CS 4110 Programming Languages & Logics

Lecture 32 Normalization

Normalization

The simply-typed lambda calculus enjoys a remarkable property:

Every well-typed program terminates.

Simply-Typed Lambda Calculus

Syntax

```
expressions e ::= x \mid \lambda x : \tau. \ e \mid e_1 \ e_2 \mid () values v ::= \lambda x : \tau. \ e \mid () types \tau ::= \mathbf{unit} \mid \tau_1 \to \tau_2
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Simply-Typed Lambda Calculus

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$$e ::= x \mid \lambda x : \tau. \ e \mid e_1 \ e_2 \mid ()$$
 values $v ::= \lambda x : \tau. \ e \mid ()$ types $\tau ::= \mathbf{unit} \mid \tau_1 \to \tau_2$

Dynamic Semantics

$$E ::= [\cdot] \mid E e \mid v E$$

$$\frac{e \to e'}{E[e] \to E[e']} \qquad \qquad \frac{(\lambda x : \tau. e) \, v \to e\{v/x\}}{(\lambda x : \tau. e) \, v \to e\{v/x\}}$$

Simply-Typed Lambda Calculus

Static Semantics

$$\overline{\Gamma \vdash () : \mathbf{unit}} \text{ T-UNIT}$$

$$\frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau} \text{ T-VAR}$$

$$\frac{\Gamma, x : \tau \vdash e : \tau'}{\Gamma \vdash \lambda x : \tau . e : \tau \to \tau'} \text{ T-ABS}$$

$$\frac{\Gamma \vdash e_1 : \tau \to \tau' \quad \Gamma \vdash e_2 : \tau}{\Gamma \vdash e_1 e_2 : \tau'} \text{ T-APP}$$

Lemma (Inversion)

- If $\Gamma \vdash x : \tau$ then $\Gamma(x) = \tau$
- If $\Gamma \vdash \lambda x : \tau_1. e : \tau$ then $\tau = \tau_1 \rightarrow \tau_2$ and $\Gamma, x : \tau_1 \vdash e : \tau_2$.
- If $\Gamma \vdash e_1 e_2 : \tau$ then $\Gamma \vdash e_1 : \tau' \rightarrow \tau$ and $\Gamma \vdash e_2 : \tau'$.

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Lemma (Canonical Forms)

- If $\Gamma \vdash v$: **unit** then v = ()
- If $\Gamma \vdash v : \tau_1 \rightarrow \tau_2$ then $v = \lambda x : \tau_1$.e and $\Gamma, x : \tau_1 \vdash e : \tau_2$.

First Attempt

Theorem (Normalization)

If \vdash e: τ then there exists a value v such that e \rightarrow^* v.

Logical Relations

Idea: define a set with the following properties:

- At base types the set contains all expressions satisfying some property.
- At function types, the set contains all expressions such that the property is preserved whenever we apply the function to an argument of appropriate type that is also in the set.

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In our setting, the property will concern normalization...

Logical Relation

Definition (Logical Relation)

- $R_{unit}(e)$ iff $\vdash e$: unit and e halts.
- $R_{\tau_1 \to \tau_2}(e)$ iff $\vdash e : \tau_1 \to \tau_2$ and e halts, and for every e' such that $R_{\tau_1}(e')$ we have $R_{\tau_2}(e e')$.

Lemma

If $R_{\tau}(e)$ then e halts.

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Lemma (Goal)

If \vdash e : τ *then* $R_{\tau}(e)$

Main Lemma

Lemma (Goal – Strengthened)

Ιf

- $x_1:\tau_1,\ldots,x_k:\tau_k\vdash e:\tau$,
- v_1 through v_k are values such that $\vdash v_1 : \tau_1$ through $\vdash v_k : \tau_k$, and
- $R_{\tau_1}(v_1)$ through $R_{\tau_k}(v_k)$,

then $R_{\tau}(e\{v_1/x_1\}...\{v_k/x_k\})$.