# Parallelism, Multicore, and Synchronization

Anne Bracy
CS 3410

Computer Science
Cornell University

The slides are the product of many rounds of teaching CS 3410 by Professors Weatherspoon, Bala, Bracy, McKee, and Sirer. Also some slides from Amir Roth & Milo Martin in here.

P & H Chapter 4.10, 1.7, 1.8, 5.10, 6

## Performance Improvement 101

- 2 Classic Goals of Architects:
- ↓ Clock period (↑ Clock frequency)
- ↓ Cycles per Instruction (↑ IPC)

## Clock frequencies have stalled

Darling of performance improvement for decades

Why is this no longer the strategy? Hitting Limits:

- Pipeline depth
- Clock frequency
- Moore's Law & Technology Scaling
- Power

## Improving IPC via ILP

Exploiting Intra-instruction parallelism:

Pipelining (decode A while fetching B)

Exploiting Instruction Level Parallelism (ILP):

Multiple issue pipeline (2-wide, 4-wide, etc.)

- Statically detected by compiler (VLIW)
- Dynamically detected by HW

Dynamically Scheduled (OoO)

## Static Multiple Issue

a.k.a. Very Long Instruction Word (VLIW)

Compiler groups instructions to be issued together

Packages them into "issue slots"

How does HW detect and resolve hazards?

It doesn't. © Compiler must avoid hazards

Example: Static Dual-Issue 32-bit MIPS

- Instructions come in pairs (64-bit aligned)
  - One ALU/branch instruction (or nop)
  - One load/store instruction (or nop)

#### MIPS with Static Dual Issue

#### Two-issue packets

- One ALU/branch instruction
- One load/store instruction
- 64-bit aligned
  - ALU/branch, then load/store
  - Pad an unused instruction with nop

Address	Instruction type	Pipeline Stages						
n	ALU/branch	IF	ID	EX	MEM	WB		
n + 4	Load/store	IF	ID	EX	MEM	WB		
n + 8	ALU/branch		IF	ID	EX	MEM	WB	
n + 12	Load/store		IF	ID	EX	MEM	WB	
n + 16	ALU/branch			IF	ID	EX	MEM	WB
n + 20	Load/store			IF	ID	EX	MEM	WB

## Scheduling Example

#### Schedule this for dual-issue MIPS

```
Loop: lw $t0, 0($s1) # $t0=array element addu $t0, $t0, $s2 # add scalar in $s2 sw $t0, 0($s1) # store result addi $s1, $s1,-4 # decrement pointer bne $s1, $zero, Loop # branch $s1!=0
```

	ALU/branch	Load/store	cycle
Loop:	nop	lw \$t0, 0(\$s1)	1
	addi \$s1, \$s1,-4	nop	2
	addu \$t0, \$t0, \$s2	nop	3
	bne \$s1, \$zero, Loop	sw \$t0, 4(\$s1)	4

Clicker Question: What is the IPC of this machine?

(A) 0.8 (B) 1.0 (C) 1.25 (D) 1.5 (E) 2.0

## Techniques and Limits of Static Scheduling

Goal: larger instruction windows (to play with)

- Predication
- Loop unrolling
- Function in-lining
- Basic block modifications (superblocks, etc.)

#### Roadblocks

- Memory dependences (aliasing)
- Control dependences

## Improving IPC via ILP

Exploiting Intra-instruction parallelism:

Pipelining (decode A while fetching B)

Exploiting Instruction Level Parallelism (ILP):

Multiple issue pipeline (2-wide, 4-wide, etc.)

- Statically detected by compiler (VLIW)
- Dynamically detected by HW

Dynamically Scheduled (OoO)

## Dynamic Multiple Issue

#### aka SuperScalar Processor (c.f. Intel)

- CPU chooses multiple instructions to issue each cycle
- Compiler can help, by reordering instructions....
- ... but CPU resolves hazards

#### Even better: Speculation/Out-of-order Execution

- Execute instructions as early as possible
- Aggressive register renaming (indirection to the rescue!)
- Guess results of branches, loads, etc.
- Roll back if guesses were wrong
- Don't commit results until all previous insns committed

## **Effectiveness of OoO Superscalar**

## It was awesome, but then it stopped improving Limiting factors?

- Programs dependencies
- Memory dependence detection 

   be conservative
  - e.g. Pointer Aliasing: A[0] += 1; B[0] \*= 2;
- Hard to expose parallelism
  - Still limited by the fetch stream of the static program
- Structural limits
  - Memory delays and limited bandwidth
- Hard to keep pipelines full, especially with branches

