# CS 316: Privileged Mode, Exceptions and Interrupts

#### Kavita Bala Fall 2007

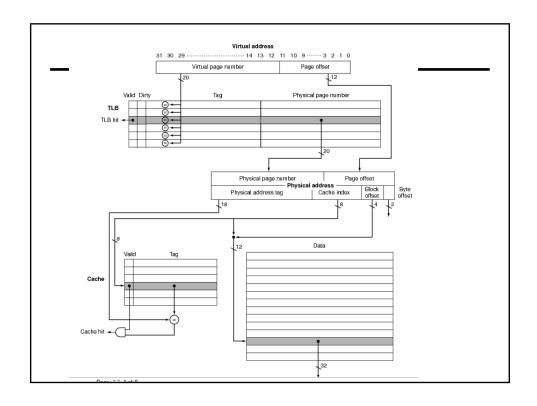
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#### **Announcements**

- PA 4 is due next Monday (Nov 12)
- In recitation, will be available to answer questions
- HW 1 finite state machine

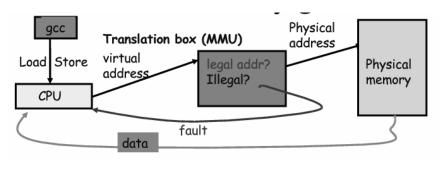
# Caches/TLBs/VM

- Caches, TLBs, Virtual Memory all understood by examining how they deal with the four questions
  - 1. Where can block be placed?
  - 2. How is block found?
  - 3. What block is replaced on miss?
  - 4. How are writes handled?



#### Address Translation

- Translation is done through the page table
  - A virtual memory miss (i.e., when the page is not in physical memory) is called a page fault



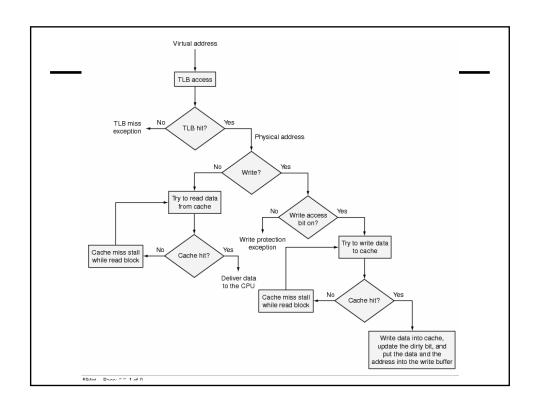
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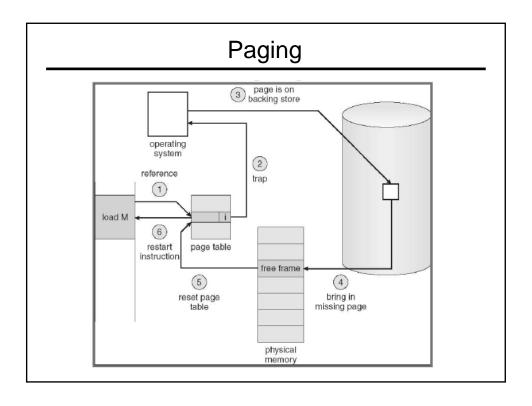
# Hardware/Software Boundary

- Virtual to physical address translation is assisted by hardware
- Hardware
  - Translation Lookaside Buffer (TLB) that caches the recent translations
    - TLB access time is part of the cache hit time
    - May allot an extra stage in the pipeline for TLB access
  - TLB miss
    - Can be in software (kernel handler) or hardware

# Hardware/Software Boundary

- Virtual to physical address translation is assisted by hardware
- Software
  - Page table storage, fault detection and updating
    - Page faults result in interrupts (precise) that are then handled by the OS
    - Hardware must support (i.e., update appropriately) Dirty and Reference bits (e.g., ~LRU) in the Page Tables





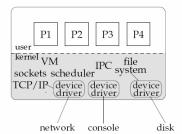
# Example: paging to disk

- Compiler (gcc) needs a new page of memory
- Trap/exception into OS
  - OS gets page from application (vi)
- If page is clean, give up page to gcc
- If page is dirty, ... only copy in memory
  - Write to disk, before giving it to gcc
- Mark page invalid in vi
  - (if vi needs this soon, it will trap)

# Privileged Mode, Exceptions, Traps and Interrupts

# Privilege Levels

- Some processor functionality cannot be made accessible to untrusted user applications
  - e.g. HALT, change MMU settings, set clock, reset devices, manipulate device settings, ...
- Need to have a designated mediator between untrusted/untrusting applications
  - The operating system (OS)



