Iota₉ **Type System Specification**

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Changes

• September 27: Rule (TUPLEDECL) updated so underscores work. Rule (ARRAYDECL) now allows arbitrary integer expressions as array lengths, as in the spec.

Types

The Iota₉ type system uses a somewhat bigger set of types than can be expressed explicitly in the source language:

$$\begin{array}{l} \tau ::= \mathtt{int} \\ \mid \mathtt{bool} \\ \mid - \\ \mid \tau [\,] \\ \mid (\tau_1, \tau_2, \dots, \tau_{\mathtt{n}}) \end{array} \stackrel{(n \geq 2)}{\sigma} ::= \mathtt{var} \ \tau \\ \mid \mathtt{fn} \ \tau \to \tau' \end{array}$$

The _ type is how we will write the unit type, a type that does not appear explicitly in the source language. The unit type is used to give a type to the left-hand side of pattern-matching assignments that use the _ placeholder; this lets their handling be integrated directly into the type system. The _ type is also used to represent the result type of procedures.

The set σ is used to represent typing environment entries, which can either be normal variables (bound to var τ for some type τ) or functions (bound to fn $\tau \to \tau'$ where $\tau' \neq \bot$), or procedures (bound to fn $\tau \to \bot$), where the "result type" (\bot) indicates that the procedure result contains no information other than that the procedure call terminated.

Subtyping

We use the following subtyping relation:

$$\frac{\tau \leq \tau}{\tau \leq -1}$$

$$\frac{\tau_1 \leq \tau_c \quad \dots \quad \tau_n \leq \tau_c}{(\tau_1, \dots, \tau_n) \leq \tau_c []} \quad \frac{\tau_{1,a} \leq \tau_{1,b} \quad \dots \quad \tau_{n,a} \leq \tau_{n,b}}{(\tau_{1,a}, \dots, \tau_{n,a}) \leq (\tau_{1,b}, \dots, \tau_{n,b})}$$

Type-checking expressions

To type-check expressions, we need to know what bound variables and functions are in scope; this is represented by the typing context Γ , which maps names x to types σ .

We define two typing judgment. The judgment $\Gamma \vdash e : \tau$ is the rule for the type of an expression; it states that with bindings Γ we can conclude that e has the type τ . There is also another typing judgment, \vdash_u (read: usable as), which indicates how to incorporate subtyping through the following subsumption rule:

$$\frac{\Gamma \vdash e : \tau \quad \tau \leq \tau'}{\Gamma \vdash_{u} e : \tau'}$$

We use the metavariable symbols x or f to represent arbitrary identifiers, n to represent a numeric constant, *string* to represent a string constant, and *char* to represent a character constant. Using these conventions, the expression typing rules are:

Type-checking statements

To type-check statements, we need all the information used to type-check expressions, plus the types of procedures, which are included in Γ . In addition, we extend the domain of Γ a little to include two special symbols, ρ and β . To check the return statement we need to know what the return type of the current function is or if it is a procedure. Let this be denoted by $\Gamma(\rho)$, which is some type τ if the statement is part of a function, or $_$ if the statement is in a procedure. For break statements, we also need to check whether we are inside a loop, which we will denote as $\Gamma(\beta)$, which is true if we are inside a loop and false if we are not. Since statements include declarations, they can also produce new variable bindings, resulting in an updated typing context which we will denote as Γ' . To update typing contexts, we write $\Gamma[x \mapsto \tau]$, which is an environment exactly like Γ except that it maps x to τ . We use the metavariable s to denote a statement, so the main typing judgment for statements has the form $\Gamma \vdash s : \Gamma'$. (The type of a statement, if we wanted to give it one, would be $_$.)