## Improving IPC via DER TLP

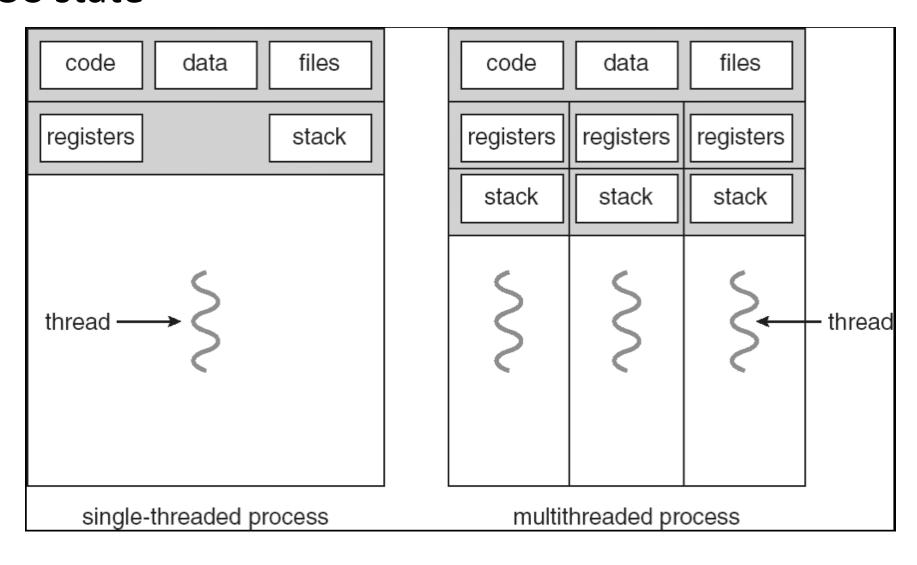
**Exploiting Thread-Level parallelism** 

Hardware multithreading to improve utilization:

- Multiplexing multiple threads on single CPU
- Sacrifices latency for throughput
- Single thread cannot fully utilize CPU? Try more!
- Three types:
  - Course-grain (has preferred thread)
  - Fine-grain (round robin between threads)
  - Simultaneous (hyperthreading)

## What is a thread?

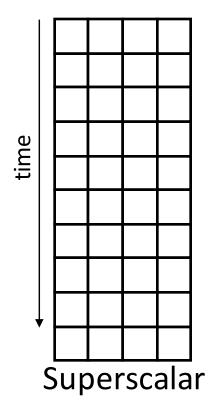
Process includes multiple threads, code, data and OS state

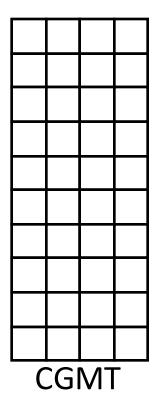


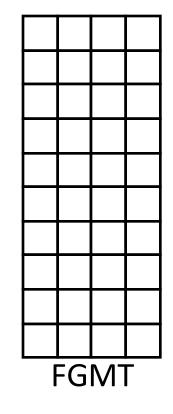
## Standard Multithreading Picture

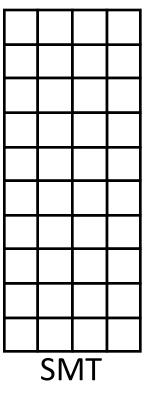
Time evolution of issue slots

Color = thread









Switch to thread B on thread A L2 miss

Switch threads every cycle Insns from multiple threads coexist

## **Power Efficiency**

CPU	Year	Clock Rate	Pipeline Stages	Issue width	Out-of-order/ Speculation	Cores	Power
i486	1989	25MHz <b>↑</b>	5	1	No	1	(5W)
Pentium	1993	66MHz	5	2	No	1	10W
Pentium Pro	1997	200MHz	10	3	Yes	1	29W
P4 Willamette	2001	2000MHz	22	3	Yes	1	75W
UltraSparc III	2003	1950MHz	14	4	No	1	90W
P4 Prescott	2004	3600MHz `	(31)	3	Yes	1	(103W)
Core	2006	2930MHz	14	4	Yes	2	75W
Core i5 Nehal	2010	3300MHz	14	4	Yes	1	87W
Core i5 Ivy Br	2012	3400MHz	14	4	Yes	8	77W
UltraSparc T1	2005	1200MHz	6	1	No	8	70W

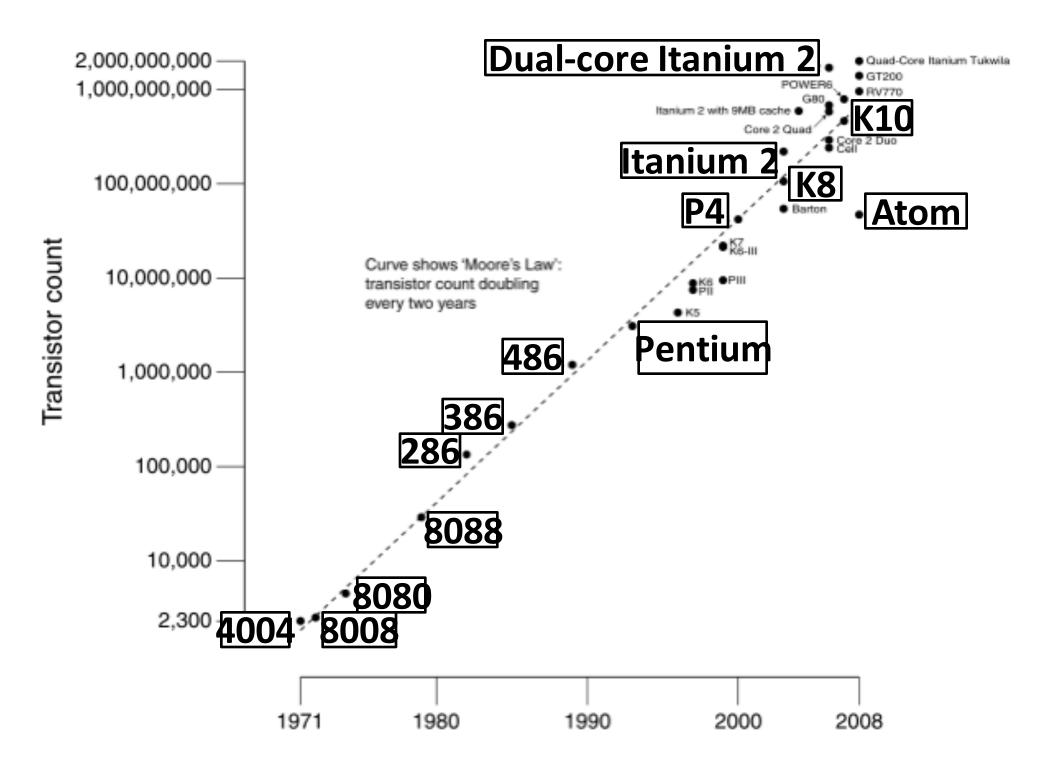
Those simpler cores did something very right.

