)
- Protection bits
- Times: creation, last accessed, last modified

Inode



Directory

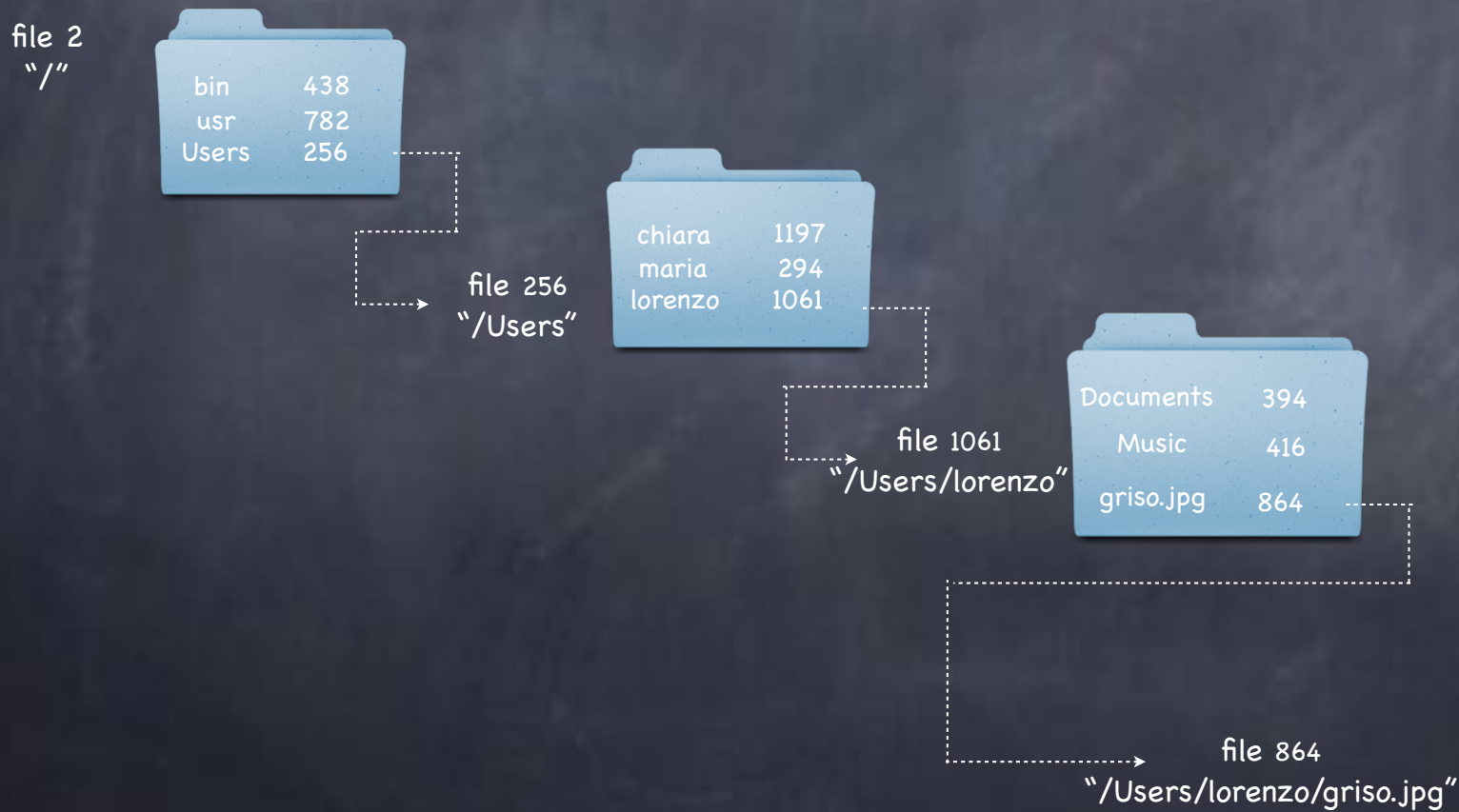
- A file that contains a collection of mapping from file name to file inode

