

Assemblers, Linkers, and Loaders

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CS 3410, Spring 2014

Computer Science

Cornell University

See: P&H Appendix A.1-2, A.3-4 and 2.12

Administrivia

Upcoming agenda

- PA2 Work-in-Progress due yesterday, Monday, March 17th
- PA2 due next week, Thursday, March 27th
- HW2 available, due before Prelim2 in April
- Spring break: Saturday, March 29th to Sunday, April 6th

Academic Integrity

All submitted work must be your own

- OK to study together, *but do NOT share soln's*
e.g. CANNOT email soln, look at screen, writ soln for others
- *Cite your (online) sources*
- “Crowd sourcing” your problem/soln same as copying

Project groups submit joint work

- Same rules apply to projects at the group level
- Cannot use of someone else's soln

Closed-book exams, no calculators

- Stressed? Tempted? Lost?
 - Come see me *before* due date!

Plagiarism in any form will not be tolerated

Academic Integrity

“Black Board” Collaboration Policy

- Can discuss approach together on a “black board”
- Leave and write up solution independently
- Do not copy solutions

Plagiarism in any form will not be tolerated

Goal for Today: Putting it all Together

Compiler output is assembly files

Assembler output is obj files

Linker joins object files into one executable

Loader brings it into memory and starts execution

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Goal for Today: Putting it all Together

Compiler output is assembly files

Assembler output is obj files

- How does the assembler resolve references/labels?
- How does the assembler resolve external references?

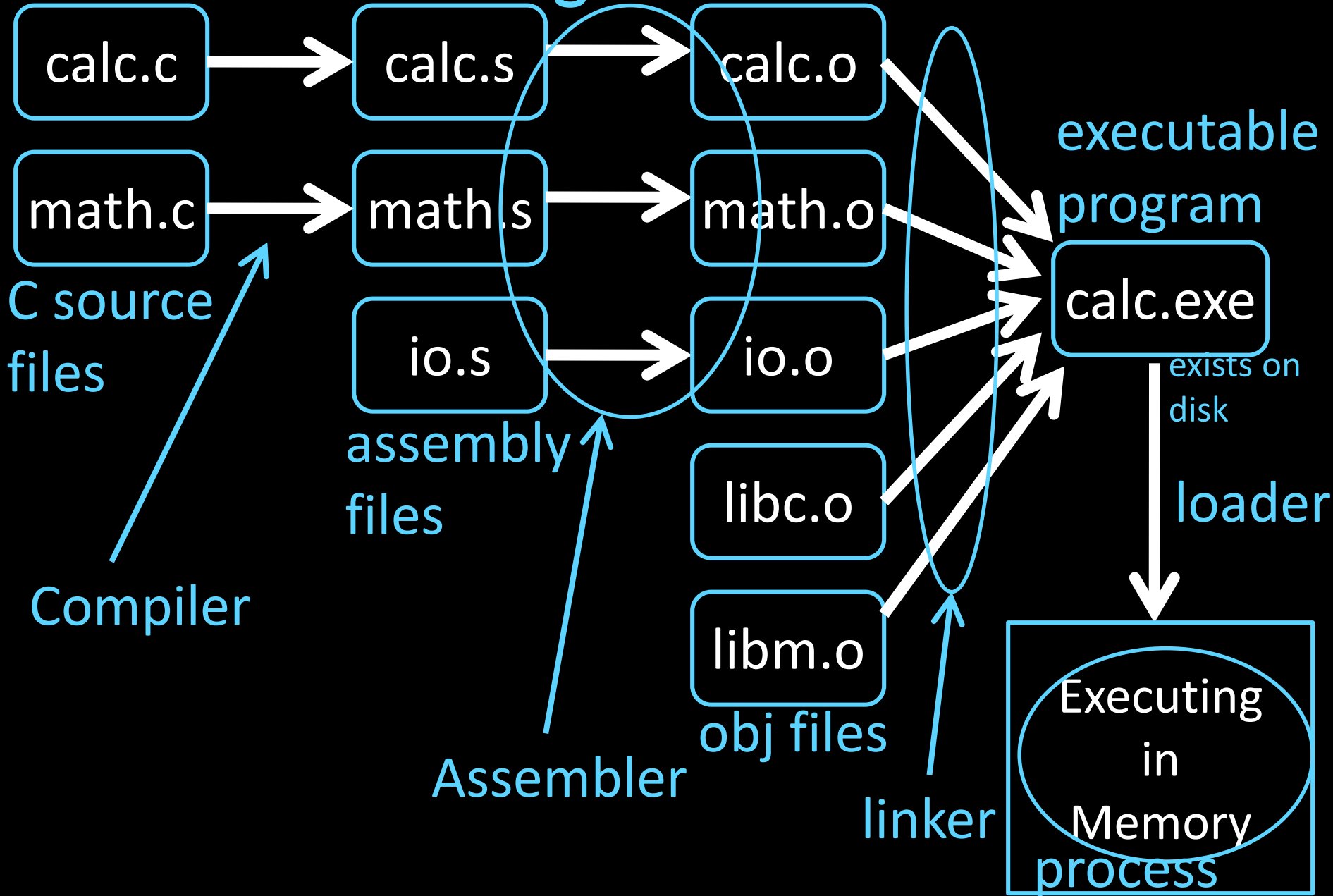
Linker joins object files into one executable

- How does the linker combine separately compiled files?
- How does linker resolve unresolved references?
- How does linker relocate data and code segments

Loader brings it into memory and starts execution

- How does the loader start executing a program?
- How does the loader handle shared libraries?

