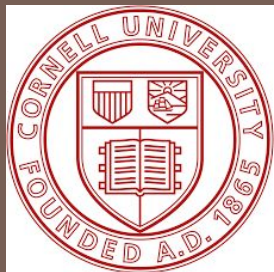
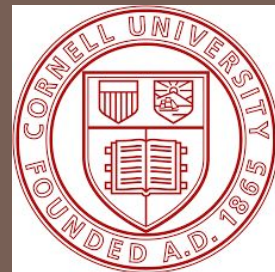


# Object-oriented programming and data-structures



CS/ENGRD 2110  
SUMMER 2018



Lecture 7: Complexity

<http://courses.cs.cornell.edu/cs2110/2018su>

# Lecture 6 Recap

2

- Introduced the notion of recursion and backtracking recursion
- Discussed a number of problems that could be solved using recursions
- Hinted that recursion could be expensive.
  - What does expensive mean?

# This lecture

3

- Formalise the notion of “expensive”
- Introduce Big-O notation
- Proofs of Big-O
- Applying Big-O to datastructures

# What Makes a Good Algorithm?

4

Suppose you have two possible algorithms that do the same thing; which is *better*?

Ex: is retrieving an element from **LinkedList** better than from **ArrayList**?

# What Makes a Good Algorithm?

5

Suppose you have two possible algorithms that do the same thing; which is *better*?

Ex: is retrieving an element from **LinkedList** better than from **ArrayList**?

What do we mean by *better*?

- Faster?
- Less space?
- Easier to code?
- Easier to maintain?
- Required for homework?

# What Makes a Good Algorithm?

6

Suppose you have two possible algorithms that do the same thing; which is *better*?

Ex: is retrieving an element from **LinkedList** better than from **ArrayList**?

What do we mean by *better*?

- Faster?
- Less space?
- Easier to code?
- Easier to maintain?
- Required for homework?

FIRST, Aim for simplicity, ease of understanding, correctness.

SECOND, Worry about efficiency only when it is needed.

# What Makes a Good Algorithm?

7

Suppose you have two possible algorithms that do the same thing; which is *better*?

Ex: is retrieving an element from **LinkedList** better than from **ArrayList**?

What do we mean by *better*?

- Faster?
- Less space?
- Easier to code?
- Easier to maintain?
- Required for homework?

FIRST, Aim for simplicity, ease of understanding, correctness.

SECOND, Worry about efficiency only when it is needed.

How do we measure speed of an algorithm?

# Basic Step: one “constant time” operation

8

**Constant time operation:** its time doesn't depend on the size or length of anything. Always roughly the same. Time is bounded above by some number

## **Basic step:**

- Input/output of a number
- Access value of primitive-type variable, array element, or object field
- assign to variable, array element, or object field
- do one arithmetic or logical operation
- method call (not counting arg evaluation and execution of method body)



# Counting Steps

9

```
// Store sum of 1..n consecutive
integers in sum
sum= 0;
// inv: sum = sum of 1..(k-1)
for (int k= 1; k <= n; k= k+1){
    sum= sum + k;
}
```

All basic steps take time 1.

# Counting Steps

10

```
// Store sum of 1..n consecutive
integers in sum
sum= 0;
// inv: sum = sum of 1..(k-1)
for (int k= 1; k <= n; k= k+1){
    sum= sum + k;
}
```

All basic steps take time 1.

<u>Statement:</u>	<u># times done</u>
1	sum= 0;
k= 1;	1
k <= n	n+1
k= k+1;	n
sum= sum + k;	n
<u>Total steps:</u>	<u>3n + 3</u>

# Counting Steps

11

```
// Store sum of 1..n consecutive
integers in sum
sum= 0;
// inv: sum = sum of 1..(k-1)
for (int k= 1; k <= n; k= k+1){
    sum= sum + k;
}
```

All basic steps take time 1.  
There are  $n$  loop iterations. Therefore,  
takes time proportional to  $n$ .

<u>Statement:</u>	<u># times done</u>
1	sum= 0;
k= 1;	1
k <= n	n+1
k= k+1;	n
sum= sum + k;	n
<u>Total steps:</u>	<u>3n + 3</u>



# Not all operations are basic steps

12

```
// Store n copies of 'c' in s
s = "";
// inv: s contains k-1 copies of 'c'
for (int k = 1; k <= n; k = k+1){
    s = s + 'c';
}
```

<u>Statement:</u>	<u># times done</u>
1	$s = "";$
$k = 1;$	1
$k \leq n$	$n + 1$
$k = k + 1;$	$n$
$s = s + 'c';$	$n$
<u>Total steps:</u>	<u><math>3n + 3</math></u>

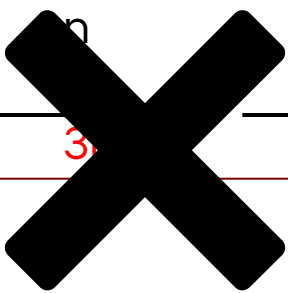
# Not all operations are basic steps

13

```
// Store n copies of 'c' in s
s = "";
// inv: s contains k-1 copies of 'c'
for (int k = 1; k <= n; k = k+1){
    s = s + 'c';
}
```

Concatenation is not a basic step. For each  $k$ , concatenation creates and fills  $k$  array elements.

<u>Statement:</u>	<u># times done</u>
<code>s = "";</code>	1
<code>k = 1;</code>	1
<code>k &lt;= n</code>	$n+1$
<code>k = k+1;</code>	$n$
<code>s = s + 'c';</code>	$n$
<u>Total steps:</u>	<u>3n</u>



# String Concatenation

14

`s = s + "c";` is NOT constant time.  
It takes time proportional to  $1 + \text{length of } s$