/Users/lorenzo	.	1061
	..	256
	Documents	394
	Music	416
	griso.jpg	864

- To look up a file, find the directory that contains the mapping to the file's inode
- To find that directory, find the parent directory that contains the mapping to that directory's inode..
- Good news: root directory has well-known number (2)

Looking up a file

- Find file `/Users/lorenzo/griso.jpg`



Directory Layout

- Directory stored as a file
 - Linear search to find filename (small directories)

File 1061
/Users/lorenzo

.	..	Music	Documents	Free Space	griso.jpg	Free Space	End of File
1061	256	416	394		864		



- Larger directories use B trees
 - searched by hash of file name

Reading a File


- First, must open the file
 - `open("/CS4410/roster", O_RDONLY)`
 - Follow the directory tree, until we get to the inode for "roster"
 - Read that inode
 - ▶ do a permission check
 - ▶ return a file descriptor `fd`
- Then, for each `read()` that is issued:
 - read inode
 - read appropriate data block (depending on offset)
 - update last access time in inode
 - update file offset in in-memory open file table for `fd`

Read first 3 data blocks from /CS4410/roster

	data bitmap	inode bitmap	root inode	CS4410 inode	roster inode	root data	CS4410 data	roster data[0]	roster data[1]	roster data[2]
open(CS4410)			read()							
						read()				
				read()						
							read()			
					read()					
read()					read()					
								read()		
					write()					
read()					read()					
									read()	
					write()					
read()					read()					
										read()
					write()					

Writing a File

- Must open the file, like before
- But now may have to allocate a new data block
 - each logical write can generate up to five I/O ops
 - ▶ reading the free data block bitmap
 - ▶ writing the free data block bitmap
 - ▶ reading the file's inode
 - ▶ writing the file's inode to include pointer to the new block
 - ▶ writing the new data block
- **Creating** a file is even worse!
 - ▶ read and write free inode bitmap
 - ▶ write inode
 - ▶ (read) and write directory data
 - ▶ write directory inode



and if directory
block is full,
must allocate
another block

Create /CS4410/roster & Write first 3 Data Blocks

	data bitmap	inode bitmap	root inode	CS4410 inode	roster inode	root data	CS4410 data	roster data[0]	roster data[1]	roster data[2]
create (/CS4410/roster)			read()							
						read()				
				read()						
		read()					read()			
		write()								
							write()			
					read()					
					write()					
				write()						
write()					read()					
	read()									
	write()									
								write()		
write()					write()					
	read()				read()					
	write()									
									write()	
write()					write()					
	read()				read()					
	write()									
										write()
					write()					