Big Picture



Anatomy of an executing program

0xfffffffffc

top

system reserved

0x80000000

0x7fffffff

stack



dynamic data (heap)

0x10000000

static data

← .data

0x00400000

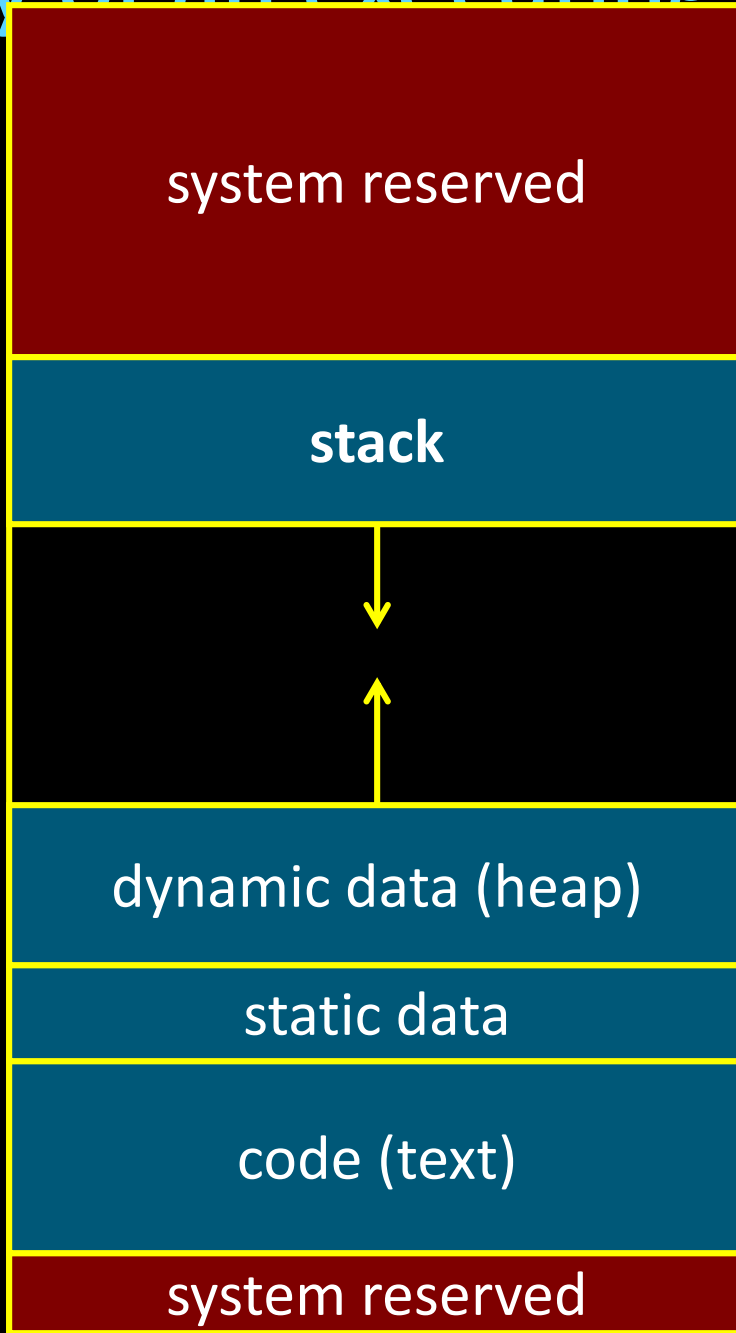
code (text)

← .text

0x00000000

system reserved

bottom



Example #2: Review of Program Layout

calc.c

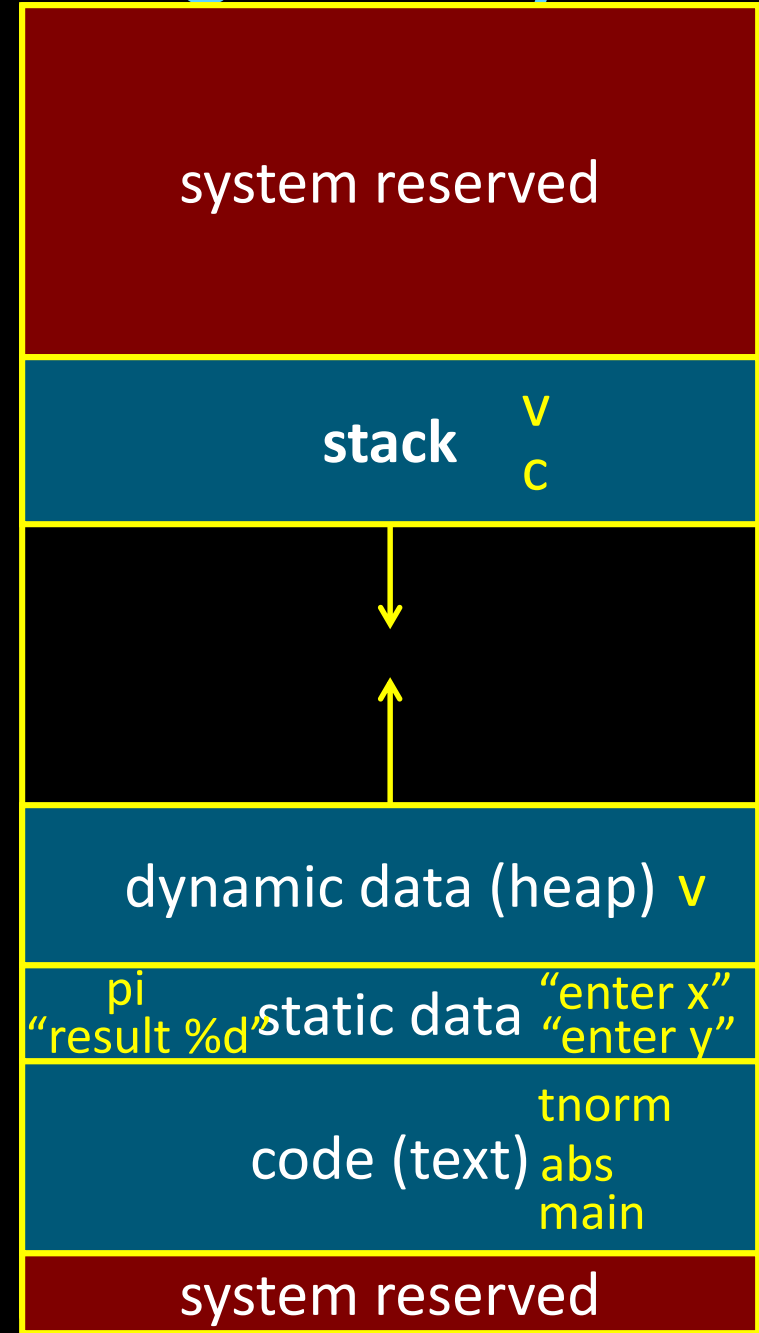
```
vector* v = malloc(8);  
v->x = prompt("enter x");  
v->y = prompt("enter y");  
int c = pi + tnorm(v);  
print("result %d", c);
```

