

# Assemblers, Linkers, and Loaders

**Prof. Hakim Weatherspoon**

**CS 3410, Spring 2015**

Computer Science

Cornell University

See: P&H Appendix A.1-2, A.3-4 and 2.12

# Administrivia

## Upcoming agenda

- PA2 Work-in-Progress due yesterday, Monday, March 16<sup>th</sup>
- PA2 due next week, Thursday, March 26<sup>th</sup>
- HW2 available later today, due before Prelim2 in April
- **Spring break:** Saturday, March 28<sup>th</sup> to Sunday, April 5<sup>th</sup>

# Academic Integrity

All submitted work must be your own

- OK to study together, **but do NOT share soln's**  
e.g. CANNOT email soln, look at screen, writ soln for others
- **Cite your (online) sources**
- “Crowd sourcing” your problem/soln same as copying

Project groups submit joint work

- Same rules apply to projects at the group level
- Cannot use of someone else's soln

Closed-book exams, no calculators

- Stressed? Tempted? Lost?
  - Come see me **before** due date!

Plagiarism in any form will not be tolerated

# Academic Integrity

## “Black Board” Collaboration Policy

- Can discuss approach together on a “black board”
- Leave and write up solution independently
- Do not copy solutions

Plagiarism in any form will not be tolerated

# Goal for Today: Putting it all Together

Compiler output is assembly files

Assembler output is obj files

Linker joins object files into one executable

Loader brings it into memory and starts execution



# Goal for Today: Putting it all Together

Compiler output is assembly files

Assembler output is obj files

- How does the assembler resolve references/labels?
- How does the assembler resolve external references?

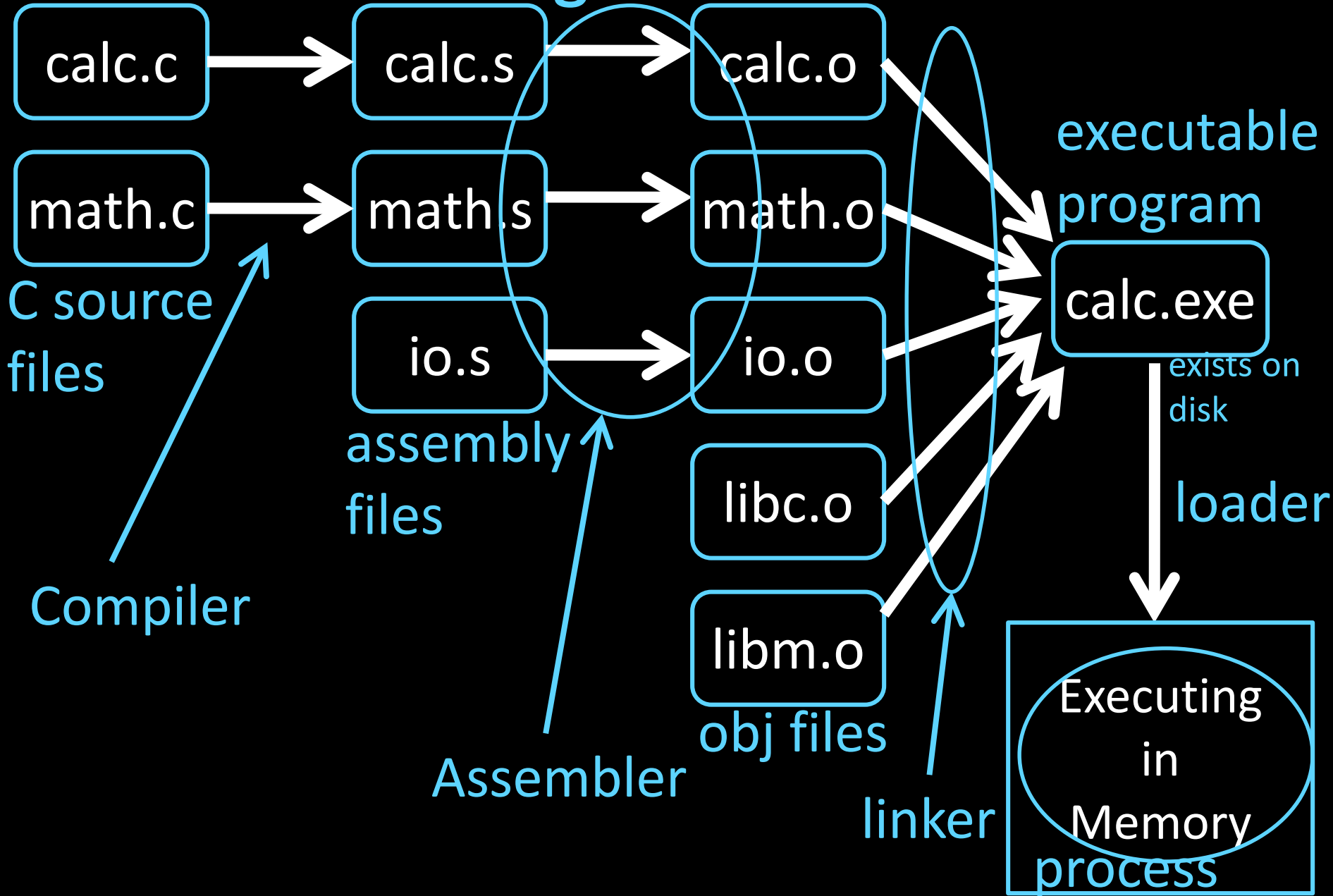
Linker joins object files into one executable