## Why Multicore?

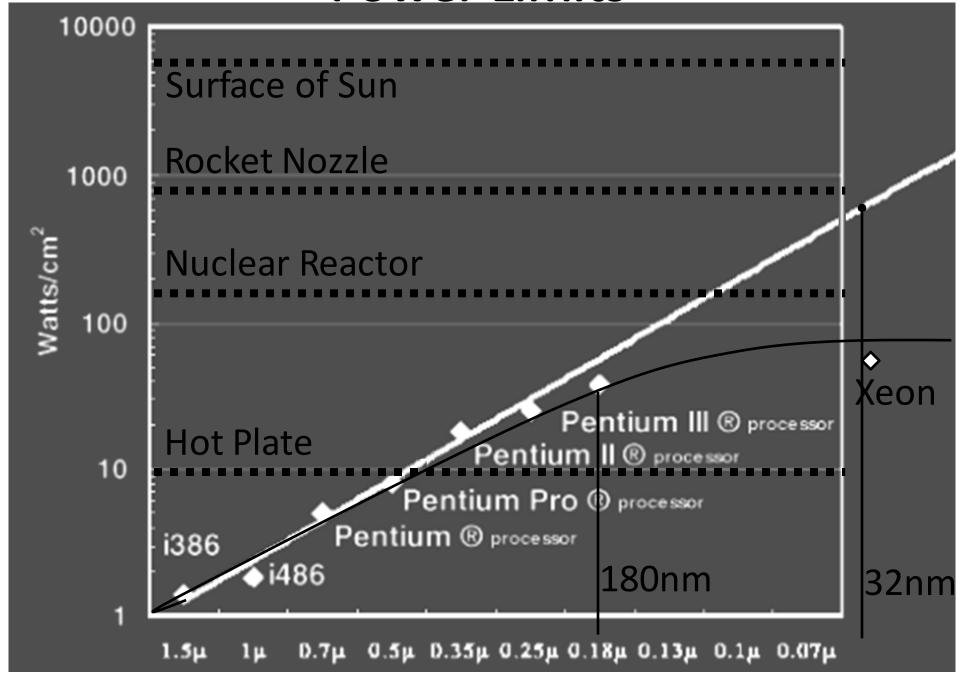
#### Moore's law

- A law about transistors
- Smaller means more transistors per die
- And smaller means faster too

But: Power consumption growing too...



#### **Power Limits**



#### **Power Wall**

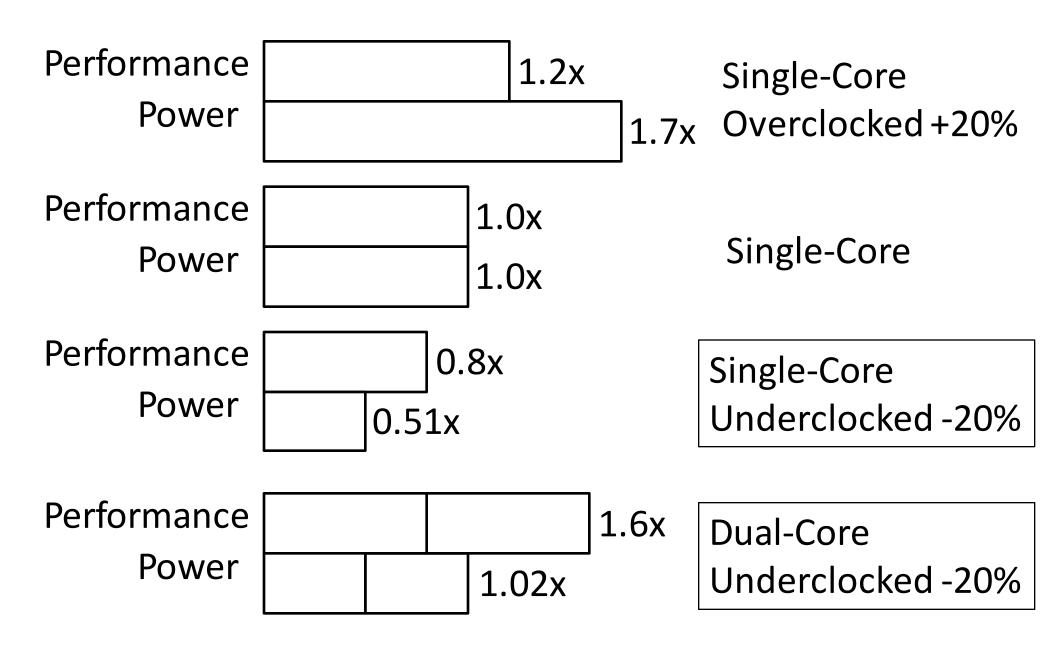
Power = capacitance \* voltage<sup>2</sup> \* (frequency)
In practice: Power ~ voltage<sup>3</sup>
Lower Frequency

Reducing voltage helps (a lot)
... so does reducing clock speed
Better cooling helps

#### The power wall

- We can't reduce voltage further
- We can't remove more heat

## Why Multicore?



## **Parallel Programming**

Q: So lets just all use multicore from now on!

