

Syscalls, exceptions, and interrupts, ...oh my!

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The slides were originally created by Deniz ALTINBUKEN.

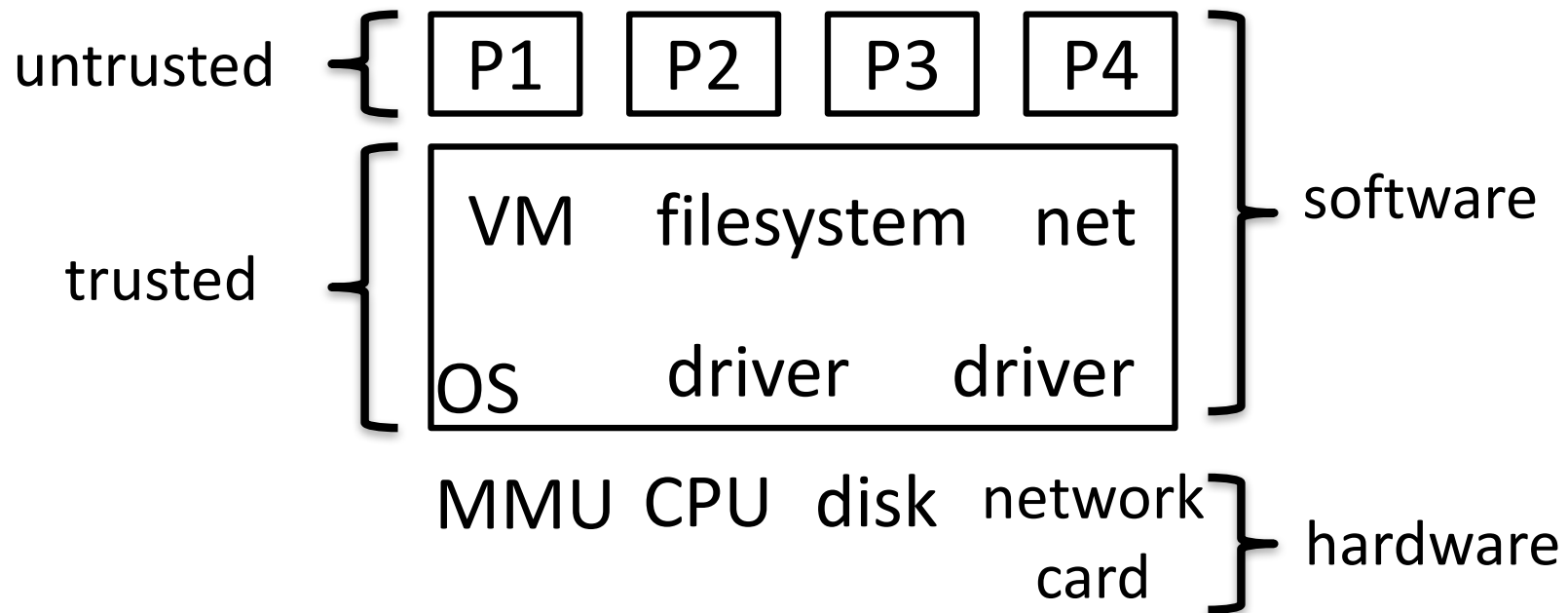
P&H Chapter 4.9, pages 445–452, appendix A.7

Operating System

- Manages all of the software and hardware on the computer
- Many processes running at the same time, requiring resources
 - CPU, Memory, Storage, *etc.*
- The Operating System multiplexes these resources amongst different processes, and isolates and protects processes from one another!

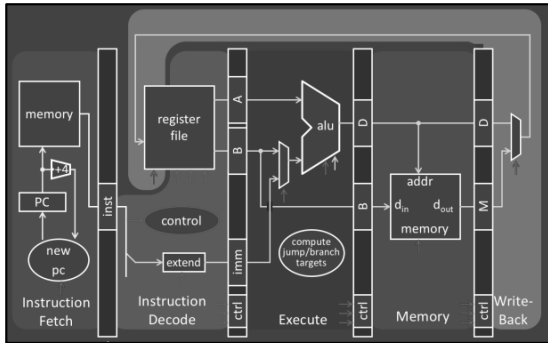
Operating System

- Operating System (OS) is a trusted mediator:
 - *Safe control transfer between processes*
 - *Isolation (memory, registers) of processes*



One Brain, Many Personalities

You are what you execute.



