

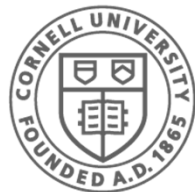


# Assemblers, Linkers, and Loaders

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**CS 3410**

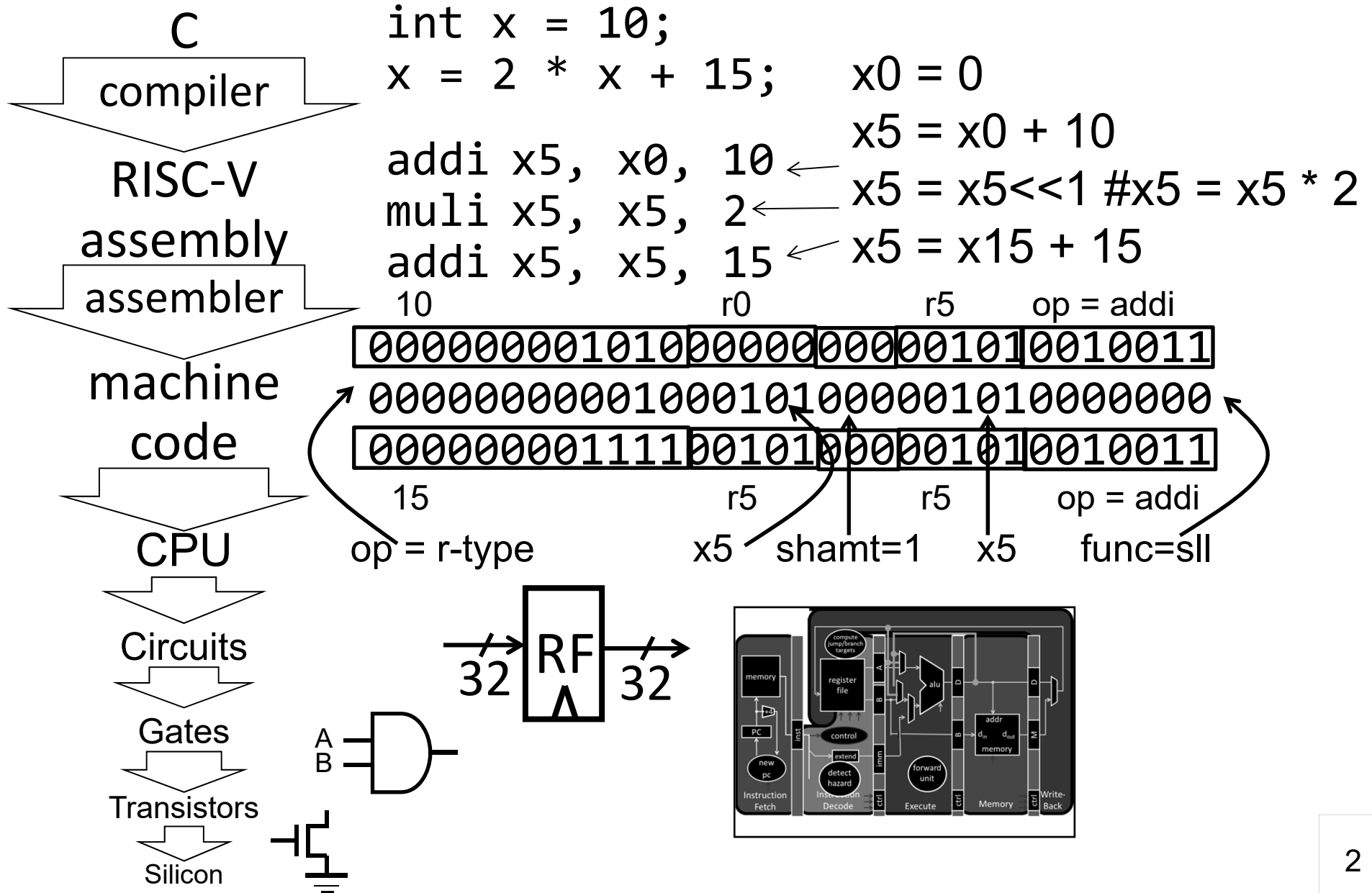
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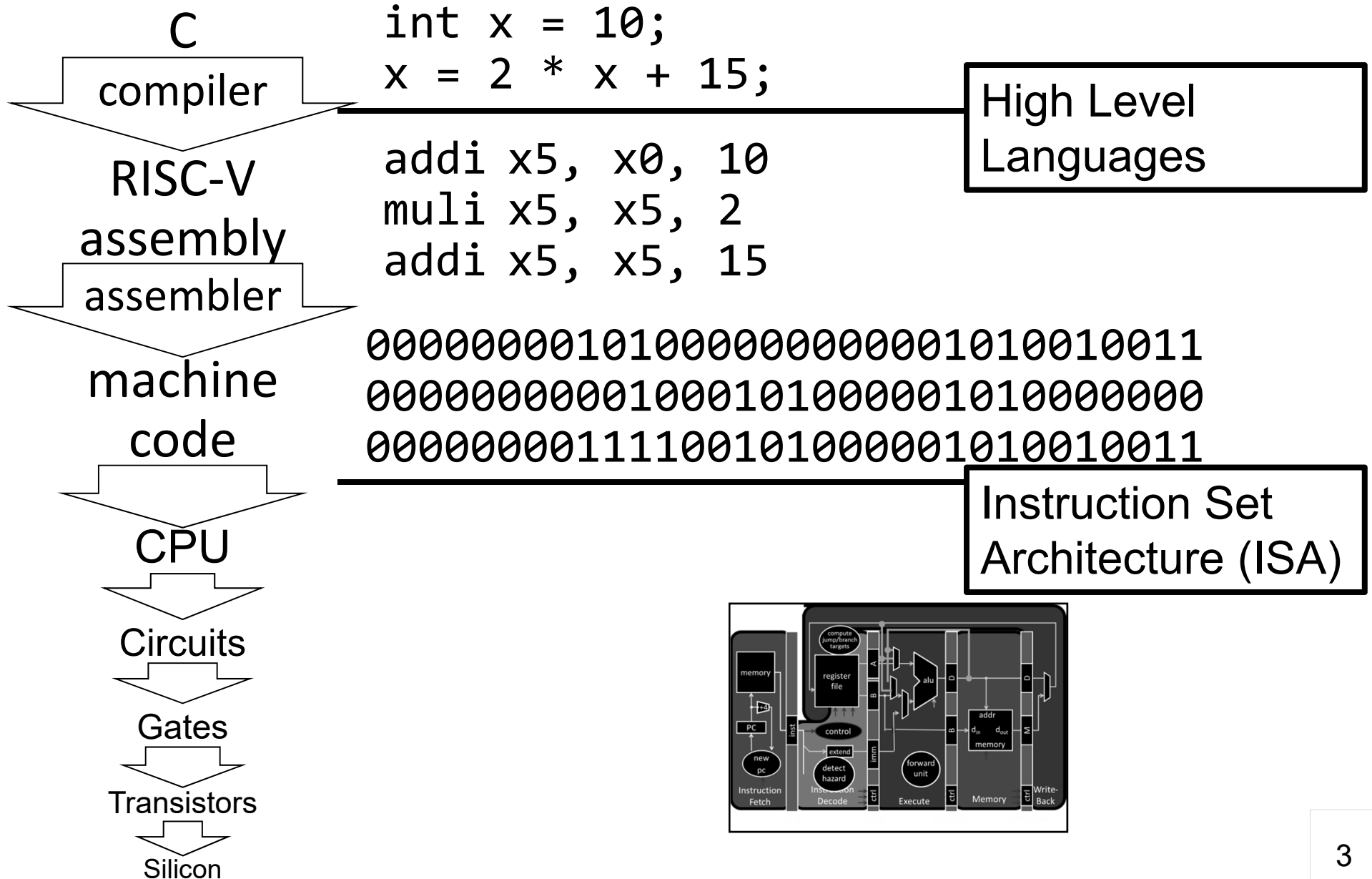
**Cornell CIS**  
COMPUTING AND INFORMATION SCIENCE