#### Privilege Mode

- Need to delineate between untrusted applications and OS code
  - Use a "privilege mode" bit in the processor
  - 0 = Untrusted = user, 1 = Trusted = OS
- Privilege mode bit indicates if the current program can perform privileged operations
  - On system startup, privilege mode is set to 1, and processor jumps to a well-known address
  - The OS boot code resides at this address
  - The OS sets up the devices, initializes the MMU, loads applications, and resets the privilege bit before invoking the application
- Applications must transfer control back to OS for privileged operations

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# **Terminology**

- Trap
  - Any kind of a control transfer to the OS
- Syscall
  - Synchronous, program-initiated control transfer from user to the OS to obtain service from the OS
  - e.g. SYSCALL
- Exception
  - Asynchronous, program-initiated control transfer from user to the OS in response to an exceptional event
  - e.g. Divide by zero
- Interrupt
  - Asynchronous, device-initiated control transfer from user to the OS
  - e.g. Clock tick, network packet

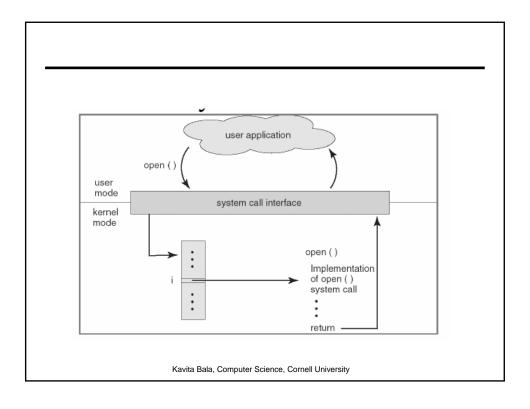
# Sample System Calls

- Print character to screen
  - Needs to multiplex the shared screen resource between multiple applications
- Send a packet on the network
  - Need to manipulate the internals of a device
- Allocate a page
  - Needs to update page tables & MMU

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# System Calls

- A system call is a controlled transfer of execution from unprivileged code to the OS
  - An alternative is to make OS code read-only, and allow applications to just jump to the desired system call routine. Why is this a bad idea?
- A SYSCALL instruction transfers control to a system call handler at a fixed address
  - On the MIPS, v0 holds the syscall number, which specifies the operation the application is requesting



#### Where does OS live?

- In its own address space?
  - But then syscall would have to switch to a different address space (not possible on most architectures)
  - Also harder to deal with syscall arguments passed as pointers
- So in the same address space as process
  - Use protection bits to prevent user code from writing kernel

# Full System Layout

- Typically all kernel text, most data
  - At same VA in every address space
  - Map kernel in contiguous physical memory when boot loader puts kernel into PM
- The OS is omnipresent and steps in where necessary to aid application execution
  - Typically resides in high memory
- When an application needs to perform a privileged operation, it needs to invoke the OS

OS Stack

OS Heap

OS Data

**OS Text** 

Stack

Heap

Data

Text

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# SYSCALL instruction

- SYSCALL instruction does an atomic jump to a controlled location
  - Switches the sp to the kernel stack
  - Saves the old (user) SP value
  - Saves the old (user) PC value (= return address)
  - Saves the old privilege mode
  - Sets the new privilege mode to 1
  - Sets the new PC to the kernel syscall handler

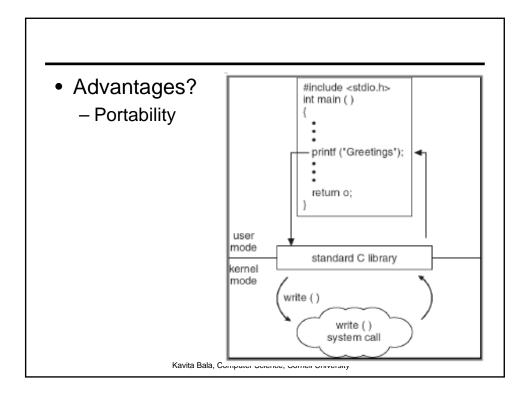
#### SYSCALL instruction

- Kernel system call handler carries out the desired system call
  - Saves callee-save registers
  - Examines the syscall number
  - Checks arguments for sanity
  - Performs operation
  - Stores result in v0
  - Restores callee-save registers
  - Performs a "return from syscall" instruction, which restores the privilege mode, SP and PC

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# Libraries and Wrappers

- Compilers do not emit SYSCALL instructions
  - They do not know the interface exposed by the OS
- Instead, applications are compiled with standard libraries, which provide "syscall wrappers"
  - printf() -> write(); malloc() -> sbrk(); recv(); open(); close(); ...
- Wrappers are:
  - written in assembler,
  - internally issue a SYSCALL instruction,
  - pass arguments to kernel,
  - pass result back to calling application