↑
Brain

Personalities:

hailstone_recursive

Microsoft Word

Minecraft

Linux ← *yes, this is just software like
every other program
that runs on the CPU*

Are they all equal?

Trusted vs. Untrusted

- Only trusted processes should access & change important things
 - Editing TLB, Page Tables, OS code, OS \$sp, OS \$fp...
- If an untrusted process could change the OS' \$sp/\$fp/\$gp/*etc.*, OS would crash!

Privileged Mode

CPU Mode Bit in Process Status Register

- Many bits about the current process
- Mode bit is just one of them
- Mode bit:
 - 0 = user mode = untrusted:
“Privileged” instructions and registers are disabled by CPU
 - 1 = kernel mode = trusted
All instructions and registers are enabled

Privileged Mode at Startup

1. Boot sequence

- load first sector of disk (containing OS code) to predetermined address in memory
- $\text{Mode} \leftarrow 1$; $\text{PC} \leftarrow \text{predetermined address}$

2. OS takes over

- initializes devices, MMU, timers, etc.
- loads programs from disk, sets up page tables, *etc.*
- $\text{Mode} \leftarrow 0$; $\text{PC} \leftarrow \text{program entry point}$
 - User programs regularly yield control back to OS

Users need access to resources

If an untrusted process does not have privileges to use system resources, how can it

- Use the screen to print?
- Send message on the network?
- Allocate pages?
- Schedule processes?

Solution: System Calls

System Call Examples

`putc()`: Print character to screen

- Need to multiplex screen between competing processes

`send()`: Send a packet on the network

- Need to manipulate the internals of a device

`sbrk()`: Allocate a page

- Needs to update page tables & MMU

`sleep()`: put current prog to sleep, wake other

- Need to update page table base register

System Calls

System call: **Not** just a function call

- Don't let process jump just anywhere in OS code
- OS can't trust process' registers (sp, fp, gp, etc.)

SYSCALL instruction: safe control transfer to OS

MIPS system call convention:

- Exception handler saves temp regs, saves ra, ...
- \$v0 = system call number, which specifies the operation the application is requesting

Libraries and Wrappers

Compilers do not emit SYSCALL instructions

- Compiler doesn't know OS interface

Libraries implement standard API from system API

libc (standard C library):

- `gets()` → `getc()`
- `getc()` → `syscall`
- `sbrk()` → `syscall`
- `printf()` → `write()`
- `write()` → `syscall`
- `malloc()` → `sbrk()`
- ...