math.c

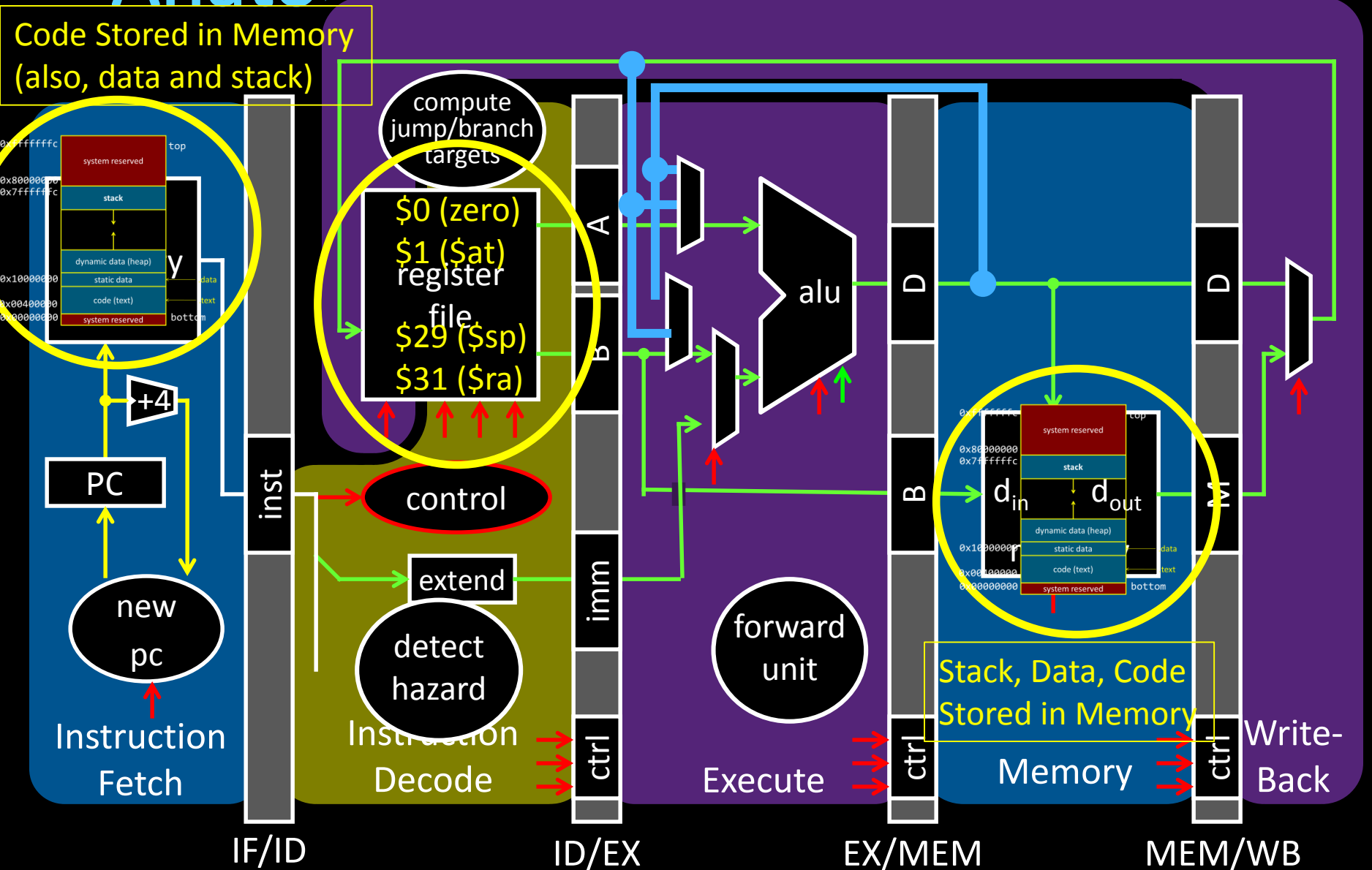
```
int tnorm(vector* v) {  
    return abs(v->x)+abs(v->y);  
}
```

lib3410.o

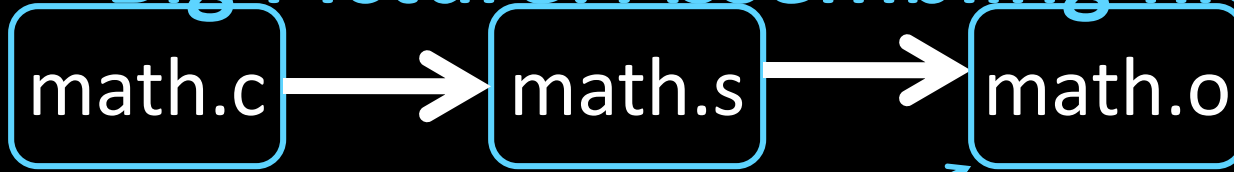
global variable: pi
entry point: prompt
entry point: print
entry point: malloc



Anatomy of an executing program



Big Picture: Assembling file separately



.o = Linux

.obj Windows

Output of assembler is a object files

- Binary machine code, but not executable
- How does assembler handle forward references?

