



CS 3110

Abstraction and Specification

Prof. Clarkson
Fall 2018

Today's music: "A Fifth of Beethoven" by Walter Murphy

Please try to
sit with your
team
(and every
lecture
henceforth).



OCaml



Review

Previously in 3110:

- Language features for modularity
- Some familiar data structures

Today:

- Abstraction
- Specification of functions

Attendance question

How do you learn libraries?

- A. I search StackOverflow for **examples** then tweak them
- B. I read a **tutorial** on someone's blog
- C. I read the library's official **documentation**
- D. I read the library's **source code**

What if you had to read the implementation?

```
let rec sort n l =  
  match n, l with  
  | 2, x1 :: x2 :: _ ->  
    if cmp x1 x2 <= 0 then [x1; x2] else [x2; x1]  
  | 3, x1 :: x2 :: x3 :: _ ->  
    if cmp x1 x2 <= 0 then begin  
      if cmp x2 x3 <= 0 then [x1; x2; x3]  
      else if cmp x1 x3 <= 0 then [x1; x3; x2]  
      else [x3; x1; x2]  
    end else begin  
      if cmp x1 x3 <= 0 then [x2; x1; x3]  
      else if cmp x2 x3 <= 0 then [x2; x3; x1]  
      else [x3; x2; x1]  
    end  
  | n, l ->  
    let n1 = n asr 1 in  
    let n2 = n - n1 in  
    let l2 = chop n1 l in  
    let s1 = rev_sort n1 l in  
    let s2 = rev_sort n2 l2 in  
    rev_merge_rev s1 s2 []
```

...

Abstraction

(verb)

Forgetting information, so that different things can be treated as the same

(noun)

Artifacts that result from that process

Specification

(noun)

Intended behavior of abstraction

(verb)

The act of creating such an artifact

Example specification

val sort :

('a -> 'a -> int) -> 'a list -> 'a list

Sort a list in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller (see **Array.sort** for a complete specification). For example, **compare** is a suitable comparison function. The resulting list is sorted in increasing order. **List.sort** is guaranteed to run in constant heap space (in addition to the size of the result list) and logarithmic stack space.

Discuss: Identify preconditions and postconditions.

Example specification

val sort :

('a -> 'a -> int) -> 'a list -> 'a list

Sort a list in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive value if the first is smaller, and a negative value if the first is smaller (or if the first is smaller). For example, **compare** is a suitable comparison function. The resulting list is sorted in increasing order. **List.sort** is guaranteed to run in constant heap space (in addition to the size of the result list) and logarithmic stack space.

One-line summary of behavior

Example specification

val sort :

('a -> 'a -> int) -> 'a list -> 'a list

Sort a list in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller (see **Array.sort** for a complete specification). For example, **compare** is a suitable comparison function. The resulting list is sorted in increasing order. **List.sort** is guaranteed to run in constant heap space (of the result list) and logarithmic stack space.

Precondition

Example specification

val sort :

('a -> 'a -> int) -> 'a list -> 'a list

Sort a list in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller (see **Array.sort** for a complete specification). For example, **compare** is a suitable comparison function. The resulting list is sorted in increasing order. **List.sort** is guaranteed to run in constant heap space (in addition to the size of the result list) and logarithmic stack space.

Postcondition

Example specification

val sort :

('a -> 'a -> int) -> 'a list -> 'a list

Sort a list in increasing order according to a comparison function. The comparison function must return 0 if its arguments compare as equal, a positive integer if the first is greater, and a negative integer if the first is smaller (see **Array.sort** for a complete specification). For example, **compare** is a suitable comparison function. The resulting list is sorted in increasing order. **List.sort** is guaranteed to run in constant heap space (in addition to the size of the result list) and logarithmic stack space.

Promise about efficiency

Specifications are contracts



Benefits

- **Locality:** understand abstraction without needing to read implementation
- **Modifiability:** change implementation without breaking client code
- **Accountability:** clarify who is to blame

Audience of specification

- Clients

- What they must guarantee (preconditions)
- What they can assume (postconditions)

- Implementers

- What they can assume (preconditions)
- What they must guarantee (postconditions)

Audience of specification

- **Clients**
 - What they must guarantee (preconditions)
 - What they can assume (postconditions)
- **Implementers**
 - What they can assume (preconditions)
 - What they must guarantee (postconditions)

Audience of specification

- **Clients**
 - What they must guarantee (preconditions)
 - What they can assume (postconditions)
- **Implementers**
 - What they can assume (preconditions)
 - What they must guarantee (postconditions)

Satisfaction

An implementation **satisfies** a specification if it provides the described behavior

Many implementations can satisfy the same specification

- **Client** has to assume it could be any of them
- **Implementer** gets to pick one

SPECIFYING FUNCTIONS

A template for spec. comments

```
(** [f x] is ...  
    Example: ...  
    Requires: ...  
    Raises: ... *)  
val f : t1 ... -> u
```

Based on *Abstraction and Specification in Program Development*

(Now *Program Development in Java: Abstraction, Specification, and Object-Oriented Design*)

By Barbara Liskov and John Guttag

Barbara Liskov



b. 1939

Turing Award Winner 2008

For contributions to practical and theoretical foundations of programming language and system design, especially related to data abstraction, fault tolerance, and distributed computing.

Requires clause

```
(** [hd lst] is the head of [lst].  
    Requires: [lst] is non-empty. *)  
val hd : 'a list -> 'a
```

Precondition: blame client if input is bad

Requires clause

```
(** [hd lst] is the head of [lst].  
    Requires: [lst] is non-empty. *)  
val hd : 'a list -> 'a
```

Precondition: blame client

Types are part of
the source code
not the comment.



Returns clause

```
(** [sort lst] contains the same  
    elements as [lst], but sorted  
    in ascending order. *)
```

```
val sort : int list -> int list
```

Postcondition: blame implementer if output is bad
(unless client violated a precondition)

Example clause

```
(** Examples:  
  - [sort [1;3;2;3]] is [[1;2;3;3]].  
  - [sort []] is []. *)  
val sort : int list -> int list
```

Super helpful to clarify spec for humans.

Raises clause

```
(** [hd lst] is the head of [lst].  
    Requires: [lst] is non-empty.  
    Raises: [Failure "hd"] if [lst]  
           is empty. *)  
val hd : 'a list -> 'a
```

Also a postcondition: behavior implementer must provide

Total function:

Well-defined behavior for all inputs.

No requires/raises clause needed.

Partial function:

Some inputs lead to unspecified behavior.

Requires/raises clause needed.

Assert the precondition?

Discuss: should you? always? in limited circumstances?

A. Always B. Sometimes C. Never

WORKING WITH SPECS

TL;DR: It's hard

Writing good specs is hard:

- the language and compiler do not demand it
- if you're coding only for yourself, neither do you

Reading specs is also hard:

- requires close attention to detail

When to write specifications

- **During design:**
 - as soon as a design decision is made, document it in a specification
 - posing and answering questions about behavior clarifies what to implement
- **During implementation:**
 - update specification during code revisions
 - a specification becomes obsolete only when the abstraction becomes obsolete

What if spec is ambiguous?

Ambiguity is a fact of life.

Do the most reasonable thing you can.

Probably not 

Who wrote it?

- **You:** improve it
- **Client:** seek clarification; but if you make 500 requests they probably won't hire you again

Upcoming events

- No A3 GIST tonight

This is hard.

THIS IS 3110