[Weatherspoon, Bala, Bracy, and Sirer]

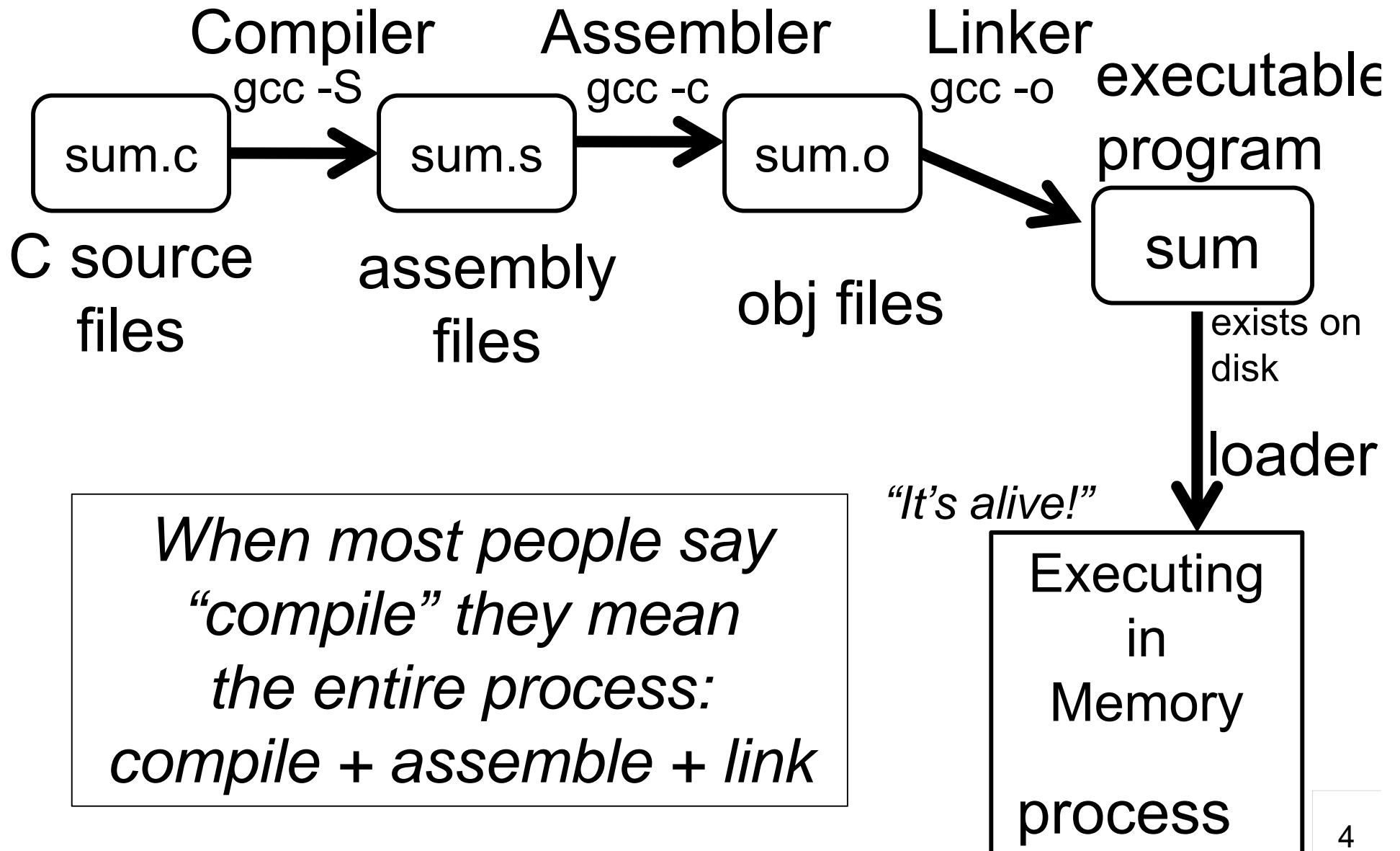
# Big Picture: Where are we going?