- How does the linker combine separately compiled files?
- How does linker resolve unresolved references?
- How does linker relocate data and code segments

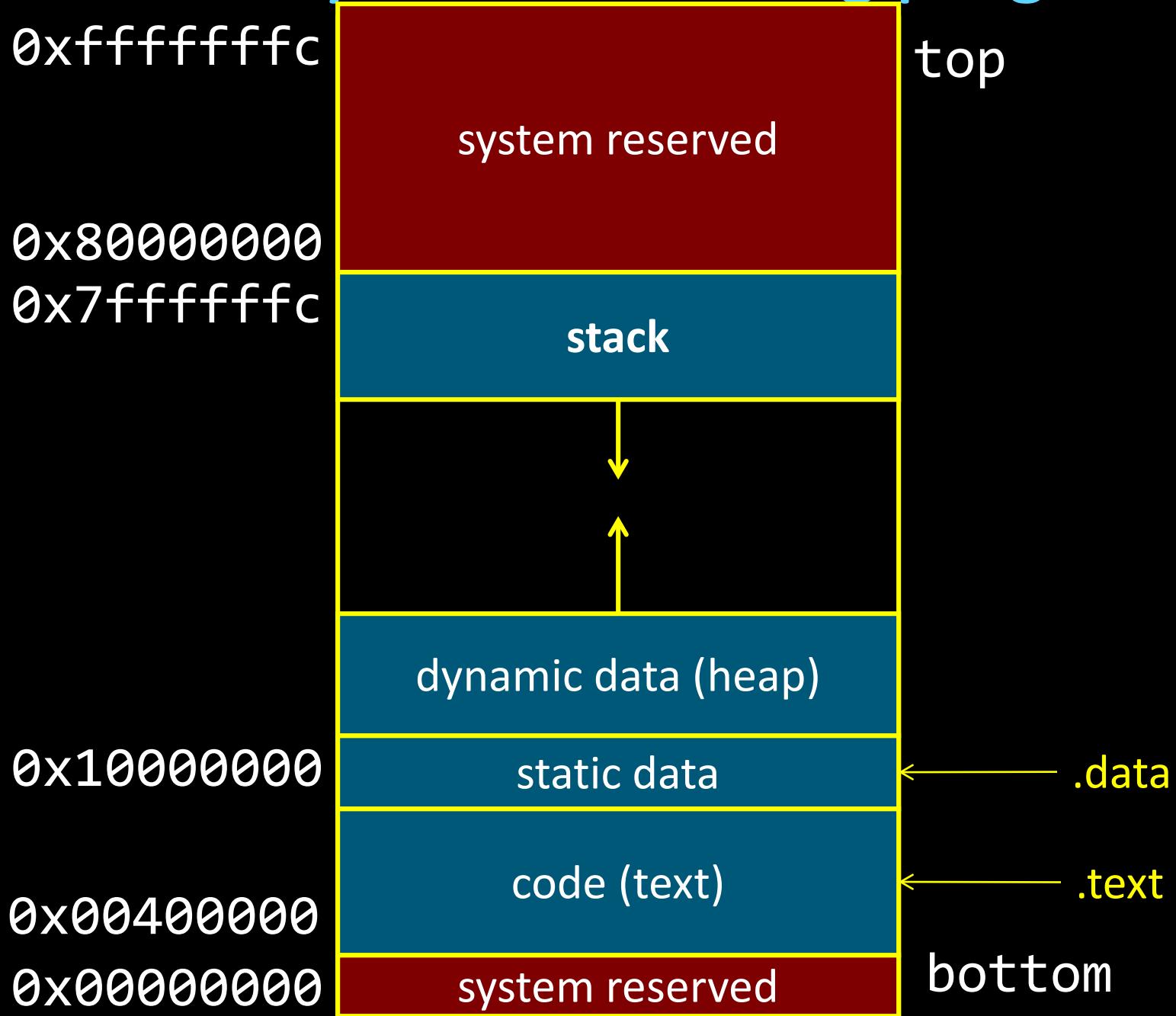
Loader brings it into memory and starts execution

- How does the loader start executing a program?
- How does the loader handle shared libraries?