A: Software must be written as parallel program

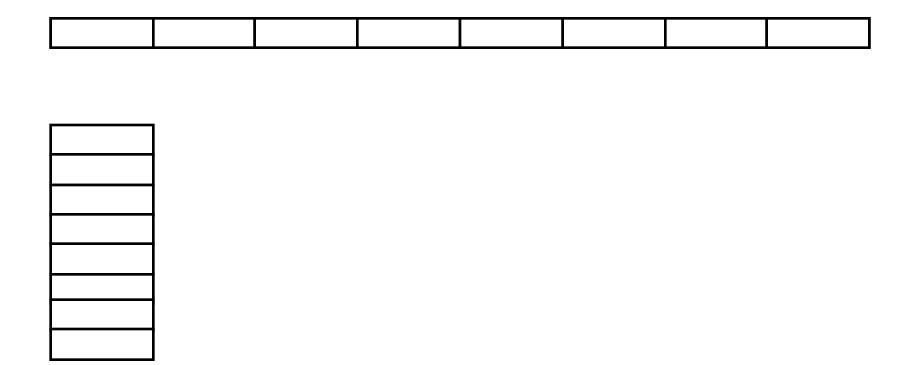
#### Multicore difficulties

- Partitioning work
- Coordination & synchronization
- Communications overhead
- How do you write parallel programs?

... without knowing exact underlying architecture?

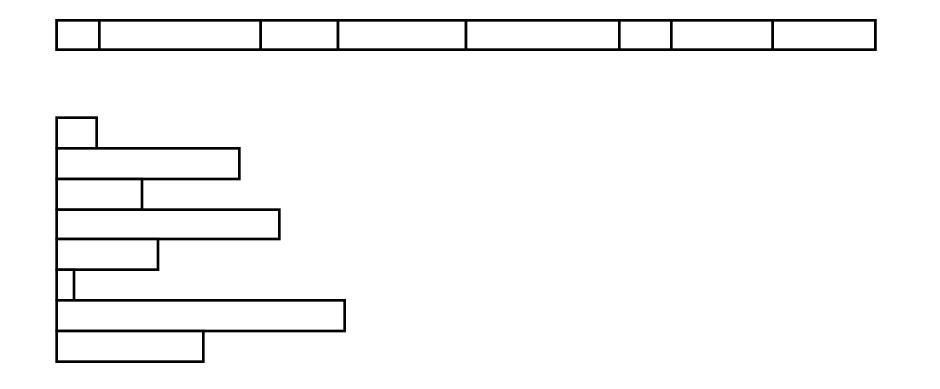
## **Work Partitioning**

Partition work so all cores have something to do



## **Load Balancing**

Need to partition so all cores are actually working



#### Amdahl's Law

If tasks have a serial part and a parallel part... Example:

step 1: divide input data into *n* pieces

step 2: do work on each piece

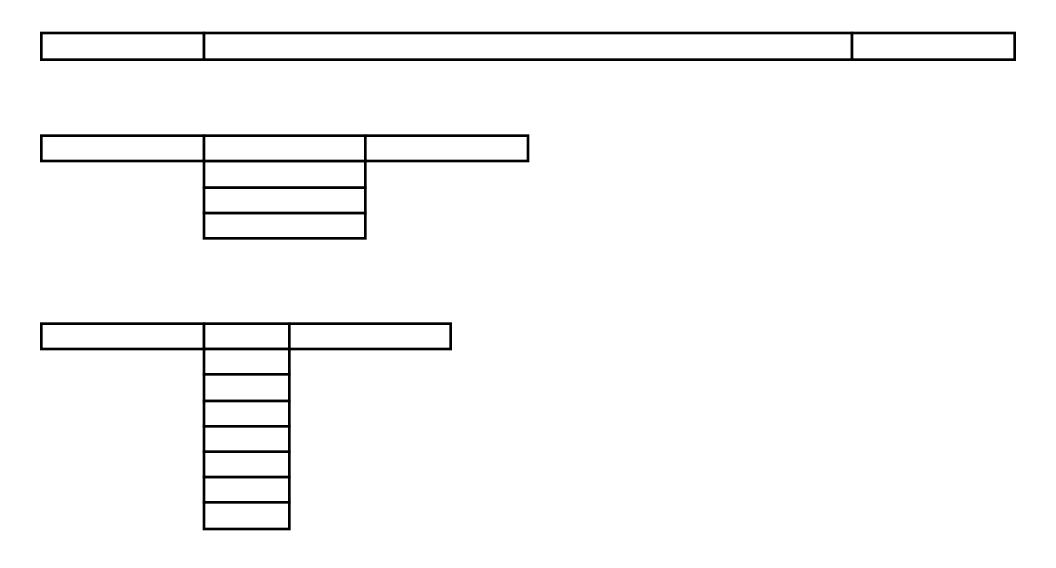
step 3: combine all results

Recall: Amdahl's Law

As number of cores increases ...