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# From Writing to Running



# Example: sum.c

- Compiler output is assembly files
- Assembler output is obj files
- Linker joins object files into one executable
- Loader brings it into memory and starts execution

# Example: sum.c

```
#include <stdio.h>

int n = 100;
int main (int argc, char* argv[ ]) {
    int i;
    int m = n;
    int sum = 0;

    for (i = 1; i <= m; i++) {
        sum += i;
    }
    printf ("Sum 1 to %d is %d\n", n, sum);
}
```

# Compiler

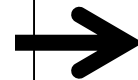
## Input: Code File (.c)

- Source code
- #includes, function declarations & definitions, global variables, *etc.*

## Output: Assembly File (RISC-V)

- RISC-V assembly instructions (.s file)

```
for (i = 1; i <= m; i++) {  
    sum += i;  
}
```



```
li    x2,1  
lw    x3,fp,28  
slt   x2,x3,x2
```

<b>sum.S (abridged)</b>		<b>\$L2:</b>	lw	\$a4, -20(\$fp)
			lw	\$a5, -28(\$fp)
			blt	\$a5, \$a4, \$L3
	<b>.globl n</b>			
	<b>.data</b>			
	<b>.type n, @object</b>		lw	\$a4, -24(\$fp)
<b>n:</b>	<b>.word 100</b>		lw	\$a5, -20(\$fp)
	<b>.rdata</b>		addu	\$a5, \$a4, \$a5
<b>\$str0:</b>	<b>.string "Sum 1 to %d is %d\n"</b>		sw	\$a5, -24(\$fp)
	<b>.text</b>		lw	\$a5, -20(\$fp)
	<b>.globl main</b>		addi	\$a5, \$a5, 1
	<b>.type main, @function</b>		sw	\$a5, -20(\$fp)
<b>main:</b>	<b>addiu \$sp, \$sp, -48</b>		sw	\$a5, -20(\$fp)
	<b>sw \$ra, 44(\$sp)</b>		j	<b>\$L2</b>
	<b>sw \$fp, 40(\$sp)</b>	<b>\$L3:</b>	la	<b>\$4, \$str0</b>
	<b>move \$fp, \$sp</b>		lw	\$a1, -28(\$fp)
	<b>sw \$a0, -36(\$fp)</b>		lw	\$a2, -24(\$fp)
	<b>sw \$a1, -40(\$fp)</b>		jal	printf
	<b>la \$a5, n</b>		li	\$a0, 0
	<b>lw \$a5, 0(\$a5)</b>		mv	\$sp, \$fp
	<b>sw \$a5, -28(\$fp)</b>		lw	\$ra, 44(\$sp)
	<b>sw \$0, -24(\$fp)</b>		lw	\$fp, 40(\$sp)
	<b>li \$a5, 1</b>		addiu	\$sp, \$sp, 48
	<b>sw \$a5, -20(\$fp)</b>		ir	\$ra