# Big Picture



# Anatomy of an executing program





# Example: Review of Program Layout

calc.c

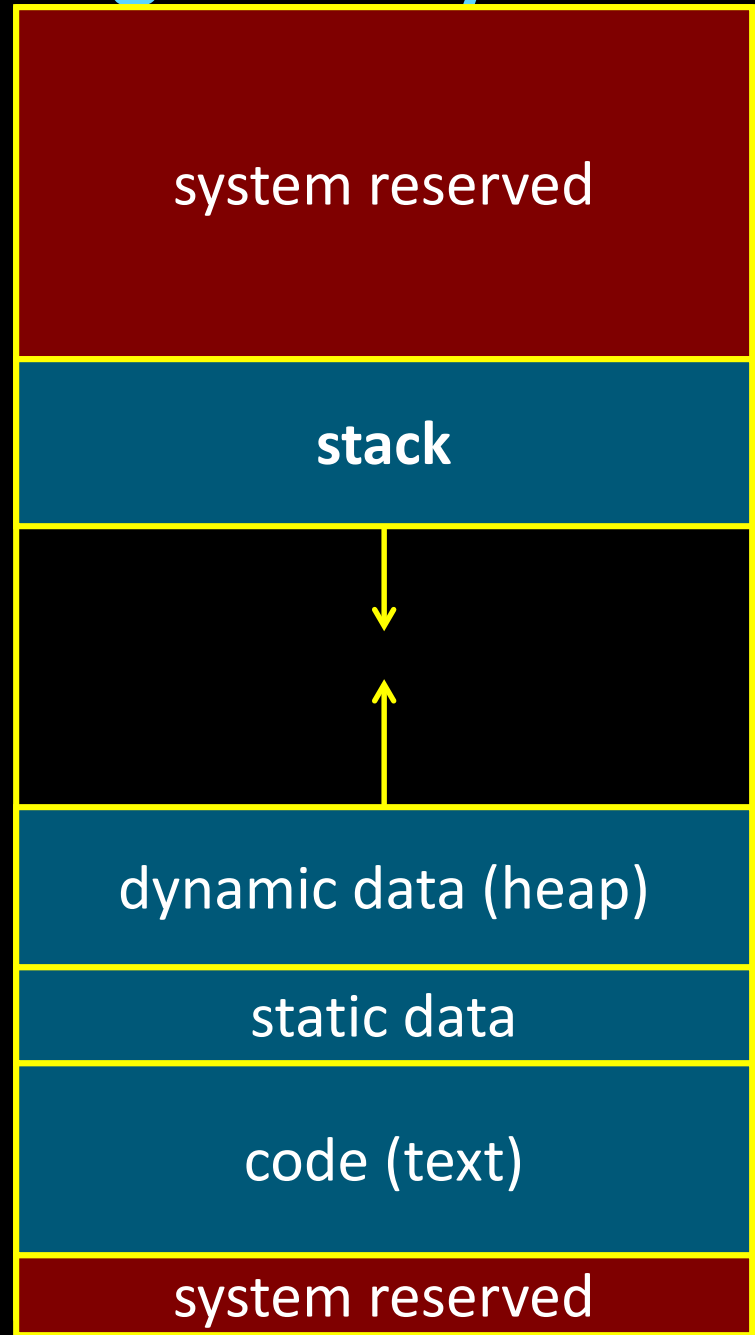
```
vector* v = malloc(8);  
v->x = prompt("enter x");  
v->y = prompt("enter y");  
int c = pi + tnorm(v);  
print("result %d", c);
```

math.c

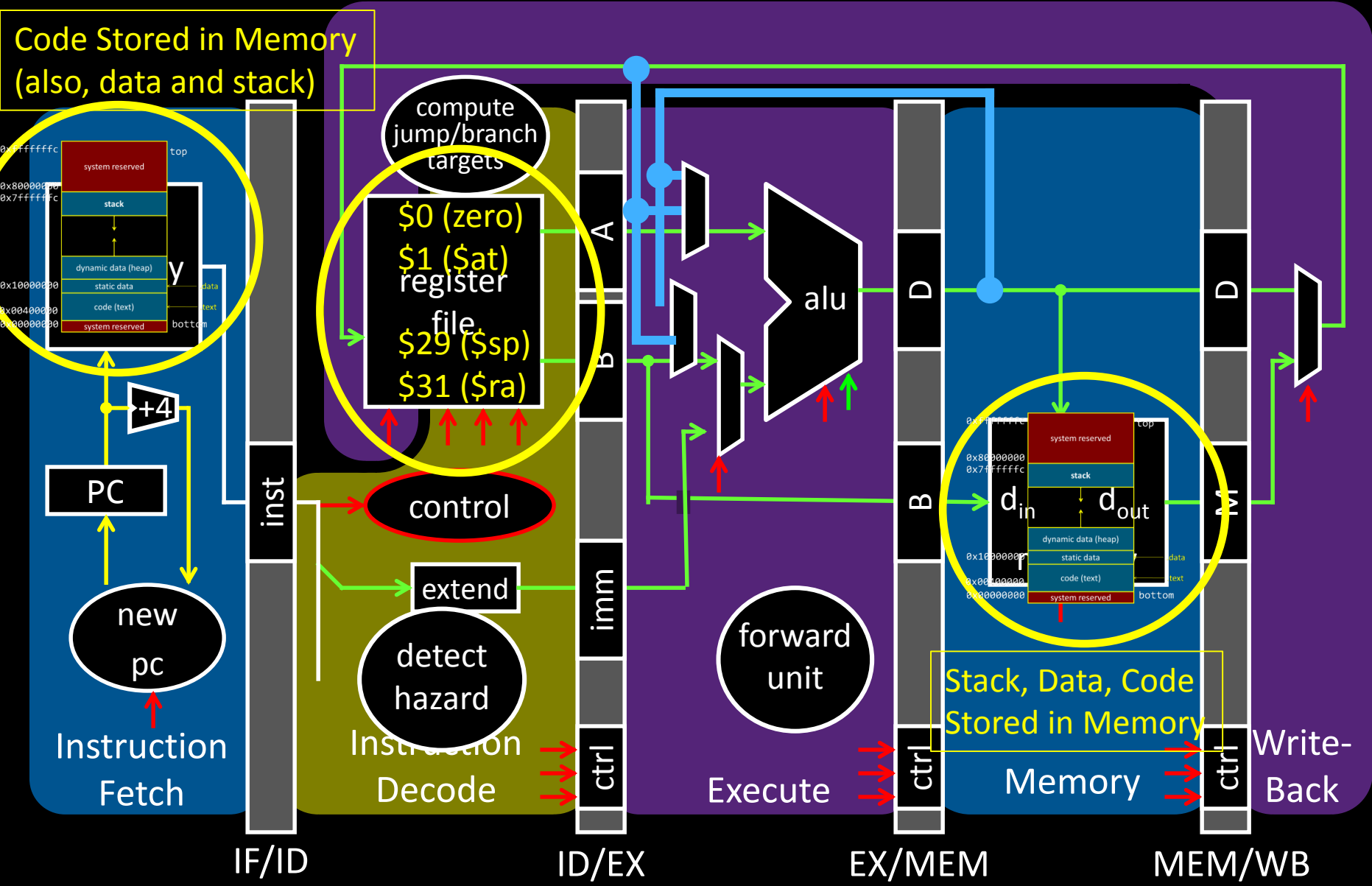
```
int tnorm(vector* v) {  
    return abs(v->x)+abs(v->y);  
}
```