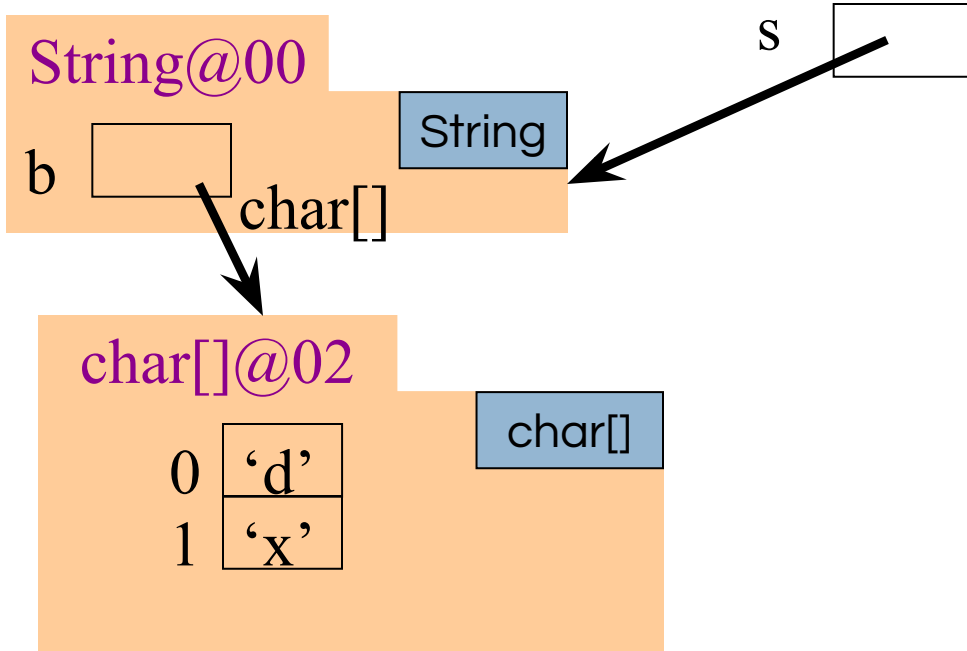
s



# String Concatenation

15

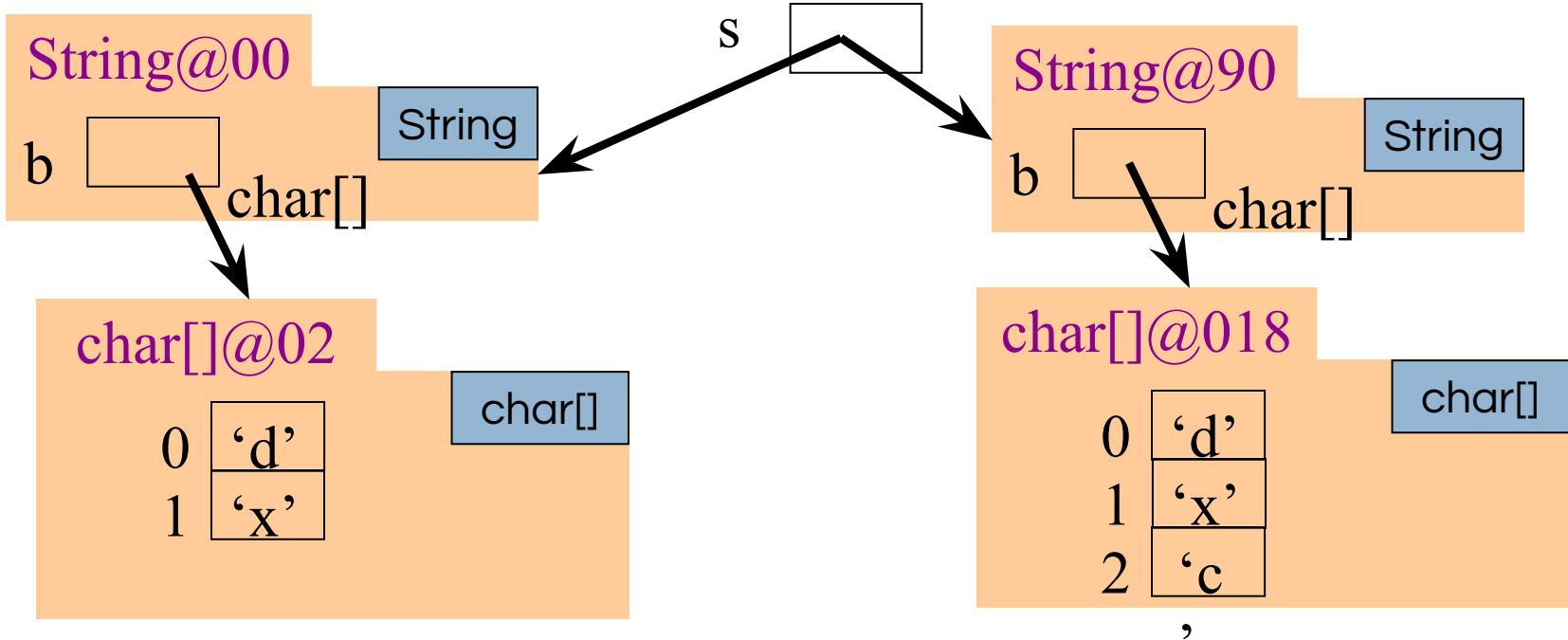
`s = s + "c";` is NOT constant time.  
It takes time proportional to  $1 + \text{length of } s$



# String Concatenation

16

`s = s + "c";` is NOT constant time.  
It takes time proportional to  $1 + \text{length of } s$





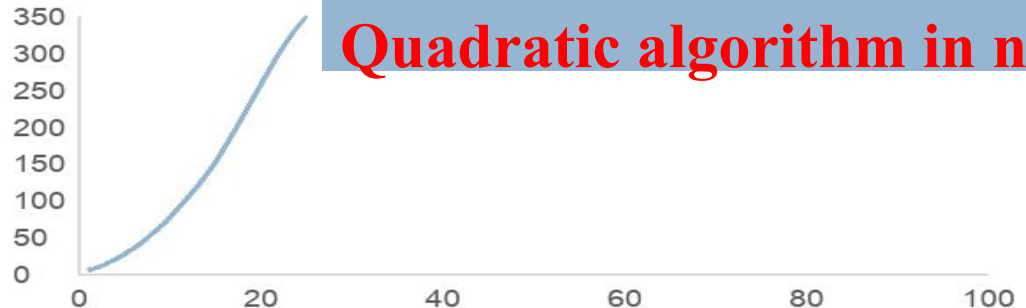
# Not all operations are basic steps

17

```
// Store n copies of 'c' in s
s = "";
// inv: s contains k-1 copies of 'c'
for (int k = 1; k <= n; k = k + 1){
    s = s + 'c';
}
```

<u>Statement:</u>	<u># times</u>	<u># steps</u>
<code>s = "";</code>	1	1
<code>k = 1;</code>	1	1
<code>k &lt;= n</code>	$n+1$	1
<code>k = k + 1;</code>	$n$	1
<code>s = s + 'c';</code>	$n$	$k$
<b>Total steps:</b>		$n*(n+1)/2 + 2n + 3$

Concatenation is not a basic step.  
For each  $k$ , concatenation creates and fills  $k$  array elements.



# Linear versus quadratic

18

```
// Store sum of 1..n in sum
sum= 0;
// inv: sum = sum of 1..(k-1)
for (int k= 1; k <= n; k= k+1)
    sum= sum + n
```

**Linear algorithm**

```
// Store n copies of 'c' in s
s= "";
// inv: s contains k-1 copies of 'c'
for (int k= 1; k = n; k= k+1)
    s= s + 'c';
```

**Quadratic algorithm**

In comparing the runtimes of these algorithms, the exact number of basic steps is not important. What's important is that

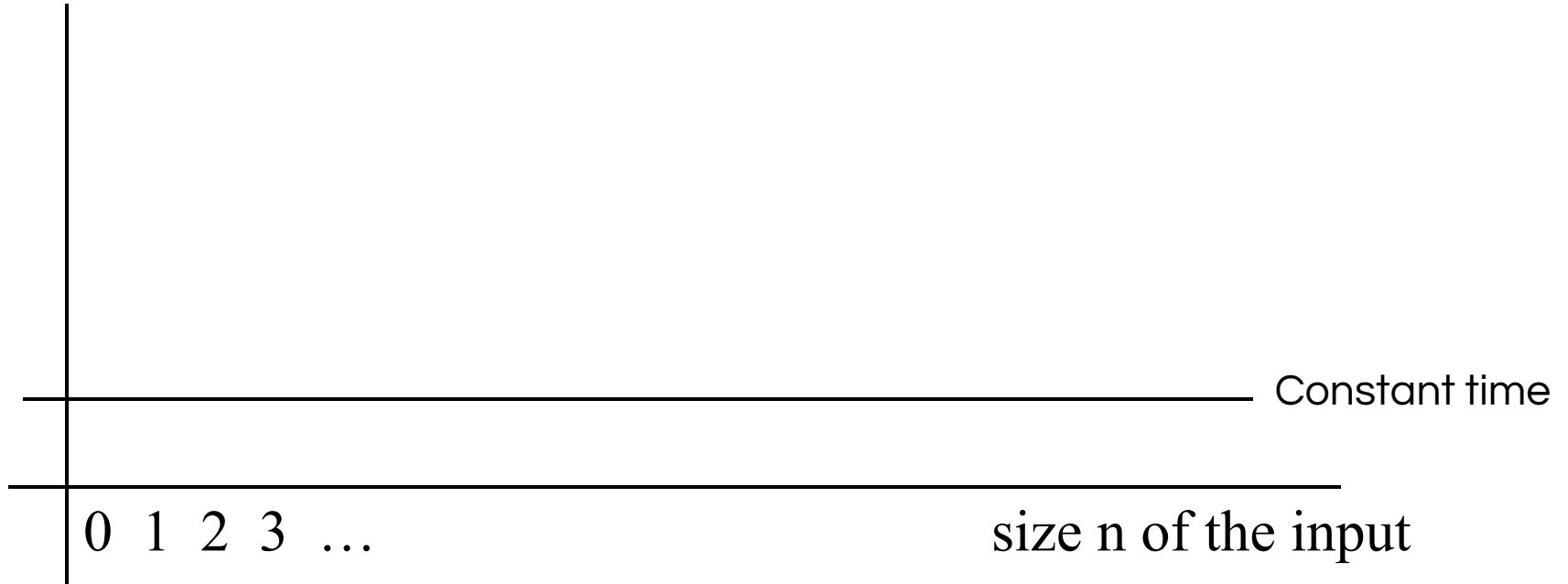
One is linear in  $n$ —takes time proportional to  $n$