- time to execute parallel part? goes to zero
- time to execute serial part? Remains the same
- Serial part eventually dominates

## Amdahl's Law



## Parallelism is a necessity

Necessity, not luxury Power wall

Not easy to get performance out of

Many solutions

**Pipelining** 

Multi-issue

Multithreading

Multicore

## **Parallel Programming**

Q: So lets just all use multicore from now on!

A: Software must be written as parallel program

#### Multicore difficulties

- Partitioning work
- Coordination & synchronization
- Communications overhead
- How do you write parallel programs?

... without knowing exact underlying architecture?

## Parallelism & Synchronization

#### Cache Coherency

Processors cache shared data 

 they see different (incoherent) values for the same memory location

#### Synchronizing parallel programs

- Atomic Instructions
- HW support for synchronization

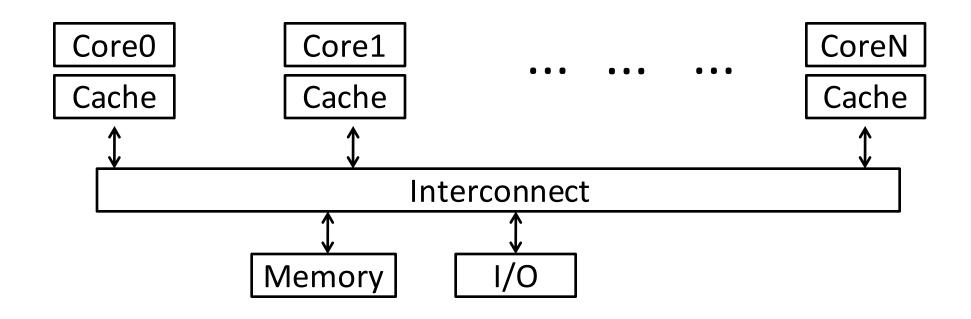
#### How to write parallel programs

- Threads and processes
- Critical sections, race conditions, and mutexes

## **Shared Memory Multiprocessors**

Shared Memory Multiprocessor (SMP)

- Typical (today): 2 4 processor dies, 2 8 cores each
- Hardware provides single physical address space for all processors



## Cache Coherency Problem

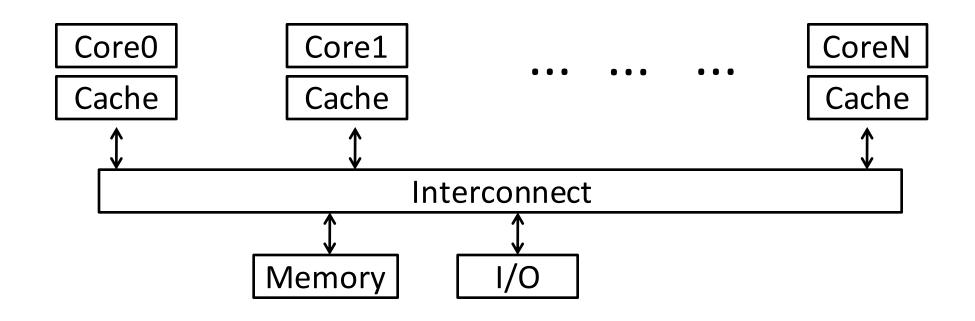
```
Thread A (on Core0) Thread B (on Core1)

for(int i = 0, i < 5; i++) {
    x = x + 1;
    x = x + 1;
}

Thread B (on Core1)

for(int j = 0; j < 5; j++) {
    x = x + 1;
}
```

What will the value of x be after both loops finish?



## Cache Coherency Problem

```
Thread A (on Core0) Thread B (on Core1)

for(int i = 0, i < 5; i++) {
    x = x + 1;
    x = x + 1;
}

Thread B (on Core1)

for(int j = 0; j < 5; j++) {
    x = x + 1;
}
```

What will the value of x be after both loops finish?

- a) 6
- b) 8
- c) 10
- d) Could be any of the above
- e) Couldn't be any of the above

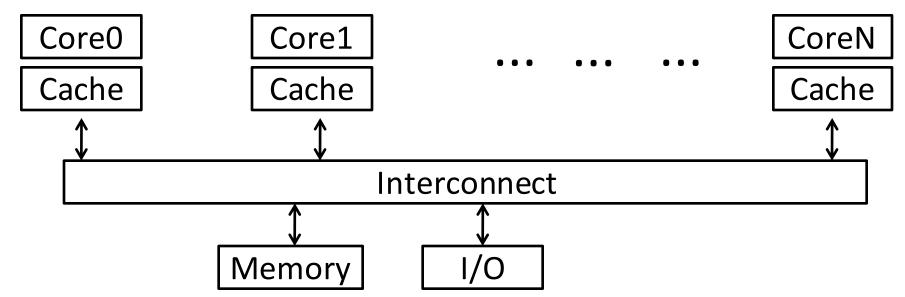
## Cache Coherency Problem, WB \$

```
Thread A (on Core0)
                                Thread B (on Core1)
for(int i = 0, i < 5; i++) {
                                for(int j = 0; j < 5; j++) {
      LW $t0, addr(x)
                               $t0=0 LW $t0, addr(x)
$t0=1 ADDIU $t0, $t0, 1
                               $t0=1 ADDIU $t0, $t0, 1
x=1 SW $t0, addr(x)
                                x=1 SW $t0, addr(x)
                    Problem!
                                                  CoreN
     Core<sub>0</sub>
                   Core1
     Cache
                   Cache
                                                   Cache
             X
                          Interconnect
                 Memory
```