# **Exceptions**

- System calls are control transfers to the OS, performed under the control of the user program
- Sometimes, need to transfer control to the OS at a time when the user program least expects it
  - Division by zero,
  - Alert from power supply that electricity is going out,
  - Alert from network device that a packet just arrived,
  - Clock notifying the processor that clock just ticked
- Some of these causes for interruption of execution have nothing to do with the user application
- Need a (slightly) different mechanism, that allows resuming the user application

## Interrupts & Exceptions

- On an interrupt or exception
  - Switches the sp to the kernel stack
  - Saves the old (user) SP value
  - Saves the old (user) PC value
  - Saves the old privilege mode
  - Saves cause of the interrupt/privilege
  - Sets the new privilege mode to 1
  - Sets the new PC to the kernel interrupt/exception handler

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# Interrupts & Exceptions

- Kernel interrupt/exception handler handles the event
  - Saves all registers
  - Examines the cause
  - Performs operation required
  - Restores all registers
  - Performs a "return from interrupt" instruction, which restores the privilege mode, SP and PC

# Syscall vs. Interrupt

- The differences lie in how they are initiated, and how much state needs to be saved and restored
- Syscall requires much less state saving
  - Caller-save registers are already saved by the application
- Interrupts typically require saving and restoring the full state of the processor
  - Because the application got struck by a lightning bolt without anticipating the control transfer

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# Input / Output

# Challenge

- How do we interface to other devices
  - Keyboard
  - Mouse
  - Disk
  - Network
  - Printer
  - ...

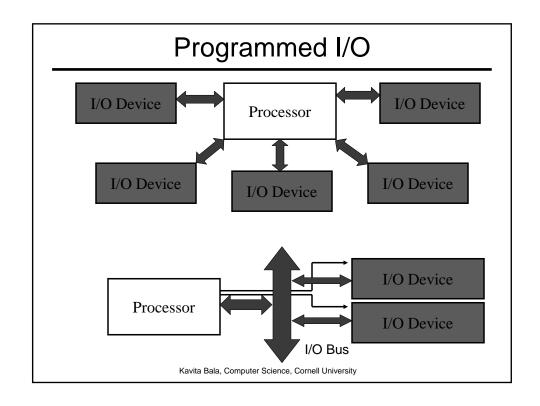
#### Communication Interface

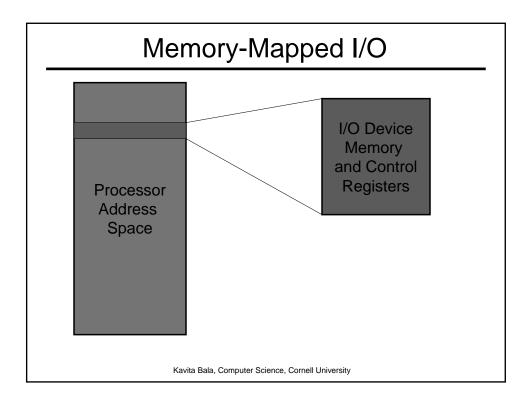
#### Programmed I/O

- CPU has dedicated, special instructions
- CPU has additional output signals (I/O bus)
- Instruction specifies device and operation
- Protection: I/O instructions are privileged

#### Memory-mapped I/O

- Device communication goes over the memory bus
- Reads/Writes to special addresses are converted into I/O operations
- Each device appears as if it is part of the memory address space
- Protection: device protected by the MMU





# Memory-Mapped I/O

- Basic Idea Make control registers and I/O device memory appear to be part of the system's main memory
  - Reads and writes to that region of the memory are translated by OS into device accesses
  - Easy to support variable numbers/types of devices
    - Just map them onto different regions of memory
    - Managing new devices is now memory allocation
    - Example: accessing memory on a PCMCIA card
      - Once card memory mapped into address space, just hand out pointers like conventional memory

## Memory-Mapped I/O

- Basic Idea Make control registers and I/O device memory appear to be part of the system's main memory
  - Accessing I/O device registers and memory can be done by accessing data structures via the device pointers
    - Most device drivers are now written in C. Memory mapped I/O makes it possible without special changes to the compiler

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# **Processor Memory Maps**

- Define which regions of the address space are allocated to memory and/or I/O
- Some processors/operating systems use fixed memory maps
- Others use variable mappings
  - Unix/Linux has virtual memory system, maps I/O devices onto memory only when requested. This allows more flexibility for a system to accommodate variable number I/O devices