One is quadratic in  $n$ —takes time proportional to  $n^2$

# Looking at execution speed

19

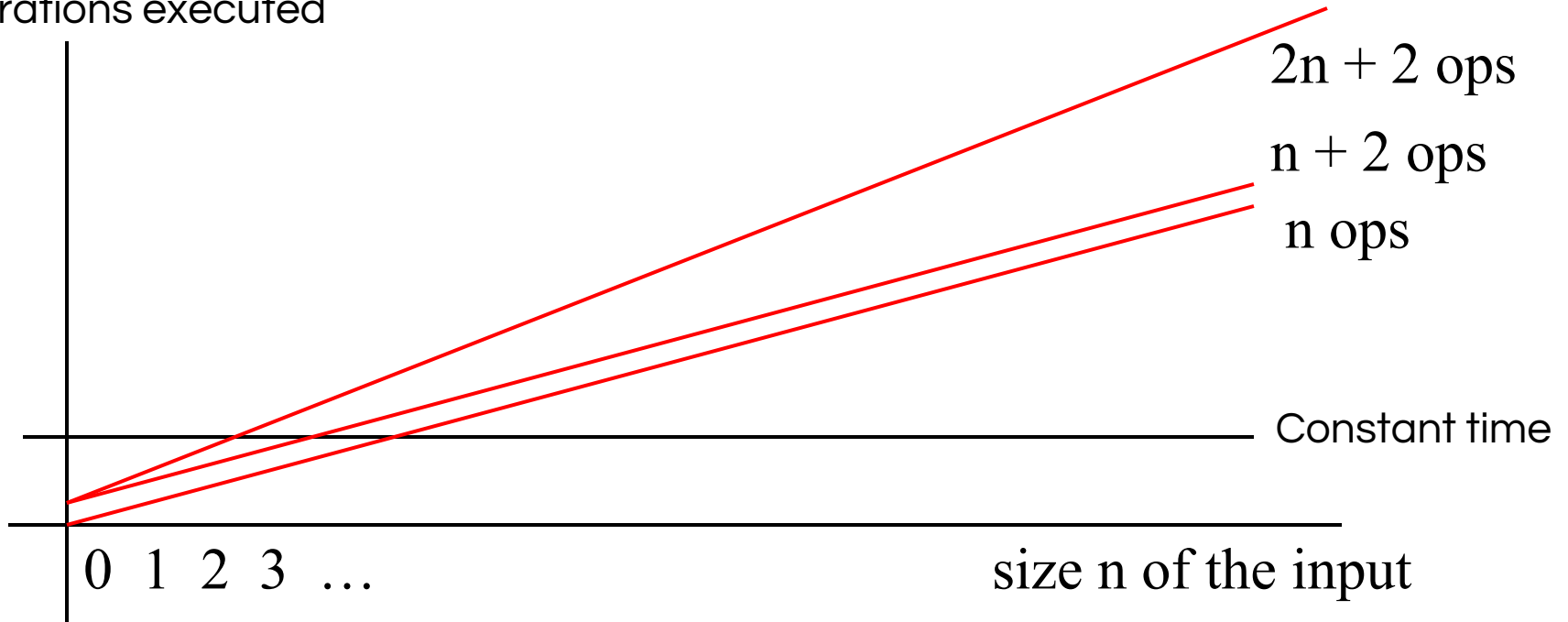
Number of  
operations executed



# Looking at execution speed

20

Number of operations executed

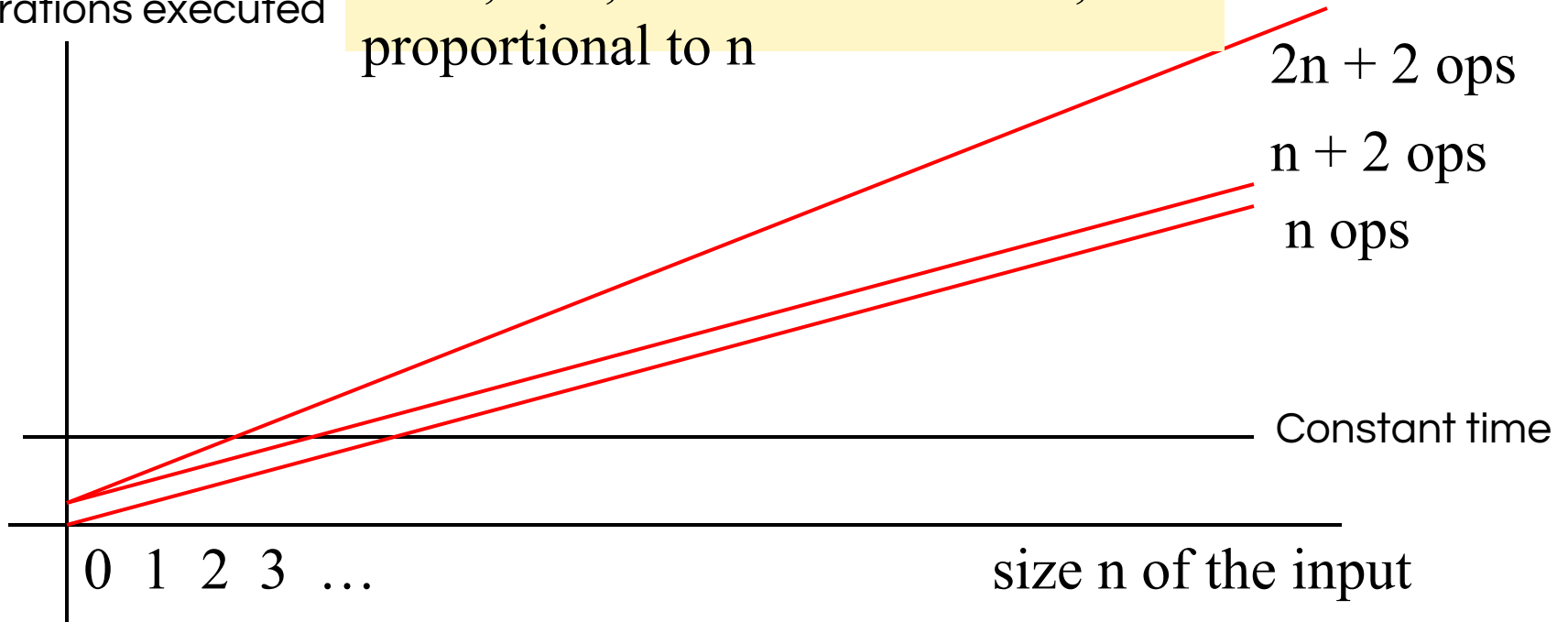


# Looking at execution speed

21

Number of operations executed

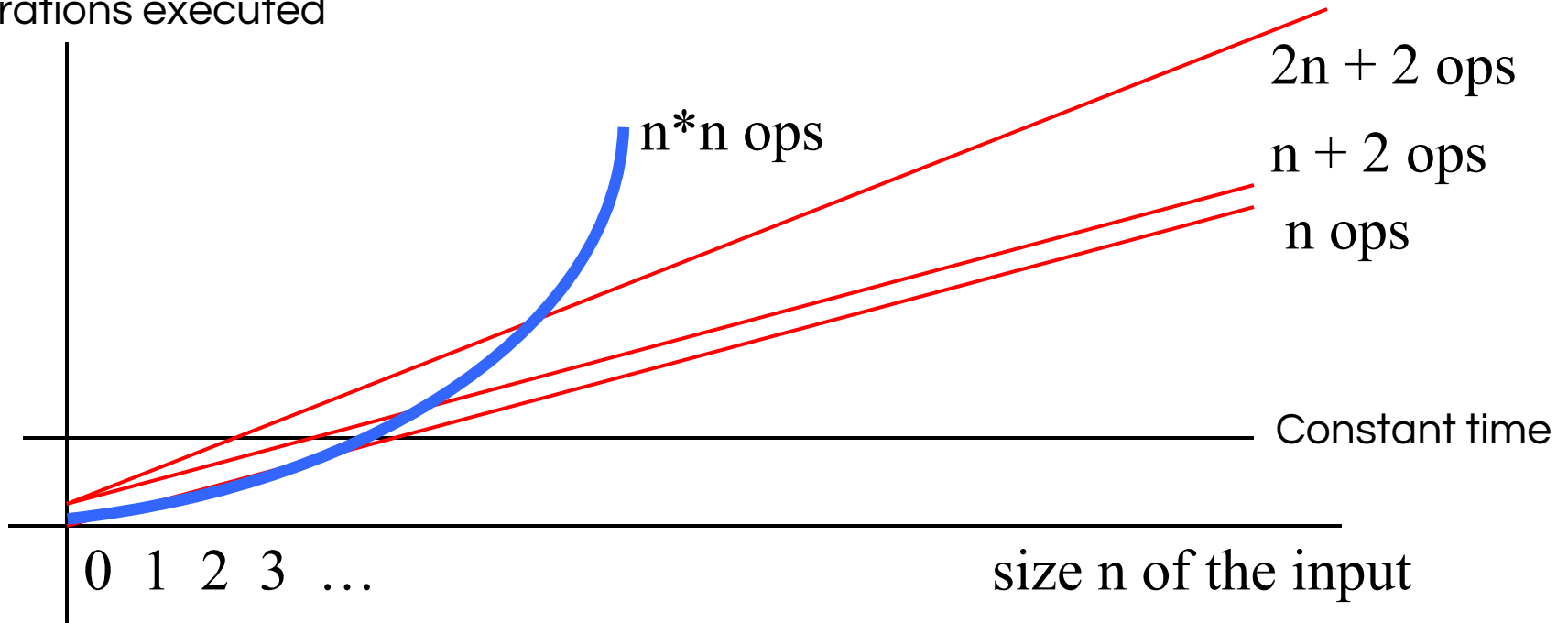
$2n+2$ ,  $n+2$ ,  $n$  are all linear in  $n$ , proportional to  $n$