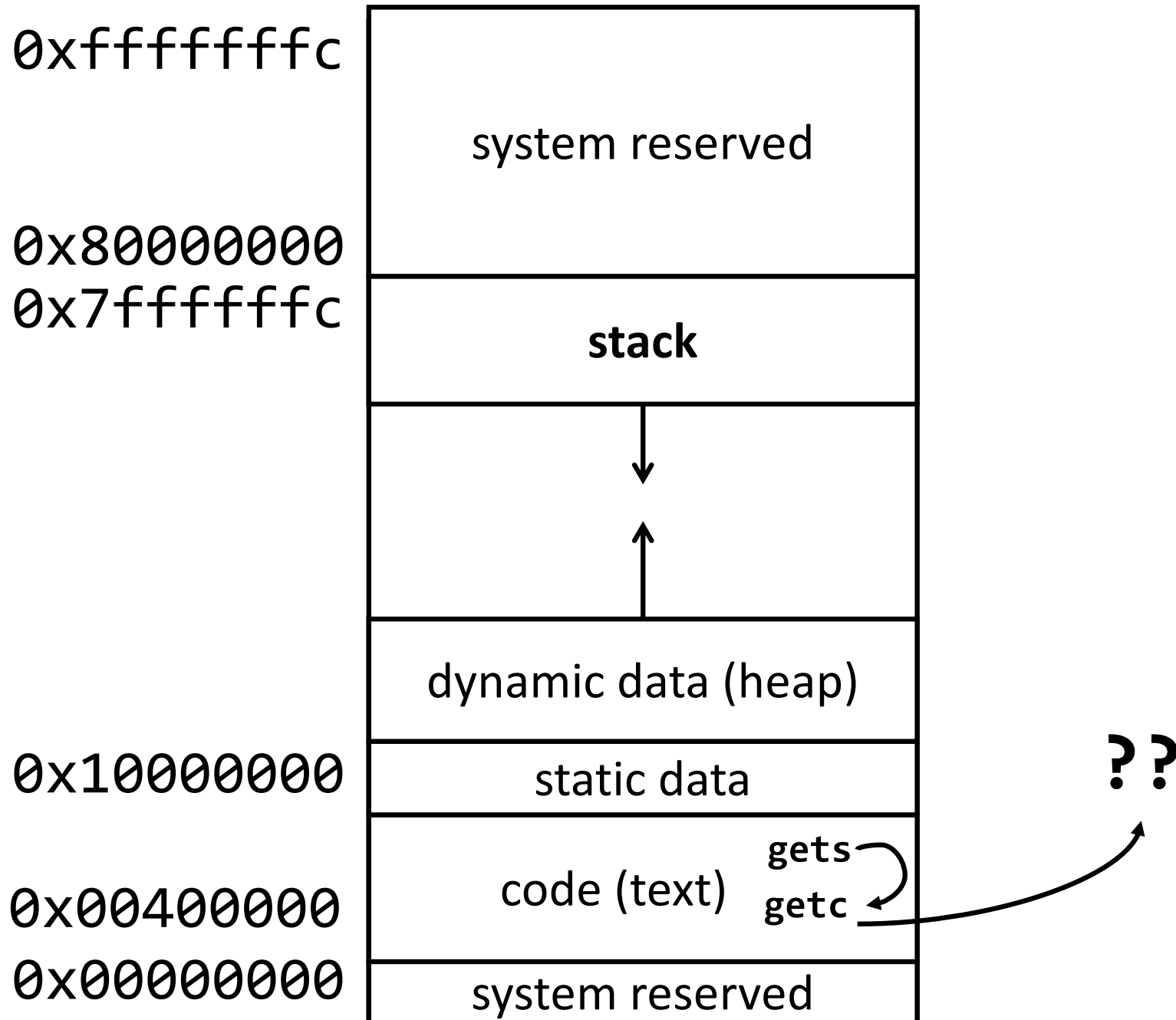
Invoking System Calls

```
char *gets(char *buf) {  
    while (...) {  
        buf[i] = getc();  
    }  
}
```

```
int getc() {  
    asm("addiu $v0, $0, 4");  
    asm("syscall");  
}
```

4 is number
for getc
syscall

Anatomy of a Process, v1



Where does the OS live?

In its own address space?

- Syscall has to switch to a different address space
 - Hard to support syscall arguments passed as pointers
- ... So, NOPE

In the same address space as the user process?

- Protection bits prevent user code from writing kernel
- Higher part of virtual memory
- Lower part of physical memory