Next Goal

How does the assembler handle local references

How does Assembler handle forward references

Two-pass assembly

- Do a pass through the whole program, allocate instructions and lay out data, thus determining addresses
- Do a second pass, emitting instructions and data, with the correct label offsets now determined

One-pass (or backpatch) assembly

- Do a pass through the whole program, emitting instructions, emit a 0 for jumps to labels not yet determined, keep track of where these instructions are
- Backpatch, fill in 0 offsets as labels are defined

How does Assembler handle forward references

Example:

- `bne $1, $2, L`
 `sll $0, $0, 0`
`L: addiu $2, $3, 0x2`

The assembler will change this to

- `bne $1, $2, +1`
 `sll $0, $0, 0`
 `addiu $2, $3, $0x2`

Final machine code

- `0X14220001 # bne`
 `0x00000000 # sll`
 `0x24620002 # addiu`

How does Assembler handle forward references

Example:

- `bne $1, $2, L`
`sll $0, $0, 0`

`L: addiu $2, $3, 0x2`

The assembler will change this to

- `bne $1, $2, +1`
`sll $0, $0, 0`
`addiu $2, $3, $0x2`

Final machine code

- `0X14220001 # bne`

000101	00001	00010	00000000000000000001
000000	00000	00000	0000000000000000
001001	00011	00010	0000000000000000010
- `0x00000000 # sll`
- `0x24620002 # addiu`

How does Assembler handle forward references

Example:

- `bne $1, $2, L`
`sll $0, $0, 0`

`L: addiu $2, $3, 0x2`

The assembler will change this to

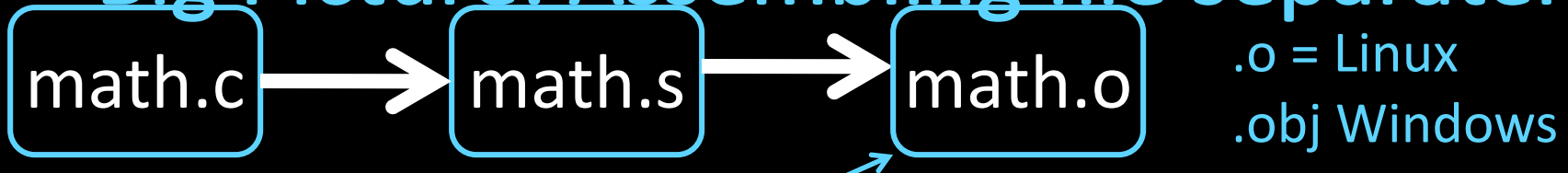
- `bne $1, $2, +1`
`sll $0, $0, 0`
`addiu $2, $3, $0x2`

Final machine code

- `0X14220001 # bne`
`0x00000000 # sll`
`0x24620002 # addiu`

1	4	2	2	0	0	0	1
0	0	0	0	0	0	0	0
2	4	6	2	0	0	0	2

Big Picture: Assembling file separately



Output of assembler is a object files

- Binary machine code, but not executable
 - How does assembler handle forward references?
 - May refer to external symbols i.e. Need a “symbol table”
 - Each object file has illusion of its own address space
 - Addresses will need to be fixed later
- e.g. .text (code) starts at addr 0x00000000
.data starts @ addr 0x00000000

Next Goal

How does the assembler handle external references

Symbols and References

Global labels: Externally visible “exported” symbols

- Can be referenced from other object files
- Exported functions, global variables

e.g. pi
(from a couple of slides ago)

Local labels: Internal visible only symbols

- Only used within this object file
- static functions, static variables, loop labels, ...

e.g.
static foo
static bar
static baz

e.g.
\$str
\$L0
\$L2

Object file

Header

- Size and position of pieces of file

Text Segment

- instructions

Data Segment

- static data (local/global vars, strings, constants)

Debugging Information

- line number → code address map, etc.

Symbol Table

- External (exported) references
- Unresolved (imported) references

math.c

Example

```
int pi = 3; } global
int e = 2; }
static int randomval = 7;
    local (to current file)

extern char *username;
extern int printf(char *str, ...);
    external (defined in another file)

int square(int x) { ... }

static int is_prime(int x) { ... }
    local
int pick_prime() { ... } } global
int pick_random() {
    return randomval;
}
```

gcc -S .. math.c

Compiler

gcc -c .. math.s

Assembler

objdump --disassemble math.o

objdump --syms math.o

Objdump disassembly

```
csug01 ~$ mipsel-linux-objdump --disassemble math.o
```

```
math.o:      file format elf32-tradlittlemips
```

```
Disassembly of section .text:
```

```
00000000 <pick_random>:
```

0:	27bdfff8	addiu	sp,sp,-8
4:	afbe0000	sw	s8,0(sp)
8:	03a0f021	move	s8,sp
c:	3c020000	lui	v0,0x0
10:	8c420008	lw	v0,8(v0)
14:	03c0e821	move	sp,s8
18:	8fbe0000	lw	s8,0(sp)
1c:	27bd0008	addiu	sp,sp,8
20:	03e00008	jr	ra
24:	00000000	nop	

```
00000028 <square>:
```