Caching

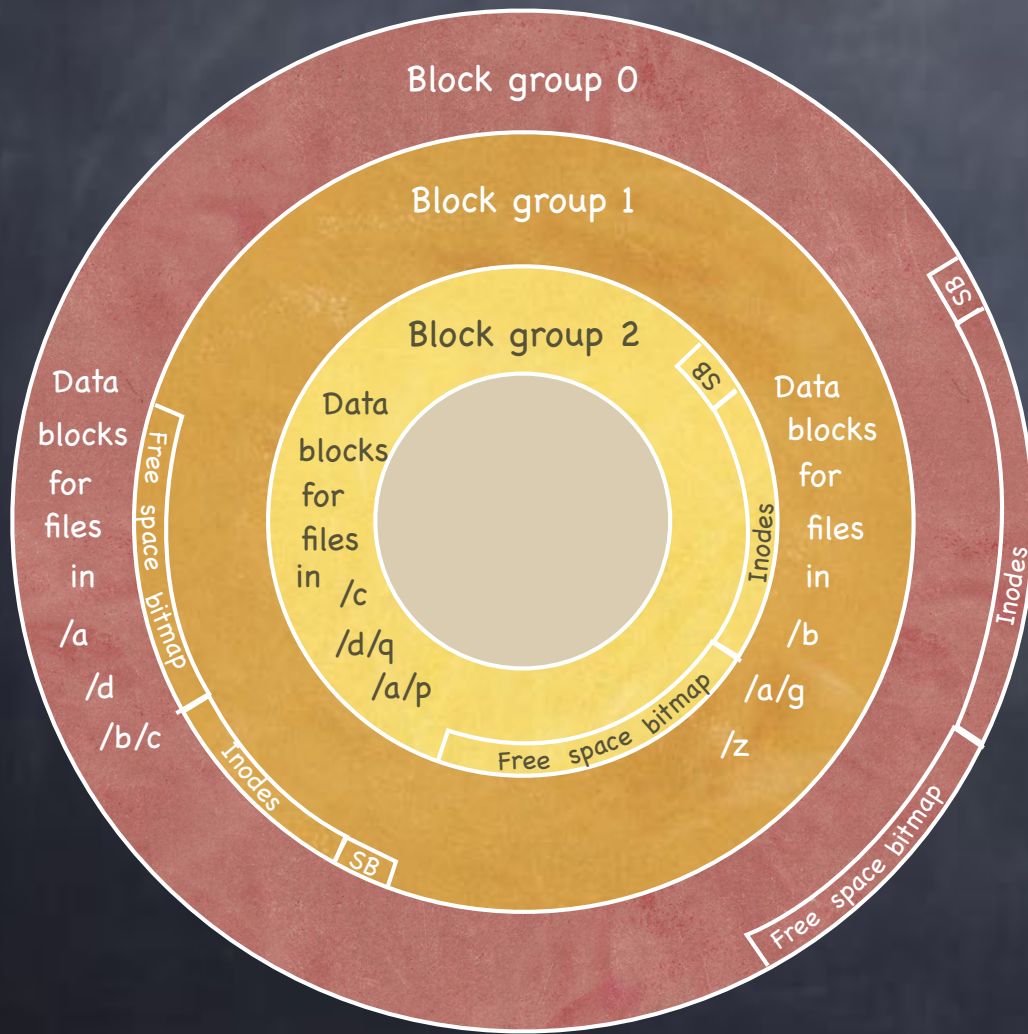
- Reading a long path can cause a lot of I/O ops!
- Cache aggressively!
 - early days: fixed sized cache for popular blocks
 - ▶ static partitioning can be wasteful
 - current: dynamic partitioning via unified page cache
 - ▶ virtual memory pages and file system blocks in a single cache
- **Caching** can significantly reduce disk I/O for reads
- **Buffering** can reduce cost of writes
 - some blocks may be overwritten
 - batching helps with scheduling disk accesses

BSD FFS:

Fast File System

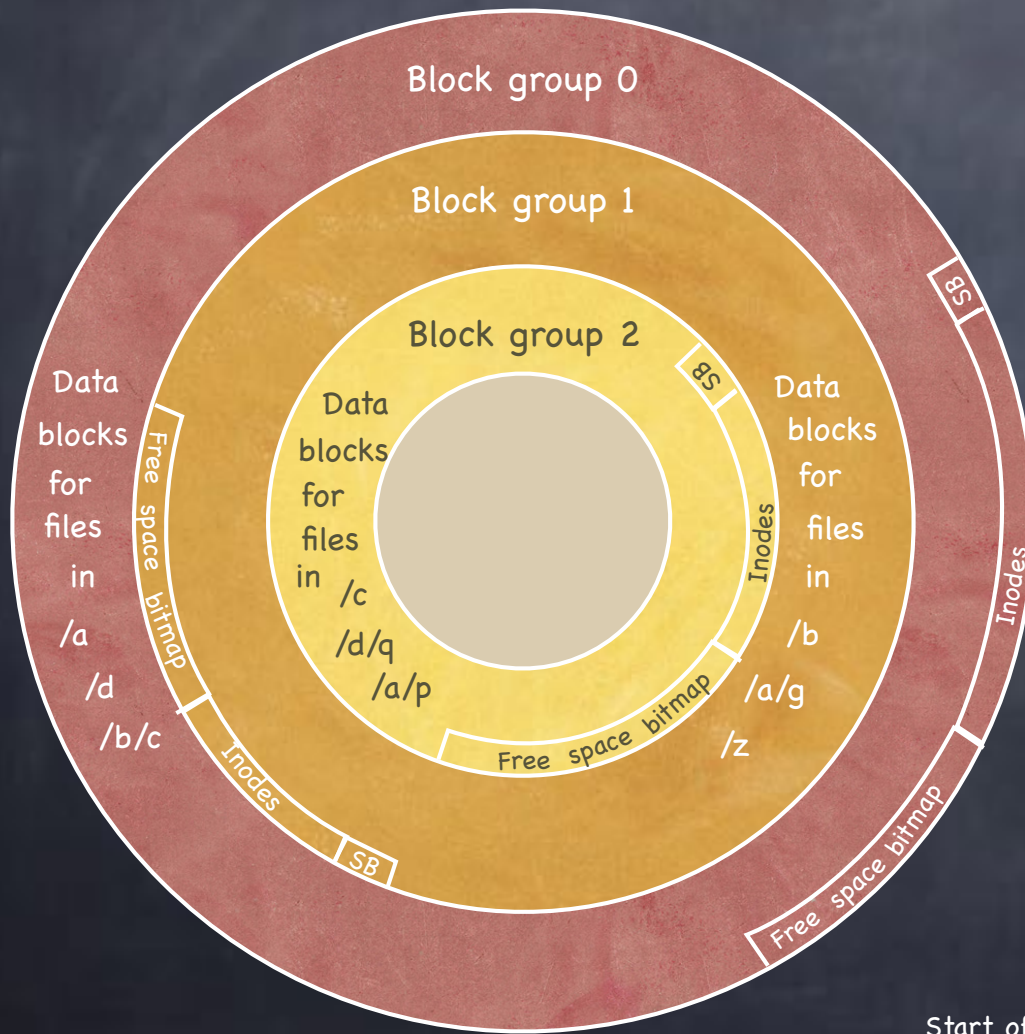
- UFS treats disks as if they were RAM
 - files grab first free data block: seeks and fragmentation
- FFS optimizes file system layout for how disks work
- Smart locality heuristics
 - **block group placement**
 - optimizes placement for when a file data and metadata, and other files within same directory, are accessed together
 - **reserved space**
 - gives up about 10% of storage to allow flexibility needed to achieve locality

Locality heuristics: block group placement



- Divide disk in **block groups**
 - sets of nearby tracks
- Distribute metadata
 - old design: free space bitmap and inode map in a single contiguous region
 - lots of seeks when going from reading metadata to reading data
 - FFS: distribute free space bitmap and inode array among block groups. Keep a superblock copy in each block group
- File Placement
 - when a new regular file is created, FFS looks for inodes in the same block as the file's directory
 - when a new directory is created, FFS places it in a different block from the parent's directory
- Data Placement
 - first free heuristics
 - trade short term for long term locality

