# Object-oriented programming and data-structures



# CS/ENGRD 2110 SUMMER 2018



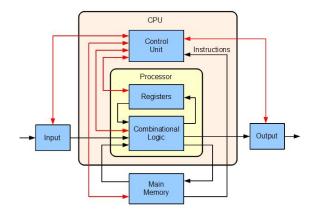
Lecture 16: Concurrency http://courses.cs.cornell.edu/cs2110/2018su

#### **CPU Central Processing Unit. Simplified view**

The CPU is the part of the computer that executes instructions.

Java: x= x + 2;

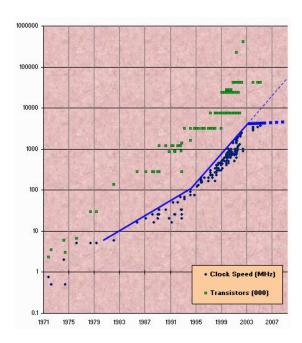
Suppose variable x is at Memory location 800, Instructions at 10 **Machine language**: 10: load register 1, 800 11: Add register 1, 2 12: Store register 1, 800



Basic uniprocessor-CPU computer. Black lines indicate data flow, red lines indicate control flow

### **Clock rate**

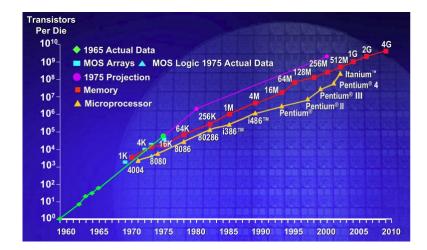
- Clock rate "frequency at which CPU is running" Higher the clock rate, the faster instructions are executed.
- First CPUs: 5-10 Hz (cycles per second)
   Today MacBook Pro 3.5GHz
- Your OS can control the clock rate, slow it down when idle, speed up when more work to do



#### Why multicore?

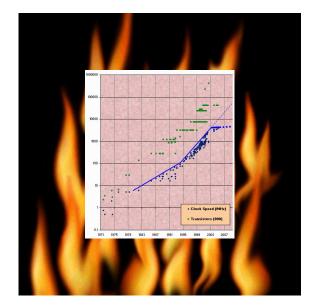
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 Moore's Law: Computer speeds and memory densities nearly double each year



### But a fast computer runs hot

- Power dissipation rises as square of the clock rate
- Chips were heading toward melting down!
- Put more CPUs on a chip: with four CPUs on one chip, even if we run each at half speed we can perform more overall computations!



### Today: Not one CPU but many

Processing Unit is called a core.

Modern computers have "multiple cores" (processing units)
 Instead of a single CPU (central processing unit) on the chip 5-10 common. Intel has prototypes with 80!

□ We often run many programs at the same time

- Even with a single core (processing unit), your program may have more than one thing "to do" at a time
  - Argues for having a way to do many things at once

## Running processes on my laptop

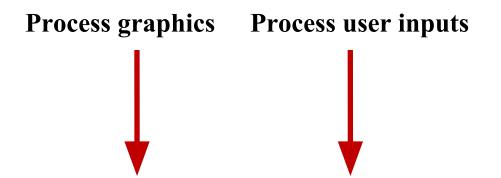
#### >100 processes are competing for time. Here's some of them:

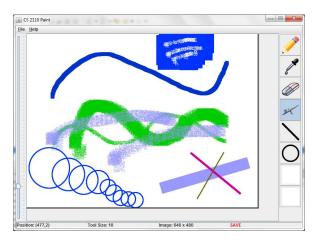
Incrooks@Koala:~ 🖬 🕏 🚺 🛸 📓 着 🐂 📓 (0:43, 97%) 🌵 2	
ncrooks@Koala: ~ 174x46	
top - 23:45:46 up 1 day, 10:12, 2 users, load average: 1,67, 1,41, 1,55	
Tasks: 387 total, 2 running, 385 sleeping, 0 stopped, 0 zombie	
%Cpu(s): 14,9 us, 1,6 sy, 0,0 ni, 83,4 id, 0,2 wa, 0,0 hi, 0,0 si, 0,0 st	
KiB Mem : 16208728 total, 2807888 free, 9454624 used, 3946216 buff/cache	
KiB Swap: 16681980 total, 15191292 free, 1490688 used. 4173888 avail Mem	
PID USER PR NI VIRT RES SHR S %CPU %MEM TIME+ COMMAND	
8796 ncrooks 20 0 1324696 230636 74152 R 103.7 1.4 0:36.45 chrome	
9564 ncrooks 20 0 1880824 522952 142840 5 8,6 3,2 73:15.03 chrome	
8909 ncrooks 20 0 1221488 124884 64208 5 6,0 0,8 0:02.30 chrome	
9140 ncrooks 20 0 1178324 95820 62816 S 3,0 0,6 0:01.02 chrome	
1157 root 20 0 705852 136692 107636 S 1.7 0.8 33:08.22 Xorg	
8478 ncrooks 20 0 1604464 112744 45416 S 1,3 0,7 38:39.82 compiz	
9055 ncrooks 20 0 1140948 81624 60624 S 1,3 0,5 0:01.02 chrome	
9703 ncrooks 20 0 2460288 589880 73812 S 1,0 3,6 81:50.13 chrome	
17963 ncrooks 20 0 3994276 320116 46088 S 1,0 2,0 50:05.79 chrome	
841 message+ 20 0 44760 3348 1512 S 0,7 0,0 0:40.80 dbus-daemon	
898 root 20 0 525844 8688 4720 S 0,7 0,1 1:11.40 NetworkManager	
8936 ncrooks 20 0 1126088 74340 61184 S 0,7 0,5 0:00.34 chrome	
9032 ncrooks 20 0 1126600 72804 59636 S 0,7 0,4 0:00.33 chrome	
9079 ncrooks 20 0 1126600 73092 59984 S 0,7 0,5 0:00.33 chrome	
10017 ncrooks 20 0 787636 62556 34692 S 0,7 0,4 0:00.43 /usr/bin/termin	
11470 ncrooks 20 0 8749748 0,989g 49044 S 0,7 6,4 28:02.10 java	
32187 ncrooks 20 0 1151208 92740 60296 S 0,7 0,6 0:02.13 chrome	
8414 ncrooks 20 0 807516 100900 9776 5 0,3 0,6 0:38.22 hud-service	
8658 ncrooks 20 0 1490036 48124 32388 5 0,3 0,3 0:17.86 nautilus	
8665 ncrooks 20 0 854376 14420 8692 5 0,3 0,1 0:59.31 nm-applet	
8729 ncrooks 20 0 1378708 230184 75072 S 0,3 1,4 0:16.63 chrome 8882 ncrooks 20 0 1132232 75544 59656 S 0,3 0,5 0:00.39 chrome	
8986 ncrooks 20 0 1126088 72396 59616 S 0,3 0,4 0:00.31 chrome 9008 ncrooks 20 0 4602248 168640 47644 S 0,3 1,0 3:15.16 skypeforlinux	
9000 Incrooks 20 0 4002240 100040 47044 5 0,5 1,0 5:12.10 Skyperor Linux 9034 Incrooks 20 0 1082100 80128 40084 5 0,3 0,5 15:148.21 Franz	
9097 Incrooks 20 0 1026600 72476 59400 5 0,3 0,4 0:00.22 chrome	
1049 Incrooks 20 0 112000 72470 39400 5 0,3 0,4 0.00.25 Chrome	
11543 Incrooks 20 0 1198472 162672 46812 5 0,3 1,0 8:42.12 Franz	
29962 ncrooks 20 0 1914220 277452 134796 S 0,3 1,7 1:05.75 soffice.bin	
32266 ncrooks 20 0 1454304 268880 78312 5 0,3 1,7 0:50.80 chrome	
1 root 20 0 185964 3696 2244 \$ 0,0 0,0 0:03.09 systemd	
2 root 20 0 0 0 0 0 0,0 0,0 0,0 0:00.08 kthreadd	
4 root 0 -20 0 0 0 0 0,0 0,0 0,0 0,00 kworker/0:0H	
6 root 0 -20 0 0 0 5 0,0 0,0 0:00.00 mm percpu wg	
7 root 20 0 0 0 0 S 0,0 0,0 0:10.90 ksoftirgd/0	
8 root 20 0 Pool and a constant of Sec. 0,0 0,0 1:23.71 rcu sched	
9 root 20 0 0 0 0 5 0,0 0,0 0:00.00 rcu <sup>-</sup> bh	
10 root rt 0 0 0 0 S 0,0 0,0 0:00.01 migration/0	

#### Programs can have several "threads of execution

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We often run many programs at the same time And each program may have several "threads of execution"





### **Distributed Systems**

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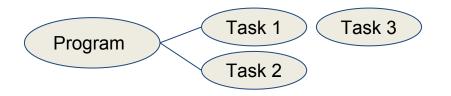
A **distributed system** is one in which the failure of a computer you didn't even know existed can render your own computer

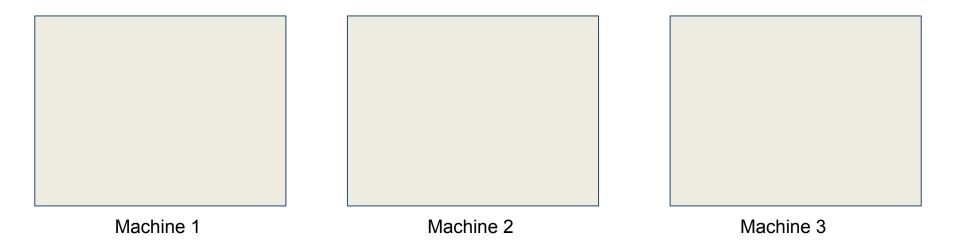
Modern systems like Google, Facebook run applications that are distributed across **thousands of machines** in **large datacenters** 

My own personal record is 342 machines.

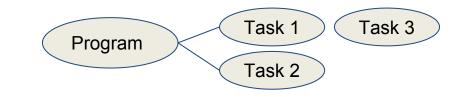


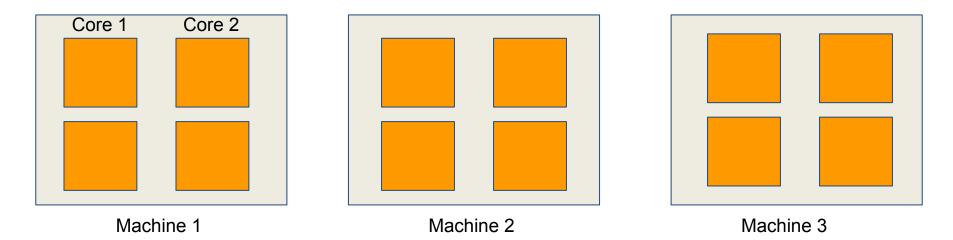
#### Abstract View



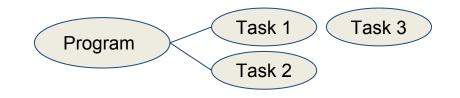


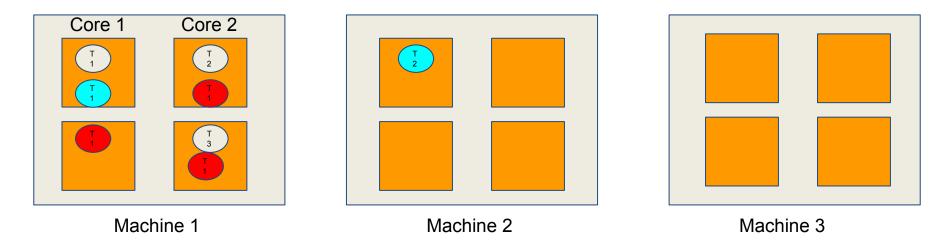
#### **Abstract View**





#### Abstract View





#### Concurrency

- Concurrency refers to a single program in which several processes, called threads, are running simultaneously
  - Special problems arise
  - They see the same data and hence can interfere with each other, e.g. one process modifies a complex structure like a heap while another is trying to read it
    - In CS2110, we'll look at:
      - Race Conditions
      - Deadlocks
- We'll refer to any sequential execution chunk as a task

#### Thread

- □ A **thread** is an object that "independently computes"
  - Needs to be created, like any object
  - Then "started" --causes some method to be called. It runs side by side with other threads in the same program; they see the same global data
- The actual executions could occur on different CPU cores, but don't have to
   We can also simulate threads by *multiplexing* a smaller number of cores over a larger number of threads

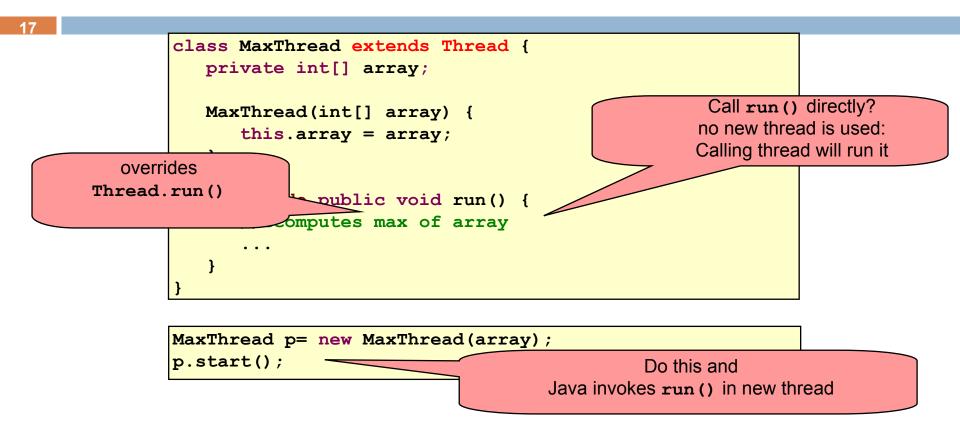
#### Java Class Thread

- Threads are instances of class Thread
  - Can create many, but they do consume space & time
- The Java Virtual Machine creates the thread that executes your main method.
- Threads have a priority
  - Higher priority threads are executed preferentially
  - By default, newly created threads have initial priority equal to the thread that created it (but priority can be changed)

#### Java Class Thread

- □ Threads are objects in Java, just like everything else
- □ There's two ways to create a thread:
  - By extending the class Thread
  - By implementing the interface Runnable
- Which one do you think is better?

### Creating a new Thread (Method 1)



### Creating a new Thread (Method 2)

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```
class MaxRun implements Runnable {
    private int[] array;
    MaxRun(int[] array) {
        this.array = array ;
    }
    public void run() {
        //compute max of an array
        ...
    }
}
```

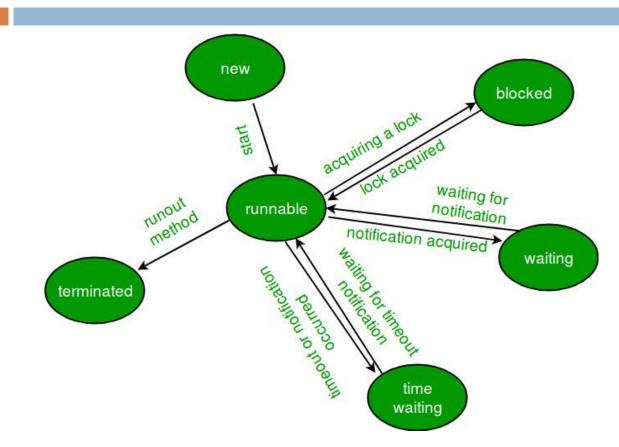
```
MaxRun p= new MaxRun(array);
new Thread(p).start();
```

### Threads can pause

- □ When active, a thread is "runnable".
  - It may not actually be "running". For that, a CPU must schedule it.
     Higher priority threads could run first.
- □ A thread can pause
  - Call Thread.sleep(k) to sleep for k milliseconds
    - Suspends the execution of a thread
  - Doing I/O (e.g. read file, wait for mouse input, open file) can cause thread to pause
  - Java has a form of locks associated with objects. When threads lock an object, one succeeds at a time.
- A thread can offer another thread the CPU
   Call yield()

#### **Thread States**





## How do I wait for threads to finish?

- Calling join() on a thread will cause another thread to wait until the first thread is finished
- Can be used to determine when the output of a computation is ready!
  - For instance, let's modify our run method to store the final max value in to an additional result array that is shared across all threads

Want to know when it's safe to check the result!

## How do I wait for threads to finish?

- Calling join() on a thread will cause another thread to wait until the first thread is finished
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  - For instance, let's modify our run method to store the final max value in to an additional result array that is **shared** across all threads

Want to know when it's safe to check the result!

```
MaxRun p1= new MaxRun(array1, result, 0);
MaxRun p2= new MaxRun(array2, result, 1);
MaxRun p3= new MaxRun(array3, result, 2);
MaxRun p4= new MaxRun(array4, result, 3);
Thread t1 = new Thread(p1).start();
Thread t2 = new Thread(p2).start();
Thread t3 = new Thread(p3).start();
Thread t4 = new Thread(p4).start();
t1.join();
t2.join();
t3.join();
t4.join();
System.out.println("Result " +
result[0] + " " + result[1] + " " ...);
```

## How do I wait for threads to finish?

- Calling join() on a thread will cause another thread to wait until the first thread is finished
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MaxRun p1= new MaxRun(array1, result, 0);
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Thread t1 = new Thread(p1).start();
Thread t2 = new Thread(p2).start();
Thread t3 = new Thread(p3).start();
Thread t4 = new Thread(p4).start();
t1.join();
t2.join();
t3.join();
t4.join();
System.out.println("Result " +
result[0] + " " + result[1] + " " ...);
```

### Memory Consistency Errors

- Threads often operate on **shared data**
- If not careful, however, concurrent access to shared data can break the correctness of the program
- Race conditions arise both
  - at the memory level
    - memory consistency errors
  - At the program level
    - Invariants can be violated due to concurrent updates

### What if threads share data?

- Threads often operate on shared data
- If not careful, however, concurrent access to shared data can break the correctness of the program
- Race conditions arise both
  - at the memory level
    - memory consistency errors
  - At the program level
    - Invariants can be violated due to concurrent updates
- Code is said to be thread-safe if it remains correct when accessed concurrently



- A race condition arises if two or more processes access the same variables or objects concurrently and at least one does updates
- If the updates are not **atomic**, the end state can be inconsistent
   An operation is atomic if it happens "all at once" without being interrupted by other events.



- A race condition arises if two or more processes access the same variables or objects concurrently and at least one does updates
- If the updates are not **atomic**, the end state can be inconsistent
   An operation is atomic if it happens "all at once" without being interrupted by other events.
- Very few operations in modern systems are atomic !

#### Suppose x is initially 5

#### Thread t1

x = x + 1

#### System.out.println(x);

#### Thread t2

x = x + 1 System.out.println(x);

What do you think will be the end value?

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#### Suppose x is initially 5

Thread 11 Thread t2 LOAD x LOAD x ADD 1 ADD 1 STORE x STORE x 

• ... after finishing, x = 6! We "lost" an update

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#### Suppose x is initially 5

Thread t1

LOAD x

ADD 1

□ STORE x

#### Thread t2

...

LOAD xADD 1

Machine level implementation of increment is not atomic!

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Suppose x is initially 5



Second store happens after first store -> we lost an update!

#### **Program Correctness Errors**

What if we want to insert a new element to a linked list?

#### **Program Correctness Errors**

33

What if we want to insert a new element to a linked list?

```
void add(V v) { // to tail
Node newNode = new Node(v);
If (tail!=null) {
    tail.next = newNode;
    newNode.prev = tail;
    tail = newNode;
} else {
    head = new Node(v);
    tail = head;
}
```

```
V poll() { // from head
  V v = null;
  If (head!=null) {
      if (head == tail) { // list is one el
          tail = tail.prev;
      elif (head.next == tail) { list is two el
          tail.prev = null
      v = head.value;
      head = head.next;
      if (head!=null) {
          head.prev = null;
  return v;
```

#### **Program Correctness Errors**

34

What if we want to insert a new element to a linked list?

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void add(V v) { // to tail
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} else {
    head = new Node(v);
    tail = head);
}
```

#### What could go wrong?

```
V poll() { // from head
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      v = head.value;
      head = head.next;
      if (head!=null) {
          head.prev = null;
  return v;
```

#### Race conditions are bad news

- Race conditions can cause many kinds of bugs, not just the example we see here!
- Common cause for "blue screens": null pointer exceptions, damaged data structures
- Concurrency makes proving programs correct much harder!



Thijs Maas Follow Interested in the challenges between blockchains and the law—founder of www.lawandblockchain.eu Nov 8, 2017 · 6 min read

Yes, this kid really just deleted \$300 MILLION by messing around with Ethereum's smart contracts.





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#### **Race conditions**



## Synchronization

 To prevent race conditions, one often requires a process to "acquire" resources before accessing them, and only one process can "acquire" a given resource at a time.

This process is called synchronization

- Different languages provide more/less native support for synchronisation. Java provides
  - Synchronized primitive
  - \_ Locks
  - Semaphores (don't look at this here)

## Synchronized Keyword

- Synchronized keyword in Java acquires exclusive ownership of a given resource
- Exists in two contexts:
  - Synchronized methods
  - Synchronized blocks
- Every object in Java has an **intrinsic lock** (or **monitor lock**)

## Synchronized Methods

 To make a method synchronized, simply add the synchronized keyword to its declaration