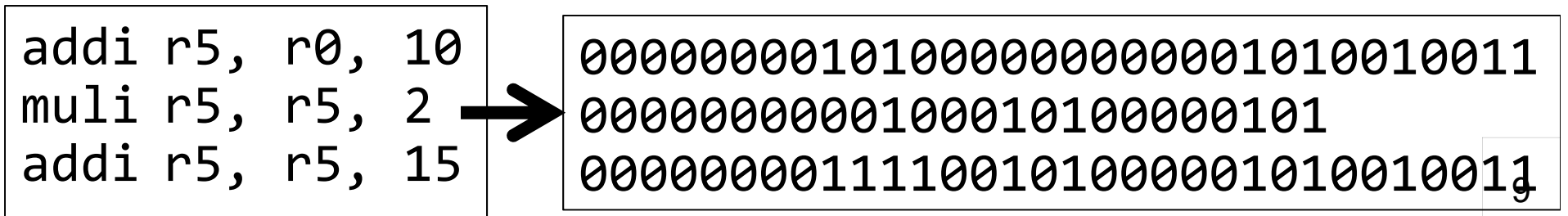


# Assembler

## Input: Assembly File (.s)

- assembly instructions, pseudo-instructions
- program data (strings, variables), layout directives

**Output:** Object File in binary machine code  
RISC-V instructions in executable form  
(.o file in Unix, .obj in Windows)



# RISC-V Assembly Instructions

## Arithmetic/Logical

- ADD, SUB, AND, OR, XOR, SLT, SLTU
- ADDI, ANDI, ORI, XORI, LUI, SLL, SRL, SLTI, SLTIU
- MUL, DIV

## Memory Access

- LW, LH, LB, LHU, LBU,
- SW, SH, SB

## Control flow

- BEQ, BNE, BLE, BLT, BGE
- JAL, JALR

## Special

- LR, SC, SCALL, SBREAK

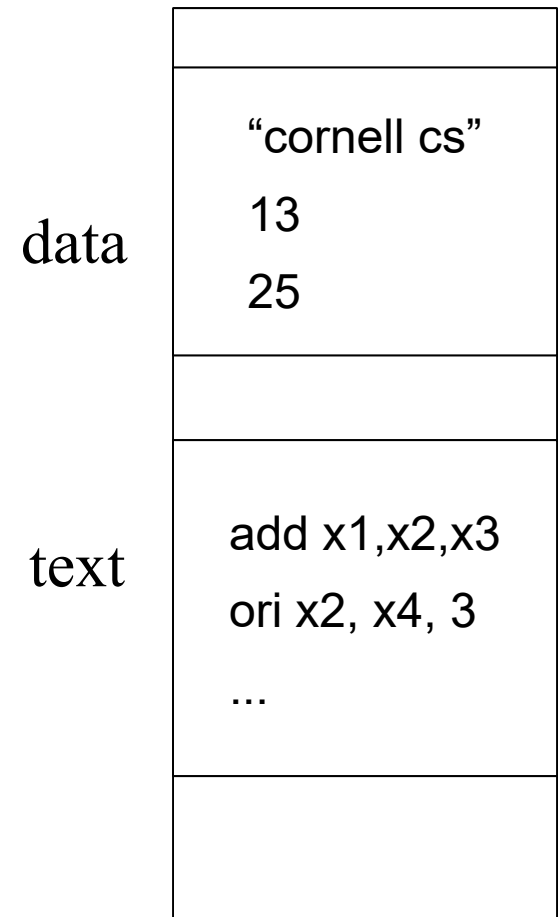
# Pseudo-Instructions

Assembly shorthand, technically not machine instructions, but easily converted into 1+ instructions that are

<u>Pseudo-Insns</u>	<u>Actual Insns</u>	<u>Functionality</u>
NOP	SLL x0, x0, 0	# do nothing
MOVE reg, reg	ADD r2, r0, r1	# copy between regs
LI reg, 0x45678	LUI reg, 0x4 ORI reg, reg, 0x5678	#load immediate
LA reg, label		# load address (32 bits)
B		# unconditional branch
BLT reg, reg, label	SLT r1, rA, rB BNE r1, r0, label	# branch less than
<i>+ a few more...</i>		

# Program Layout

- Programs consist of segments used for different purposes
  - Text: holds instructions
  - Data: holds statically allocated program data such as variables, strings, etc.