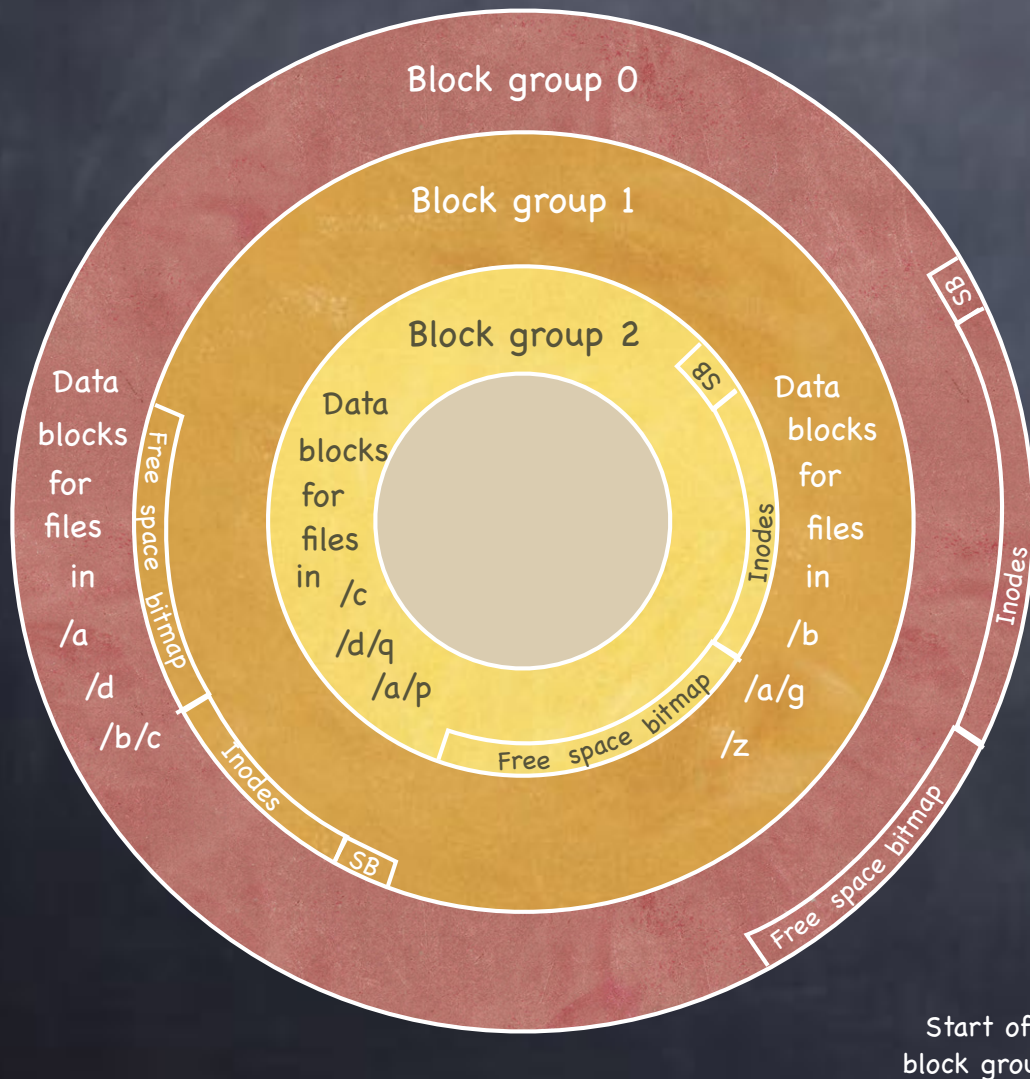
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Locality heuristics: block group placement