# Looking at execution speed

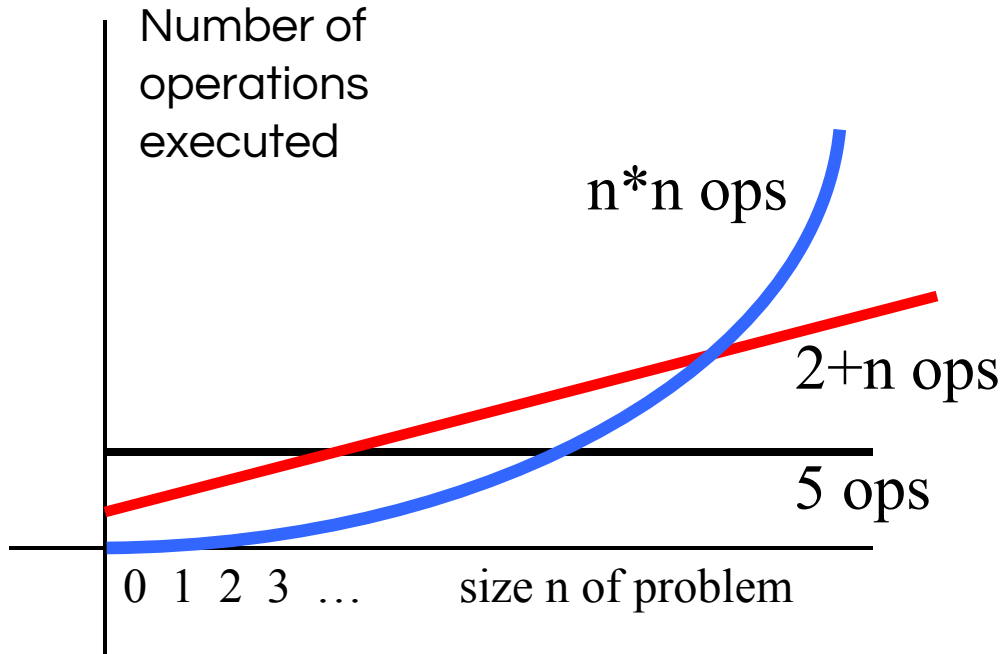
22

Number of operations executed



# What do we want from a definition of “runtime complexity”?

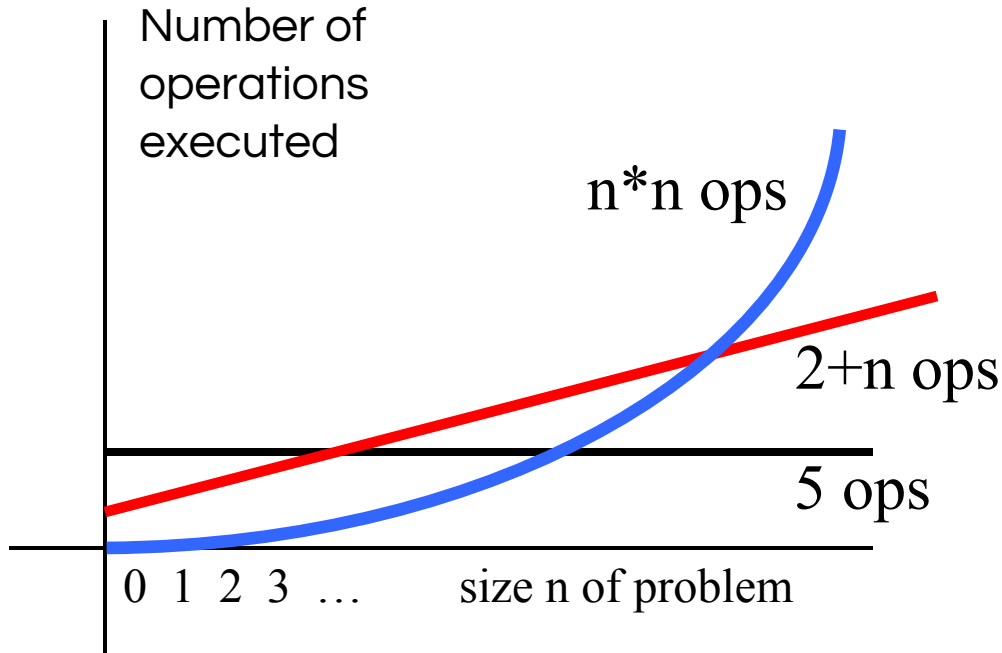
23



# What do we want from a definition of “runtime complexity”?

24

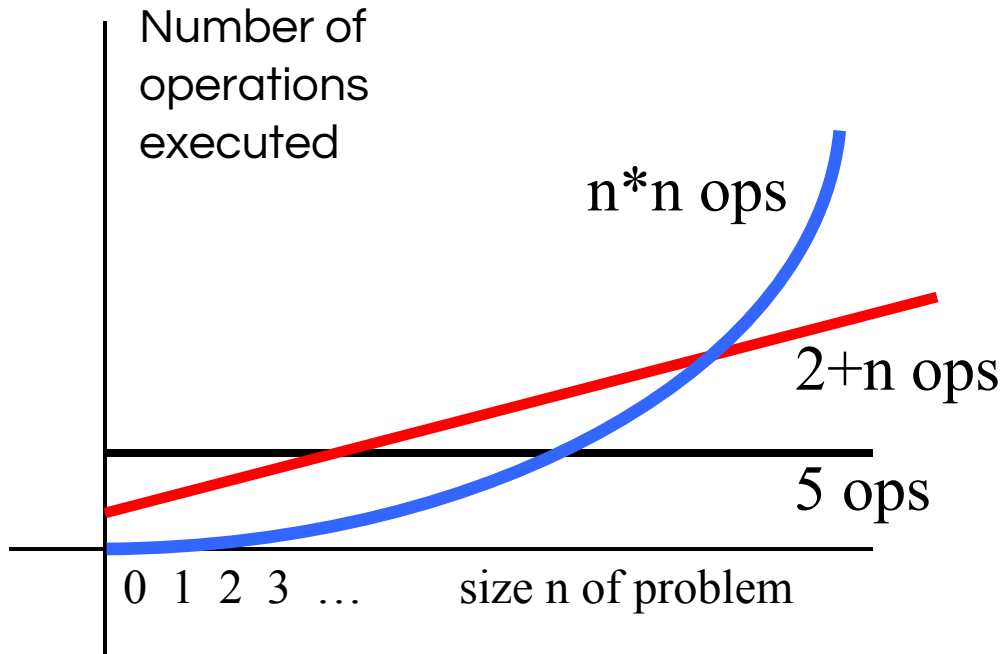
1. Distinguish among cases for large  $n$ , not small  $n$





# What do we want from a definition of “runtime complexity”?

25



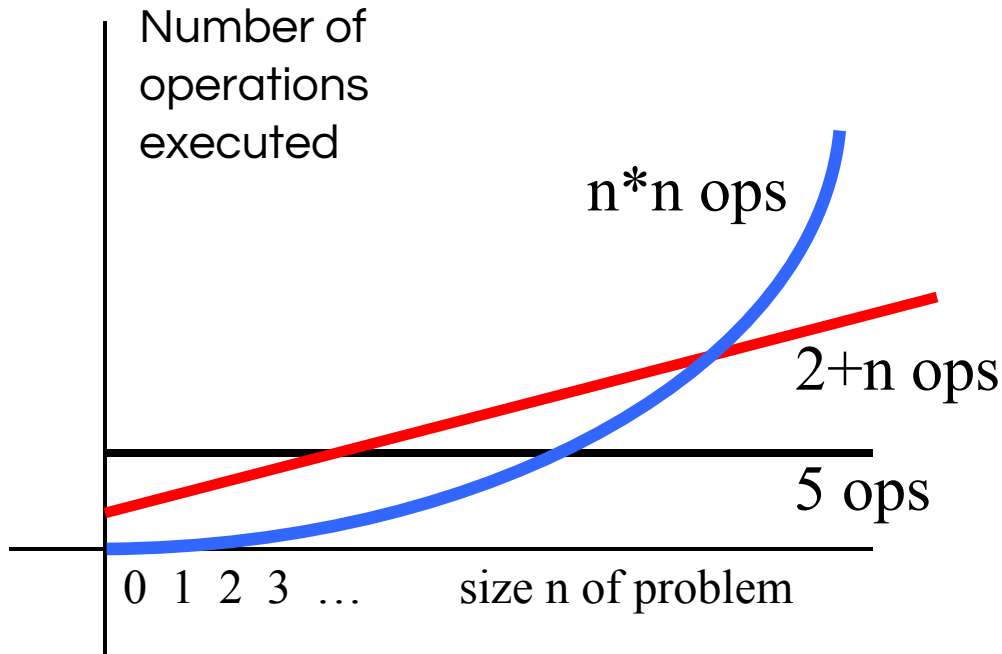
1. Distinguish among cases for large  $n$ , not small  $n$

2. Distinguish among important cases, like

- $n \cdot n$  basic operations
