

# I/O

**Hakim Weatherspoon**  
**CS 3410, Spring 2011**  
Computer Science  
Cornell University

See: P&H Chapter 6.5-6

# Goals for Today

---

## Computer System Organization

How to talk to device?

- Programmed I/O or Memory-Mapped I/O

How to get events?

- Polling or Interrupts

How to transfer lots of data?

- Direct Memory Access (DMA)

# Computer System Organization

Computer System =

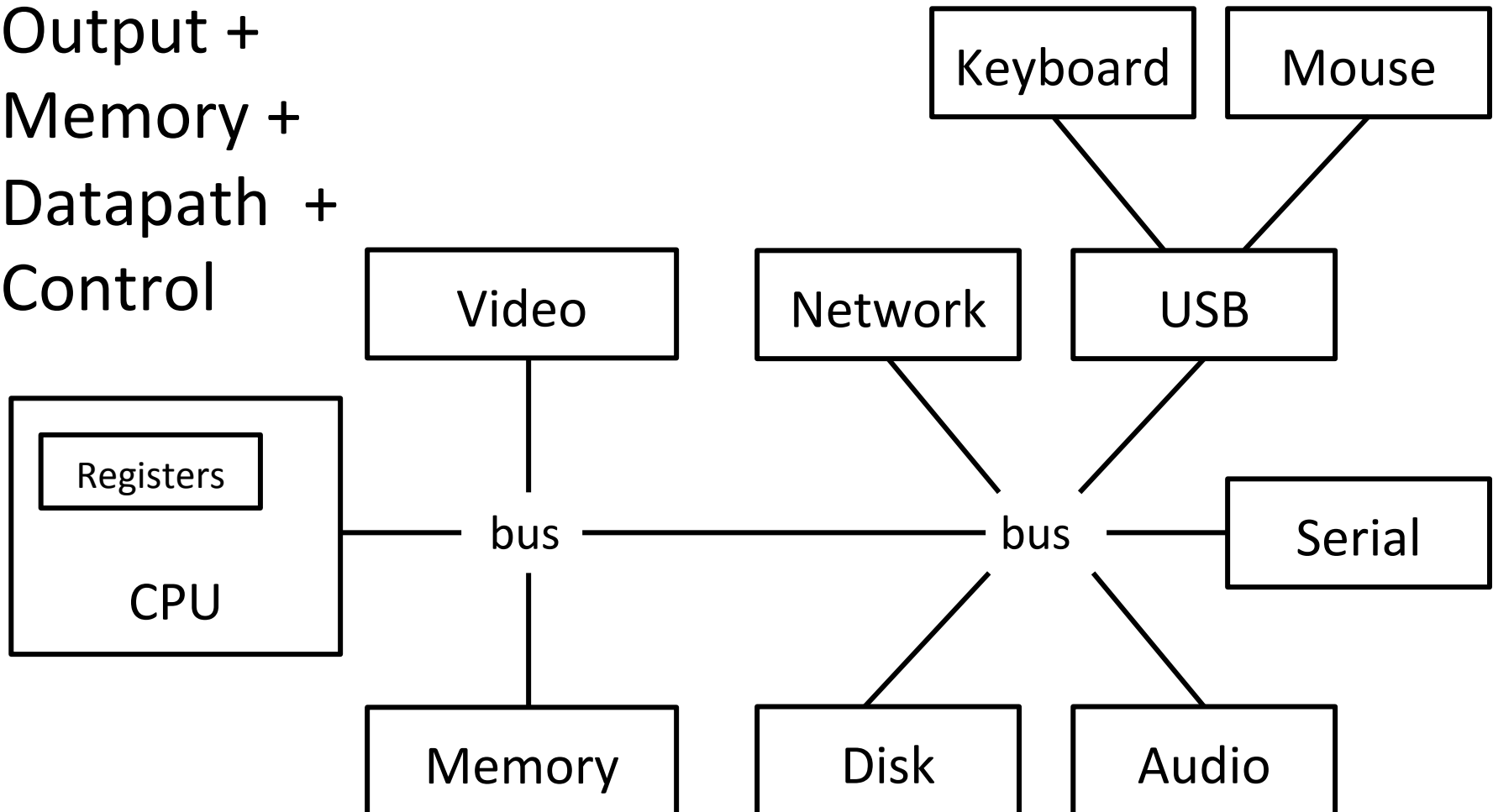
Input +

Output +

Memory +

Datapath +

