

# Interrupt Handling

- Two objectives
  - handle the interrupt and remove the cause
  - restore what was running before the interrupt
    - ▶ saved state may have been modified on purpose
- Two “actors” in handling the interrupt
  - the hardware goes first
  - the kernel code takes control by running the **interrupt handler**

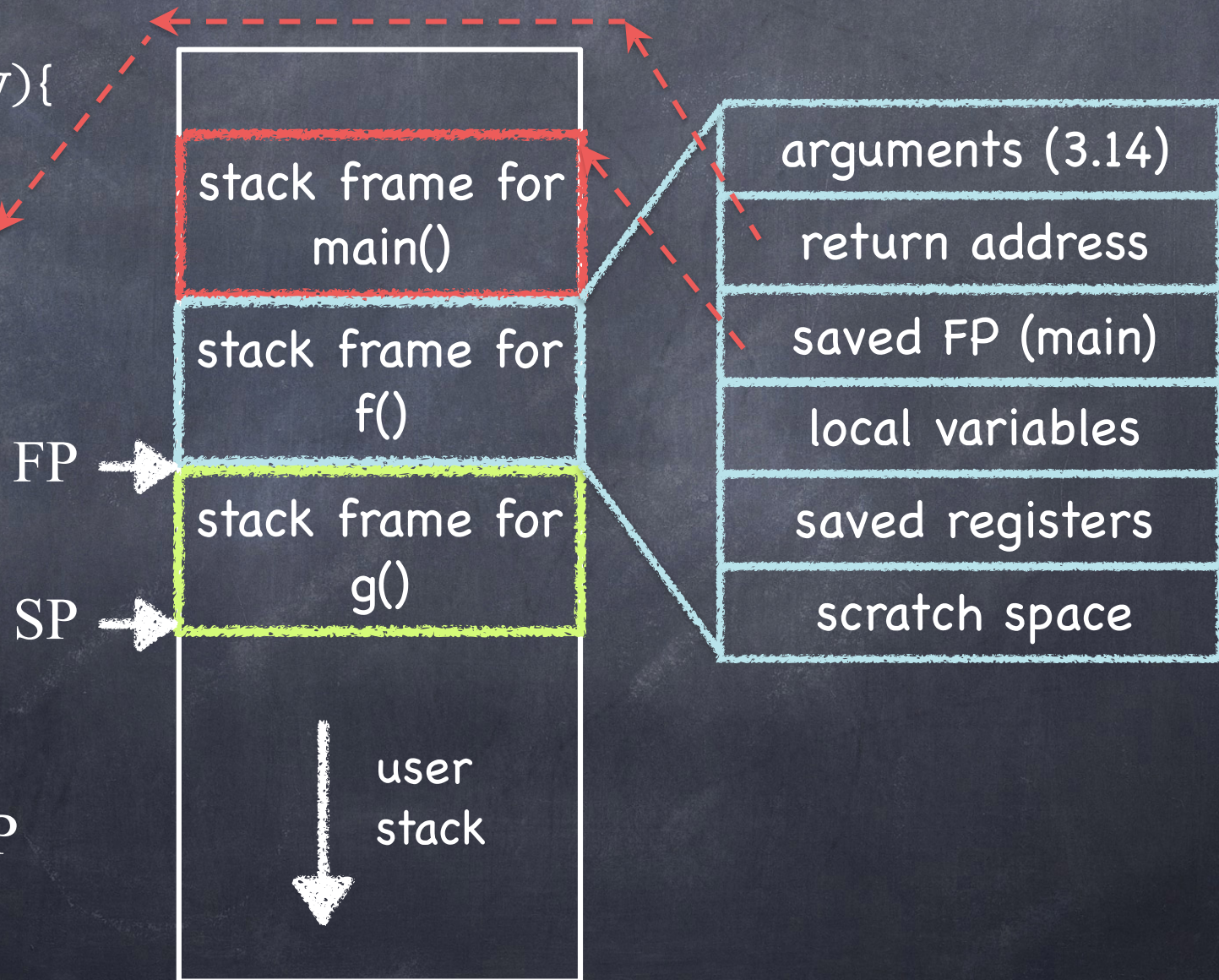
# Review: stack (aka call stack)

```
int main(argc, argv){  
  ...  
  f(3.14)  
  ...  
}
```

```
int f(x){  
  ...  
  g();  
  ...  
}
```

```
int g(y){  
  ...  
}
```