lib3410.o

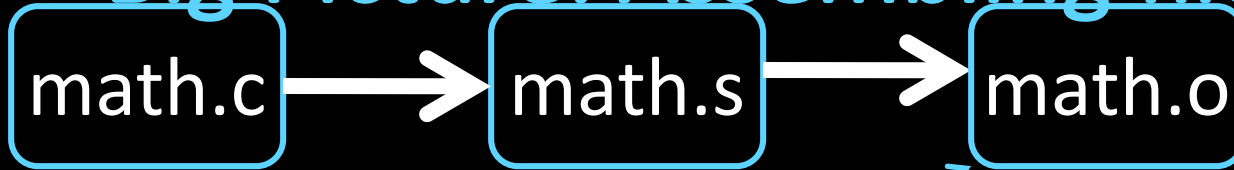
```
global variable: pi  
entry point: prompt  
entry point: print  
entry point: malloc
```



# Anatomy of an executing program



# Big Picture: Assembling file separately



.o = Linux

.obj Windows

Output of assembler is object files

- Binary machine code, but not executable
- How does assembler handle forward references?

# Next Goal

How does the assembler handle local references

# How does Assembler handle forward references

## Two-pass assembly

- Do a pass through the whole program, allocate instructions and lay out data, thus determining addresses
- Do a second pass, emitting instructions and data, with the correct label offsets now determined

## One-pass (or **backpatch**) assembly

- Do a pass through the whole program, emitting instructions, emit a 0 for jumps to labels not yet determined, keep track of where these instructions are
- Backpatch, fill in 0 offsets as labels are defined

# How does Assembler handle forward references

Example:

- `bne $1, $2, L`  
`sll $0, $0, 0`  
`L: addiu $2, $3, 0x2`

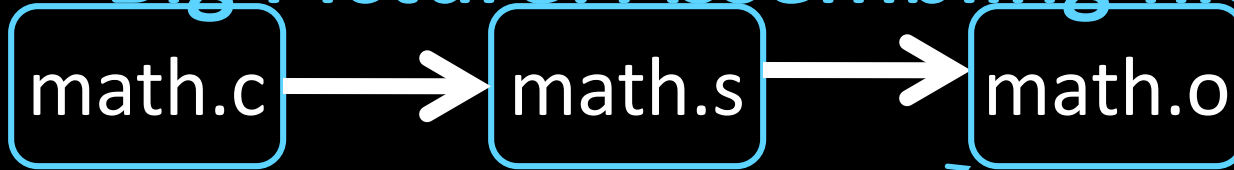
The assembler will change this to

- `bne $1, $2, +1`  
`sll $0, $0, 0`  
`addiu $2, $3, $0x2`

Final machine code

- `0X14220001 # bne`  
`0x00000000 # sll`  
`0x24620002 # addiu`

# Big Picture: Assembling file separately



.o = Linux

.obj Windows

Output of assembler is a object files

- Binary machine code, but not executable
- How does assembler handle forward references?
- May refer to external symbols i.e. Need a “symbol table”
- Each object file has illusion of its own address space

– Addresses will need to be fixed later

e.g. `.text` (code) starts at addr `0x00000000`

`.data` starts @ addr `0x00000000`

# Next Goal

How does the assembler handle external references



# Symbols and References

**Global labels:** Externally visible “exported” symbols

- Can be referenced from other object files
- Exported functions, global variables

e.g. pi  
(from a couple of slides ago)

**Local labels:** Internal visible only symbols

- Only used within this object file
- static functions, static variables, loop labels, ...

e.g.  
static foo  
static bar  
static baz

e.g.  
\$str  
\$L0  
\$L2

# Object file

## Header

- Size and position of pieces of file

## Text Segment

- instructions

## Data Segment

- static data (local/global vars, strings, constants)

## Debugging Information

- line number → code address map, etc.