Control



# Challenge

---

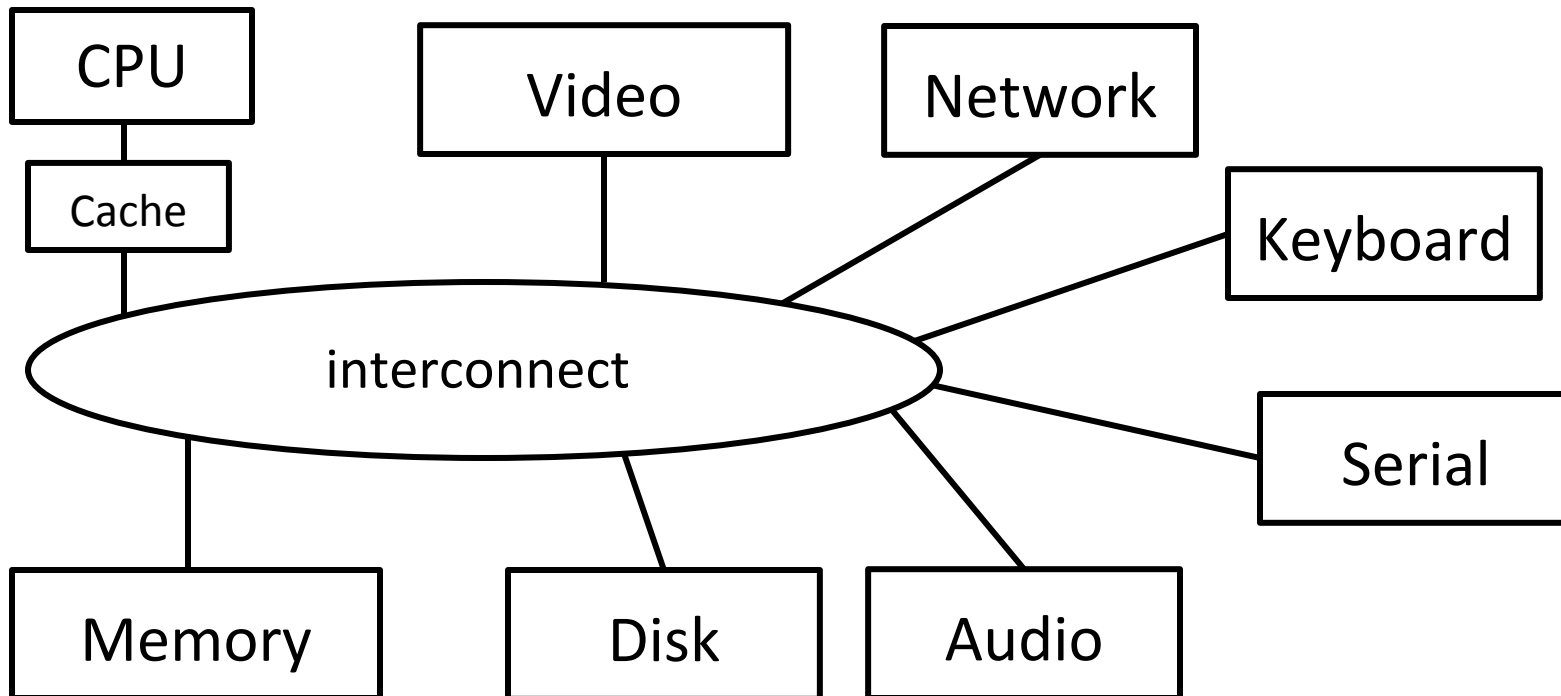
How do we interface to other devices

- Keyboard
- Mouse
- Disk
- Network
- Display
- Programmable Timer (for clock ticks)
- Audio
- Printer(s)
- Camera
- iPod
- Scanner
- ...

# Interconnects

## Bad Idea #1: Put all devices on one interconnect

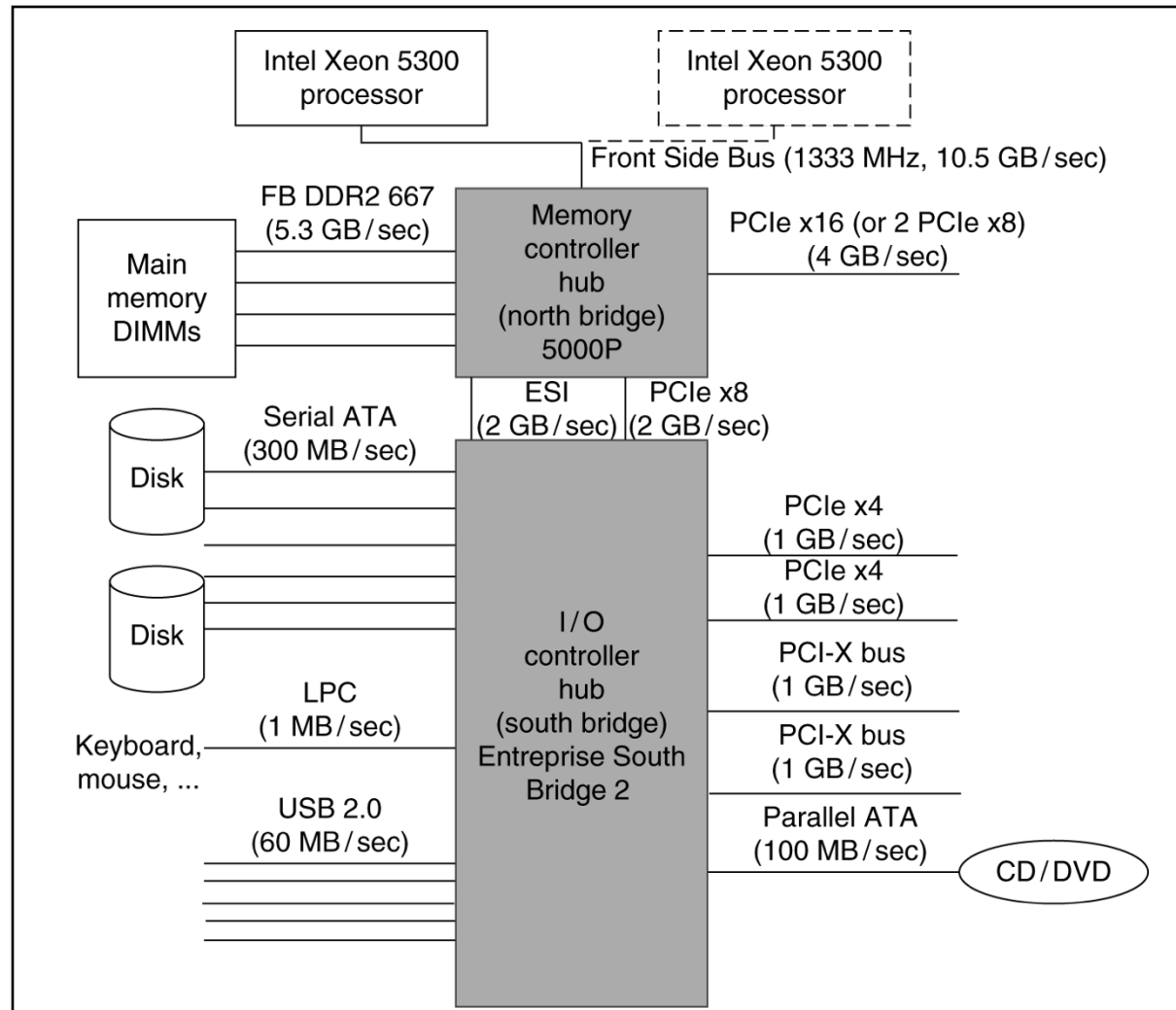
- We would have to replace all devices as we improve/change the interconnect
- keyboard speed == main memory speed ?!