## Not just a problem for Write-Back Caches

Executing on a write-thru cache:

Time step	Event	CPU A's cache	CPU B's cache	Memory
0				0
1	CPU A reads X	0		0
2	CPU B reads X	0	0	0
3	CPU A writes 1 to X	1	0	1



#### Two issues

#### Coherence

- What values can be returned by a read
- Need a globally uniform (consistent) view of a single memory location

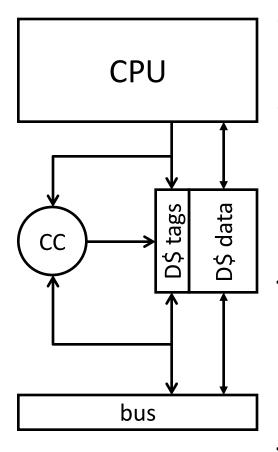
**Solution:** Cache Coherence Protocols

#### **Consistency**

- When a written value will be returned by a read
- Need a globally uniform (consistent) view of all memory locations relative to each other

**Solution:** Memory Consistency Models

## Hardware Cache Coherence



#### Coherence

all copies have same data at all times

#### **Coherence controller:**

- Examines bus traffic (addresses and data)
- Executes coherence protocol
  - What to do with local copy when you see different things happening on bus

#### Three processor-initiated events

• **Ld**: load

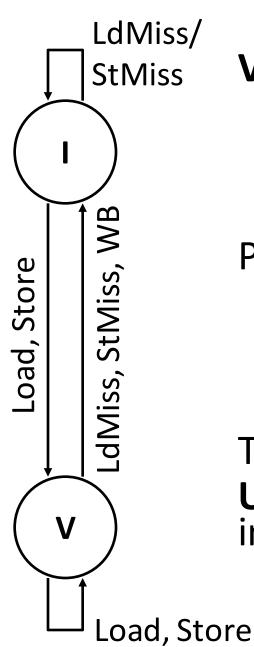
• **St**: store

• WB: write-back

#### Two remote-initiated events

- LdMiss: read miss from another processor
- StMiss: write miss from another processor

## VI Coherence Protocol



#### VI (valid-invalid) protocol:

- Two states (per block in cache)
  - V (valid): have block
  - I (invalid): don't have block
  - + Can implement with valid bit

#### Protocol diagram (left)

- If you load/store a block: transition to V
- If anyone *else* wants to read/write block:
  - Give it up: transition to I state
  - Write-back if your own copy is dirty

#### This is an invalidate protocol

**Update protocol**: copy data, don't invalidate

Sounds good, but wastes a lot of bandwidth

# VI Protocol (Write-Back Cache)

Thread A

lw t0, 0(r3),

ADDIU \$t0,\$t0,1

sw t0,0(r3)

Thread B

CPU0	CPU1	Mem
		0
V:0		0

lw t0, 0(r3)
ADDIU \$t0,\$t0,1
sw t0,0(r3)

V:1 0

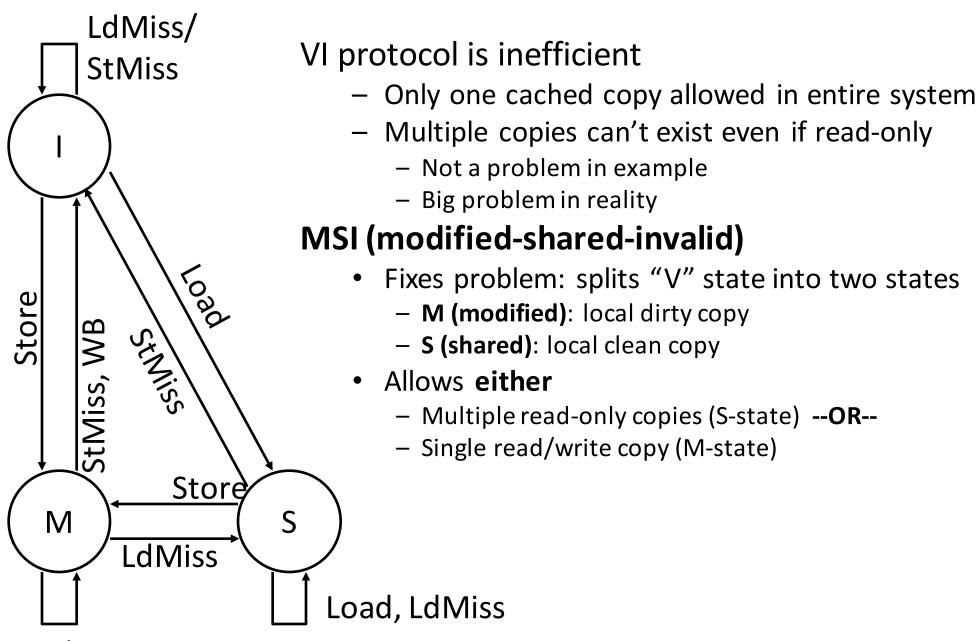
I: V:1 1

V:2 1

**lw** by Thread B generates an "other load miss" event (LdMiss)

Thread A responds by sending its dirty copy, transitioning to I

## $VI \rightarrow MSI$



Load, Store

# MSI Protocol (Write-Back Cache)

Thread A

lw t0, 0(r3),

ADDIU \$t0,\$t0,1

sw t0,0(r3)