← PC/IP



# A Tale of Two Stack Pointers

- Interrupt handler is a program: it needs a stack!
  - so, each process has two stacks pointers:
    - ▶ one when running in user mode
    - ▶ a second one when running in kernel mode
- Why not using the user-level stack pointer?
  - user SP cannot be trusted to be valid or usable
  - user stack may not be large enough, and may spill to overwrite important data
  - security:
    - ▶ e.g., kernel could leave sensitive data on stack

# Handling Interrupts: HW

- On interrupt, hardware:

- sets supervisor mode (if not set already)

- disable (**masks**) interrupts (partially privileged)

- pushes PC, SP, and PSW



- of user program on interrupt stack

- sets PC to point to the first instruction of the appropriate interrupt handler

Interrupt Vector

- depends on interrupt type

- interrupt handler specified in **interrupt vector** loaded at boot time

I/O interrupt handler
-----------------------

System Call handler
---------------------

Page fault handler
--------------------

...
-----

# Handling Interrupts: SW

- ◉ We are now running the interrupt handler!
  - IH first pushes the registers' contents (needed to run the user process) on the interrupt stack
    - ▶ need registers to run the IH
    - ▶ only saves necessary registers (that's why done in SW, not HW)

# Typical Interrupt Handler Code

HandleInterruptX:

```
PUSH %Rn  
...  
PUSH %R1  
CALL _handleX  
  
POP %R1  
...  
POP %Rn  
RETURN_FROM_INTERRUPT
```

} only need to save registers not saved by the handler function

} restore the registers saved above

# Returning from an Interrupt

- Hardware pops PC, SP, PSW
- Depending on content of PSW
  - switch to user mode
  - enable interrupts
- From exception and system call, increment PC on return (we don't want to execute again the same instruction)
  - on exception, handler changes PC at the base of the stack
  - on system call, increment is done by hw when saving user level state

# Starting a new process: the recipe

1. Allocate & initialize PCB
2. Setup initial page table (to initialize a new address space)
3. Load program into address space
4. Allocate user-level and kernel-level stacks.
5. Copy arguments (if any) to the base of the user-level stack
6. *Simulate* an interrupt
  - a) push on kernel stack initial PC, user SP
  - b) push PSW (supervisor mode off, interrupts enabled)
7. Clear all other registers
8. RETURN\_FROM\_INTERRUPT



# Interrupt Handling on x86

User-level  
Process

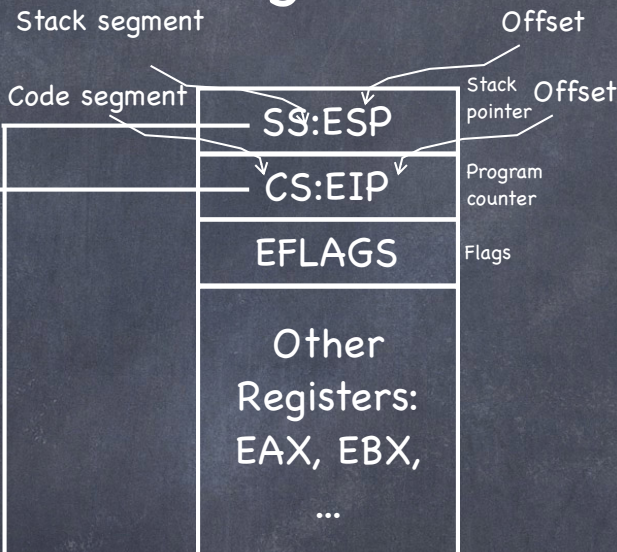
Code

```
foo() {  
  while(...) {  
    x = x+1;  
    y = y-2  
  }  
}
```

Stack



Registers



Kernel

Code

```
handler() {  
  pusha  
  ...  
}
```

Interrupt Stack



# Interrupt Handling on x86

User-level  
Process

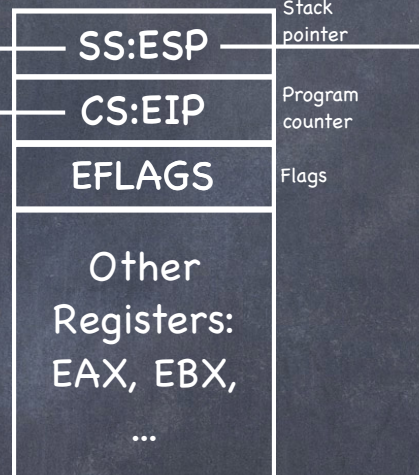
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Stack