# I/O Controllers

## Decouple via I/O Controllers and “Bridges”

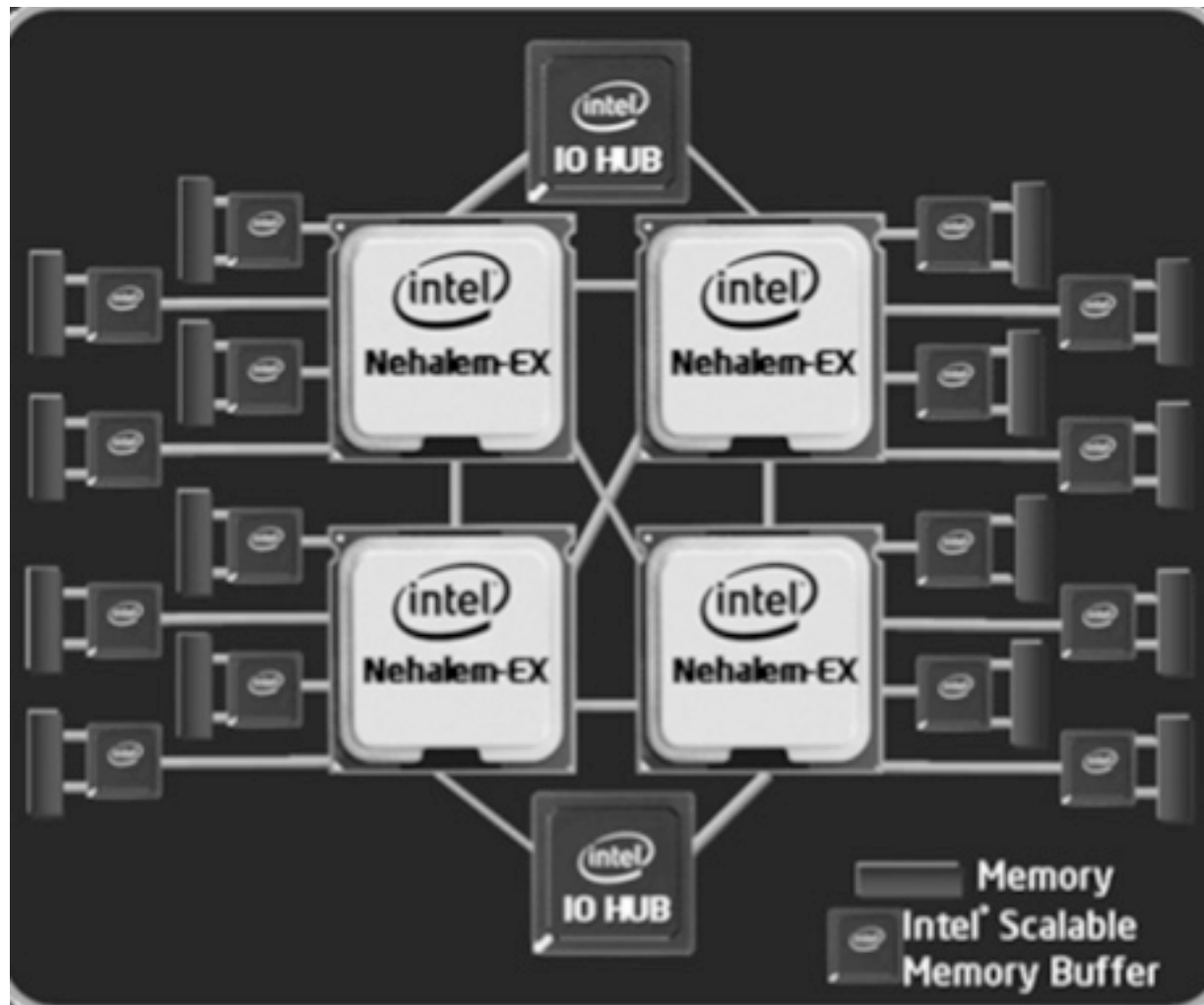
- fast/expensive busses when needed; slow/cheap elsewhere
- I/O controllers to connect end devices



# I/O Controllers

## Decouple via I/O Controllers and “Bridges”

- fast/expensive busses when needed; slow/cheap elsewhere
- I/O controllers to connect end devices



# Interconnecting Components

---

Interconnects are (were?) busses

- parallel set of wires for data and control
- shared channel
  - multiple senders/receivers
  - everyone can see all bus transactions
- bus protocol: rules for using the bus wires

Alternative (and increasingly common):

- dedicated point-to-point channels



# Bus Parameters

---

Width = number of wires

Transfer size = data words per bus transaction

Synchronous (with a bus clock)

or asynchronous (no bus clock / “self clocking”)