Thread B

CPU0	CPU1	Mem	
		0	
S:0		0	

lw t0, 0(r3),
ADDIU \$t0,\$t0,1
sw t0,0(r3)

S:1 S:1 1

M:1

I: M:2 1

**1w** by Thread B generates a "other load miss" event (LdMiss)

- Thread A responds by sending its dirty copy, transitioning to S
   sw by Thread B generates a "other store miss" event (StMiss)
  - Thread A responds by transitioning to I

## Cache Coherence and Cache Misses

#### Coherence introduces two new kinds of cache misses

- Upgrade miss
  - On stores to read-only blocks
  - Delay to acquire write permission to read-only block
- Coherence miss
  - Miss to a block evicted by another processor's requests

#### Making the cache larger...

- Doesn't reduce these type of misses
- As cache grows large, these sorts of misses dominate

#### **False sharing**

- Two or more processors sharing parts of the same block
- But not the same bytes within that block (no actual sharing)
- Creates pathological "ping-pong" behavior
- Careful data placement may help, but is difficult

### More Cache Coherence

### In reality: many coherence protocols

- Snooping: VI, MSI, MESI, MOESI, ...
  - But Snooping doesn't scale
- Directory-based protocols
  - Caches & memory record blocks' sharing status in directory
  - Nothing is free 

    directory protocols are slower!

### Cache Coherency:

- requires that reads return most recently written value
- Is a hard problem!

## Are We Done Yet?

 $\frac{\text{Thread A}}{\text{lw t0, 0}} \text{ (r3)}$ 

Thread B

lw t0, 0(r3)
ADDIU \$t0,\$t0,1
sw t0,0(x)

 CPU0
 CPU1
 Mem

 S:0
 0

S:0 S:0 0

I: M:1 0

ADDIU \$t0,\$t0,1 sw t0,0(x)

M:1 I: 1

What just happened???
Is MSI Cache Coherency Protocol Broken??

# Programming with threads

Within a thread: execution is sequential

Between threads?

- No ordering or timing guarantees
- Might even run on different cores at the same time

Problem: hard to program, hard to reason about

- Behavior can depend on subtle timing differences
- Bugs may be impossible to reproduce

Cache coherency is necessary but not sufficient...

Need explicit synchronization to make guarantees about concurrent threads!

### Race conditions

Timing-dependent error involving access to shared state Race conditions depend on how threads are scheduled

i.e. who wins "races" to update state

#### Challenges of Race Conditions

- Races are intermittent, may occur rarely
- Timing dependent = small changes can hide bug

#### Program is correct only if all possible schedules are safe

- Number of possible schedules is huge
- Imagine adversary who switches contexts at worst possible time

## Hardware Support for Synchronization

Atomic read & write memory operation

Between read & write: no writes to that address

Many atomic hardware primitives

- test and set (x86)
- atomic increment (x86)
- bus lock prefix (x86)
- compare and exchange (x86, ARM deprecated)
- linked load / store conditional (pair of insns)
   (MIPS, ARM, PowerPC, DEC Alpha, ...)

## Synchronization in MIPS

Load linked: LL rt, offset(rs)

"I want the value at address X. Also, start monitoring any writes to this address."

Store conditional: SC rt, offset(rs)

"If no one has changed the value at address X since the LL, perform this store and tell me it worked."

- Data at location has not changed since the LL?
  - SUCCESS:
    - Performs the store
    - Returns 1 in rt
- Data at location has changed since the LL?
  - FAILURE:
    - Does not perform the store
    - Returns 0 in rt

## Using LL/SC to create Atomic Increment

Load linked: LL rt, offset(rs)

Store conditional: SC rt, offset(rs)

```
i++

\downarrow

LW $t0, 0($s0)

ADDIU $t0, $t0, 1

SW $t0, 0($s0)

BEQZ $t0, try
```

Value in memory changed between LL and SC?

→ SC returns 0 in \$t0 → retry

### **Atomic Increment in Action**

Load linked: LL \$t0, offset(\$s0)

Store conditional: SC \$t0, offset(\$s0)

Time	Thread A	Thread B	Thread	Thread	Mem
			A \$t0	B \$t0	[\$s0]
0					0
1	try: LL \$t0, 0(\$s0)		0		0
2		try: LL \$t0, 0(\$s0)		0	0
3	ADDIU \$t0, \$t0, 1		1	0	0
4		ADDIU \$t0, \$t0, 1	1	1	0
5	SC \$t0, 0(\$s0)		<b>1</b>	1	1
6	BEQZ \$t0, try		1	1	1
7		SC \$t0, 0 (\$s0)	1	0 🕟	1
8		BEQZ \$t0, try	1	0	1

Success!

Failure!