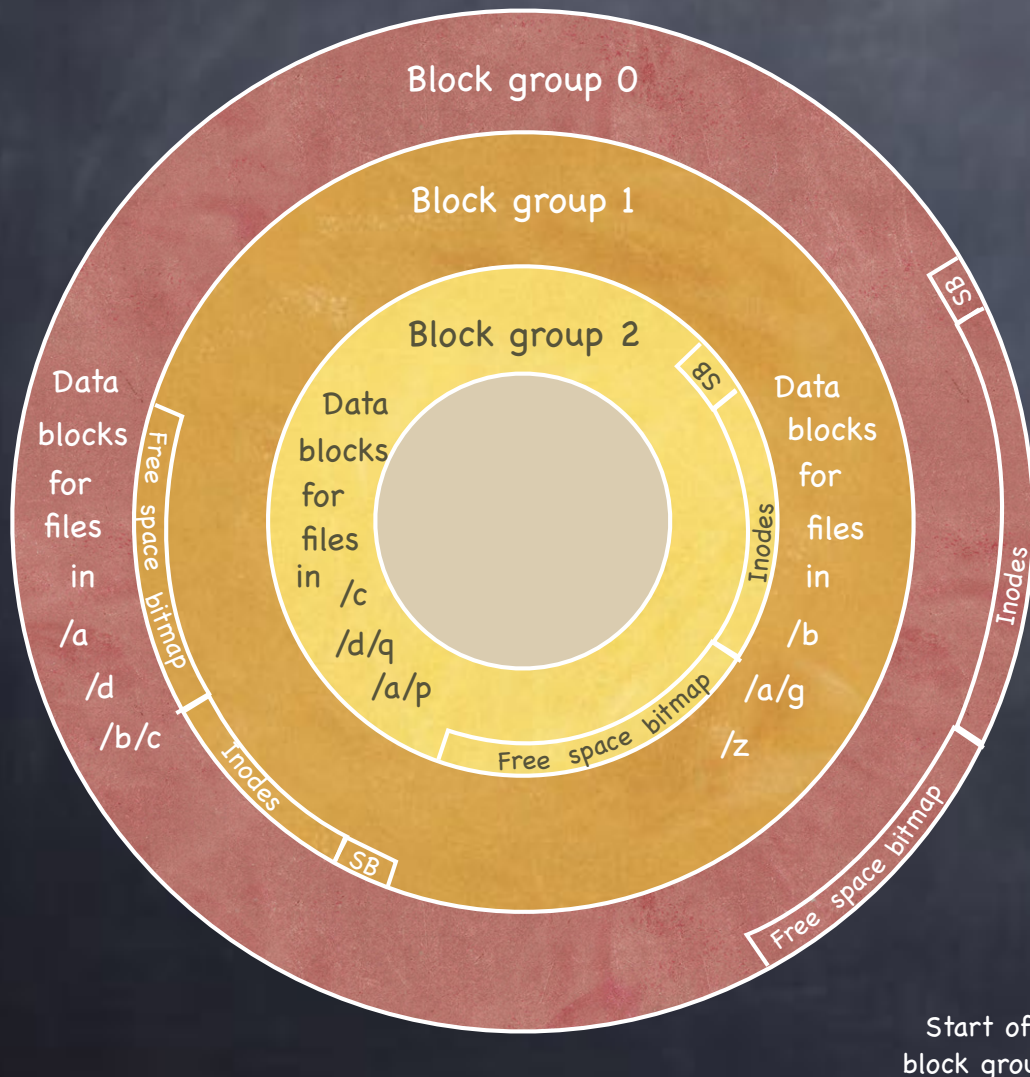
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Small file