# Bus Types

---

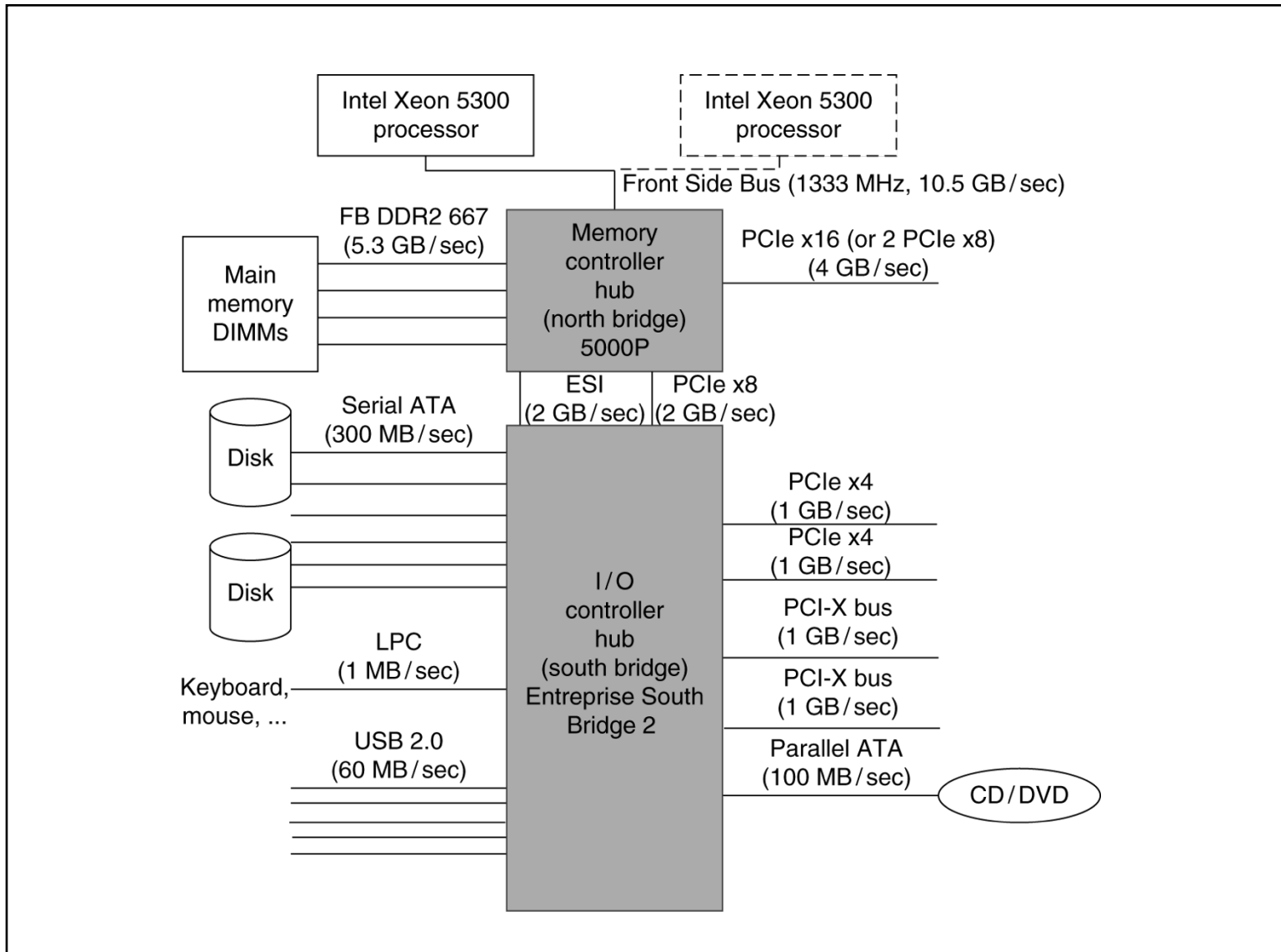
Processor – Memory (“Front Side Bus”. Also QPI)

- Short, fast, & wide
- Mostly fixed topology, designed as a “chipset”
  - CPU + Caches + Interconnect + Memory Controller

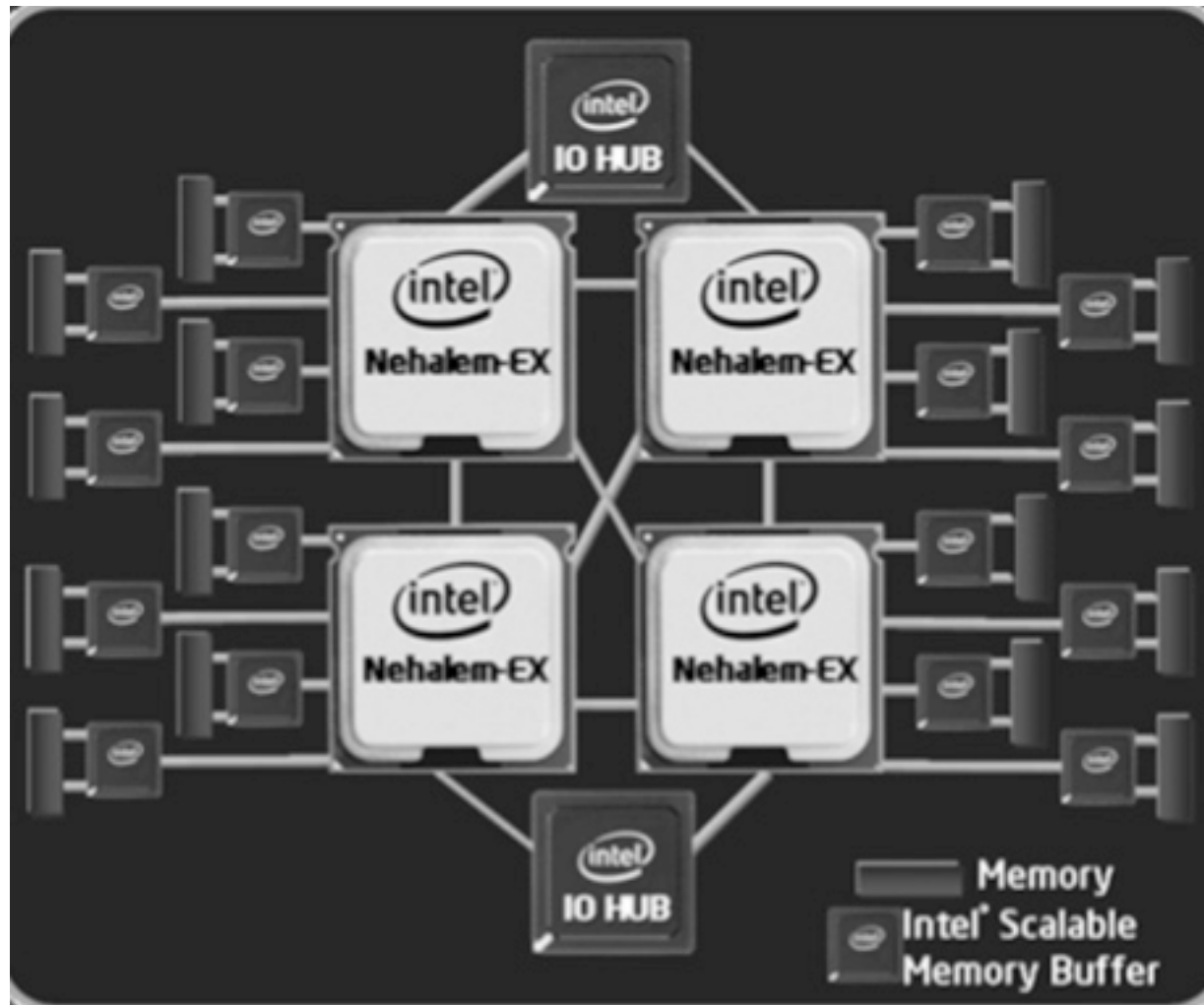
I/O and Peripheral busses (PCI, SCSI, USB, LPC, ...)