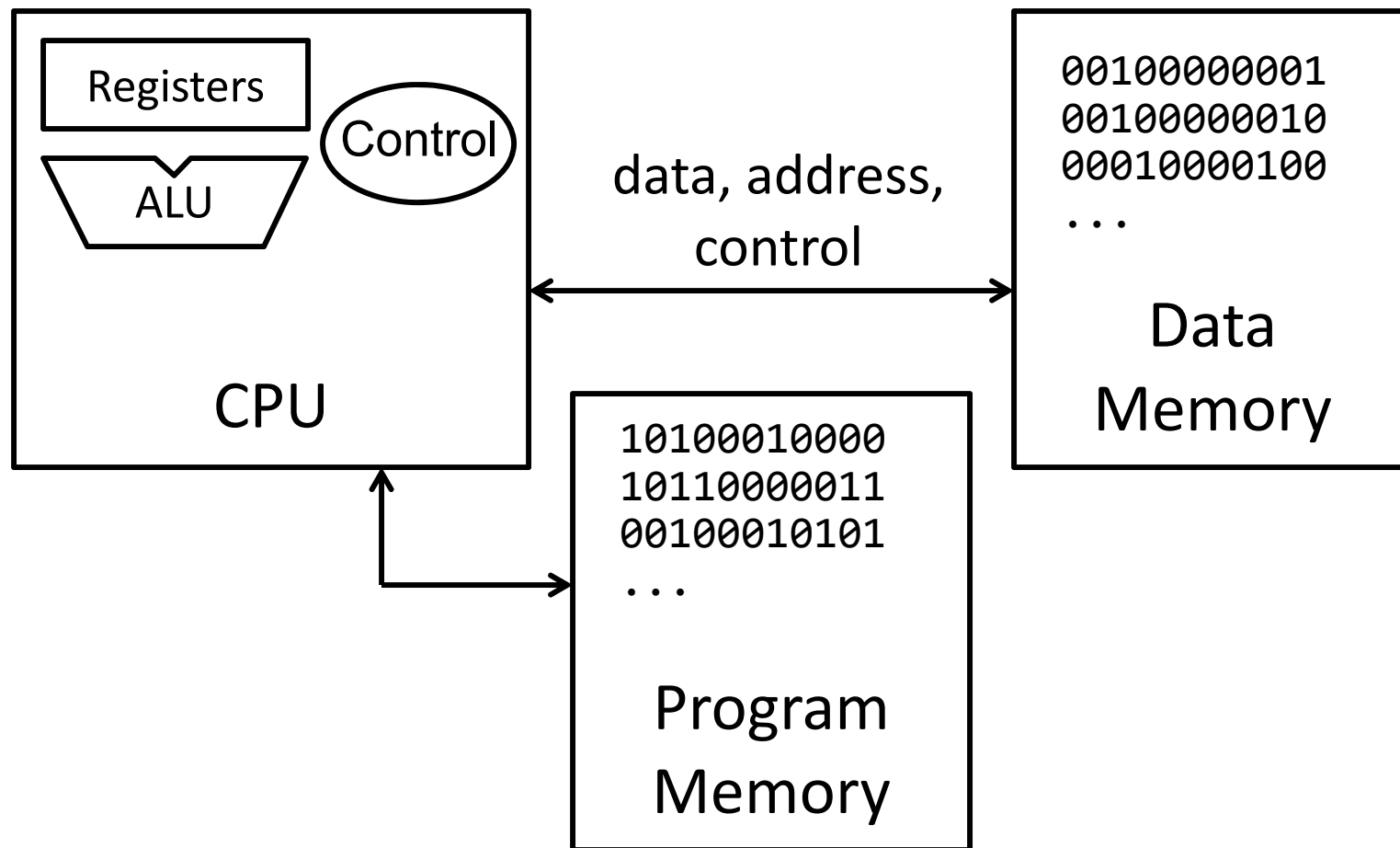
# Assembling Programs

```
.text  
.ent main  
main: la $4, Larray  
li $5, 15  
...  
li $4, 0  
jal exit  
.end main  
.data  
Larray:  
.long 51, 491, 3991
```

- Assembly files consist of a mix of
  - + instructions
  - + pseudo-instructions
  - + assembler (data/layout) directives (Assembler lays out binary values in memory based on directives)
- Assembled to an Object File
  - Header
  - Text Segment
  - Data Segment
  - Relocation Information
  - Symbol Table
  - Debugging Information

# Assembling Programs

- Assembly using a (modified) Harvard architecture
- Need segments since data and program stored together in memory



# Takeaway

- Assembly is a low-level task
  - Need to assemble assembly language into machine code binary. Requires
    - Assembly language instructions
    - *pseudo-instructions*
    - And Specify layout and data using *assembler directives*
- Today, we use a modified Harvard Architecture (Von Neumann architecture) that mixes data and instructions in memory
  - ... but kept in separate *segments*
  - ... and has separate caches

math.c

# Symbols and References

```
int pi = 3;
int e = 2;
static int randomval = 7;

extern int usrid;
extern int printf(char *str, ...);

int square(int x) { ... }
static int is_prime(int x) { ... }
int pick_prime() { ... }
int get_n() {
    return usrid;
}
```

*(extern == defined in another file)*

Global labels: Externally visible “exported” symbols

- Can be referenced from other object files
- Exported functions, global variables
- Examples: pi, e, usrid, printf, pick\_prime, pick\_random

Local labels: Internally visible only symbols

- Only used within this object file
- static functions, static variables, loop labels, ...
- Examples: randomval, is\_prime



# Handling forward references

## Example:

```
    bne x1, x2, L    Looking for L  
    sll x0, x0, 0  
L:  addi x2, x3, 0x2 Found L
```

The assembler will change this to

```
    bne x1, x2, +1  
    sll x0, x0, 0  
    addi x2, x3, 0x2
```

## Final machine code

```
0x14220001 # bne    actually: 000101...  
0x00000000 # sll    000000...  
0x24620002 # addiu   001001...
```

# Object file

Object File

## Header

- Size and position of pieces of file

## Text Segment

- instructions

## Data Segment

- static data (local/global vars, strings, constants)