## **Critical Sections**

Create atomic version of every instruction? NO Does not scale *or solve the problem* 

To eliminate races: identify Critical Sections

only one thread can be in

Contending threads must wait to enter

```
CSEnter();
time

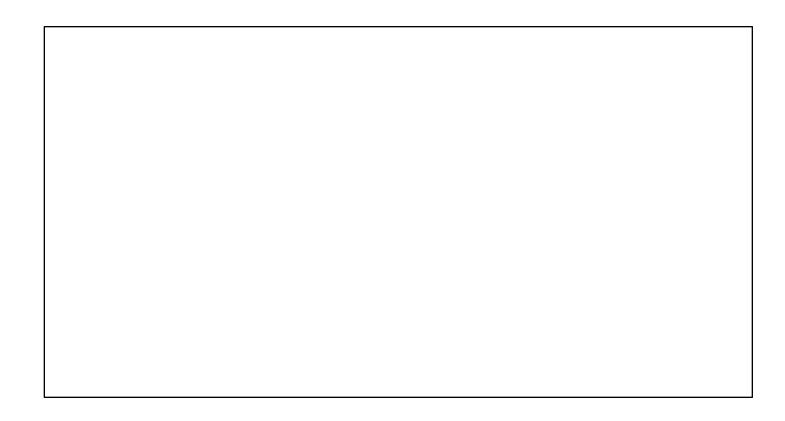
Critical
section
CSExit();
T1
```

```
CSEnter();
  # wait
  # wait
  Critical
  section
CSExit();
```

# Mutual Exclusion Lock (Mutex)

Implementation of CSEnter and CSExit

Only one thread can hold the lock at a time
"I have the lock"



### Mutex from LL and SC

```
m = 0;
                                         This is called a
mutex_lock(int *m) {
                                         Spin lock
  test and set: LI $t0, 1
                                         aka spin waiting
                 LL $t1, 0($a0)
                 BNEZ $t1, test and set
                 SC $t0, 0($a0)
                 BEQZ $t0, test and set
mutex unlock(int *m) {
     SW $zero, 0($a0)
```

## 2 threads attempt to grab the lock

mutex\_lock(int \*m)

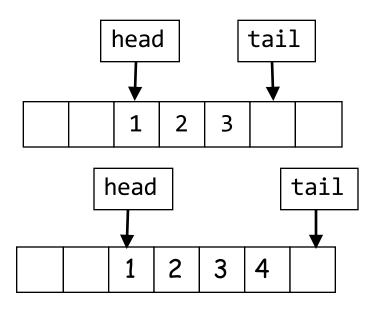
Time	Thread A	Thread B	ThreadA		ThreadB		Mem
			\$tO	\$t1	\$tO	\$t1	M[\$a0]
0							0
1	try: LI \$t0, 1	try: LI \$t0, 1	1		1		0
2	LL \$t1, 0(\$a0)	LL \$t1, 0(\$a0)	1	0	1	0	0
3	BNEZ \$t1, try	BNEZ \$t1, try	1	0	1	0	0
4		SC \$t0, 0 (\$a0)			1 🛕	0	1
5	SC \$t0, 0(\$a0)		0	0	1	0	1
6	BEQZ \$t0, try	BEQZ \$t0, try	οŢ	0	1	0	1
7	try: LI \$t0, 1	Critical section					

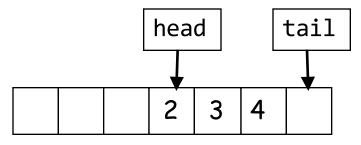
Failure! Success!

# Producer/Consumer Example (1)

```
// invariant:
// data in A[h ... t-1]
char A[100];
int h = 0, t = 0;
// producer: add to tail if room
void put(char c) {
 A[t] = c;
 t = (t+1)%n;
// consumer: take from head
char get() {
 while (t == h) { };
 char c = A[h];
 h = (h+1)%n;
 return c;
```

Goal: enforce data structure invariants





# Producer/Consumer Example (2)

```
// invariant:
                                    Goal: enforce data
// data in A[h ... t-1]
                                    structure invariants
char A[100];
int h = 0, t = 0;
// producer: add to tail if room
                                         Clicker Q:
void put(char c) {
 A[t] = c;
                                   What's wrong here?
  t = (t+1)%n;
                               a) Will lose update to t and/or h
// consumer: take from head
                               b)
                                  Invariant is not upheld
                               c) Will produce if full
char get() {
  while (t == h) { };
                               d) Will consume if empty
  char c = A[h];
                               e) All of the above
  h = (h+1)%n;
  return c;
```

# Producer/Consumer Example (3)

```
// invariant:
                                   Goal: enforce data
// data in A[h ... t-1]
                                   structure invariants
char A[100];
int h = 0, t = 0;
// producer: add to tail if room
void put(char c) {
 A[t] = c;
                                  What's wrong here?
 t = (t+1)%n;

    Could miss an update to

                                 t or h
// consumer: take from head
                                 Breaks invariants: only
char get() {
 while (t == h) \{ \}; \leftarrow
                                 produce if not full, only
 char c = A[h];
                                 consume if not empty
 h = (h+1)%n;
                              → Need to synchronize access
  return c;
                              to shared data
```

# Producer/Consumer Example (4)

```
// invariant:
// data in A[h ... t-1]
char A[100];
int h = 0, t = 0;
// producer: add to tail if room
void put(char c) {
 A[t] = c;
                        acquire-lock()
 t = (t+1)\%n;
                        release-lock()
// consumer: take from head
char get() {
                        acquire-lock()
 while (t == h) \{ \};
  char c = A[h];
 h = (h+1)%n;
 return c; €
                        release-lock()
```

Goal: enforce data structure invariants

Rule of thumb:
all access & updates
that can affect the
invariant become
critical sections

Does this fix work?

## Language-level Synchronization

Lots of synchronization variations... Reader/writer locks

- Any number of threads can hold a read lock
- Only one thread can hold the writer lock

### Semaphores

N threads can hold lock at the same time

#### **Monitors**

- Concurrency-safe data structure with 1 mutex
- All operations on monitor acquire/release mutex
- One thread in the monitor at a time