- Longer, slower, & narrower
- Flexible topology, multiple/varied connections
- Interoperability standards for devices
- Connect to processor-memory bus through a bridge

# Typical x86 PC I/O System



# Typical x86 PC I/O System



# I/O Device API

---

## Typical I/O Device API

- a set of read-only or read/write registers

## Command registers

- writing causes device to do something

## Status registers

- reading indicates what device is doing, error codes, ...

## Data registers

- Write: transfer data to a device
- Read: transfer data from a device

## Simple (old) example: AT Keyboard Device

8-bit Status: 

PE	TO	AUXB	LOCK	AL2	SYSF	IBS	OBS
----	----	------	------	-----	------	-----	-----

8-bit Cmd:

0xAA = “self test”

0xAE = “enable kbd”

0xED = “set LEDs”

...

8-bit Data:

scancode (when reading)

LED state (when writing) or ...

# Communication Interface

Q: How does ~~program~~ ~~OS~~ code talk to device?

A: special instructions to talk over special busses

## Programmed I/O

- `inb $a, 0x64`
- `outb $a, 0x60`
- Specifies: device, data, direction
- Protection: only allowed in kernel mode

\*x86: `$a` implicit; also `inw`, `outw`, `inh`, `outh`, ...

# Communication Interface

Q: How does ~~program~~ ~~OS~~ code talk to device?

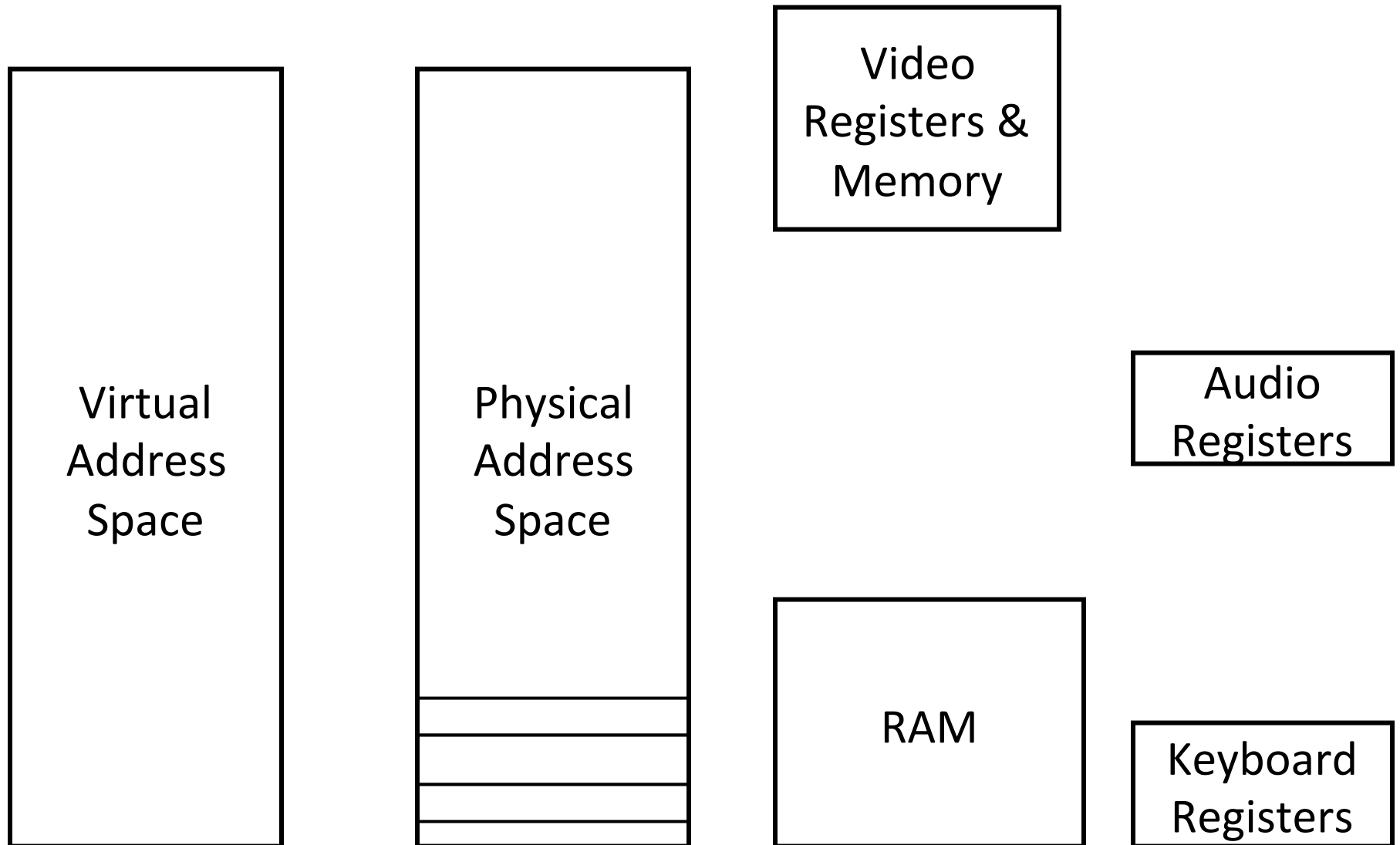
A: Map registers into virtual address space

