CS 4110 Programming Languages & Logics

Lecture 2 Introduction to Semantics

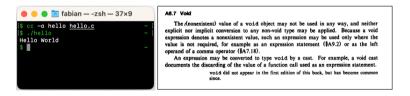
Semantics

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Answer: We could execute the program using an interpreter or a compiler, or we could consult a manual...



...but none of these is a satisfactory solution.

Formal Semantics

Three Approaches

Operational

 $\langle \sigma, \mathbf{e} \rangle \longrightarrow \langle \sigma', \mathbf{e}' \rangle$

- Model program by execution on abstract machine
- Useful for implementing compilers and interpreters
- Denotational:

 $\llbracket e \rrbracket$

- Model program as mathematical objects
- Useful for theoretical foundations
- Axiomatic

$$\vdash \{\phi\} e \{\psi\}$$

- Model program by the logical formulas it obeys
- Useful for proving program correctness

Arithmetic Expressions

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A language of integer arithmetic expressions with assignment.

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BNF Grammar:

$$e := x$$
 $| n$
 $| e_1 + e_2$
 $| e_1 * e_2$
 $| x := e_1 ; e_2$

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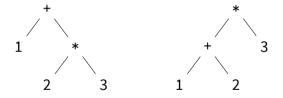
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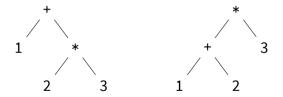
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In this course, we will distinguish abstract syntax from concrete syntax, and focus primarily on abstract syntax (using conventions or parentheses at the concrete level to disambiguate as needed).

Representing Expressions

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OCaml:

```
type exp = Var of string
| Int of int
| Add of exp * exp
| Mul of exp * exp
| Assgn of string * exp * exp
```

Example: Mul(Int 2, Add(Var "foo", Int 1))

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Java:

```
abstract class Expr { }
class Var extends Expr { String name; ... }
class Int extends Expr { int val; ... }
class Add extends Expr { Expr exp1, exp2; ... }
class Mul extends Expr { Expr exp1, exp2; ... }
class Assgn extends Expr { String var, Expr exp1, exp2; ... }
```

• 7 + (4 * 2) evaluates to ...?

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- i := 6 + 1; 2 * 3 * i evaluates to 42
- x + 1 evaluates to error?

The rest of this lecture will make these intuitions precise...

Mathematical Preliminaries

The *product* of two sets A and B, written $A \times B$, contains all ordered pairs (a, b) with $a \in A$ and $b \in B$.

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Some Important Relations

- empty: ∅
- total: *A* × *B*
- identity on A: $\{(a, a) \mid a \in A\}$.
- composition R; S: $\{(a,c) \mid \exists b. (a,b) \in R \land (b,c) \in S\}$

Functions

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The *image* of f is the set of elements $b \in B$ that are mapped to by at least one $a \in A$. Formally:

$$image(f) \triangleq \{f(a) \mid a \in A\}$$

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Given two functions $f: A \to B$ and $g: B \to C$, the composition of f and g is defined by: $(g \circ f)(x) = g(f(x))$ Note order!

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A function $f: A \to B$ is said to be *surjective* (or *onto*) if and only if the image of f is B.

Operational Semantics

Overview

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For our language, a configuration $\langle \sigma, e \rangle$ is a pair of:

- a store σ that records the values of variables,
- and the expression e being evaluated.

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- and the expression e being evaluated.

More formally:

Store
$$\triangleq$$
 Var \rightarrow Int Config \triangleq Store \times Exp

(A store is a *partial* function from variables to integers.)

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Question: How should we define this relation?

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$$\langle \sigma, e \rangle \rightarrow \langle \sigma', e' \rangle$$
 which means $(\langle \sigma, e \rangle, \langle \sigma', e' \rangle) \in "\rightarrow"$.

Question: How should we define this relation? Remember that there are an infinite number of configurations and possible steps!

Inference Rules

Answer: Define it inductively, using inference rules:

```
\frac{\mathsf{premise}_1 \qquad \mathsf{premise}_2 \qquad \cdots}{\mathsf{conclusion}} \; \mathsf{Name}
```

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Answer: Define it inductively, using inference rules:

An inference rule defines an implication: if all the premises hold, then the conclusion also holds.

Formally, " \rightarrow " is the smallest relation that is closed under all the inference rules.

Variables

$$rac{n=\sigma(x)}{\langle \sigma, x
angle
ightarrow \langle \sigma, n
angle}$$
 Var

Addition

$$rac{p=m+n}{\langle \sigma, n+m
angle
ightarrow \langle \sigma, p
angle}$$
 Add

Addition

$$\begin{split} \frac{p = m + n}{\langle \sigma, n + m \rangle \rightarrow \langle \sigma, p \rangle} \text{ Add} \\ \frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 + e_2 \rangle \rightarrow \langle \sigma', e_1' + e_2 \rangle} \text{ LAdd} \end{split}$$

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Multiplication

$$rac{p=m imes n}{\langle \sigma,m*n
angle
ightarrow \langle \sigma,p
angle}$$
 MUL

Multiplication

$$\begin{split} \frac{\rho = m \times n}{\langle \sigma, m*n \rangle \to \langle \sigma, \rho \rangle} \, \text{Mul} \\ \frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 * e_2 \rangle \to \langle \sigma', e_1' * e_2 \rangle} \, \text{LMul} \\ \frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n*e_2 \rangle \to \langle \sigma', n*e_2' \rangle} \, \text{RMul} \end{split}$$

Assignment

$$\frac{\sigma' = \sigma[\mathsf{x} \mapsto \mathsf{n}]}{\langle \sigma, \mathsf{x} := \mathsf{n} \; ; \; \mathsf{e}_2 \rangle \to \langle \sigma', \mathsf{e}_2 \rangle} \; \mathsf{Assgn}$$

Notation: $\sigma[x \mapsto n]$ is a *new* (partial) function that mostly behaves like σ , except that it maps x to n.

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$$\frac{\langle \sigma, e_1 \rangle \rightarrow \langle \sigma', e_1' \rangle}{\langle \sigma, x := e_1 \; ; \; e_2 \rangle \rightarrow \langle \sigma', x := e_1' \; ; \; e_2 \rangle} \; \mathsf{ASSGN1}$$

$$\frac{n = \sigma(x)}{\langle \sigma, x \rangle \to \langle \sigma, n \rangle} \text{ VAR } \qquad \frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 + e_2 \rangle \to \langle \sigma', e_1' + e_2 \rangle} \text{ LADD } \qquad \frac{\langle \sigma, e_2 \rangle \to \langle \sigma', e_2' \rangle}{\langle \sigma, n + e_2 \rangle \to \langle \sigma', n + e_2' \rangle} \text{ RADD}$$

$$\frac{p = m + n}{\langle \sigma, n + m \rangle \to \langle \sigma, p \rangle} \text{ ADD } \qquad \frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, e_1 * e_2 \rangle \to \langle \sigma', e_1' * e_2 \rangle} \text{ LMUL}$$

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$$\frac{\langle \sigma, e_1 \rangle \to \langle \sigma', e_1' \rangle}{\langle \sigma, x := e_1; e_2 \rangle \to \langle \sigma', x := e_1'; e_2 \rangle} \text{ ASSGN1} \qquad \frac{\sigma' = \sigma[x \mapsto n]}{\langle \sigma, x := n; e_2 \rangle \to \langle \sigma', e_2 \rangle} \text{ ASSGN}$$

Multi-Step Evaluation

We can define the multi-step evaluation relation, written \rightarrow *, as the reflexive and transitive closure of the small-step evaluation relation.

$$\frac{\langle \sigma, e \rangle \rightarrow^* \langle \sigma, e \rangle}{\langle \sigma, e \rangle \rightarrow^* \langle \sigma', e' \rangle} \text{ Refl} \qquad \frac{\langle \sigma, e \rangle \rightarrow^* \langle \sigma', e' \rangle \rightarrow^* \langle \sigma'', e'' \rangle}{\langle \sigma, e \rangle \rightarrow^* \langle \sigma'', e'' \rangle} \text{ Trans}$$

What's Next?

- Introductory Survey: Fill out on CMSX by tomorrow
- Foster Office Hours: Wednesday 2:30-3:30 (or by appointment)
- Homework 1: released tomorrow, due in a week