... Yes, *this is how we do it.*

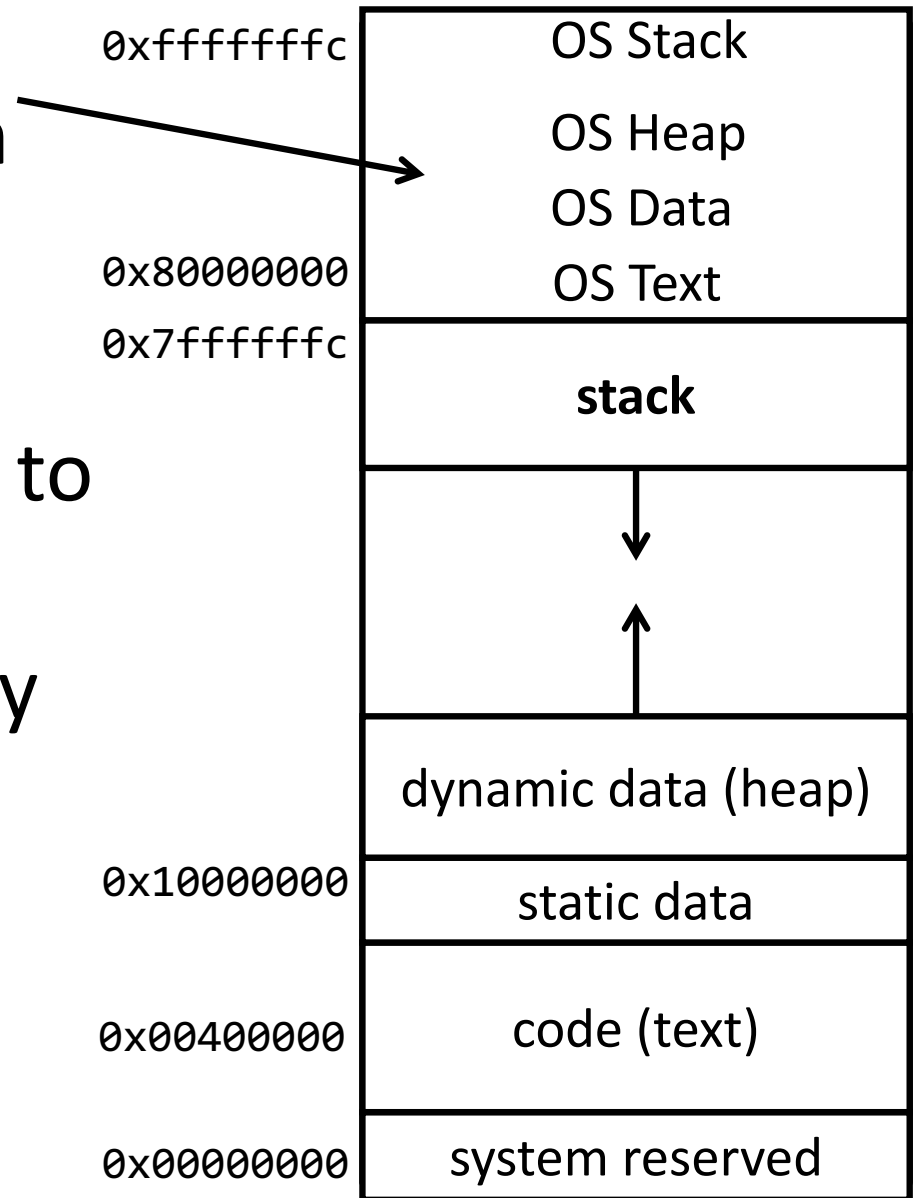
Full System Layout

All kernel text & most data:

- At same virtual address in every address space

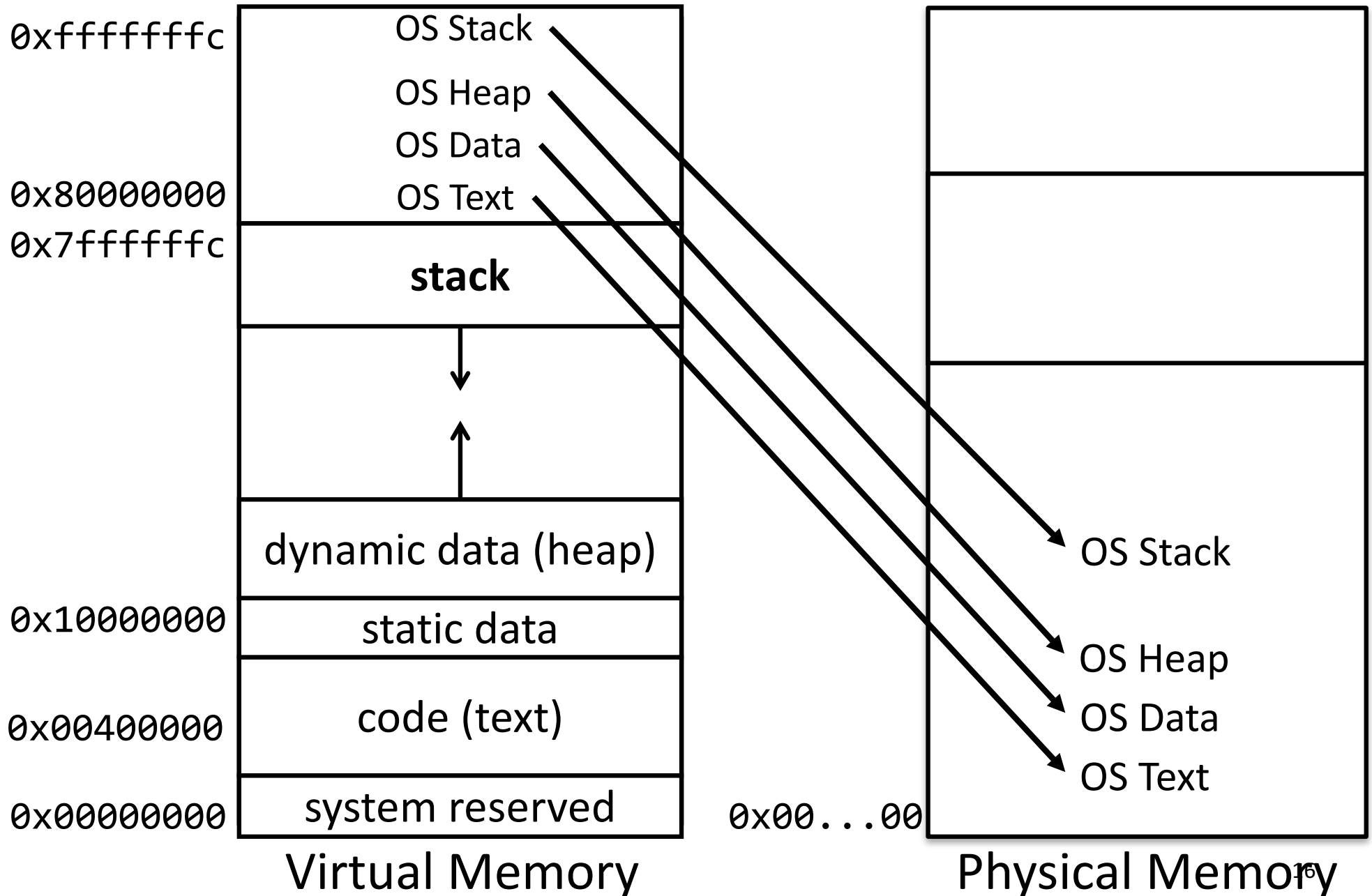
OS is omnipresent, available to help user-level applications