## Memory-mapped I/O

- Accesses to certain addresses redirected to I/O devices
- Data goes over the memory bus
- Protection: via bits in pagetable entries
- OS+MMU+devices configure mappings



# Memory-Mapped I/O



# Device Drivers

## Programmed I/O

```
char read_kbd()
{
do {
    sleep();
    status = inb(0x64);
} while (!(status & 1));
return inb(0x60);
}
```

## Memory Mapped I/O

```
struct kbd {
    char status, pad[3];
    char data, pad[3];
};
kbd *k = mmap(...);

char read_kbd()
{
do {
    sleep();
    status = k->status;
} while (!(status & 1));
return k->data;
}
```

# Communication Method

---

Q: How does program learn device is ready/done?

A: Polling: Periodically check I/O status register

- If device ready, do operation
- If device done, ...
- If error, take action

Pro? Con?

- Predictable timing & inexpensive
- But: wastes CPU cycles if nothing to do
- Efficient if there is always work to do

Common in small, cheap, or real-time embedded systems

Sometimes for very active devices too...

# Communication Method

---

Q: How does program learn device is ready/done?

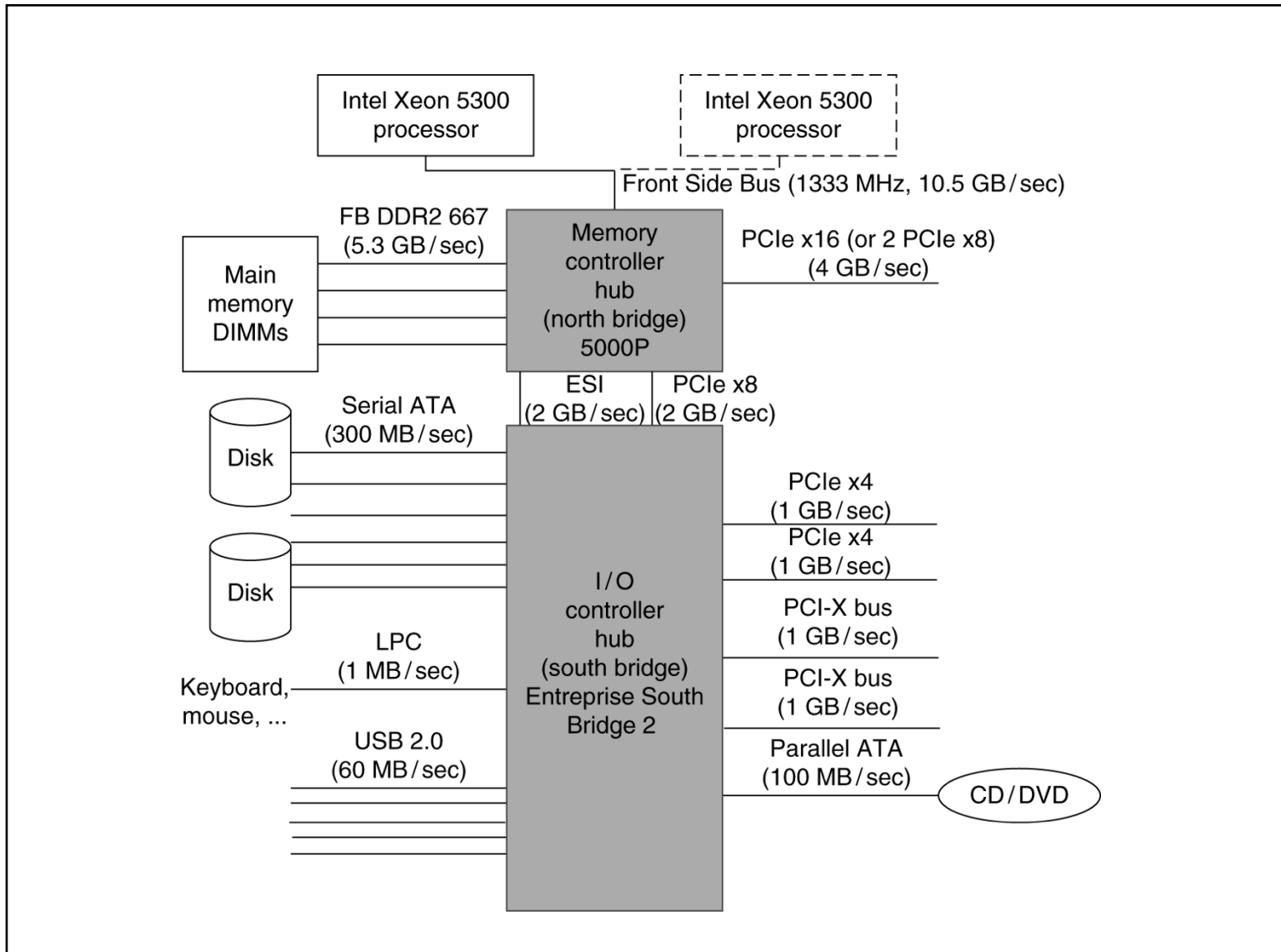
A: Interrupts: Device sends interrupt to CPU

- Cause identifies the interrupting device
- interrupt handler examines device, decides what to do

Priority interrupts

- Urgent events can interrupt lower-priority interrupt handling
- OS can ~~disable~~ defer interrupts

# Typical x86 PC I/O System



# I/O Data Transfer

---

How to talk to device?

Programmed I/O or Memory-Mapped I/O

How to get events?