28:	27bdfff8	addiu	sp,sp,-8
2c:	afbe0000	sw	s8,0(sp)
30:	03a0f021	move	s8,sp
34:	afc40008	sw	a0,8(s8)

Objdump disassembly

```
csug01 ~$ mipsel-linux-objdump --disassemble math.o
```

```
math.o:      file format elf32-tradlittlemips
```

```
Disassembly of section .text:
```

Address instruction Mem[8] = instruction 0x03a0f021 (move s8,sp)

```
00000000 <pick random>:
```

0:	27bffff8	addiu	sp,sp,-8	} prologue
4:	afbe0000	sw	s8,0(sp)	
8:	03a0f021	move	s8,sp	
c:	3c020000	lui	v0,0x0	} body
10:	8c420008	lw	v0,8(v0)	
14:	03c0e821	move	sp,s8	} epilogue
18:	8fbe0000	lw	s8,0(sp)	
1c:	27bd0008	addiu	sp,sp,8	
20:	03e00008	jr	ra	
24:	00000000	nop		

symbol

```
00000028 <square>:
```

28:	27bffff8	addiu	sp,sp,-8
2c:	afbe0000	sw	s8,0(sp)
30:	03a0f021	move	s8,sp
34:	afc40008	sw	a0,8(s8)

Objdump symbols

```
csug01 ~$ mipsel-linux-objdump --syms math.o  
math.o:      file format elf32-tradlittlemips
```

SYMBOL TABLE:

00000000	l	df	*ABS*	00000000	math.c
00000000	l	d	.text	00000000	.text
00000000	l	d	.data	00000000	.data
00000000	l	d	.bss	00000000	.bss
00000000	l	d	.mdebug.abi32	00000000	.mdebug.abi32
00000008	l	0	.data	00000004	randomval
00000060	l	F	.text	00000028	is_prime
00000000	l	d	.rodata	00000000	.rodata
00000000	l	d	.comment	00000000	.comment
00000000	g	0	.data	00000004	pi
00000004	g	0	.data	00000004	e
00000000	g	F	.text	00000028	pick_random
00000028	g	F	.text	00000038	square
00000088	g	F	.text	0000004c	pick_prime
00000000			*UND*	00000000	username
00000000			*UND*	00000000	printf

Objdump symbols

```
csug01 ~$ mipsel-linux-objdump --syms math.o
```

```
math.o:          file format elf32-tradlittlemips
```

Address	l: local	segment	segment	size	
SYMBOL TABLE:					
00000000	1	g: global	df *ABS*	00000000	math.c
00000000	1	d	.text	00000000	.text
00000000	1	d	.data	00000000	.data
00000000	1	d	.bss	00000000	.bss
00000000	1	d	.mdebug.abi32	00000000	.mdebug.abi32
00000008	1	0	.data	00000004	randomval
00000060	1	F	.text	00000028	is prime
00000000	1	d	.rodata	00000000	.rodata
00000000	1	d	.comment	00000000	.comment
00000000	g	0	.data	00000004	pi
00000004	g	0	.data	00000004	e
00000000	g	F	.text	00000028	pick_random
00000028	g	F	.text	00000038	square
00000088	g	F	.text	0000004c	pick_prime
00000000	f: func	*UND*	external reference	00000000	username
00000000	O: obj	*UND*		00000000	printf

Static local
func @
addr=0x60
size=0x28 byte

Separate Compilation

Q: Why separate compile/assemble and linking steps?

A: Separately compiling modules and linking them together obviates the need to recompile the whole program every time something changes

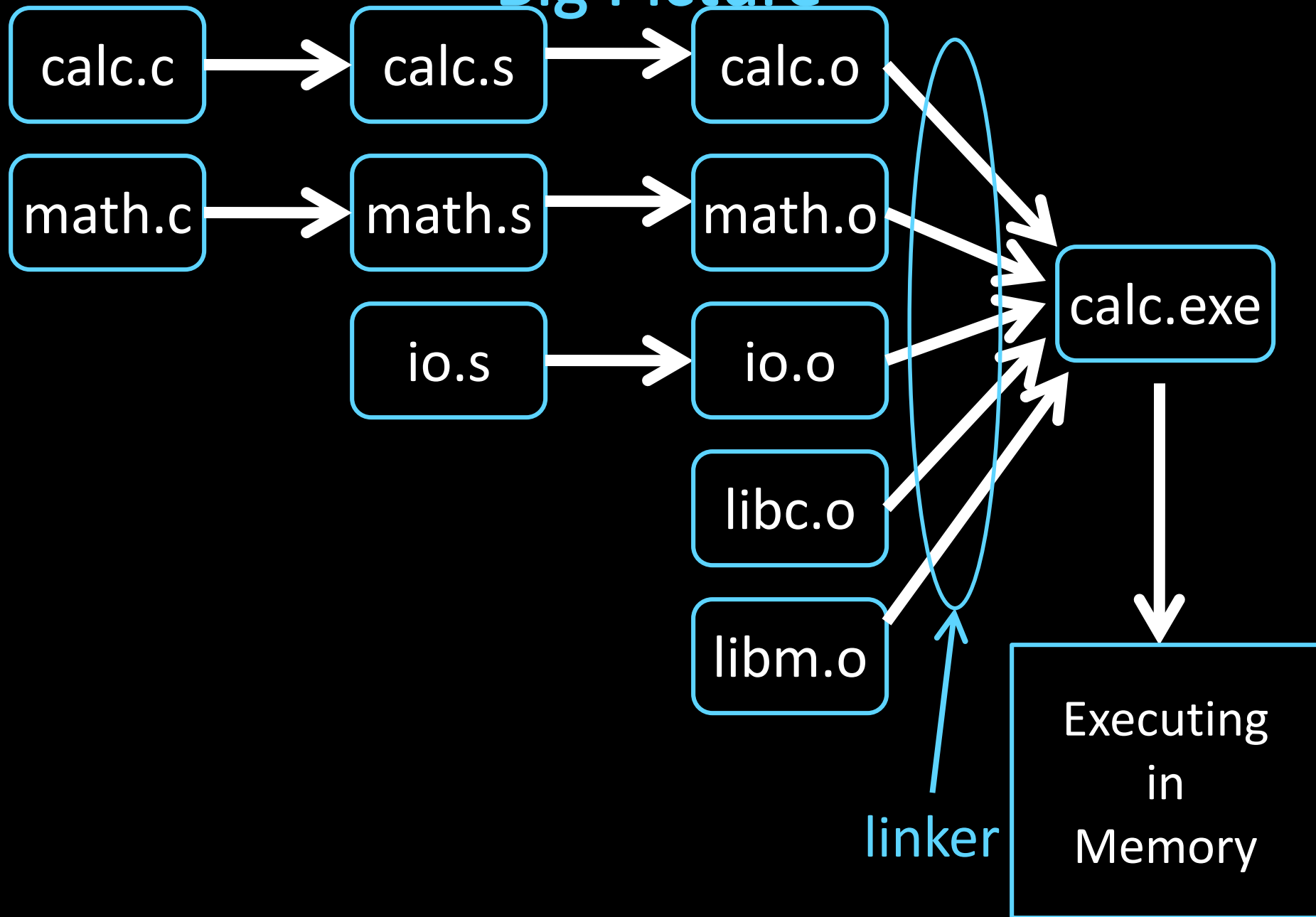
- Need to just recompile a small module
- A linker coalesces object files together to create a complete program