- $n$  basic operations
- $\log n$  basic operations
- 5 basic operations

# What do we want from a definition of “runtime complexity”?

26



1. Distinguish among cases for large  $n$ , not small  $n$

2. Distinguish among important cases, like

- $n \cdot n$  basic operations
- $n$  basic operations
- $\log n$  basic operations
- 5 basic operations

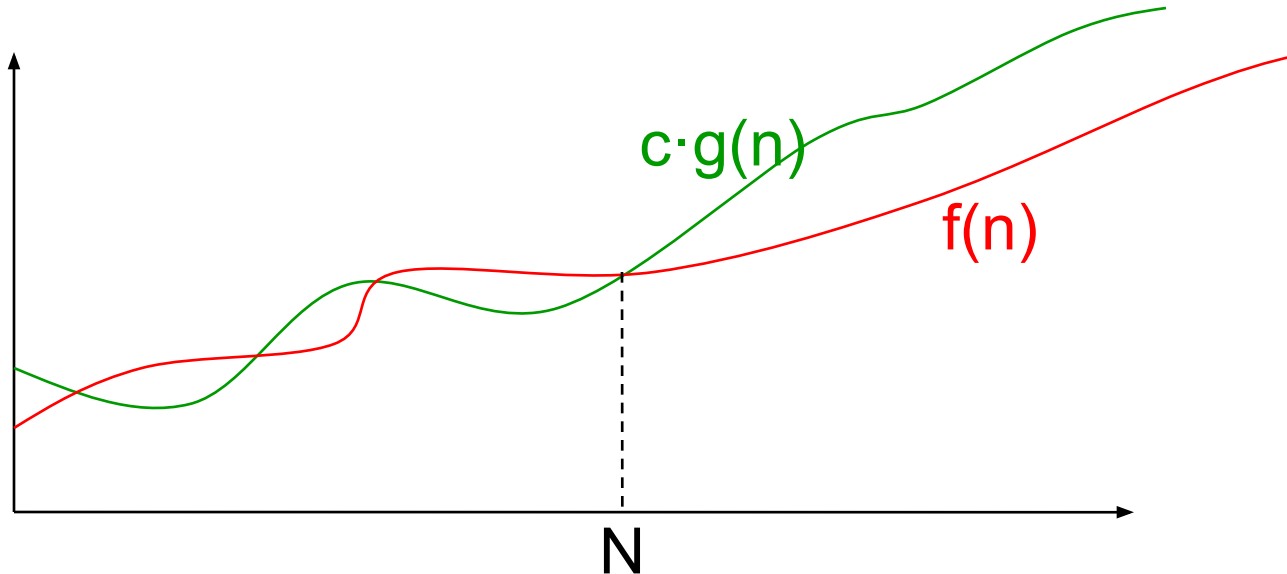
3. Don't distinguish among trivially different cases.

- 5 or 50 operations
- $n$ ,  $n+2$ , or  $4n$  operations

# "Big O" Notation

27

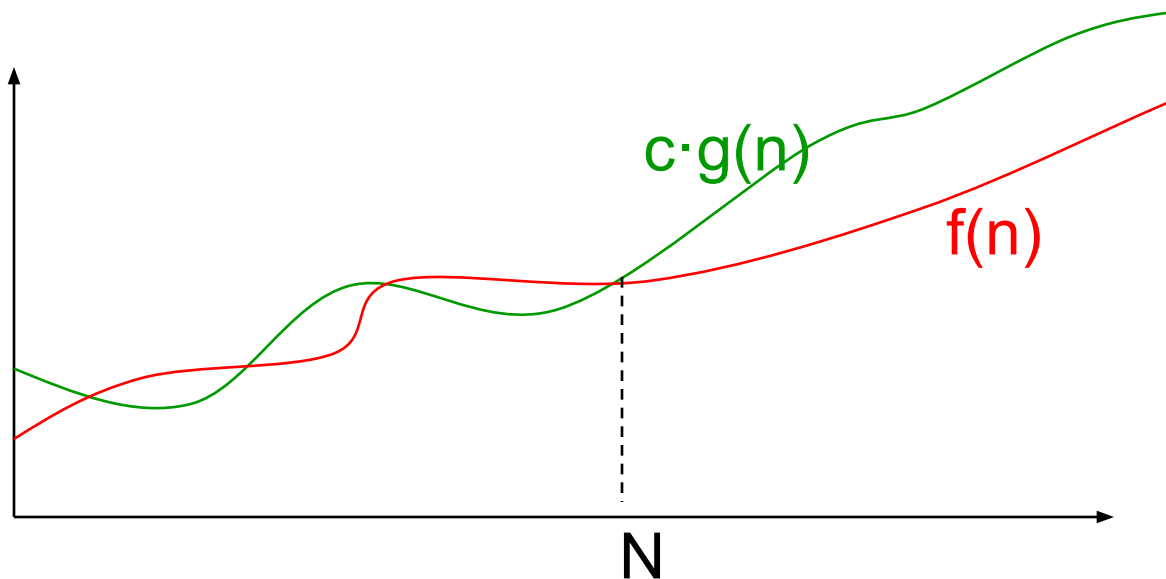
**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$