Polling or Interrupts

How to transfer lots of data?

```
disk->cmd = READ_4K_SECTOR;
```

```
disk->data = 12;
```

```
while (!(disk->status & 1) { }
```

```
for (i = 0..4k)
```

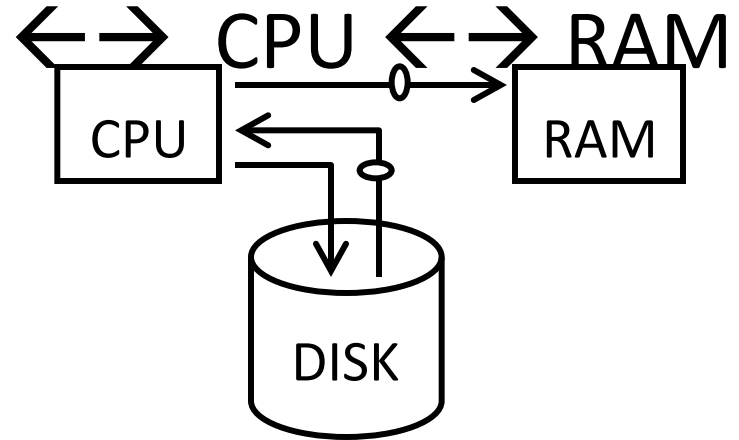
```
    buf[i] = disk->data;
```

# DMA: Direct Memory Access

Programmed I/O xfer: Device  $\leftrightarrow$  CPU  $\leftrightarrow$  RAM

for ( $i = 1 .. n$ )

- CPU issues read request
- Device puts data on bus & CPU reads into registers
- CPU writes data to memory



# I/O Data Transfer

---

Q: How to transfer lots of data efficiently?

A: Have device access memory directly

Direct memory access (DMA)

- OS provides starting address, length
- controller (or device) transfers data autonomously
- Interrupt on completion / error

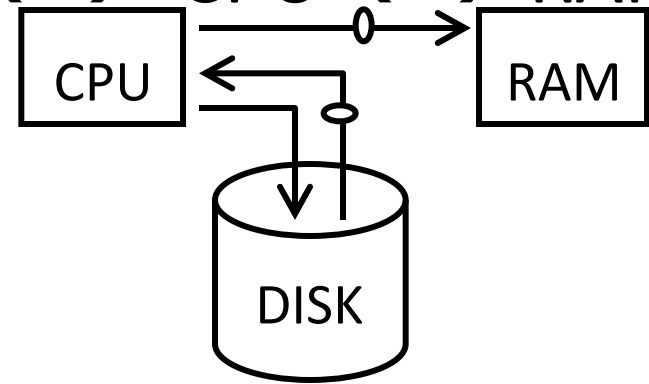


# DMA: Direct Memory Access

Programmed I/O xfer: Device  $\leftrightarrow$  CPU  $\leftrightarrow$  RAM

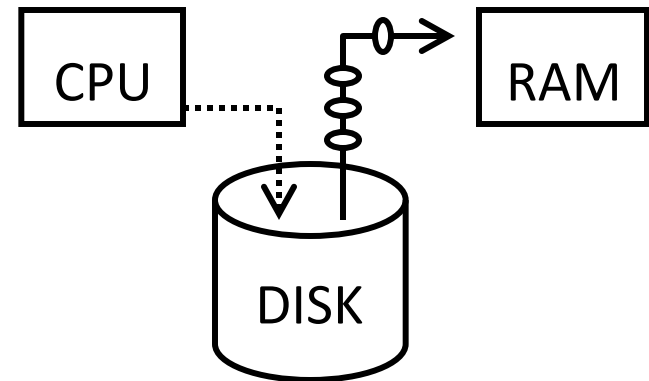
for ( $i = 1 .. n$ )

- CPU issues read request
- Device puts data on bus & CPU reads into registers
- CPU writes data to memory



DMA xfer: Device  $\leftrightarrow$  RAM

- CPU sets up DMA request
- for ( $i = 1 ... n$ )  
Device puts data on bus & RAM accepts it



# DMA Example

---

DMA example: reading from audio (mic) input

- DMA engine on audio device... or I/O controller ... or ...

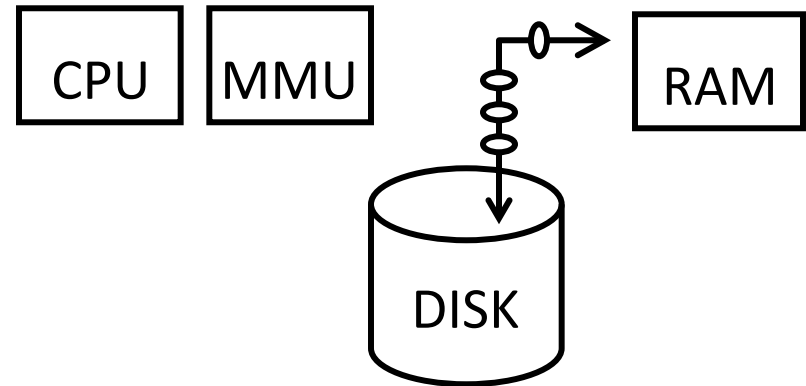
```
int dma_size = 4*PAGE_SIZE;
int *buf = alloc_dma(dma_size);
...
dev->mic_dma_baseaddr = (int)buf;
dev->mic_dma_count = dma_len;
dev->cmd = DEV_MIC_INPUT |
    DEV_INTERRUPT_ENABLE | DEV_DMA_ENABLE;
```

# DMA Issues (1): Addressing

Issue #1: DMA meets Virtual Memory

RAM: physical addresses

Programs: virtual addresses



Solution: DMA uses physical addresses

- OS uses physical address when setting up DMA
- OS allocates contiguous physical pages for DMA
- Or: OS splits xfer into page-sized chunks  
(many devices support DMA “chains” for this reason)

# DMA Example

---

DMA example: reading from audio (mic) input

- DMA engine on audio device... or I/O controller ... or ...