## Symbol Table

- External (exported) references
- Unresolved (imported) references

Object File

# Example

math.c

```
int pi = 3; } global
int e = 2; }
static int randomval = 7;
    local (to current file)
extern char *username;
extern int printf(char *str, ...);
    external (defined in another file)
int square(int x) { ... }
static int is_prime(int x) { ... }
    local
int pick_prime() { ... } } global
int pick_random() {
    return randomval;
}
```

```
gcc -S .. math.c
```

Compiler

```
gcc -c .. math.s
```

Assembler

```
objdump --disassemble math.o
```

```
objdump --syms math.o
```

# Objdump disassembly

```
csug01 ~$ mipsel-linux-objdump --disassemble math.o
```

```
math.o:      file format elf32-tradlittlemips
```

```
Disassembly of section .text:
```

```
00000000 <pick_random>:
```

```
0:      27bdfff8      addiu   sp,sp,-8
4:      afbe0000      sw      s8,0(sp)
8:      03a0f021      move   s8,sp
c:      3c020000      lui    v0,0x0
10:     8c420008      lw     v0,8(v0)
14:     03c0e821      move   sp,s8
18:     8fbe0000      lw     s8,0(sp)
1c:     27bd0008      addiu   sp,sp,8
20:     03e00008      jr     ra
24:     00000000      nop
```

```
00000028 <square>:
```

```
28:     27bdfff8      addiu   sp,sp,-8
2c:     afbe0000      sw      s8,0(sp)
30:     03a0f021      move   s8,sp
34:     afc40008      sw     a0,8(s8)
```

# Objdump symbols

```
csug01 ~$ mipsel-linux-objdump --syms math.o  
math.o:      file format elf32-tradlittlemips
```

## SYMBOL TABLE:

00000000	l	df	*ABS*	00000000	math.c
00000000	l	d	.text	00000000	.text
00000000	l	d	.data	00000000	.data
00000000	l	d	.bss	00000000	.bss
00000000	l	d	.mdebug.abi32	00000000	.mdebug.abi32
00000008	l	0	.data	00000004	randomval
00000060	l	F	.text	00000028	is_prime
00000000	l	d	.rodata	00000000	.rodata
00000000	l	d	.comment	00000000	.comment
00000000	g	0	.data	00000004	pi
00000004	g	0	.data	00000004	e
00000000	g	F	.text	00000028	pick_random
00000028	g	F	.text	00000038	square
00000088	g	F	.text	0000004c	pick_prime
00000000			*UND*	00000000	username
00000000			*UND*	00000000	printf

# Separate Compilation

Q: Why separate compile/assemble and linking steps?