## Debugging Information

- line number → code address map, *etc.*

## Symbol Table

- External (exported) references
- Unresolved (imported) references

# Object File Formats

## Unix

- a.out
- COFF: Common Object File Format
- ELF: Executable and Linking Format

## Windows

- PE: Portable Executable

All support both executable and object files

# Objdump disassembly

```
> mipsel-linux-objdump --disassemble math.o
```

Disassembly of section .text:

```
00000000 <get_n>:  
  0:  27bdfbf8  addiu  sp,sp,-8  
  4:  afbe0000  sw     s8,0(sp)  
  8:  03a0f021  move  s8,sp  
  c:  3c020000  lui   v0,0x0  
 10:  8c420008  lw    v0,8(v0)  
 14:  03c0e821  move  sp,s8  
 18:  8fbe0000  lw    s8,0(sp)  
 1c:  27bd0008  addiu sp,sp,8  
 20:  03e00008  jr    ra  
 24:  00000000  nop
```

```
elsewhere in another file: int usrid = 41;  
int get_n() {  
    return usrid;  
}
```

# Objdump symbols

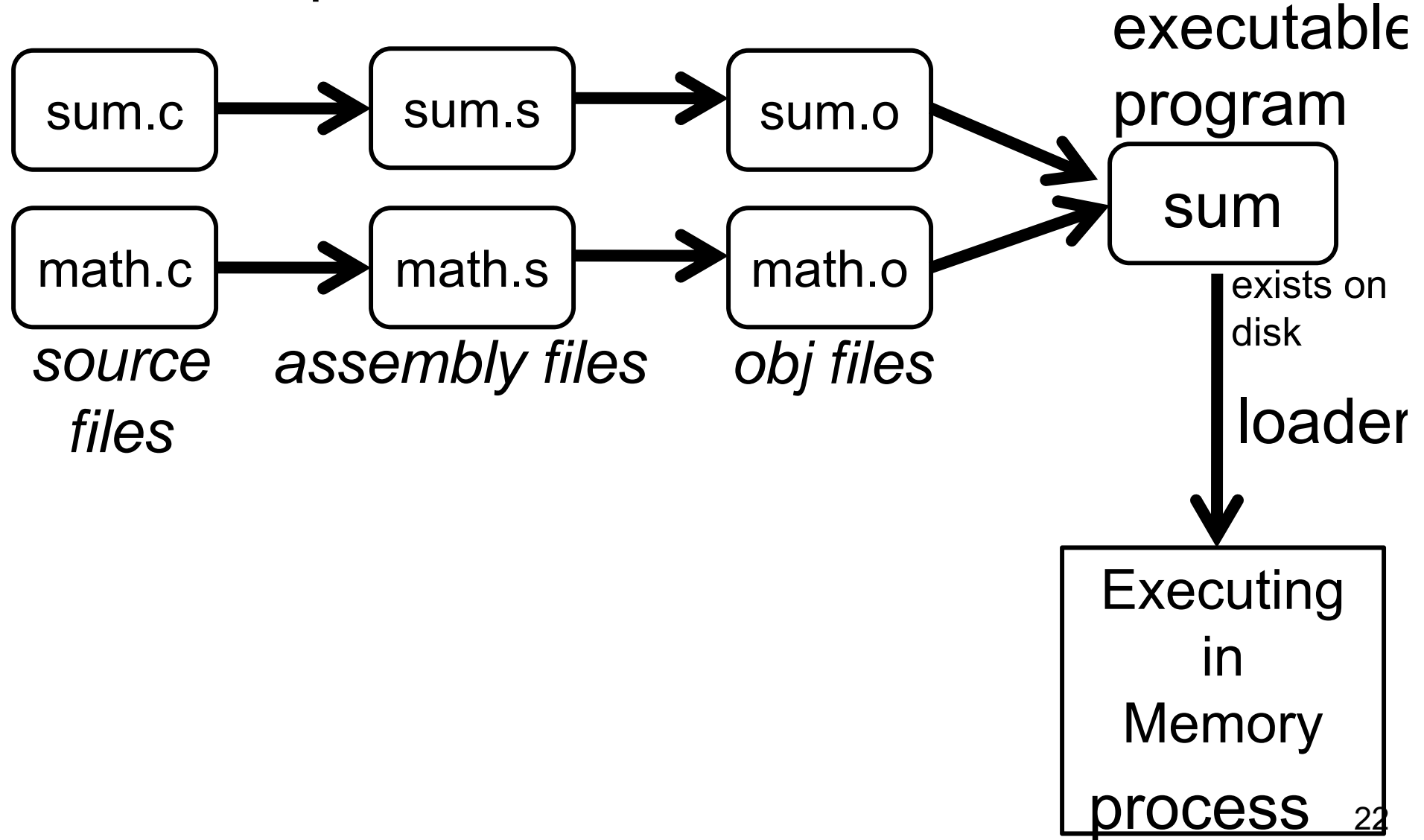
```
> mipsel-linux-objdump --syms math.o
```