Registers



Kernel

Code

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Interrupt Stack



Hardware performs these steps

1. Change mode bit
2. Disable interrupts
3. Save key registers to temporary location
4. Switch onto the kernel interrupt stack

# Interrupt Handling on x86

User-level  
Process

Code

```
foo() {  
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Stack



Registers



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Interrupt Stack



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Process

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Stack



Registers



Kernel

Code

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handler() {  
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Process

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Stack



Registers



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Code

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handler() {  
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Interrupt Stack



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1. Change mode bit
2. Disable interrupts
3. Save key registers to temporary location
4. Switch onto the kernel interrupt stack
5. Push key registers onto new stack
6. Save error code (optional)

# Interrupt Handling on x86

## User-level Process

### Code

```
foo() {  
  while(...) {  
    x = x+1;  
    y = y-2  
  }  
}
```

### Stack



## Registers

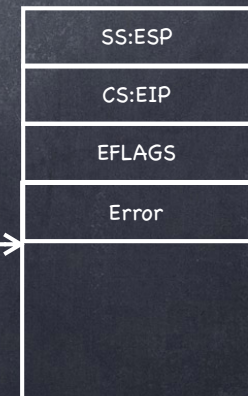


## Kernel

### Code

```
handler() {  
  pusha  
  ...  
}
```

### Interrupt Stack



### Hardware performs these steps

1. Change mode bit
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# Interrupt Handling on x86

User-level  
Process

Code

```
foo() {
  while(...) {
    x = x+1;
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  }
}
```

Stack



Registers

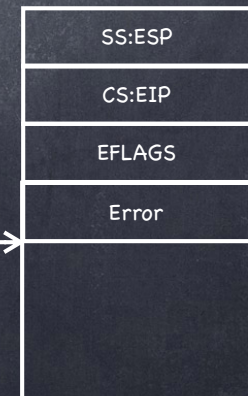


Kernel

Code

```
handler() {
  pusha
  ...
}
```

Interrupt Stack



Hardware performs these steps

1. Change mode bit
2. Disable interrupts
3. Save key registers to temporary location
4. Switch onto the kernel interrupt stack
5. Push key registers onto new stack
6. Save error code (optional)
7. Transfer control to interrupt handler
8. Handler pushes select registers on stack

Software (handler) performs this step

# Interrupt Handling on x86

## User-level Process

### Code

```
foo() {  
  while(...) {  
    x = x+1;  
    y = y-2  
  }  
}
```

### Stack



## Registers



### Hardware performs these steps

1. Change mode bit
2. Disable interrupts
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4. Switch onto the kernel interrupt stack
5. Push key registers onto new stack
6. Save error code (optional)
7. Transfer control to interrupt handler

### Software (handler) performs this step

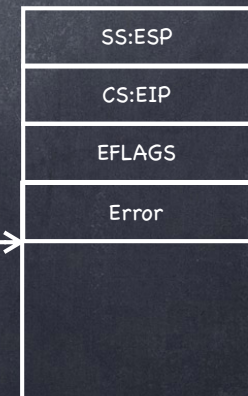
8. Handler pushes select registers on stack

## Kernel

### Code

```
handler() {  
  pusha  
  ...  
}
```

### Interrupt Stack





# Interrupt Handling on x86

## User-level Process

### Code

```
foo() {  
  while(...) {  
    x = x+1;  
    y = y-2  
  }  
}
```

### Stack



## Registers



### Hardware performs these steps

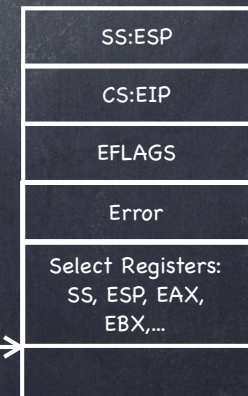
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## Kernel

### Code