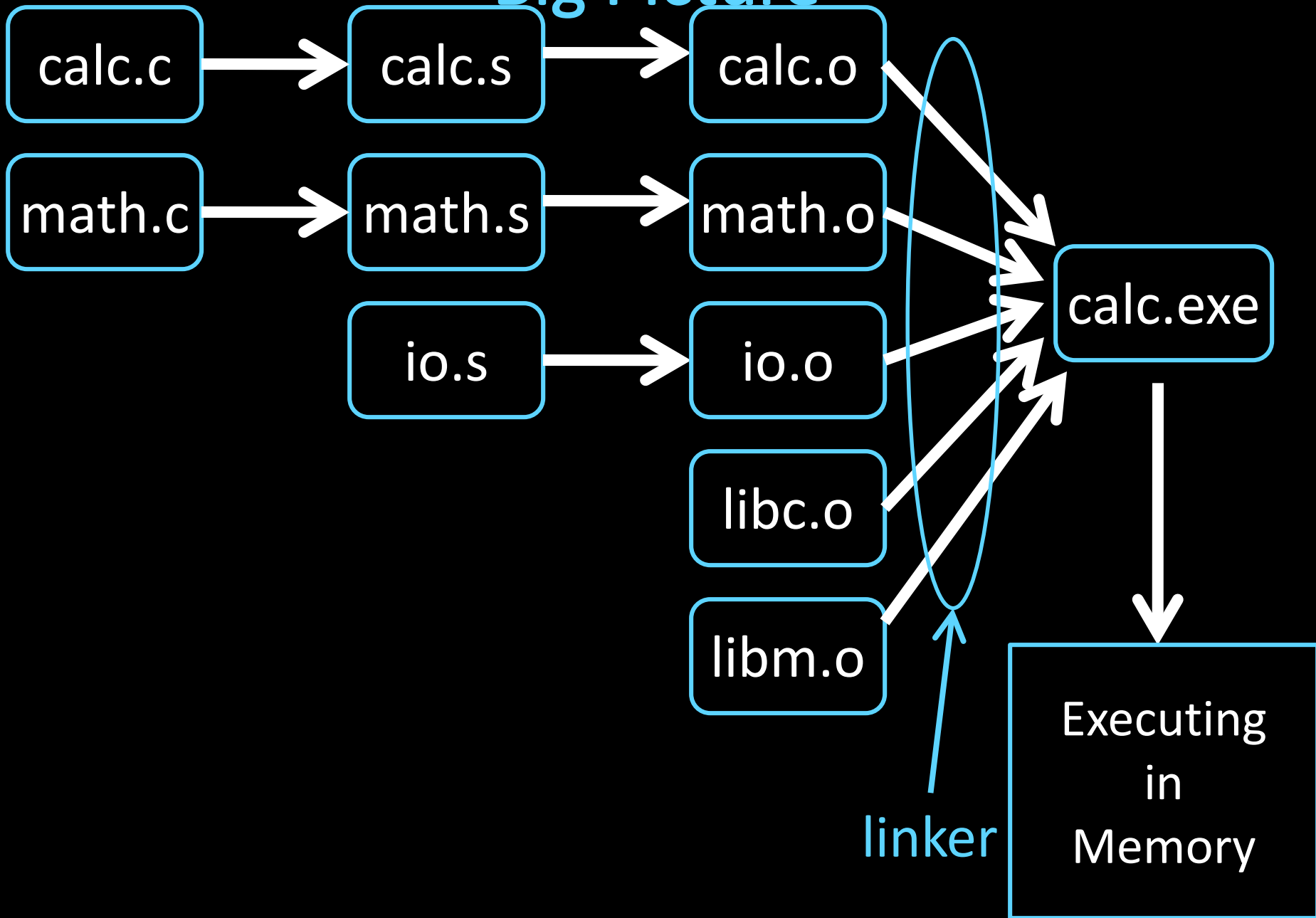
Linkers

# Next Goal

How do we link together separately compiled and assembled machine object files?



# Big Picture



# Linkers

Linker combines object files into an executable file

- Relocate each object's text and data segments
- Resolve as-yet-unresolved symbols
- Record top-level entry point in executable file

End result: a program on disk, ready to execute

- E.g. 

<code>./calc</code>	Linux
<code>./calc.exe</code>	Windows
<code>simulate calc</code>	Class MIPS simulator

.

# Linker Example

Relocation info Symbol tbl

main.o

```
...  
0C000000  
21035000  
1b80050C  
8C040000  
21047002  
0C000000  
...
```

```
00 T main  
00 D uname  
*UND* printf  
*UND* pi  
40,JAL, printf  
4C,LW/gp, pi  
50,JAL, square
```

math.o

```
...  
21032040  
0C000000  
1b301402  
3C040000  
34040000  
...
```

```
20 T square  
00 D pi  
*UND* printf  
*UND* uname  
28,JAL, printf  
30,LUI, uname  
34,LA, uname
```

printf.o

```
...  
3C T printf
```

calc.exe

```
...  
21032040  
0C40023C  
1b301402  
3C041000  
34040004
```

```
...  
0C40023C  
21035000  
1b80050c  
8C048004  
21047002  
0C400020
```

```
...  
10201000  
21040330  
22500102
```

```
...  
00000003  
0077616B
```

```
Entry:0040 0100  
text:0040 0000  
data:1000 0000
```

# Object file

## Header

- location of main entry point (if any)

## Text Segment

- instructions

## Data Segment

- static data (local/global vars, strings, constants)

## Relocation Information

- Instructions and data that depend on actual addresses
- Linker patches these bits after relocating segments

## Symbol Table

- Exported and imported references

## Debugging Information

Object File

# Object File Formats

## Unix

- a.out
- COFF: Common Object File Format
- ELF: Executable and Linking Format
- ...