[F]unction  
[O]bject  
[L]ocal  
[g]lobal

```
SYMBOL TABLE:      segment      size
00000000 l  df  *ABS*      00000000 math.c
00000000 l  d   .text      00000000 .text
00000000 l  d   .data      00000000 .data
00000000 l  d   .bss       00000000 .bss
00000008 l  O   .data      00000004 randomval
00000060 l  F   .text      00000028 is_prime
00000000 l  d   .rodata     00000000 .rodata
00000000 l  d   .comment    00000000 .comment
00000000 g  O   .data      00000004 pi
00000004 g  O   .data      00000004 e
00000000 g  F   .text      00000028 get_n
00000028 g  F   .text      00000038 square
00000088 g  F   .text      0000004c pick_prime
00000000      *UND*     00000000 usrid
00000000      *UND*     00000000 printf
```

# Separate Compilation & Assembly

Compiler      Assembler      Linker



# Linkers

Linker combines object files into an executable file

- Resolve as-yet-unresolved symbols
- Each has illusion of own address space
  - Relocate each object's text and data segments
- Record top-level entry point in executable file

End result: a program on disk, ready to execute

E.g.	./sum	Linux
	./sum.exe	Windows
	simulate sum	Class RISC-V simulator

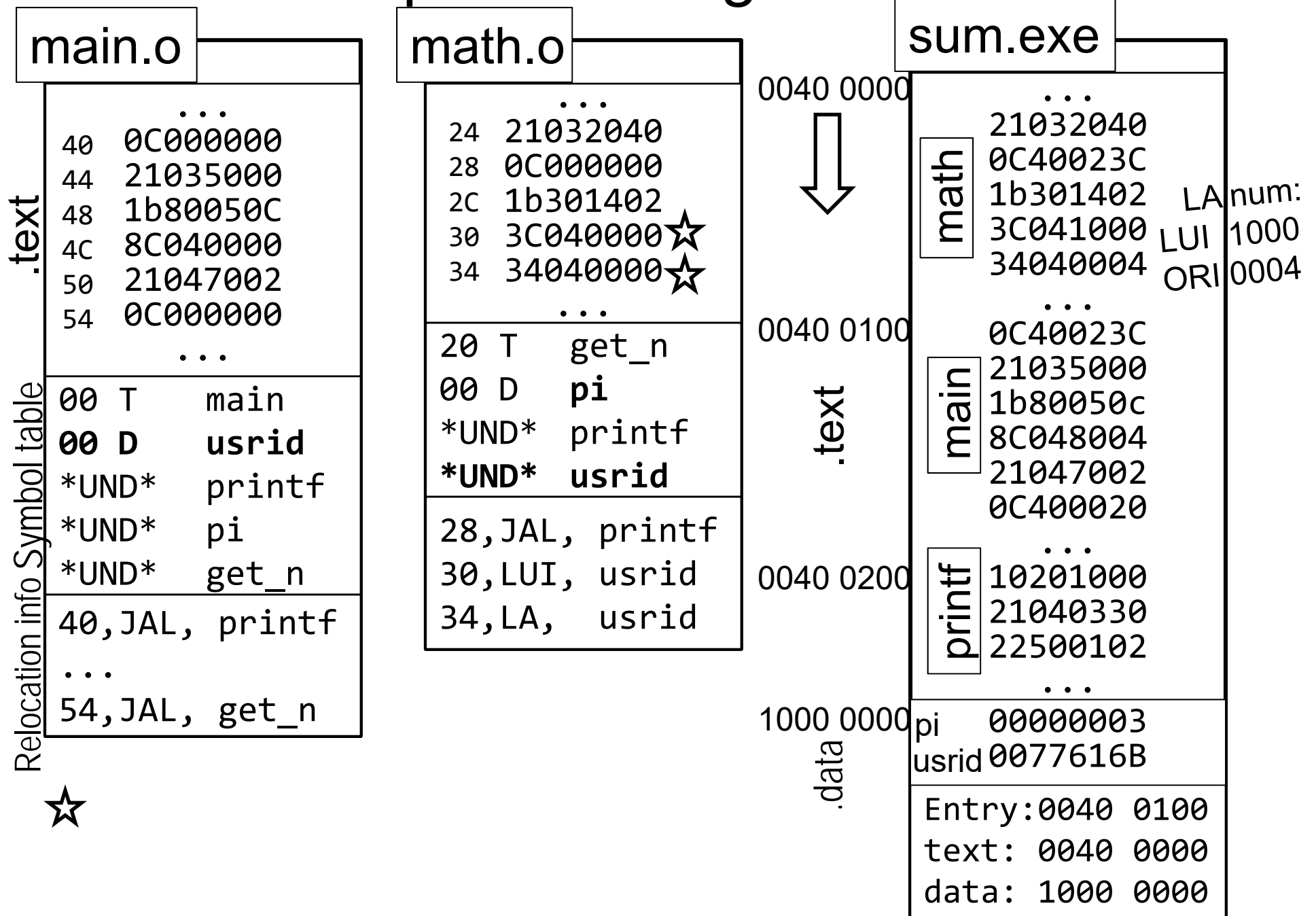
# Static Libraries

*Static Library*: Collection of object files  
(think: like a zip archive)