```
handler() {  
  pusha  
  ...  
}
```

### Interrupt Stack



### Software (handler) performs this step

8. Handler pushes select registers on stack

# Interrupt Safety

- Kernel should disable device interrupts as little as possible
  - interrupts are best serviced quickly
- Thus, device interrupts are often disabled selectively
  - e.g., clock interrupts enabled during disk interrupt handling
- This leads to potential “race conditions”
  - system’s behavior depends on timing of uncontrollable events

# Interrupt Race Example

- Disk interrupt handler enqueues a task to be executed after a particular time
  - while clock interrupts are enabled
- Clock interrupt handler checks queue for tasks to be executed
  - may remove tasks from the queue
- Clock interrupt may happen during enqueue

Concurrent access to a shared data structure (the queue!)

# Making code interrupt-safe

- Make sure interrupts are disabled while accessing mutable data!

- But don't we have locks?

□ Consider

```
void function ()  
{  
    lock(mtx);  
    /* code */  
    unlock(mtx);  
}
```

Is function **thread-safe**?

Operates correctly when accessed simultaneously by multiple threads

To make it so, grab a lock

Is function **interrupt-safe**?

Operates correctly when called again (re-entered) before it completes

To make it so, disable interrupts


# Example of Interrupt-Safe Code

```
void enqueue(struct task *task) {  
    int level = interrupt_disable();  
    /* update queue */  
    interrupt_restore(level);  
}
```

- ◉ Why not simply re-enable interrupts?
  - Say we did. What if then we call enqueue from code that expects interrupts to be disabled?
    - ▶ Oops...
  - Instead, remember interrupt level at time of call; when done, restore that level

# Many Standard C Functions are not Interrupt-Safe

- Pure system calls are interrupt-safe
  - e.g., `read()`, `write()`, etc.
- Functions that don't use global data are interrupt-safe
  - e.g., `strlen()`, `strcpy()`, etc.
- `malloc()`, `free()`, and `printf()` are not interrupt-safe
  - must disable interrupts before using them in an interrupt handler
  - and you may not want to anyway (`printf()` is huge!)



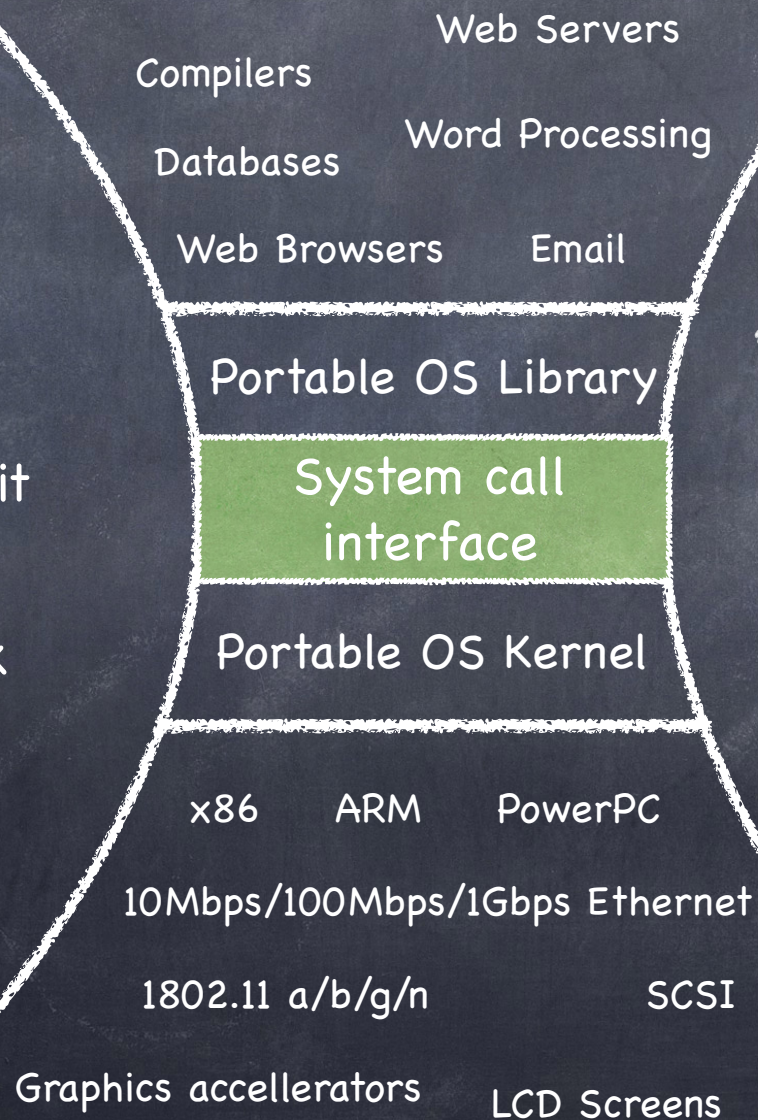
But they  
are all  
thread-safe!

# System calls

- Programming interface to the services the OS provides:
  - read input/write to screen
  - create/read/write/delete files
  - create new processes
  - send/receive network packets
  - get the time / set alarms
  - terminate current process
  - ...

# The Skinny

- Simple and powerful interface allows separation of concern
  - Eases innovation in user space and HW
- "Narrow waist" makes it
  - highly portable
  - robust (small attack surface)
- Internet **IP layer** also offers skinny interface



- Much care spent in keeping interface secure
  - e.g., parameters first copied to kernel space, then checked
    - ▶ to prevent user program from changing them after they are checked!



# Executing a System Call

## • Process:

- Calls system call function in library
- Places arguments in registers and/or pushes them onto user stack
- Places syscall type in a dedicated register
- Executes `syscall` machine instruction

## • Kernel

- Executes `syscall` interrupt handler
- Places result in dedicated register
- Executes `RETURN_FROM_INTERRUPT`

## • Process:

- Executes `RETURN_FROM_FUNCTION`

# Executing read System Call