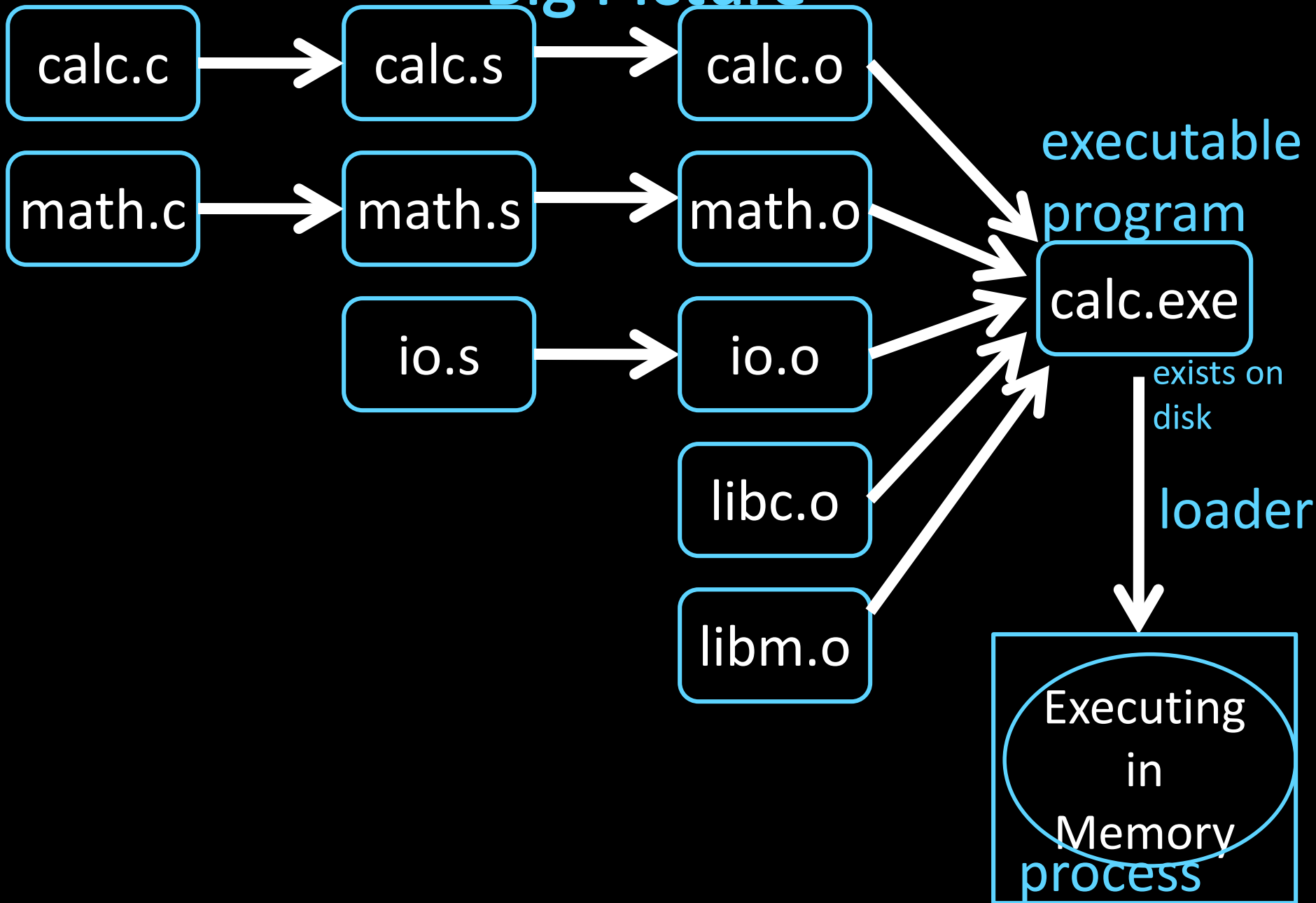
## Windows

- PE: Portable Executable

All support both executable and object files

# Loaders and Libraries

# Big Picture



# Loaders

*Loader* reads executable from disk into memory

- Initializes registers, stack, arguments to first function
- Jumps to entry-point

Part of the Operating System (OS)



# Static Libraries

*Static Library*: Collection of object files  
(think: like a zip archive)

Q: But every program contains entire library!

A: Linker picks only object files needed to resolve undefined references at link time

e.g. `libc.a` contains many objects:

- `printf.o`, `fprintf.o`, `vprintf.o`, `sprintf.o`, `snprintf.o`, ...
- `read.o`, `write.o`, `open.o`, `close.o`, `mkdir.o`, `readdir.o`, ...
- `rand.o`, `exit.o`, `sleep.o`, `time.o`, ....

# Shared Libraries

Q: But every program still contains part of library!

A: shared libraries

- executable files all point to single *shared library* on disk
- final linking (and relocations) done by the loader

Optimizations:

- Library compiled at fixed non-zero address
- Jump table in each program instead of relocations
- Can even patch jumps on-the-fly

# Direct Function Calls

Direct call:

```
00400010 <main>:  
    ...  
    jal 0x00400330  
    ...  
    jal 0x00400620  
    ...  
    jal 0x00400330  
    ...  
00400330 <printf>:  
    ...  
00400620 <gets>:  
    ...
```

Drawbacks:

Linker or loader must edit every use of a symbol (call site, global var use, ...)

Idea:

Put all symbols in a single “global offset table”

Code does lookup as needed

# Indirect Function Calls

Indirect call:

`00400010` <main>:

...

`jal 0x00400330`

...

`jal 0x00400620`

...

`jal 0x00400330`

...

`00400330` <printf>:

...

`00400620` <gets>:

...

GOT: global offset table

<code>0x00400010</code> # main
<code>0x00400330</code> # printf
<code>0x00400620</code> # gets

# Indirect Function Calls

Indirect call:

`00400010` <main>:

...  
`lw $t9, -32708($gp)`  
`jalr $t9`

...  
`lw $t9, -32704($gp)`  
`jalr $t9`

...  
`lw $t9, -32708($gp)`  
`jalr $t9`

`00400330` <printf>:

`00400620` <gets>:  
...

# data segment

GOT: global offset table

0	0x00400010	# main
4	0x00400330	# printf
8	0x00400620	# gets

# global offset table

# to be loaded

# at `-32712($gp)`

# printf =  $4 + (-32712) + \$gp$

# gets =  $8 + (-32712) + \$gp$

# Indirect Function Calls

Indirect call:

`00400010` <main>:

...  
`lw $t9, -32708($gp)`  
`jalr $t9`

...  
`lw $t9, -32704($gp)`  
`jalr $t9`

...  
`lw $t9, -32708($gp)`  
`jalr $t9`

`00400330` <printf>:

`00400620` <gets>:  
...

# data segment

.got

.word 0x00400010 # main

.word 0x00400330 # printf

.word 0x00400620 # gets

# global offset table

# to be loaded

# at `-32712($gp)`

# printf =  $4 + (-32712) + \$gp$

# gets =  $8 + (-32712) + \$gp$

# Dynamic Linking

## Indirect call with on-demand dynamic linking:

```
00400010 <main>:
```

```
...
```

```
# load address of prints
```

```
# from .got[1]
```

```
lw t9, -32708(gp)
```

```
# now call it
```

```
jalr t9
```

```
...
```

```
.got
```

```
.word 00400888 # open
```

```
.word 00400888 # prints
```

```
.word 00400888 # gets
```

```
.word 00400888 # foo
```