# "Big O" Notation

28

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$

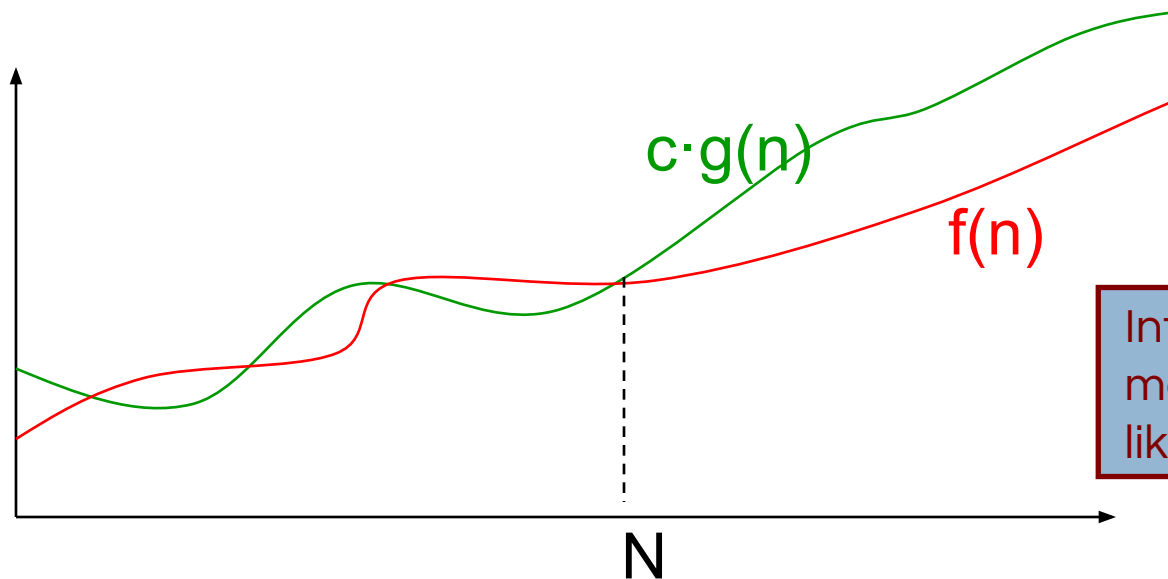


Get out far enough (for  $n \geq N$ )  
 $f(n)$  is at most  $c \cdot g(n)$

# "Big O" Notation

29

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$



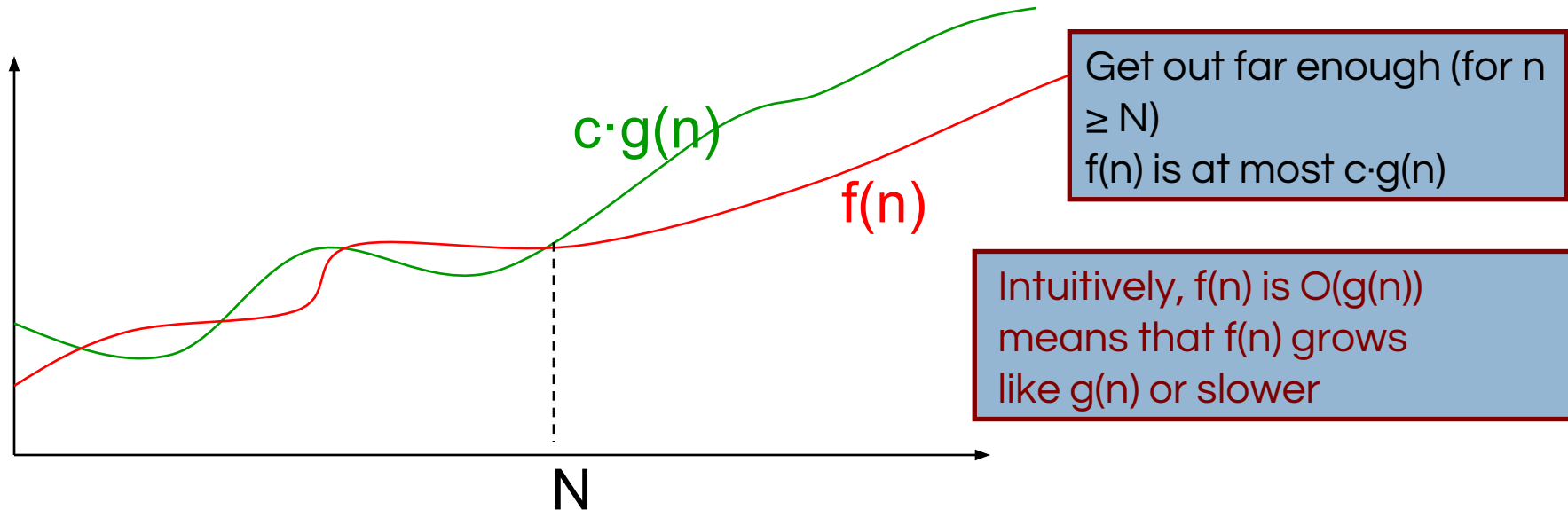
Get out far enough (for  $n \geq N$ )  
 $f(n)$  is at most  $c \cdot g(n)$

Intuitively,  $f(n)$  is  $O(g(n))$   
means that  $f(n)$  grows  
like  $g(n)$  or slower

# "Big O" Notation

30

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$



# Prove that $(2n^2 + n)$ is $O(n^2)$

31

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$

Example: Prove that  $(2n^2 + n)$  is  $O(n^2)$

Methodology:

Start with  $f(n)$  and slowly transform into  $c \cdot g(n)$ :

- Use = and  $\leq$  and  $<$  steps
- At appropriate point, can choose  $N$  to help calculation
- At appropriate point, can choose  $c$  to help calculation

# Prove that $(2n^2 + n)$ is $O(n^2)$

32

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$

Example: Prove that  $(2n^2 + n)$  is  $O(n^2)$

$$\begin{aligned} & f(n) \\ = & \text{ <definition of } f(n)\text{ >} \\ & 2n^2 + n \end{aligned}$$

Transform  $f(n)$  into  $c \cdot g(n)$ :

- Use  $=, \leq, <$  steps
- Choose  $N$  to help calc.
- Choose  $c$  to help calc



# Prove that $(2n^2 + n)$ is $O(n^2)$

33

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$

Example: Prove that  $(2n^2 + n)$  is  $O(n^2)$

$$\begin{aligned} & f(n) \\ = & \text{ <definition of } f(n)\text{ >} \\ & 2n^2 + n \\ \leq & \text{ <for } n \geq 1, n \leq n^2\text{ >} \\ & 2n^2 + n^2 \end{aligned}$$

Transform  $f(n)$  into  $c \cdot g(n)$ :

- Use  $=, \leq, <$  steps
- Choose  $N$  to help calc.
- Choose  $c$  to help calc