Linkers

Next Goal

How do we link together separately compiled and assembled machine object files?

Big Picture



Linkers

Linker combines object files into an executable file

- Relocate each object's text and data segments
- Resolve as-yet-unresolved symbols
- Record top-level entry point in executable file

End result: a program on disk, ready to execute

- E.g.

./calc	Linux
./calc.exe	Windows
simulate calc	Class MIPS simulator

.

Linker Example

main.o

→ 0C000000
21035000
1b80050C
→ 8C040000
21047002
→ 0C000000
...

00 T main
00 D uname
UND printf
UND pi

40, JL, printf
4C, LW/gp, pi
50, JL, square

math.o

→ 21032040
→ 0C000000
1b301402
→ 3C040000
→ 34040000
...

20 T square
00 D pi
UND printf
UND uname

28, JL, printf
30, LUI, uname
34, LA, uname

printf.o

...
3C T printf

External references need to be resolved (fixed)

Steps

- 1) Find UND symbols in symbol table
- 2) Relocate segments that collide

e.g. uname @0x00
pi @ 0x00
square @ 0x00
main @ 0x00

Relocation info Symbol table

Linker Example

Relocation info Symbol table .text

main.o

```
→ 0C000000
21035000
1b80050C
→ 8C040000
21047002
→ 0C000000
...
```

00 T main
00 D uname
UND printf
UND pi

40, JL, printf
4C, LW/gp, pi
50, JL, square

math.o

```
21032040
→ 0C000000
1b301402
→ 3C040000
→ 34040000
...
```

20 T square
00 D pi
UND printf
UND uname

28, JL, printf
30, LUI, uname
34, LA, uname

printf.o

```
...
```

3C T printf

calc.exe

```
21032040
0C40023C JAL
1b301402
3C041000
34040004
```

0C40023C
21035000
1b80050c
8C048004 LW \$4,-32764(\$gp)
21047002 \$4 = pi
0C400020 JAL square

10201000
21040330
22500102

pi 00000003
uname 0077616B

Entry:0040 0100
text:0040 0000
data:1000 0000

0040 0000 printf
LA uname
LUI 1000
ORI 0004

0040 0100

0040 0200

1000 0000
1000 0004

Object file

Header

- location of main entry point (if any)

Text Segment

- instructions

Data Segment

- static data (local/global vars, strings, constants)

Relocation Information

- Instructions and data that depend on actual addresses
- Linker patches these bits after relocating segments

Symbol Table

- Exported and imported references

Debugging Information

Object File Formats

Unix

- a.out
- COFF: Common Object File Format
- ELF: Executable and Linking Format
- ...