# Dynamic Linking

## Indirect call with on-demand dynamic linking:

```
00400010 <main>:
```

```
...
# load address of prints
# from .got[1]
lw t9, -32708(gp)
# also load the index 1
li t8, 1
# now call it
jalr t9
...
.got
.word 00400888 # open
.word 00400888 # prints
.word 00400888 # gets
.word 00400888 # foo
```

```
...
00400888 <dlresolve>:
# t9 = 0x400888
# t8 = index of func that
# needs to be loaded

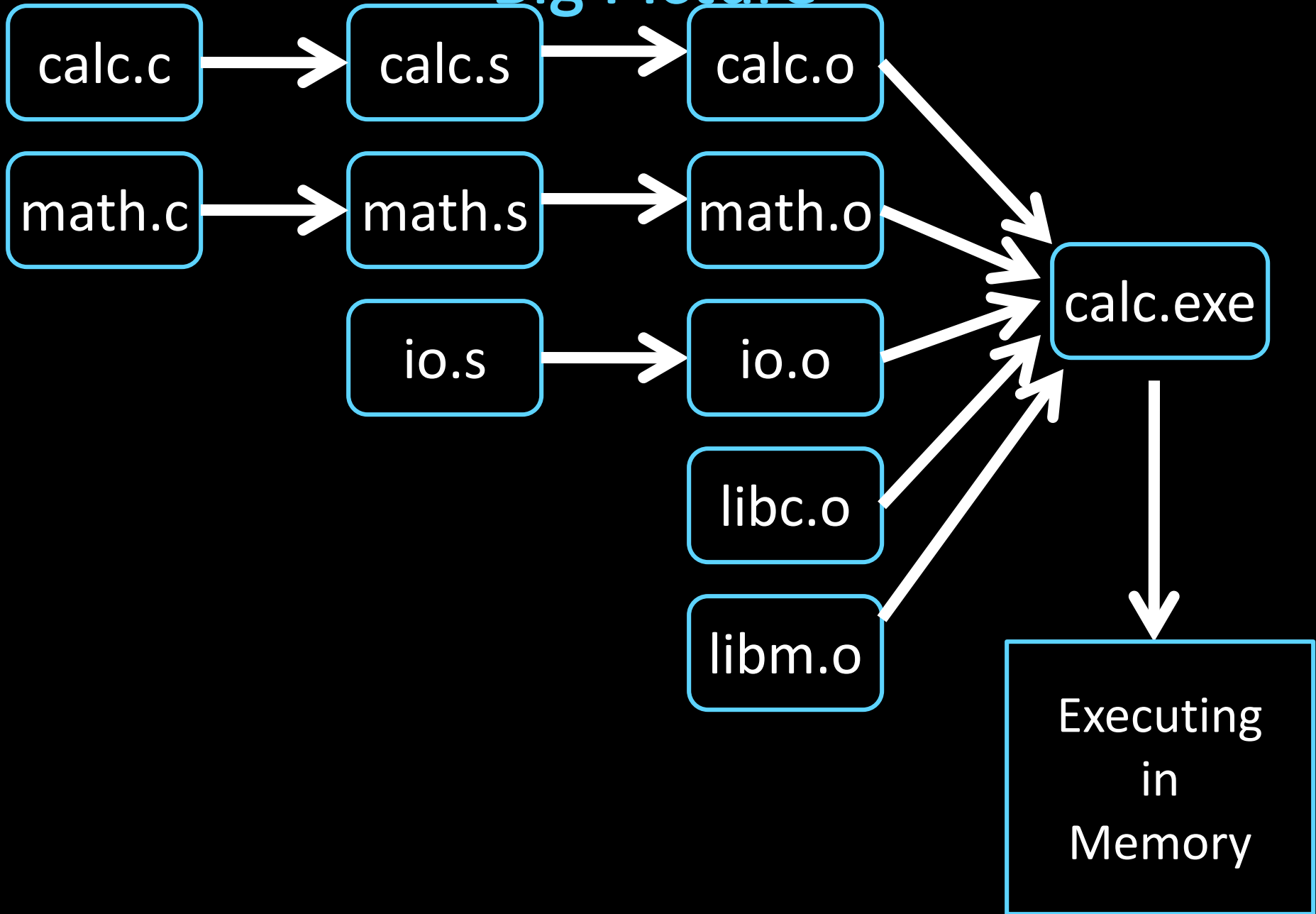
# load that func
... # t7 = loadfromdisk(t8)

# save func's address so
# so next call goes direct
... # got[t8] = t7

# also jump to func
jr t7
# it will return directly
# to main, not here
```



# Big Picture



# Dynamic Shared Objects

Windows: dynamically loaded library (DLL)

- PE format

Unix: dynamic shared object (DSO)

- ELF format

Unix also supports Position Independent Code (PIC)

- Program determines its current address whenever needed (no absolute jumps!)
- Local data: access via offset from current PC, etc.
- External data: indirection through Global Offset Table (GOT)
- ... which in turn is accessed via offset from current PC

# Static and Dynamic Linking

## Static linking

- Big executable files (all/most of needed libraries inside)
- Don't benefit from updates to library
- No load-time linking

## Dynamic linking

- Small executable files (just point to shared library)
- Library update benefits all programs that use it
- Load-time cost to do final linking
  - But dll code is probably already in memory
  - And can do the linking incrementally, on-demand

# Recap

Compiler output is assembly files

Assembler output is obj files

Linker joins object files into one executable

Loader brings it into memory and starts execution