Most of the statements are fairly straightforward, and do not change Γ :

$$\frac{\Gamma \vdash e : \mathsf{bool} \quad \Gamma \vdash s : \Gamma'}{\Gamma \vdash \mathsf{if} \ (e) \ s : \Gamma} \ (\mathsf{IF}) \qquad \frac{\Gamma \vdash e : \mathsf{bool} \quad \Gamma \vdash s_1 : \Gamma' \quad \Gamma \vdash s_2, \Gamma''}{\Gamma \vdash \mathsf{if} \ (e) \ s_1 \ \mathsf{else} \ s_2 : \Gamma} \ (\mathsf{IFELSE})$$

$$\frac{\Gamma \vdash e : \mathsf{bool} \quad \Gamma[\beta \mapsto \mathsf{true}] \vdash s : \Gamma'}{\Gamma \vdash \mathsf{while} \ (e) \ s : \Gamma} \ (\mathsf{WHILE})$$

$$\frac{\Gamma \vdash s_1 : \Gamma_1 \quad \Gamma_1 \vdash s_2 : \Gamma_2 \dots \Gamma_{n-1} \vdash s_n : \Gamma_n}{\Gamma \vdash \{s_1, s_2, \dots, s_n\} : \Gamma} \ (\mathsf{SEQ})$$

$$\frac{\Gamma(f) = \mathsf{fn} \ \tau \to - \quad \Gamma \vdash_u e : \tau}{\Gamma \vdash f \ e : \Gamma} \ (\mathsf{PRCALL}) \qquad \frac{\Gamma(\beta) = \mathsf{true}}{\Gamma \vdash \mathsf{break} : \Gamma} \ (\mathsf{BREAK})$$

$$\frac{\Gamma(\rho) = -}{\Gamma \vdash \mathsf{return} : \Gamma} \ (\mathsf{RETURN}) \qquad \frac{\Gamma(\rho) = \tau \neq - \quad \Gamma \vdash_u e : \tau}{\Gamma \vdash \mathsf{return} \ e : \Gamma} \ (\mathsf{RETVAL})$$

Assignments require checking the left-hand side to make sure it is assignable:

$$\frac{\Gamma(x) = \text{var } \tau \quad \Gamma \vdash_{u} e : \tau}{\Gamma \vdash_{x} = e : \Gamma} \text{ (ASSIGN)} \qquad \frac{\Gamma \vdash_{e_{1}} e_{1} : \tau \text{ []} \quad \Gamma \vdash_{u} e_{2} : \text{int} \quad \Gamma \vdash_{u} e_{3} : \tau}{\Gamma \vdash_{e_{1}} e_{2} = e_{3} : \Gamma} \text{ (ARRASSIGN)}$$

Declarations are the source of new bindings. Three kinds of declarations can appear in the source language: regular variable declarations, tuple declarations, and function/procedure declarations. We are only concerned with the first two kinds within a function body. To handle tuples, we define a declaration d that can appear within a tuple:

$$d ::= x : \tau \mid \bot$$

and define functions typeof(d) and varsof(d) as follows: $typeof(x:\tau) = \tau$ and $typeof(_) = _$, and $varsof(x:\tau) = \{x\}$ and $varsof(_) = \emptyset$. Using these notations, we have the following rules:

$$\frac{x \not\in \operatorname{dom}(\Gamma)}{\Gamma \vdash x : \tau : \Gamma[x \mapsto \tau]} \text{ (VARDECL)} \quad \frac{x \not\in \operatorname{dom}(\Gamma) \quad \Gamma \vdash_u e : \tau}{\Gamma \vdash x : \tau = e : \Gamma[x \mapsto \tau]} \text{ (VARINIT)} \quad \frac{x \not\in \operatorname{dom}(\Gamma) \quad \Gamma \vdash_u e : \operatorname{int}}{\Gamma \vdash x : \tau [e] : \Gamma[x \mapsto \tau[1]]} \text{ (ARRAYDECL)}$$

$$\frac{\Gamma \vdash e : (\tau_1, \dots, \tau_n) \qquad \qquad \tau_i \leq typeof(d_i) \quad {}^{(\forall i \in 1 \dots n)} \\ \frac{\operatorname{dom}(\Gamma) \cap varsof(d_i) = \emptyset \quad {}^{(\forall i \in 1 \dots n)} \quad varsof(d_i) \cap varsof(d_j) = \emptyset \quad {}^{(\forall i, j \in 1 \dots n \mid j \neq i)}}{\Gamma \vdash (d_1, \dots, d_n) = e : \Gamma[x_i \mapsto typeof(d_i) \quad {}^{(\forall i \in 1 \dots n, x_i \mid varsof(d_i) = \{x_i\})}]} \quad \text{(TUPLEDECL)}$$

The final premise in rule TUPLEDECL prevents shadowing by ensuring that $dom(\Gamma)$ and all of the $varsof(d_i)$ are disjoint from each other.