- Typically in high memory

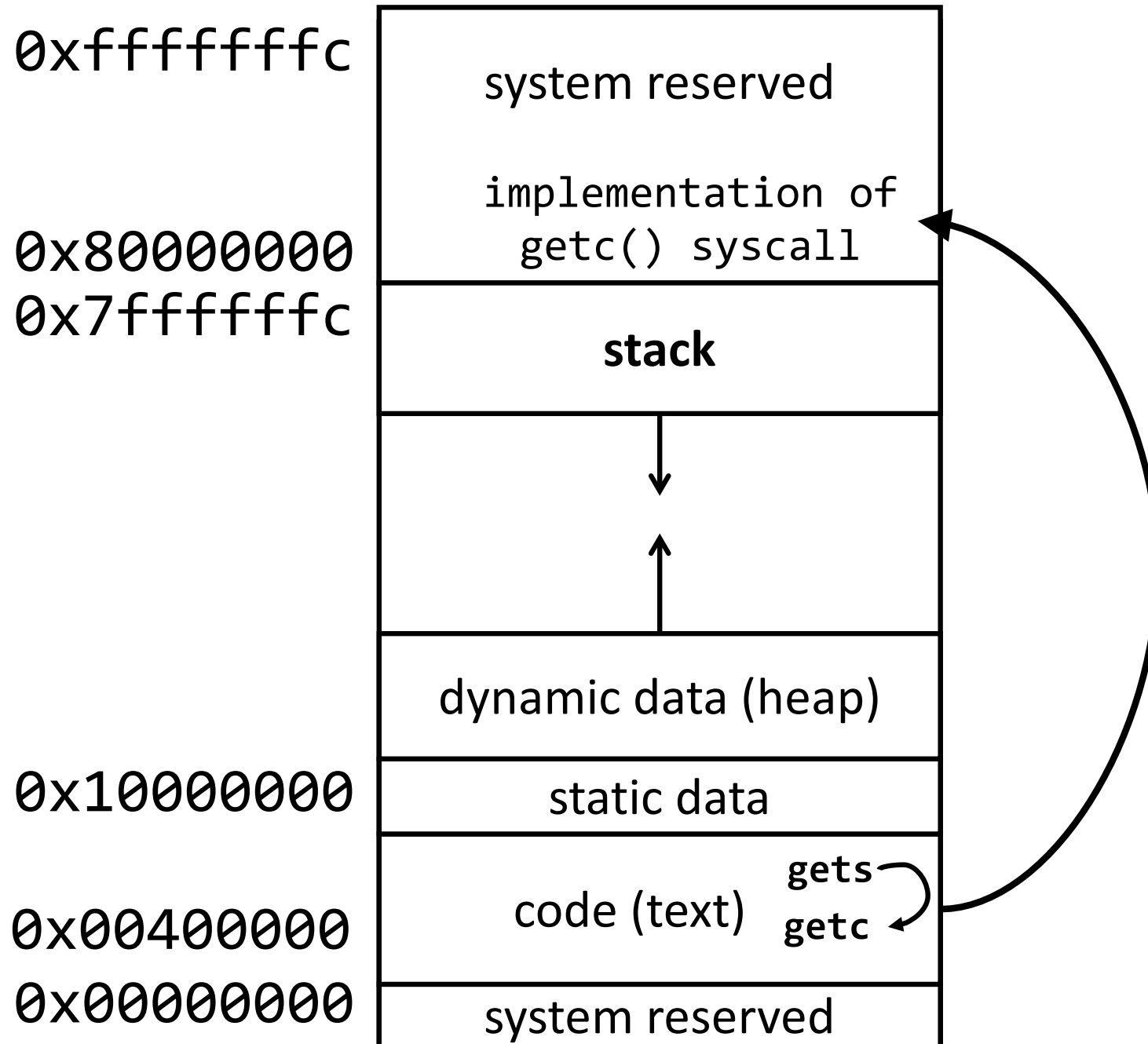


Virtual Memory¹⁵

Full System Layout



Anatomy of a Process, v2



Clicker Question

Which statement is FALSE?

- A) OS manages the CPU, Memory, Devices, and Storage.
- B) OS provides a consistent API to be used by other processes.
- C) The OS kernel is always present on Disk.
- D) The OS kernel is always present in Memory.
- E) Any process can fetch and execute OS code in user mode.

Inside the SYSCALL instruction

SYSCALL instruction does an atomic jump to a controlled location (i.e. MIPS 0x8000 0180)

- Switches the sp to the kernel stack
- Saves the old (user) SP value
- Saves the old (user) PC value (= return address)
- Saves the old privilege mode
- Sets the new privilege mode to 1
- Sets the new PC to the kernel syscall handler

Inside the SYSCALL implementation

Kernel system call handler carries out the desired system call

- Saves callee-save registers
- Examines the syscall number
- Checks arguments for sanity
- Performs operation
- Stores result in v0
- Restores callee-save registers
- Performs a “return from syscall” (ERET) instruction, which restores the privilege mode, SP and PC

Exceptional Control Flow

Anything that *isn't* a user program executing its own user-level instructions.