```
int main(argc, argv){
```

UPC →  
c = read(fd, buffer, nbytes)

...

```
}
```

USP →

stack frame  
for main()



user  
stack

KSP →

interrupt  
stack

user space

kernel space

UPC: user program counter

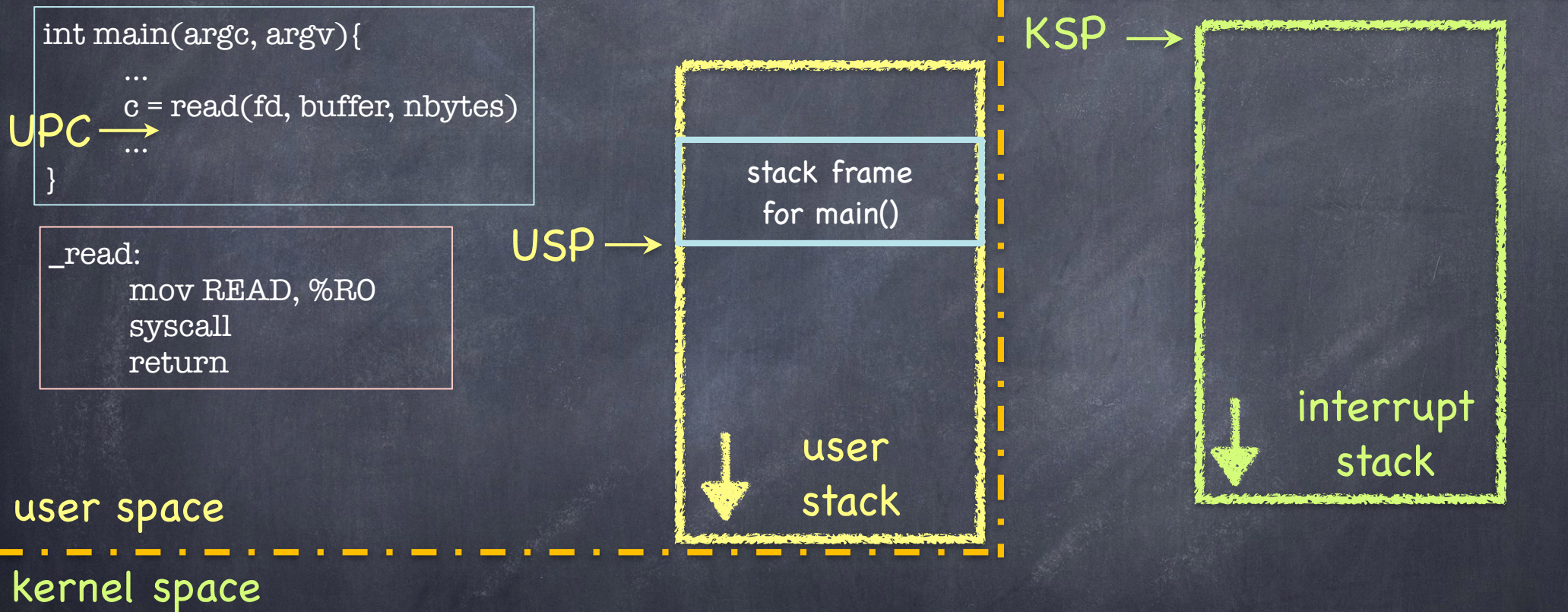
KPC: kernel program counter

USP: user stack pointer

KSP: kernel stack pointer

note: interrupt stack is empty while process running

# Executing read System Call



**UPC:** user program counter

**KPC:** kernel program counter

**USP:** user stack pointer

**KSP:** kernel stack pointer

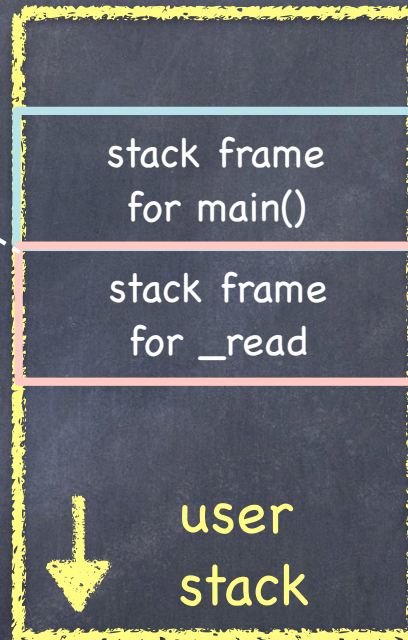
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# Executing read System Call

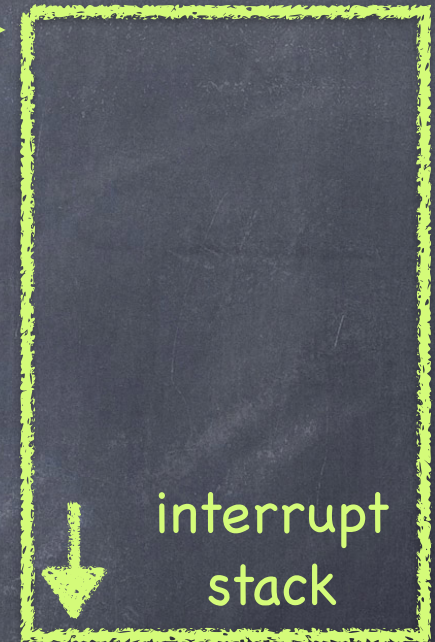
```
int main(argc, argv){  
    ...  
    c = read(fd, buffer, nbytes)  
    ...  
}
```

```
_read:  
    mov READ, %R0  
    syscall ← UPC  
    return
```

USP →



KSP →



user space

kernel space

UPC: user program counter

KPC: kernel program counter

USP: user stack pointer

KSP: kernel stack pointer

note: interrupt stack is empty while process running

# Executing read System Call

```
int main(argc, argv){
```

```
    ...  
    c = read(fd, buffer, nbytes)
```

```
    ...  
}
```

```
_read:
```

```
    mov READ, %R0
```

```
    syscall
```

```
    return
```

← **UPC**

**USP** →

stack frame  
for main()

stack frame  
for \_read

↓  
user  
stack

**KSP** →

↓  
interrupt  
stack

user space

kernel space