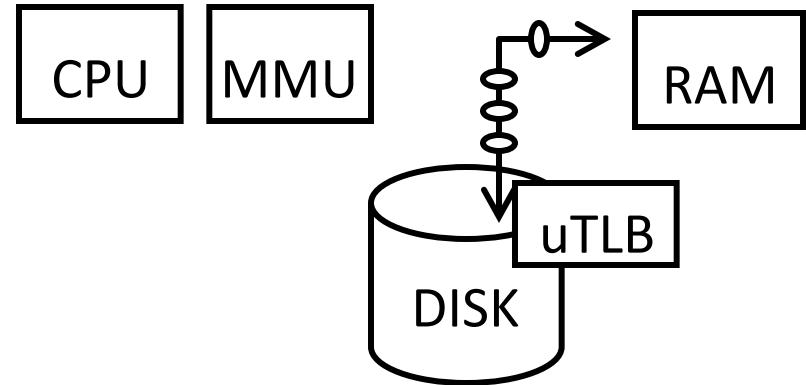
```
int dma_size = 4*PAGE_SIZE;
void *buf = alloc_dma(dma_size);
...
dev->mic_dma_baseaddr = virt_to_phys(buf);
dev->mic_dma_count = dma_len;
dev->cmd = DEV_MIC_INPUT |
    DEV_INTERRUPT_ENABLE | DEV_DMA_ENABLE;
```

# DMA Issues (1): Addressing

Issue #1: DMA meets Virtual Memory

RAM: physical addresses

Programs: virtual addresses



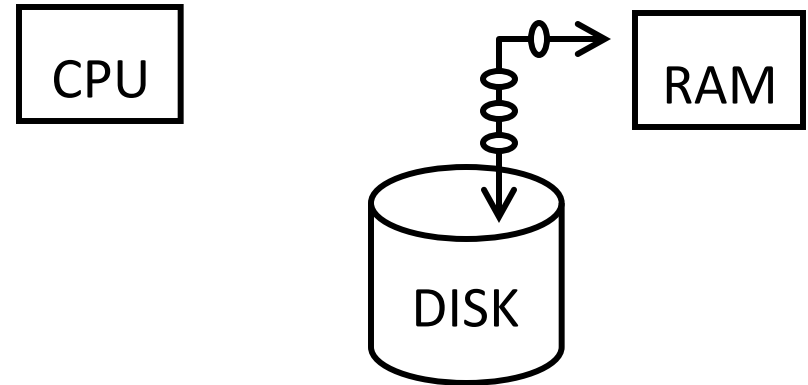
Solution 2: DMA uses virtual addresses

- OS sets up mappings on a mini-TLB

# DMA Issues (2): Virtual Mem

Issue #2: DMA meets *Paged* Virtual Memory

DMA destination page  
may get swapped out



Solution: Pin the page before initiating DMA

Alternate solution: Bounce Buffer

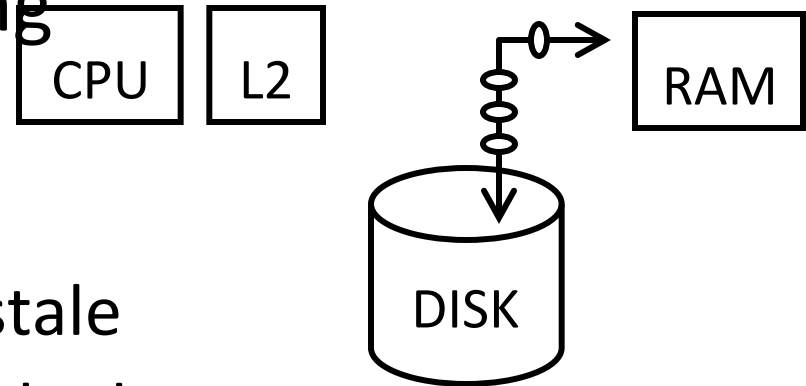
- DMA to a pinned kernel page, then memcpy elsewhere

# DMA Issues (4): Caches

Issue #4: DMA meets Caching

DMA-related data could  
be cached in L1/L2

- DMA to Mem: cache is now stale
- DMA from Mem: dev gets stale data



Solution: (software enforced coherence)

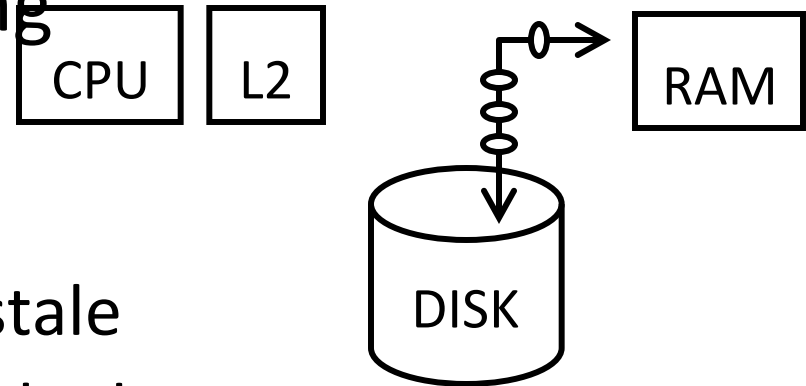
- OS flushes some/all cache before DMA begins
- Or: don't touch pages during DMA
- Or: mark pages as uncacheable in page table entries
  - (needed for Memory Mapped I/O too!)

# DMA Issues (4): Caches

Issue #4: DMA meets Caching

DMA-related data could  
be cached in L1/L2

- DMA to Mem: cache is now stale
- DMA from Mem: dev gets stale data



Solution 2: (hardware coherence aka snooping)

- cache listens on bus, and conspires with RAM
- Dma to Mem: invalidate/update data seen on bus
- DMA from mem: cache services request if possible, otherwise RAM services



# I/O Summary

---

How to talk to device?

Programmed I/O or Memory-Mapped I/O

How to get events?

Polling or Interrupts

How to transfer lots of data?

DMA