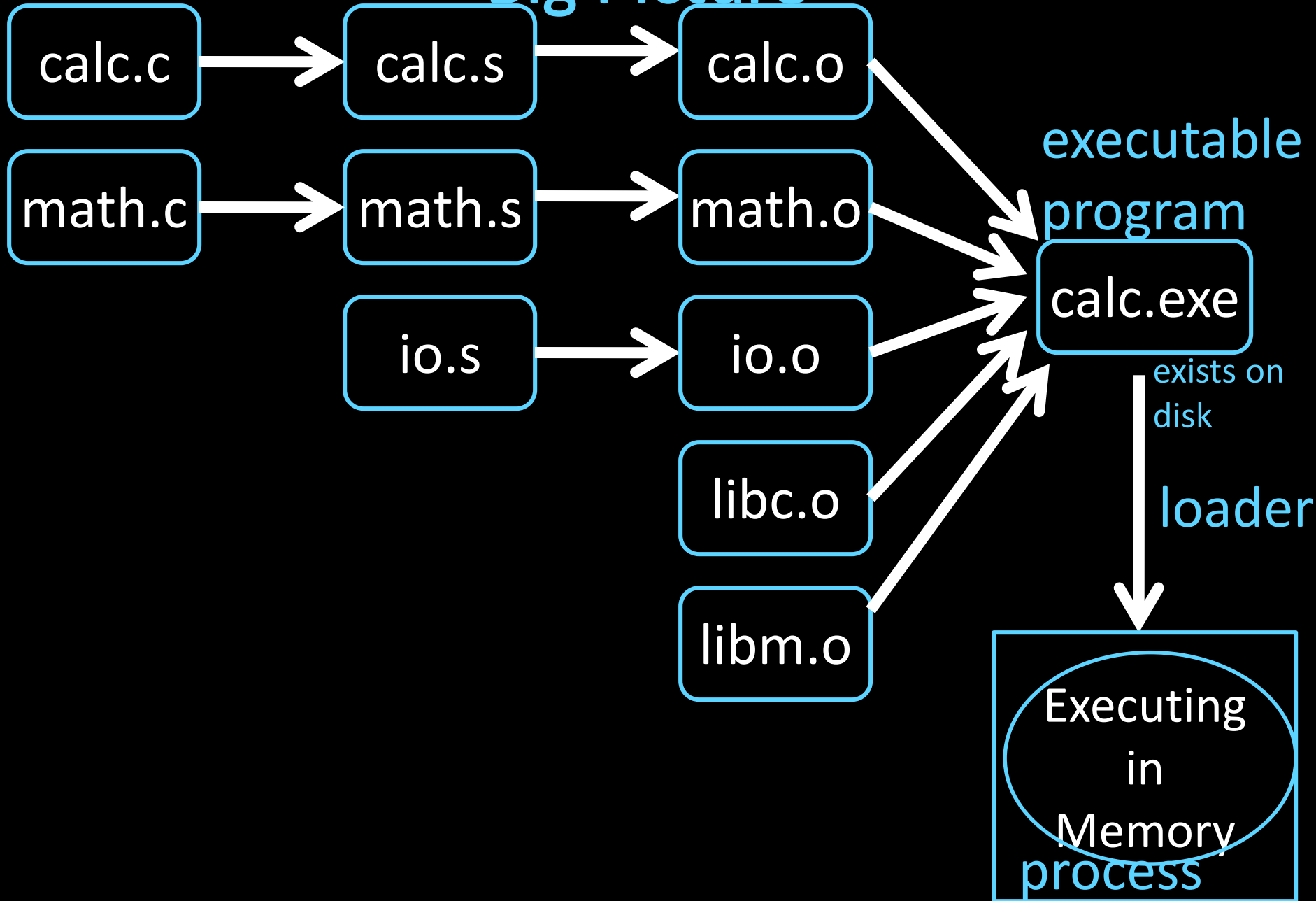
Windows

- PE: Portable Executable

All support both executable and object files

Loaders and Libraries

Big Picture



Loaders

Loader reads executable from disk into memory

- Initializes registers, stack, arguments to first function
- Jumps to entry-point

Part of the Operating System (OS)

Static Libraries

Static Library: Collection of object files
(think: like a zip archive)

Q: But every program contains entire library!

A: Linker picks only object files needed to resolve undefined references at link time

e.g. `libc.a` contains many objects:

- `printf.o`, `fprintf.o`, `vprintf.o`, `sprintf.o`, `snprintf.o`, ...
- `read.o`, `write.o`, `open.o`, `close.o`, `mkdir.o`, `readdir.o`, ...
- `rand.o`, `exit.o`, `sleep.o`, `time.o`,

Shared Libraries

Q: But every program still contains part of library!

A: shared libraries

- executable files all point to single *shared library* on disk
- final linking (and relocations) done by the loader

Optimizations:

- Library compiled at fixed non-zero address
- Jump table in each program instead of relocations
- Can even patch jumps on-the-fly

Direct Function Calls

Direct call:

```
00400010 <main>:
    ...
    jal 0x00400330
    ...
    jal 0x00400620
    ...
    jal 0x00400330
    ...
00400330 <printf>:
    ...
00400620 <gets>:
    ...
```

Drawbacks:

Linker or loader must edit every use of a symbol (call site, global var use, ...)

Idea:

Put all symbols in a single “global offset table”

Code does lookup as needed

Indirect Function Calls

Indirect call:

00400010 <main>:

...

jal 0x00400330

...

jal 0x00400620

...

jal 0x00400330

...

00400330 <printf>:

...

00400620 <gets>:

...

GOT: global offset table

0x00400010 # main
0x00400330 # printf
0x00400620 # gets

Indirect Function Calls

Indirect call:

00400010 <main>:

...
lw \$t9, -32708(\$gp)
jalr \$t9

...
lw \$t9, -32704(\$gp)
jalr \$t9

...
lw \$t9, -32708(\$gp)
jalr \$t9

00400330 <printf>:

...
00400620 <gets>:
...

data segment

GOT: global offset table

0	0x00400010 # main
4	0x00400330 # printf
8	0x00400620 # gets

global offset table

to be loaded

at **-32712(\$gp)**

printf = 4+(-32712)+\$gp

gets = 8+(-32712)+\$gp

Indirect Function Calls

Indirect call:

00400010 <main>:

...
lw \$t9, -32708(\$gp)
jalr \$t9

...
lw \$t9, -32704(\$gp)
jalr \$t9

...
lw \$t9, -32708(\$gp)
jalr \$t9

00400330 <printf>:

...
00400620 <gets>:
...

data segment

.got

.word 0x00400010 # main

.word 0x00400330 # printf

.word 0x00400620 # gets

global offset table

to be loaded

at **-32712(\$gp)**

printf = 4+(-32712)+\$gp

gets = 8+(-32712)+\$gp

Dynamic Linking

Indirect call with on-demand dynamic linking:

```
00400010 <main>:
```

```
...
```

```
# load address of prints
```

```
# from .got[1]
```

```
lw t9, -32708(gp)
```

```
# now call it
```

```
jalr t9
```

```
...
```

```
.got
```

```
.word 00400888 # open
```

```
.word 00400888 # prints
```

```
.word 00400888 # gets
```

```
.word 00400888 # foo
```