Choose  
 $N = 1$

# Prove that $(2n^2 + n)$ is $O(n^2)$

34

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$

Example: Prove that  $(2n^2 + n)$  is  $O(n^2)$

$$\begin{aligned} & f(n) \\ = & \text{ <definition of } f(n)\text{ >} \\ & 2n^2 + n \\ \leq & \text{ <for } n \geq 1, n \leq n^2\text{ >} \\ & 2n^2 + n^2 \\ = & \text{ <arith>} \\ & 3 \cdot n^2 \end{aligned}$$

Transform  $f(n)$  into  $c \cdot g(n)$ :

- Use  $=, \leq, <$  steps
- Choose  $N$  to help calc.
- Choose  $c$  to help calc

Choose  
 $N = 1$

# Prove that $(2n^2 + n)$ is $O(n^2)$

35

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$

Example: Prove that  $(2n^2 + n)$  is  $O(n^2)$

$$\begin{aligned} & f(n) \\ = & \text{ <definition of } f(n)\text{>} \\ & 2n^2 + n \\ \leq & \text{ <for } n \geq 1, n \leq n^2\text{>} \\ & 2n^2 + n^2 \\ = & \text{ <arith>} \\ & 3n^2 \\ = & \text{ <definition of } g(n) = n^2\text{>} \\ & 3g(n) \end{aligned}$$

Transform  $f(n)$  into  $c \cdot g(n)$ :

- Use  $=, \leq, <$  steps
- Choose  $N$  to help calc.
- Choose  $c$  to help calc

Choose  
 $N = 1$  and  $c = 3$

# Prove that $100n + \log n$ is $O(n)$

36

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$

$$\begin{aligned} & f(n) \\ = & \text{ <put in what } f(n) \text{ is>} \\ & 100n + \log n \\ \leq & \text{ <We know } \log n \leq n \text{ for } n \geq 1>} \\ & 100n + n \\ = & \text{ <arith>} \\ & 101n \\ = & \text{ <}g(n) = n\text{>} \\ & 101g(n) \end{aligned}$$

Choose  
 $N = 1$  and  $c = 101$

# Prove that $100n + \log n$ is $O(n)$

37

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$

$$\begin{aligned} & f(n) \\ = & \text{ <put in what } f(n) \text{ is>} \\ & 100n + \log n \\ \leq & \text{ <We know } \log n \leq n \text{ for } n \geq 1>} \\ & 100n + n \\ = & \text{ <arith>} \\ & 101n \\ = & \text{ <} g(n) = n \text{ >} \\ & 101g(n) \end{aligned}$$

Choose  
 $N = 1$  and  $c = 101$

# $O(\dots)$ Examples

38

Let  $f(n) = 3n^2 + 6n - 7$

- $f(n)$  is  $O(n^2)$
- $f(n)$  is  $O(n^3)$
- $f(n)$  is  $O(n^4)$

$p(n) = 4n \log n + 34n - 89$

- $p(n)$  is  $O(n \log n)$
- $p(n)$  is  $O(n^2)$

$h(n) = 20 \cdot 2^n + 40n$

$h(n)$  is  $O(2^n)$

$a(n) = 34$

- $a(n)$  is  $O(1)$

# O(...) Examples

39

$$\text{Let } f(n) = 3n^2 + 6n - 7$$

- $f(n)$  is  $O(n^2)$
- $f(n)$  is  $O(n^3)$
- $f(n)$  is  $O(n^4)$

$$p(n) = 4n \log n + 34n - 89$$

- $p(n)$  is  $O(n \log n)$
- $p(n)$  is  $O(n^2)$

$$h(n) = 20 \cdot 2^n + 40n$$

$$h(n) \text{ is } O(2^n)$$

$$a(n) = 34$$

- $a(n)$  is  $O(1)$

Only the *leading* term (the term that grows most rapidly) matters

If it's  $O(n^2)$ , it's also  $O(n^3)$

etc! However, we always use the smallest one

# Do NOT say or write $f(n) = O(g(n))$

40

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$

$f(n) = O(g(n))$  is simply **WRONG**. Mathematically, it is a disaster.  
You see it sometimes, even in textbooks. Don't read such things.



# Do NOT say or write $f(n) = O(g(n))$

41

**Formal definition:**  $f(n)$  is  $O(g(n))$  if there exist constants  $c > 0$  and  $N \geq 0$  such that for all  $n \geq N$ ,  $f(n) \leq c \cdot g(n)$

$f(n) = O(g(n))$  is simply **WRONG**. Mathematically, it is a disaster. You see it sometimes, even in textbooks. Don't read such things.

Here's an example to show what happens when we use  $=$  this way.

We know that  $n+2$  is  $O(n)$  and  $n+3$  is  $O(n)$ . Suppose we use  $=$

$$n+2 = O(n)$$

$$n+3 = O(n)$$

But then, by transitivity of equality, we have  $n+2 = n+3$ .

We have proved something that is false. Not good.

# Problem-size examples

42

- Suppose a computer can execute 1000 operations per second; how large a problem can we solve?

operations	1 second	1 minute	1 hour
$n$	1000	60,000	3,600,000
$n \log n$	140	4893	200,000
$n^2$	31	244	1897
$3n^2$	18	144	1096
$n^3$	10	39	153
$2^n$	9	15	21

# Big-O notation is not just for time

43

- Applies to both **time complexity** and **space complexity**
- Same reasoning in both cases
- In this class, we'll focus primarily on time complexity

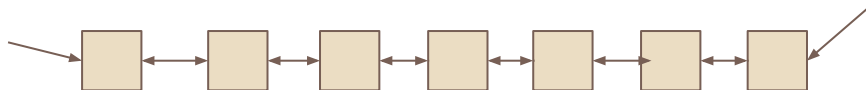
# A more formal look at datastructures

44

- Recall the two types of List in Java Collections (<List>)
  - ArrayList
  - LinkedList
- ArrayList is backed by an underlying array



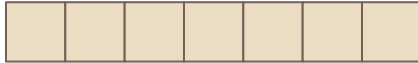
- LinkedList is a **doubly linked list** and has pointers to the head/tail of the queue. Each element has a pointer to previous/next element



# Array Lists

45

- ArrayList is backed by an underlying array



- Arrays allow direct access to each element
  - What is the cost of accessing the  $i$ th element of the array?

$O(1)$

# Array Lists

46

- ArrayList is backed by an underlying array

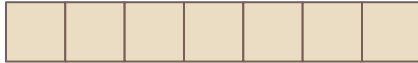


- Arrays allow direct access to each element
  - What is the cost of accessing the  $i$ th element of the array?
- What is the cost of inserting an element
  - May need to allocate a new array and copy all the previous elements into new array

# Array Lists

47

- ArrayList is backed by an underlying array



- Arrays allow direct access to each element
  - What is the cost of accessing the  $i$ th element of the array?
- What is the cost of inserting an element
  - May need to allocate a new array and copy all the previous elements into new array

Amortised  
 $O(1)/O(n)$

# Array Lists

48

- ArrayList is backed by an underlying array



- Arrays allow direct access to each element
  - What is the cost of accessing the  $i$ th element of the array?
- What is the cost of inserting an element
  - May need to allocate a new array and copy all the previous elements into new array
- What is the cost of deleting the  $i$ th element
  - When delete an element, have to shift all the remaining elements to the left



# Array Lists

49

- ArrayList is backed by an underlying array



- Arrays allow direct access to each element
  - What is the cost of accessing the  $i$ th element of the array?
- What is the cost of inserting an element
  - May need to allocate a new array and copy all the previous elements into new array
- What is the cost of deleting the  $i$ th element
  - When delete an element, have to shift all the remaining

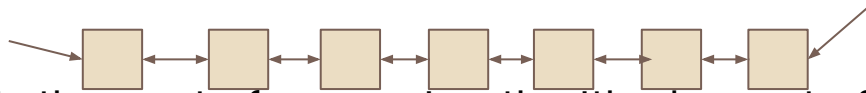
$O(n)$

elements to the left

# Linked Lists

50

- LinkedList is a **doubly linked list** and has pointers to the head/tail of the queue. Each element has a pointer to previous/next element

