

CS412/CS413

Introduction to Compilers
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Lecture 14: Static Semantics
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Static Semantics

- Static semantics defines types for all legal ASTs in the language
- Is to type-checking:
 - As grammar is to syntax analysis
 - As regular expression is to lexical analysis

Type Judgments

- **Static semantics** is a formal notation that describes type judgments:

$\vdash E : T$

meaning:

“E is a well-typed expression of type T”

- Type judgment examples:

$\vdash 2 : \text{int}$

$\vdash 2 * (3 + 4) : \text{int}$

$\vdash \text{true} : \text{bool}$

$\vdash \text{"Hello"} : \text{string}$

Type Judgments for Statements

- Statements may be expressions (i.e., represent values)
- Use type judgments for statements:

$\vdash \text{if } (b) \text{ then } 2 \text{ else } 3 : \text{int}$

$\vdash x = \text{false} : \text{bool}$

$\vdash b = \text{true}; y = 2 : \text{int}$

- For statements that are not expressions: use a special **unit type** (empty type):

$\vdash S : \text{unit}$

means “S is a well-typed statement with no result type”

Deriving a Judgment

- Consider the judgment:

$\vdash \text{if } (b) \text{ then } 2 \text{ else } 3 : \text{int}$

- What do we need to decide that this is a well-typed expression of type **int**?
- b must be a bool ($\vdash b : \text{bool}$)
- 2 must be an int ($\vdash 2 : \text{int}$)
- 3 must be an int ($\vdash 3 : \text{int}$)

Hypothetical Type Judgments

- Type judgment notation: $A \vdash E : T$
means “In the type context A the expression E is a well-typed expression with the type T”

- Type context is a set of type bindings $\text{id} : T$ (i.e., type context = symbol table)

$b : \text{bool}, x : \text{int} \vdash b : \text{bool}$

$b : \text{bool}, x : \text{int} \vdash \text{if } (b) \text{ then } 2 \text{ else } x : \text{int}$
 $\vdash 2 + 2 : \text{int}$

Deriving a Judgment

- To show:

$$b: \text{bool}, x: \text{int} \mid\text{-} \text{if } (b) \text{ then } 2 \text{ else } x : \text{int}$$
- Need to show:

$$b: \text{bool}, x: \text{int} \mid\text{-} b : \text{bool}$$

$$b: \text{bool}, x: \text{int} \mid\text{-} 2 : \text{int}$$

$$b: \text{bool}, x: \text{int} \mid\text{-} x : \text{int}$$

General Rule

- For any environment A , expression E , statements S_1 and S_2 , the judgment

$$A \mid\text{-} \text{if } (E) \text{ then } S_1 \text{ else } S_2 : T$$

is valid if:

$$A \mid\text{-} E : \text{bool}$$

$$A \mid\text{-} S_1 : T$$

$$A \mid\text{-} S_2 : T$$

Inference Rules

$$\frac{\text{Premises } A \mid\text{-} E : \text{bool} \quad A \mid\text{-} S_1 : T \quad A \mid\text{-} S_2 : T}{\text{Conclusion } A \mid\text{-} \text{if } (E) \text{ then } S_1 \text{ else } S_2 : T} \text{ (if-rule)}$$

- Holds for any choice of E, S_1, S_2, T

Why Inference Rules?

- Inference rules:** compact, precise language for specifying static semantics (can specify languages in ~20 pages vs. 100's of pages of Java Language Specification)
- Inference rules correspond directly to recursive AST traversal that implements them
- Type checking** is attempt to prove that type judgments $A \mid\text{-} E : T$ are derivable

Meaning of Inference Rule

- Inference rule says:
 - given that antecedent judgments are derivable
 - with some substitution for A, E_1, E_2
 - then, consequent judgment is derivable
 - with a consistent substitution

$$\frac{A \mid\text{-} E_1 : \text{int} \quad A \mid\text{-} E_2 : \text{int}}{A \mid\text{-} E_1 + E_2 : \text{int}} (+)$$

Proof Tree

- Expression is well-typed if there exists a type derivation for a type judgment
- Type derivation is a proof tree
- Example: if $A1 = b: \text{bool}, x: \text{int}$, then:

$$\frac{\frac{A1 \mid\text{-} b: \text{bool}}{A1 \mid\text{-} !b: \text{bool}} \quad \frac{A1 \mid\text{-} 2: \text{int} \quad A1 \mid\text{-} 3: \text{int}}{A1 \mid\text{-} 2+3: \text{int}}}{A1 \mid\text{-} \text{if } (!b) \text{ then } 2+3 \text{ else } x: \text{int}}$$

More about Inference Rules

- No premises = axiom

$$\frac{}{A \mid\text{- } \mathbf{true} : \mathbf{bool}}$$

- A goal judgment may be proved in more than one way

$$\frac{A \mid\text{- } E_1 : \mathbf{float} \quad A \mid\text{- } E_2 : \mathbf{float}}{A \mid\text{- } E_1 + E_2 : \mathbf{float}} \quad \frac{A \mid\text{- } E_1 : \mathbf{float} \quad A \mid\text{- } E_2 : \mathbf{int}}{A \mid\text{- } E_1 + E_2 : \mathbf{float}}$$

- No need to search for rules to apply -- they correspond to nodes in the AST

While Statements

- Rule for while statements:

$$\frac{A \mid\text{- } E : \mathbf{bool} \quad A \mid\text{- } S : T}{A \mid\text{- } \mathbf{while} (E) S : \mathbf{unit}} \text{ (while)}$$

- Why unit type?

If Statements

- If statement as an expression (e.g., in ML): its value is the value of the branch that is executed

$$\frac{A \mid\text{- } E : \mathbf{bool} \quad A \mid\text{- } S_1 : T \quad A \mid\text{- } S_2 : T}{A \mid\text{- } \mathbf{if} (E) \mathbf{then} S_1 \mathbf{else} S_2 : T} \text{ (if-then-else)}$$

- If no else clause:

$$\frac{A \mid\text{- } E : \mathbf{bool} \quad A \mid\text{- } S : T}{A \mid\text{- } \mathbf{if} (E) S : ?} \text{ (if-then)}$$

Assignment Statements

$$\frac{A, \mathit{id} : T \mid\text{- } E : T}{A, \mathit{id} : T \mid\text{- } \mathit{id} = E : T} \text{ (variable-assign)}$$

$$\frac{A \mid\text{- } E_3 : T \quad A \mid\text{- } E_2 : \mathbf{int} \quad A \mid\text{- } E_1 : \mathbf{array}[T]}{A \mid\text{- } E_1[E_2] = E_3 : T} \text{ (array-assign)}$$

Sequence Statements

- Rule: A sequence of statements is well-typed if the first statement is well-typed, and the remaining are well-typed too:

$$\frac{A \mid\text{- } S_1 : T_1 \quad A \mid\text{- } (S_2; \dots; S_n) : T_n}{A \mid\text{- } (S_1; S_2; \dots; S_n) : T_n} \text{ (sequence)}$$

Declarations

- What about variable declarations?
- Declarations add entries to the environment (in the symbol table)

$$\frac{A \mid\text{- } \mathit{id} : T \quad A \mid\text{- } (S_2; \dots; S_n) : T_n}{A, \mathit{id} : T \mid\text{- } (S_2; \dots; S_n) : T_n} \text{ (declaration)}$$

= unit
if no E

Function Calls

- If expression E is a function value, it has a type $T_1 \times T_2 \times \dots \times T_n \rightarrow T_r$
- T_i are argument types; T_r is return type
- How to type-check function call $E(E_1, \dots, E_n)$?

$$\frac{A \mid\!-\! E : T_1 \times T_2 \times \dots \times T_n \rightarrow T_r \quad A \mid\!-\! E_i : T_i \quad (i \in 1..n)}{A \mid\!-\! E(E_1, \dots, E_n) : T_r} \text{ (function-call)}$$

Function Declarations

- Consider a function declaration of the form

$$T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) \{ \text{return } E; \}$$
- Type of function body S must match declared return type of function, i.e., $E : T_r$
- ... but in what type context?

Add Arguments to Environment!

- Let A be the context surrounding the function declaration. Then the function declaration

$$T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) \{ \text{return } E; \}$$

is well-formed if

$$A, a_1 : T_1, \dots, a_n : T_n \mid\!-\! E : T_r$$

- ...but what about recursion?
Need: $\text{fun} : T_1 \times T_2 \times \dots \times T_n \rightarrow T_r \in A$

Recursive Function Example

- Factorial:

```
int fact(int x) {
  if (x==0) return 1;
  else return x * fact(x - 1);
}
```

- Prove: $A \mid\!-\! x * \text{fact}(x-1) : \text{int}$
Where: $A = \{ \text{fact} : \text{int} \rightarrow \text{int}, x : \text{int} \}$

Mutual Recursion

- Example:


```
int f(int x) { return g(x) + 1; }
int g(int x) { return f(x) - 1; }
```
- Need environment containing at least

$$f : \text{int} \rightarrow \text{int}, g : \text{int} \rightarrow \text{int}$$
 when checking both f and g
- Two-pass approach:
 - Scan top level of AST picking up all function signatures and creating an environment binding all global identifiers
 - Type-check each function individually using this global environment

How to Check Return?

$$\frac{A \mid\!-\! E : T}{A \mid\!-\! \text{return } E : \text{unit}} \text{ (return1)}$$

- A return statement produces no value for its containing context to use
- Does not return control to containing context
- Suppose we use type $\text{unit} \dots$
- ...then how to make sure the return type of the current function is T ?

Put Return in the Symbol Table

- Add a special entry $\{ \text{return_fun} : T \}$ when we start checking the function "fun", look up this entry when we hit a return statement.
- To check $T_r \text{ fun } (T_1 a_1, \dots, T_n a_n) \{ \text{return } S; \}$ in environment A , need to check:

$A, a_1 : T_1, \dots, a_n : T_n, \text{return_fun} : T_r \mid - S : T_r$

$$\frac{A \mid - E : T \quad \text{return_fun} : T \in A}{A \mid - \text{return } E : \text{unit}} \text{ (return)}$$

Static Semantics Summary

- **Static semantics** = formal specification of type-checking rules
- Concise form of static semantics: typing rules expressed as inference rules
- Expression and statements are well-formed (or well-typed) if a typing derivation (proof tree) can be constructed using the inference rules