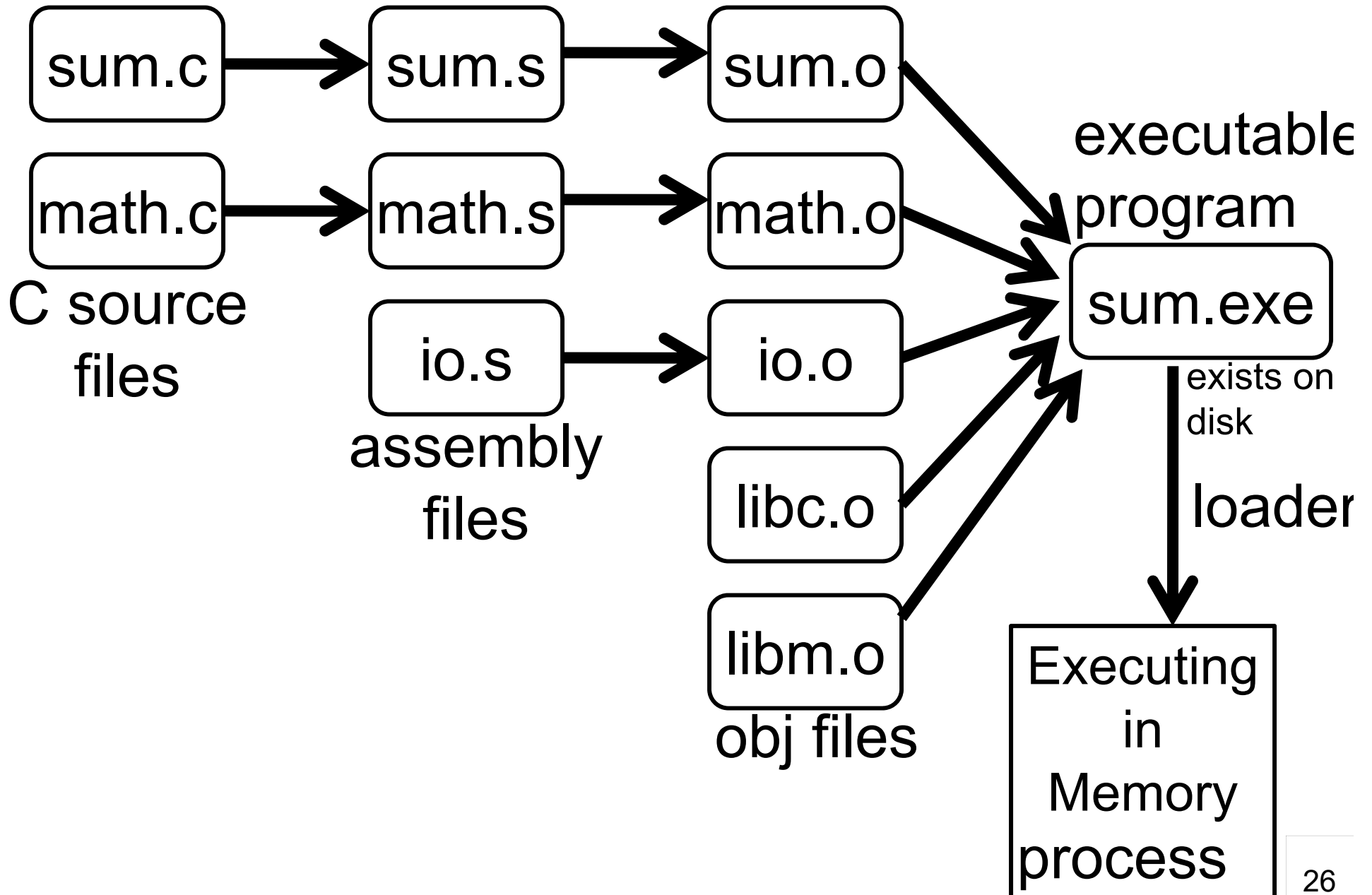
Q: Every program contains the entire library?!?



# Linker Example: Loading a Global Variable



# Compiler    Assembler    Linker



# Loaders

*Loader* reads executable from disk into memory

- Initializes registers, stack, arguments to first function
- Jumps to entry-point

Part of the Operating System (OS)

# Shared Libraries

Q: Every program contains parts of same library?!

# Static and Dynamic Linking

## Static linking

- Big executable files (all/most of needed libraries inside)
- Don't benefit from updates to library
- No load-time linking

## Dynamic linking

- Small executable files (just point to shared library)
- Library update benefits all programs that use it
- Load-time cost to do final linking
  - But dll code is probably already in memory
  - And can do the linking incrementally, on-demand

# Takeaway

Compiler produces assembly files

(contain RISC-V assembly, pseudo-instructions, directives, etc.)

Assembler produces object files

(contain RISC-V machine code, missing symbols, some layout information, etc.)

Linker joins object files into one executable file

(contains RISC-V machine code, no missing symbols, some layout information)

Loader puts program into memory, jumps to

1<sup>st</sup> insn, and starts executing a *process*  
(machine code)