Top-level declarations

At the top level of the program, we need to figure out the types of procedures and functions, and make sure their bodies are well-typed. Since mutual recursion is supported, this needs to be done in two passes. First, we use the judgment $\Gamma \vdash fd : \Gamma'$ to state that the function or procedure declaration fd extends top-level bindings Γ to Γ' :

$$\begin{split} \frac{f \not\in \mathrm{dom}(\Gamma)}{\Gamma \vdash f(x \colon \tau) \colon \tau' = s \colon \Gamma[f \mapsto \mathtt{fn} \ \tau \to \tau']} & \frac{f \not\in \mathrm{dom}(\Gamma) \quad n \ge 2 \quad \Gamma' = \Gamma[f \mapsto \mathtt{fn} \ (\tau_1, \tau_2, \dots, \tau_n) \to \tau_r]}{\Gamma \vdash f(x_1 \colon \tau_1, x_2 \colon \tau_2, \dots, x_n \colon \tau_n) \colon \tau_r = s \colon \Gamma'} \\ \frac{f \not\in \mathrm{dom}(\Gamma)}{\Gamma \vdash f(x \colon \tau) = s \colon \Gamma[f \mapsto \mathtt{fn} \ \tau \to \bot]} & \frac{f \not\in \mathrm{dom}(\Gamma) \quad n \ge 2 \quad \Gamma' = \Gamma[f \mapsto \mathtt{fn} \ (\tau_1, \tau_2, \dots, \tau_n) \to \bot]}{\Gamma \vdash f(x_1 \colon \tau_1, x_2 \colon \tau_2, \dots, x_n \colon \tau_n) = s \colon \Gamma'} \end{split}$$

The second pass over the program is captured by the judgment $\Gamma \vdash fd$ dec1, which defines how to check well-formedness of each function definition against a top-level environment Γ , ensuring that parameters do not shadow anything and that the body is well-typed. We treat procedures just like functions that return the unit type:

$$\frac{x \not\in \mathrm{dom}(\Gamma) \quad \Gamma[x \mapsto \tau, \rho \mapsto \tau', \beta \mapsto \mathrm{false}] \vdash s : \Gamma'}{\Gamma \vdash f(x : \tau) : \tau' = s \ \mathrm{decl}} \qquad \frac{|\mathrm{dom}(\Gamma) \quad \cup \ \{x_1, \dots, x_n\}| \quad = \ |\mathrm{dom}(\Gamma)| \ + \ n}{\Gamma[x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n, \rho \mapsto \tau', \beta \mapsto \mathrm{false}] \vdash s : \Gamma'}{\Gamma \vdash f(x : \tau) = s \ \mathrm{decl}} \qquad \frac{|\mathrm{dom}(\Gamma) \quad \cup \ \{x_1, \dots, x_n \mapsto \tau_n, \rho \mapsto \tau', \beta \mapsto \mathrm{false}] \vdash s : \Gamma'}{\Gamma[x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n, \rho \mapsto -, \beta \mapsto \mathrm{false}] \vdash s : \Gamma'}{\Gamma[x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n, \rho \mapsto -, \beta \mapsto \mathrm{false}] \vdash s : \Gamma'}{\Gamma \vdash f(x_1 : \tau_1, \dots, x_n \mapsto \tau_n, \rho \mapsto -, \beta \mapsto \mathrm{false}] \vdash s : \Gamma'}{\Gamma \vdash f(x_1 : \tau_1, \dots, x_n \mapsto \tau_n, \rho \mapsto -, \beta \mapsto \mathrm{false}] \vdash s : \Gamma'}$$

Checking a program

Using the previous judgments, we can define when an entire program $fd_1 \dots fd_n$ is well-formed, written $\vdash fd_1 \dots fd_n$ prog: