

10 points per problem.

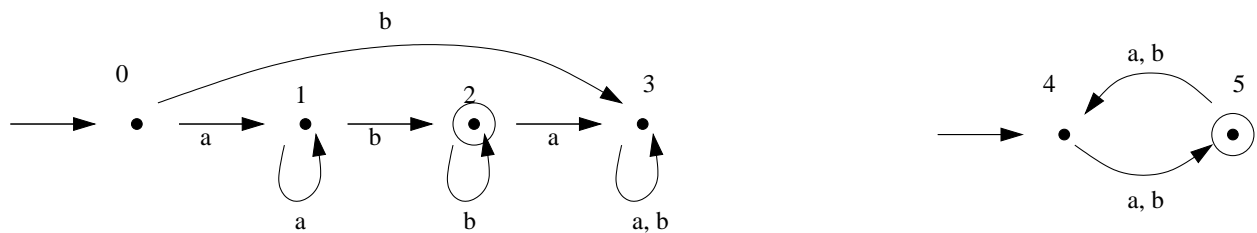
1. Consider the following DFAs with $\Sigma = \{a, b\}$. In each table, S indicates the start state and F indicates a final state.

M_1	a	b
S0	1	3
1	1	2
F2	3	2
3	3	3

M_2	a	b
S4	5	5
F5	4	4

a. Draw the transition diagram for each DFA.

Solution:



b. Describe the set accepted by each. You may use words, patterns, or symbolic set notation.

Solution: From the diagram for the DFA on the left, we see that any string accepted must start with an a, followed by any number of a's, then have a b followed by any number of b's. So the language is $L(a^+b^+) = \{a^n b^m \mid n, m \geq 1\}$.

From the diagram on the right, any string accepted must first have a symbol, then be followed by an odd number of symbols, so the language accepted is

$$L((a + b)(aa + ab + ba + bb)^*) = \{x \in \{a, b\}^* \mid |x| \text{ is odd}\}.$$

c. Use the product construction to give the DFA accepting the **union** of these two languages. Represent your answer in tabular form. Be sure to show the start and final state(s).

Solution: The product construction for union requires that we use ordered pairs of the original states as new states with an ordered pair being a final state if either of its component states is final. The start state is the ordered pair consisting of the two original start states. In tabular form, this gives

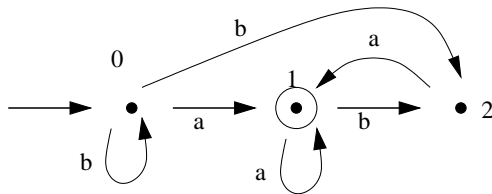
N	a	b
S(0, 4)	(1, 5)	(3, 5)
F(0, 5)	(1, 4)	(3, 4)
(1, 4)	(1, 5)	(2, 5)
F(1, 5)	(1, 4)	(2, 4)
F(2, 4)	(3, 5)	(2, 5)
F(2, 5)	(3, 4)	(2, 4)
(3, 4)	(3, 5)	(3, 5)
F(3, 5)	(3, 4)	(3, 4)

2. Consider the following NFA:

N	a	b
S0	{1}	{0, 2}
F1	{1}	{2}
2	{1}	\emptyset

a. Draw the transition diagram for N .

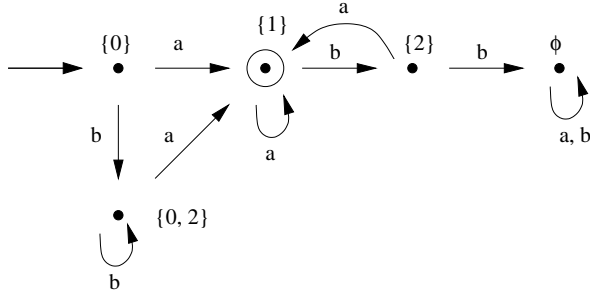
Solution:



b. Use the subset construction to give a DFA M with $L(M) = L(N)$. Express your results in tabular form. Be sure to show the start and final state(s).

Solution:

M	a	b
\emptyset	\emptyset	\emptyset
S{0}	{1}	{0, 2}
F{1}	{1}	{2}
{2}	{1}	\emptyset
F{0, 1}	{1}	{0, 2}
{0, 2}	{1}	{0, 2}
F{1, 2}	{1}	{2}
F{0, 1, 2}	{1}	{0, 2}



c. Draw the transition diagram for M . Omit inaccessible states.

Solution: See above.

3. Let $M = (Q, \Sigma, \delta, s, F)$ be a DFA. Recall that $\hat{\delta}$ is defined recursively by

$$\begin{aligned}\hat{\delta}(p, \epsilon) &= p \\ \hat{\delta}(p, xa) &= \delta(\hat{\delta}(p, x), a)\end{aligned}$$

for $p \in Q, x \in \Sigma^*, a \in \Sigma$. Use induction on $|y|$ to prove that for all $x, y \in \Sigma^*$ and all $p \in Q$,

$$\hat{\delta}(p, xy) = \hat{\delta}(\hat{\delta}(p, x), y).$$

Proof: For the basis case we have $y = \epsilon$. In this case, for $p \in Q$ and $x \in \Sigma^*$ we have

$$\begin{aligned}\hat{\delta}(p, xy) &= \hat{\delta}(p, x) \text{ since } y = \epsilon \\ &= \delta(\hat{\delta}(p, x), \epsilon) \text{ by definition of } \delta \\ &= \hat{\delta}(\hat{\delta}(p, x), y) \text{ by definition of } \hat{\delta}, y.\end{aligned}$$

For the induction hypothesis, we assume that $\hat{\delta}(p, xy) = \hat{\delta}(\hat{\delta}(p, x), y)$ for some string y .

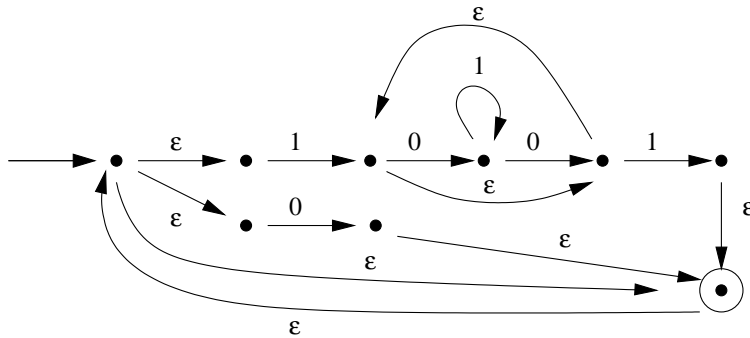
For the induction step, we have for any $a \in \Sigma$ that

$$\begin{aligned}\hat{\delta}(p, xya) &= \delta(\hat{\delta}(p, xy), a) \text{ by def of } \hat{\delta} \\ &= \delta(\hat{\delta}(\hat{\delta}(p, x), y), a) \text{ by induction hypothesis} \\ &= \delta(\hat{\delta}(q, y), a) \text{ where } q = \hat{\delta}(p, x) \\ &= \hat{\delta}(q, ya) \text{ by def of } \hat{\delta} \\ &= \hat{\delta}(\hat{\delta}(p, x), ya) \text{ by def of } q.\end{aligned}$$

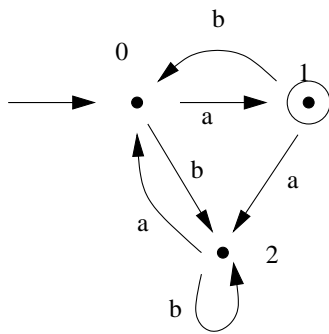
By induction, the claim is true for all strings y .

4. a. For the regular expression $\alpha = (0 + 1(01^*0)^*1)^*$, draw the transition diagram of an ϵ -NFA, N , so that $L(\alpha) = L(N)$.

Solution: There are many possible solutions. One is shown below, although it is not minimal.



- b. Convert the following DFA to a regular expression using the recursive formula obtained by successively removing states of the DFA. For your solution, remove state 1 for the first recursive step, then either determine the remaining terms by “eyeballing” or else continue the recursion by removing state 2.



Solution: Removing state 1, the recursive formula gives

$$\alpha_{0,1}^Q = \alpha_{0,1}^{Q-\{1\}} + \alpha_{0,1}^{Q-\{1\}}(\alpha_{1,1}^{Q-\{1\}})^*$$

Note that we can leave off the final term in this case since the destination state and the state removed are the same. An examination of the diagram gives

$$\alpha_{0,1}^Q = (bb^*a)^*a + (bb^*a)^*a(ab^*(abb^*)^*aa + b(bb^*a)^*a)^*$$

There are other ways to write each term, but the general form should be the same.

5. a. Show that the set $A = \{rr \mid r \in \{0,1\}^*\}$ is not regular.

Solution: The most common error in this problem was to try to use the pumping lemma on a string with only one symbol or a string of the form $(01)^k$. With a string of this form and the proper choice of v , you can pump as many v 's in as you like and stay in A .

To apply the contrapositive of the pumping lemma, first let $k \geq 0$, and choose $x = 1$, $y = 00^k$, $z = 100^k$. Then $xyz \in A$, and if $uvw = y$ with $|v| = m > 0$, then taking $i = 2$ we have

$$xuv^i wz = 10^{k+m+1}10^k,$$

and since $m > 0$, this string is not in A . Hence, A is not regular.

b. Let A be a regular set. Show that the set $R = \{x \mid xy \in A\}$ is a regular set.

Solution: Since A is regular, there is a DFA $M = (Q, \Sigma, \delta, s, F)$ with $L(M) = A$. Define a new DFA $N = (Q, \Sigma, \delta, s, F')$, where

$$F' = \{q \in Q \mid \exists y \in \Sigma^* \text{ so that } \hat{\delta}(q, y) \in F\}.$$

We need to show that $L(N) = R$. To do this, first suppose $x \in R$. Then there exists $y \in \Sigma^*$ so that $xy \in A$. By problem 3, we know that

$$\hat{\delta}(\hat{\delta}(s, x), y) = \hat{\delta}(s, xy),$$

which is contained in F by definition of accept for M . By the definition of F' , we see that $\hat{\delta}(s, x)$ is in F' , so by definition of accept, N accepts x , so $x \in L(N)$.

Next suppose $x \in L(N)$. Then $\hat{\delta}(s, x) \in F'$, so by definition of F' there exists $y \in \Sigma^*$ so that $\hat{\delta}(\hat{\delta}(s, x), y) \in F$, so again by problem 3 we have $\hat{\delta}(s, xy) \in F$, hence $xy \in A$. Thus $x \in R$. Since both subset relations hold, we have $R = L(N)$.