```
HandleIntrSyscall:
```

```
    push %Rn
```

```
    ...
```

```
    push %R1
```

```
    call __handleSyscall
```

```
    pop %R1
```

```
    ...
```

```
    pop %Rn
```

```
    return_from_interrupt
```

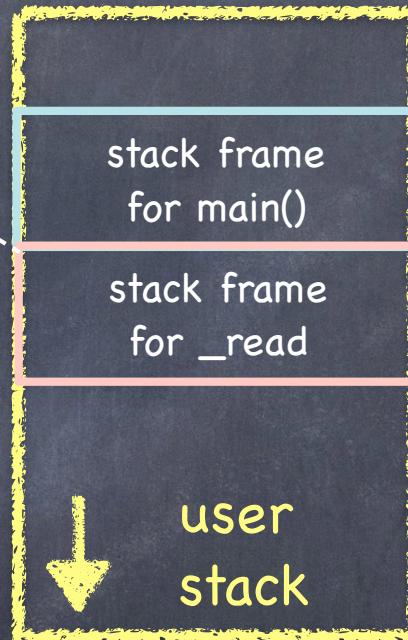
← **KPC**

# Executing read System Call

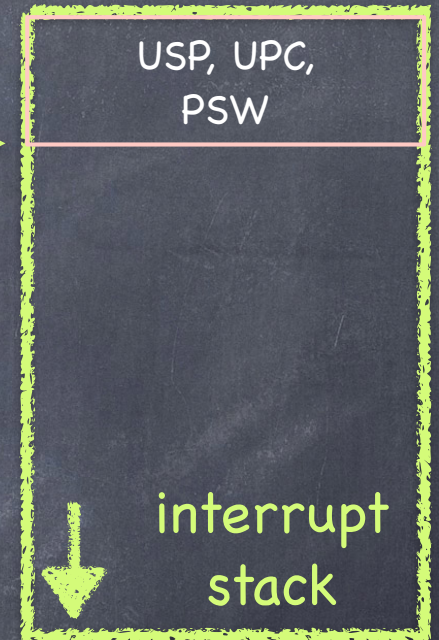
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int main(argc, argv){  
    ...  
    c = read(fd, buffer, nbytes)  
    ...  
}
```

```
_read:  
    mov READ, %R0  
    syscall  
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```

**USP** →



**KSP** →



user space

kernel space

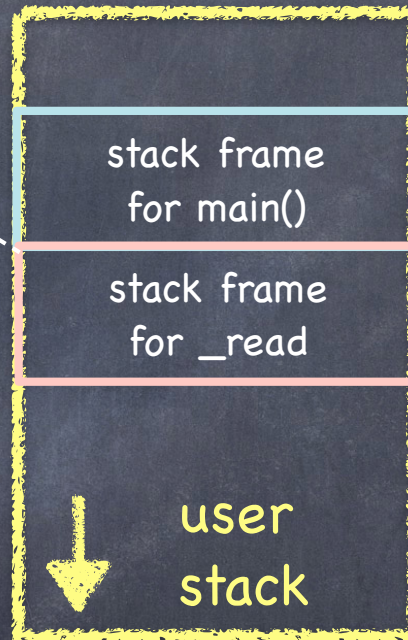
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HandleIntrSyscall: ← KPC  
    push %Rn  
    ...  
    push %R1  
    call __handleSyscall  
    pop %R1  
    ...  
    pop %Rn  
    return_from_interrupt
```

# Executing read System Call

```
int main(argc, argv){  
    ...  
    c = read(fd, buffer, nbytes)  
    ...  
}
```

```
_read:  
    mov READ, %R0  
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```

**USP** →



**KSP** →



user space

kernel space

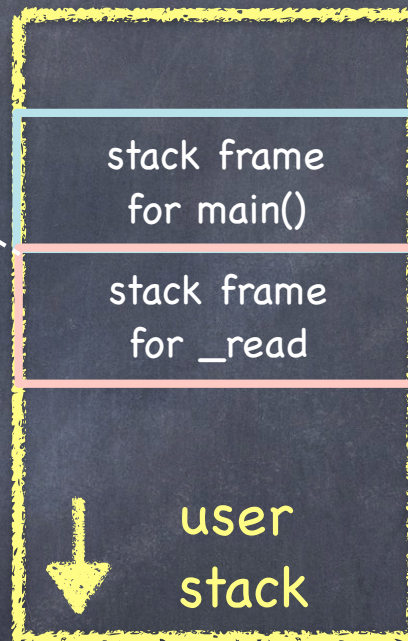
```
HandleIntrSyscall:  
    push %Rn  
    ...  
    push %R1 ← KPC  
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    pop %R1  
    ...  
    pop %Rn  
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```

# Executing read System Call

```
int main(argc, argv){  
    ...  
    c = read(fd, buffer, nbytes)  
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}
```

```
_read:  
    mov READ, %R0  
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    return ← UPC
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**USP** →



**KSP** →



user space

kernel space

```
HandleIntrSyscall:  
    push %Rn  
    ...  
    push %R1  
    call __handleSyscall ← KPC  
    pop %R1  
    ...  
    pop %Rn  
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```

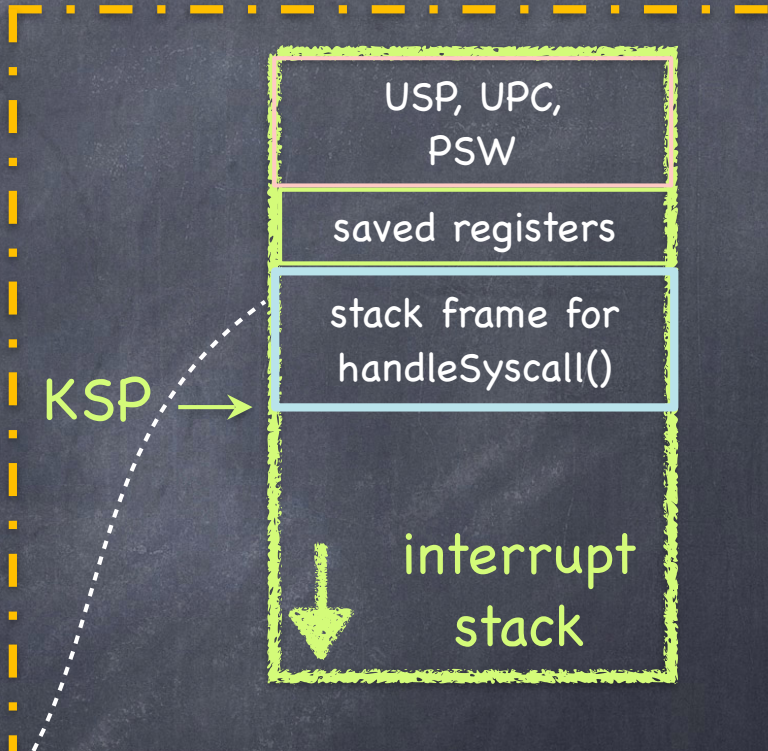
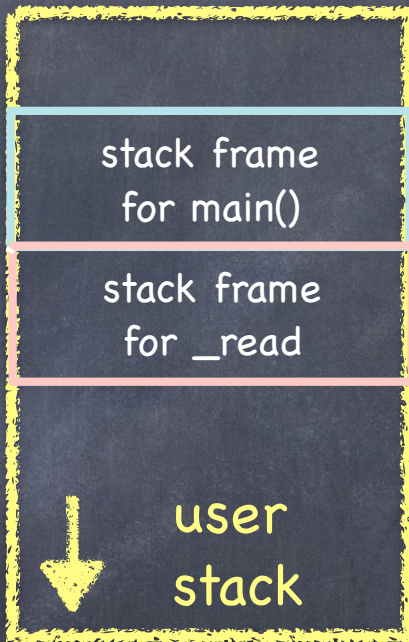
```
int handleSyscall(int type){  
    switch (type) {  
        case READ: ...  
    }  
}
```



# Executing read System Call

```
int main(argc, argv){  
    ...  
    c = read(fd, buffer, nbytes)  
    ...  
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```

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_read:  
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```



user space

kernel space

```
HandleIntrSyscall:  
    push %Rn  
    ...  
    push %R1  
    call __handleSyscall ← return address  
    pop %R1  
    ...  
    pop %Rn  
    return_from_interrupt
```

```
int handleSyscall(int type){  
    switch (type) {  
        case READ: ... ← KPC  
    }  
}
```

USP →

KSP →

return address

return address

# What if read needs to block?

- read may need to block if
  - It reads from a terminal
  - It reads from disk, and block is not in cache
  - It reads from a remote file server

We should run another process!

How to run  
multiple processes

# The Problem

- Say (for simplicity) we have a single core CPU
- A process physically runs on the CPU
- Yet each process somehow has its own
  - Registers
  - Memory
  - I/O Resources
  - "thread of control"
- Need to multiplex/schedule to create virtual CPUs for each process

# Process Control Block

- A per-process data structure held by OS, with
  - location in memory (page table)
  - location of executable on disk
  - id of user executing this process (uid)
  - process identifier (pid)
  - process status (running, waiting, etc.)
  - scheduling info
  - interrupt stack
  - saved kernel SP (when process is not running)
    - ▶ points into interrupt stack
    - ▶ interrupt stack contains saved registers and kernel call stack for this process
  - ...and more