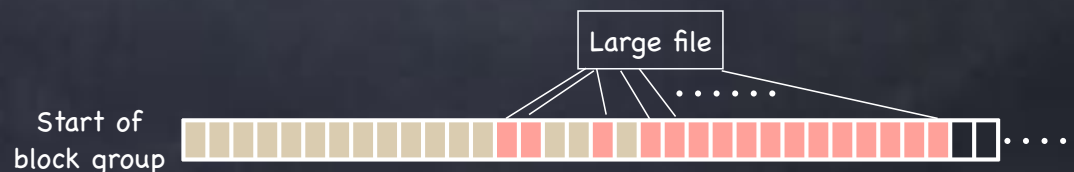
Start of
block group



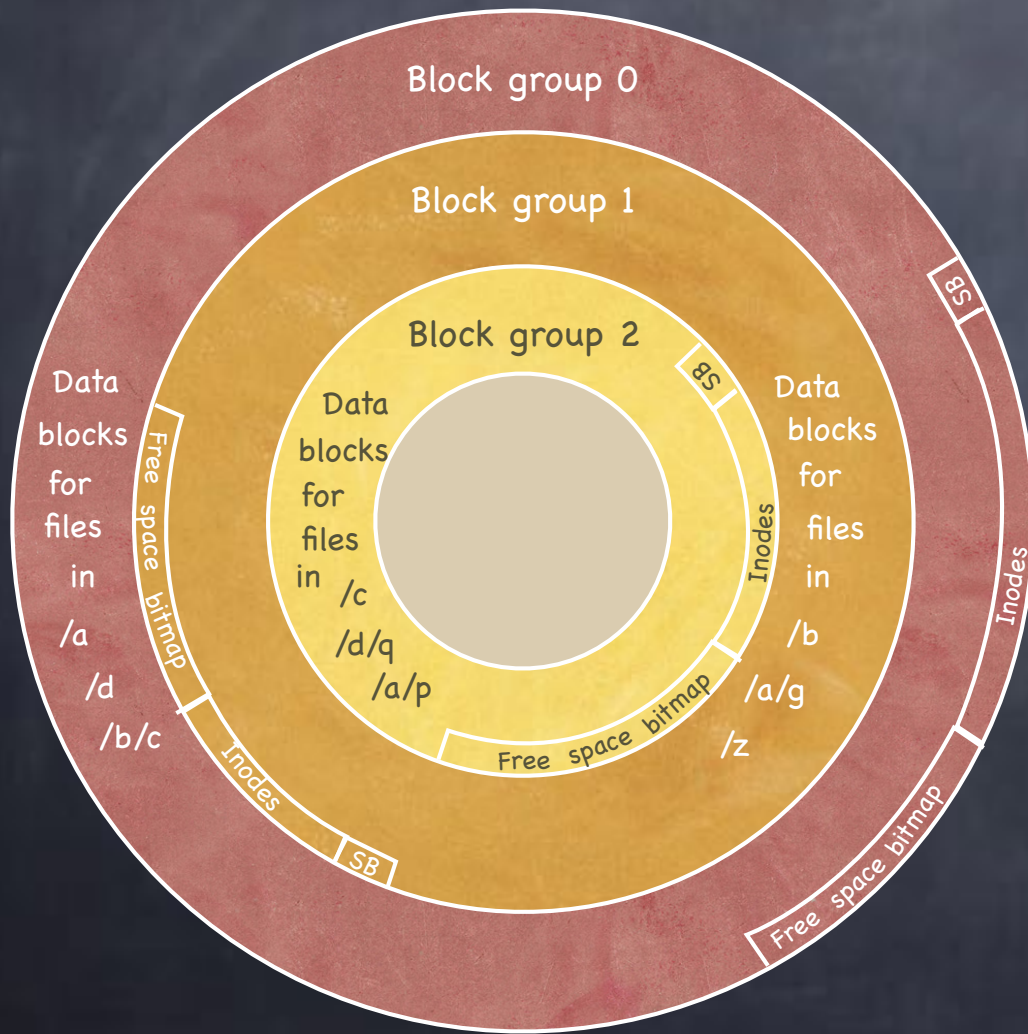
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Locality heuristics: reserved space



- When a disk is full, hard to optimize locality
 - ❑ file may end up scattered through disk
- FFS presents applications with a smaller disk
 - ❑ about 10%-20% smaller
 - ❑ user's write that encroaches on reserved space fails
 - ❑ super user still able to allocate inodes to clean things up

Long File Exception

- Blocks of a huge file not all in the same block group
 - or they will eat up all the blocks in the group!
 - Instead, 12 blocks in a group (direct index)
 - others divided in “chunks”
- Locality lost when moving between chunks
 - choose chunk size to amortize cost of seeks

Say we want 90% of peak transfer, and transfer rate is 40MB/s

- if positioning time (seek+rotation) is 10ms, we need a chunk large enough that transfer takes 90ms

$$\text{chunk size} = \frac{40\text{MB}}{\text{s}} \times \frac{1\text{s}}{1000\text{ms}} \times 90\text{ms} = 3.6 \text{ MB}$$

► In practice, FFS uses 4 MB chunks