Dynamic Linking

Indirect call with on-demand dynamic linking:

00400010 <main>:

```
...
# load address of prints
# from .got[1]
lw t9, -32708(gp)
# also load the index 1
li t8, 1
# now call it
jalr t9
...
.got
.word 00400888 # open
.word 00400888 # prints
.word 00400888 # gets
.word 00400888 # foo
```

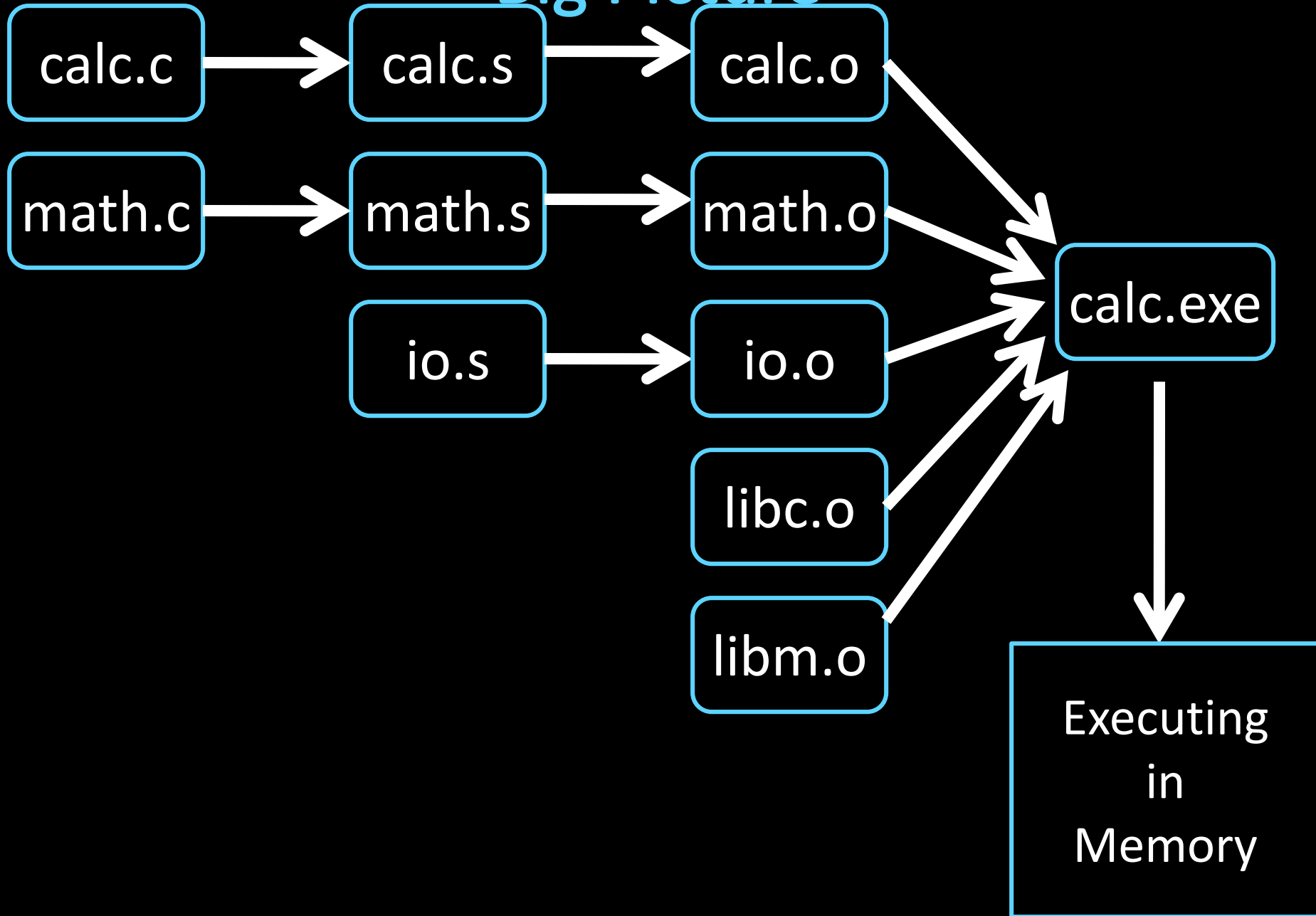
```
...
00400888 <dlresolve>:
# t9 = 0x400888
# t8 = index of func that
# needs to be loaded

# load that func
... # t7 = loadfromdisk(t8)

# save func's address so
# so next call goes direct
... # got[t8] = t7

# also jump to func
jr t7
# it will return directly
# to main, not here
```

Big Picture



Dynamic Shared Objects

Windows: dynamically loaded library (DLL)

- PE format

Unix: dynamic shared object (DSO)

- ELF format

Unix also supports Position Independent Code (PIC)

- Program determines its current address whenever needed (no absolute jumps!)
- Local data: access via offset from current PC, etc.
- External data: indirection through Global Offset Table (GOT)
- ... which in turn is accessed via offset from current PC

Static and Dynamic Linking

Static linking

- Big executable files (all/most of needed libraries inside)
- Don't benefit from updates to library
- No load-time linking

Dynamic linking

- Small executable files (just point to shared library)
- Library update benefits all programs that use it
- Load-time cost to do final linking
 - But dll code is probably already in memory
 - And can do the linking incrementally, on-demand

Recap

Compiler output is assembly files

Assembler output is obj files

Linker joins object files into one executable

Loader brings it into memory and starts execution