System Calls:

- just one type of exceptional control flow
- Process requesting a service from the OS
- Intentional – *it's in the executable!*

Software Exceptions

```
graph TD; A[Software Exceptions] --> B[Trap]; A --> C[Fault]; A --> D[Abort];
```

Trap

Intentional

Examples:

System call

(OS performs service)

Breakpoint traps

Privileged instructions

Fault

Unintentional but

Possibly recoverable

Examples:

Division by zero

Page fault

Abort

Unintentional

Not recoverable

Examples:

Parity error

*One of **many** ontology / terminology trees.*

Hardware support for exceptions

Exception program counter (EPC)

- 32-bit register, holds addr of affected instruction
- Syscall case: Address of SYSCALL

Cause register

- Register to hold the cause of the exception
- Syscall case: 8, Sys

Special instructions to load TLB

- Only do-able by kernel

Precise Exceptions

Hardware guarantees

- Previous instructions complete
- Later instructions are flushed
- EPC and cause register are set
- Jump to prearranged address in OS
- When you come back, restart instruction
- Disable exceptions while responding to one
 - Otherwise can overwrite EPC and cause

Exceptional Control Flow

AKA Exceptions

Hardware interrupts

Asynchronous

= caused by events
external to CPU

Software exceptions

Synchronous

= caused by CPU
executing an instruction

Maskable

Can be turned off by CPU

Example: alert from network device
that a packet just arrived, clock
notifying CPU of clock tick

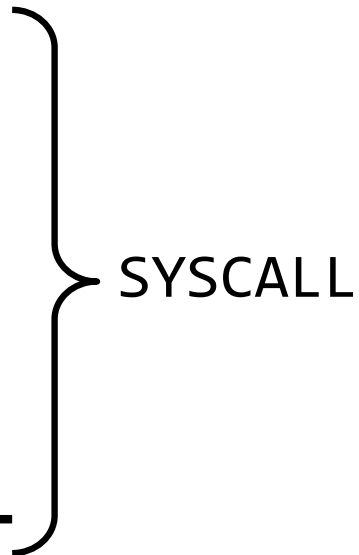
Unmaskable

Cannot be ignored

Example: alert from the
power supply that electricity
is about to go out

Interrupts & Unanticipated Exceptions

No SYSCALL instruction. Hardware steps in:

- Saves PC of exception instruction (EPC)
 - Saves cause of the interrupt/privilege (Cause register)
 - Switches the sp to the kernel stack
 - Saves the old (user) SP value
 - Saves the old (user) PC value
 - Saves the old privilege mode
 - Sets the new privilege mode to 1
 - Sets the new PC to the kernel ~~syscall handler~~ interrupt/exception handler
- 
- SYSCALL

Inside Interrupts & Unanticipated Exceptions

interrupt/exception handler handles event
~~Kernel system call handler carries out system call~~
all

- ~~Saves callee save~~ registers
- Examines the ~~syscall number~~ cause
- ~~Checks arguments for sanity~~
- Performs operation
- ~~Stores result in v0~~ all
- Restores ~~callee save~~ registers
- Performs a ERET instruction (restores the privilege mode, SP and PC)

Clicker Question

What other task requires both Hardware and Software?

- A) Virtual to Physical Address Translation
- B) Branching and Jumping
- C) Clearing the contents of a register
- D) Pipelining instructions in the CPU
- E) What are we even talking about?

Address Translation: HW/SW Division of Labor

Virtual → physical address translation!

Hardware

- has a concept of operating in physical or virtual mode
- helps manage the TLB
- raises page faults
- keeps Page Table Base Register (PTBR) and ProcessID

Software/OS

- manages Page Table storage
- handles Page Faults
- updates Dirty and Reference bits in the Page Tables
- keeps TLB valid on context switch:
 - Flush TLB when new process runs (x86)
 - Store process id (MIPS)

Demand Paging on MIPS

1. TLB miss
2. Trap to kernel
3. Walk Page Table
4. Find page is invalid
5. Convert virtual address to file + offset
6. Allocate page frame
 - Evict page if needed
7. Initiate disk block read into page frame
8. Disk interrupt when DMA complete
9. Mark page as valid
10. Load TLB entry
11. Resume process at faulting instruction
12. Execute instruction