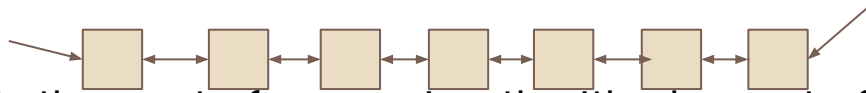


- What is the cost of accessing the  $i$ th element of the array?
- What is the cost of inserting an element to the head
- What is the cost of deleting the  $i$ th element

# Linked Lists

51

- LinkedList is a **doubly linked list** and has pointers to the head/tail of the queue. Each element has a pointer to previous/next element



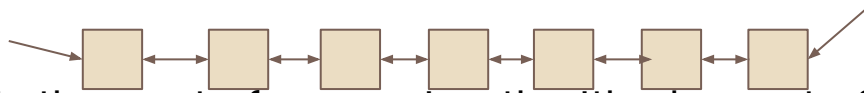
- What is the cost of accessing the  $i$ th element of the array?
  - Need to start from the head and follow pointers
- What is the cost of inserting an element to the head
- What is the cost of deleting the  $i$ th element

$O(n)$

# Linked Lists

52

- LinkedList is a **doubly linked list** and has pointers to the head/tail of the queue. Each element has a pointer to previous/next element



- What is the cost of accessing the  $i$ th element of the array?
  - Need to start from the head and follow pointers
- What is the cost of inserting an element to the head
  - Direct access through head pointer
- What is the cost of deleting the  $i$ th element

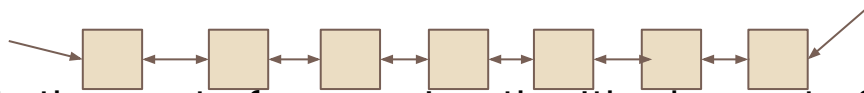
$O(n)$

$O(1)$

# Linked Lists

53

- LinkedList is a **doubly linked list** and has pointers to the head/tail of the queue. Each element has a pointer to previous/next element



- What is the cost of accessing the  $i$ th element of the array?
  - Need to start from the head and follow pointers
- What is the cost of inserting an element to the head
  - Direct access through head pointer
- What is the cost of deleting the  $i$ th element
  - Need to find the  $i$ th element first

$O(n)$

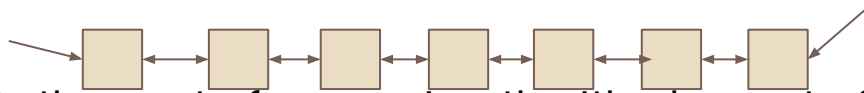
$O(1)$

$O(n)$

# Linked Lists

54

- LinkedList is a **doubly linked list** and has pointers to the head/tail of the queue. Each element has a pointer to previous/next element



- What is the cost of accessing the  $i$ th element of the array?
  - Need to start from the head and follow pointers
- What is the cost of inserting an element to the head
  - Direct access through head pointer
- What is the cost of deleting the  $i$ th element
  - Need to find the  $i$ th element first

$O(n)$

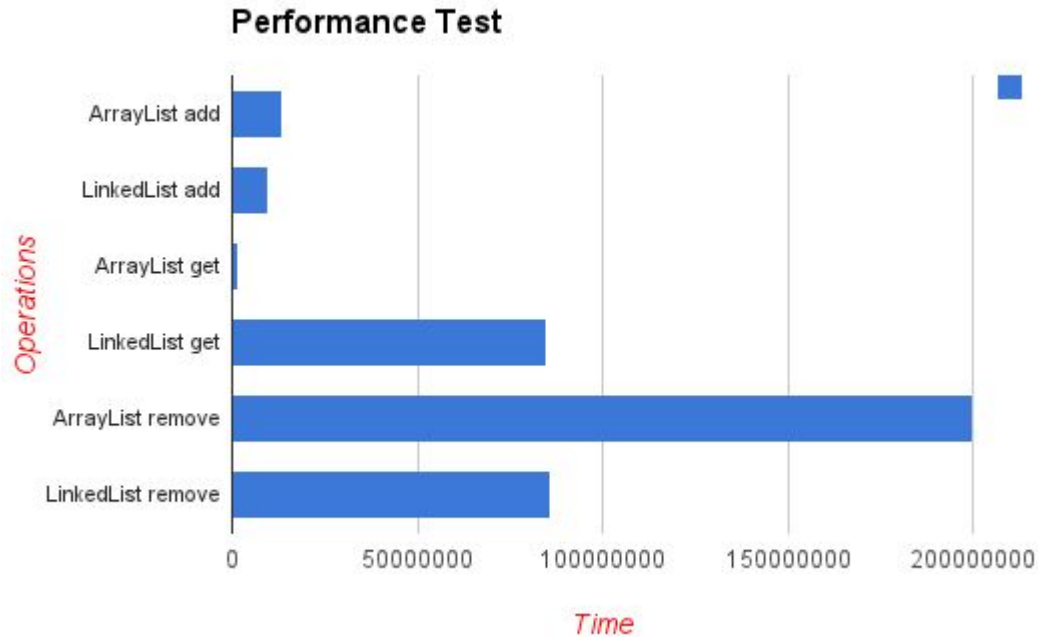
$O(1)$

$O(n)$

What about deleting the head/tail element?

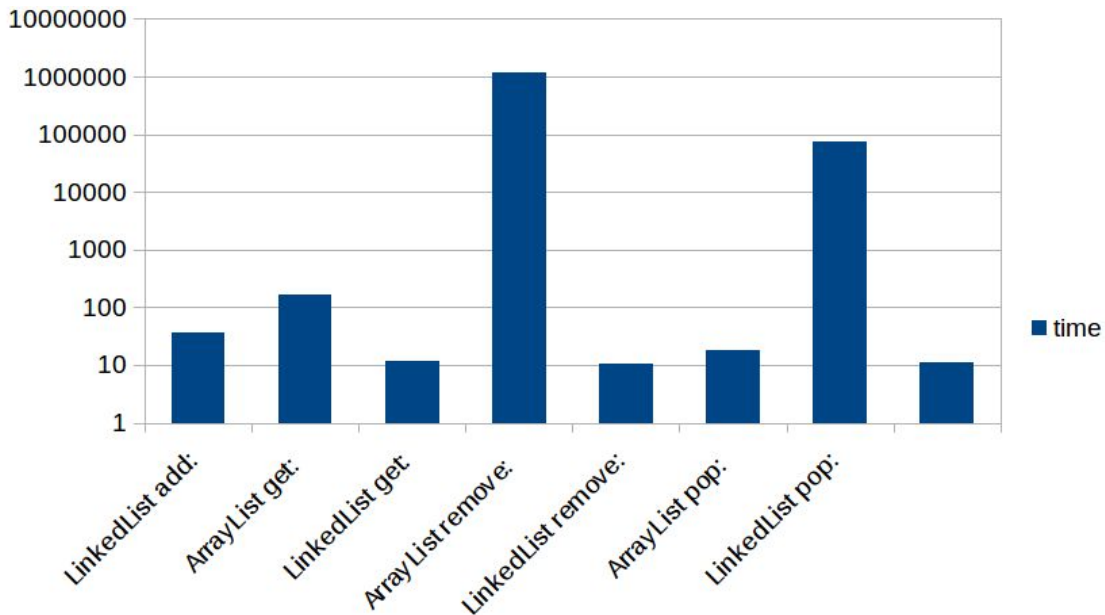
# Do the performance numbers match up?

55



# Only tell half the story ...

56



- On my machine, ArrayList add is 5 times faster than LinkedList add
- Underlying reason is memory allocation is much more efficient for arrays than linked list: arrays can allocate large blocks of memory at once while you have to allocate individual nodes for a linked list