```
synchronized void add(V v) { // to tail
Node newNode = new Node(v);
If (tail!=null) {
    tail.next = newNode;
    newNode.prev = tail;
    tail = newNode;
} else {
    head = new Node(v);
    tail = head;
}
```

## Synchronized Methods

- To make a method synchronized, simply add the synchronized keyword to its declaration
- A synchronized method acquires exclusive ownership of the current instance of the object (monitor lock)
   Ownership lasts from the beginning of the method until the end
- No two synchronized methods on the same object can execute concurrently

```
synchronized void add(V v) { // to tail
Node newNode = new Node(v);
If (tail!=null) {
    tail.next = newNode;
    newNode.prev = tail;
    tail = newNode;
} else {
    head = new Node(v);
    tail = head;
}
```

## Synchronized Methods

- Synchronized methods are great when modify only a single object
- And when are ok with locking the entire object during execution
  - Ex: currently locking the entire linked list, even if looking at different nodes

```
synchronized void add(V v) { // to tail
Node newNode = new Node(v);
If (tail!=null) {
    tail.next = newNode;
    newNode.prev = tail;
    tail = newNode;
} else {
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    tail = head;
}
```

## Synchronized Blocks

- Unlike synchronized methods,
   synchronized blocks must specify the object that they wish to lock
- As in synchronized methods,
  - Might have to wait if other thread has acquired object.
  - While this thread is executing the synchronized block, the object is *locked*. No other thread can obtain the lock.

```
void add(V v) { // to tail
Node newNode = new Node(v);
synchronized (this) {
If (tail!=null) {
    tail.next = newNode;
    newNode.prev = tail;
    tail = newNode;
} else {
    head = new Node(v);
    tail = head;
}
}
```

## Revisiting the DLL

- What if we added a third method: traverse, that prints out all the nodes of the DLL
  - Can we achieve better performance using synchronized blocks?

# Revisiting the DLL

- What if we added a third method: traverse, that prints out all the nodes of the DLL
  - Can we achieve better performance using synchronized blocks?
- What if we locked individual nodes in the DLL instead of locking the DLL itself?
  - How should we lock those?

#### Revisiting the DLL (head/tail not null)

```
void add(V v) { // to tail
Node newNode = new Node(v);
synchronized(head) {
   synchronized(tail) {
      If (tail!=null) {
        tail.next = newNode;
        newNode.prev = tail;
        tail = newNode;
        } else {
            head = tail;
            tail = new Node(v);
        }
   }
}
```

```
V poll() { // from head
  synchronized (head) {
     synchronized (tail) {
     V v = null;
     If (head!=null) {
      if (head == tail) { // list is one el
          tail = tail.prev;
      elif (head.next == tail) { list is two el
          tail.prev = null
      v = head.value;
      head = head.next;
      if (head!=null) {
          head.prev = null;
  } } }
  return v;
```

- Null objects will throw a null pointer exceptions when calling synchronized
- But if we don't call synchronize, two threads could try to set head to non-null concurrently!
- What can we do?!

- Null objects will throw a null pointer exceptions when calling synchronized
- But if we don't call synchronize, two threads could try to set head to non-null concurrently!
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  - One option: make add/poll acquire a lock on the linked list to check whether head/tail is null
  - If not null, acquire lock on object, then release lock on linked list.

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- But if we don't call synchronize, two threads could try to set head to non-null concurrently!
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  - One option: make add/poll acquire a lock on the linked list to check whether head/tail is null
  - ☐ If not null, acquire lock on object, then release lock on linked list.
  - **BUT synchronized blocks** can only be nested

- Null objects will throw a null pointer exceptions when calling synchronized
- But if we don't call synchronize, two threads could try to set head to non-null concurrently!
- What can we do?!
  - One option: make add/poll acquire a lock on the linked list to check whether head/tail is null
  - ☐ If not null, acquire lock on object, then release lock on linked list.
  - Better option, use Java **Locks**, that can never be null

#### Java Locks

Lock objects work very much like the implicit locks used by synchronized code. As with implicit locks, only one thread can own a Lock object at a time

#### Benefits:

- decide when to acquire/release lock
  Can "give up" on trying to acquire lock
- Lots of different types of lock in java.util.concurrent.locks
   Read up!

```
private Lock headLock = new
ReentrantLock();
headLock.lock();
If (head ! = null) {
    head.lock();
    headLock.unlock();
else {
    Node n = new Node < E > (e);
    n.lock();
    head = n;
    headLock.unlock();
```

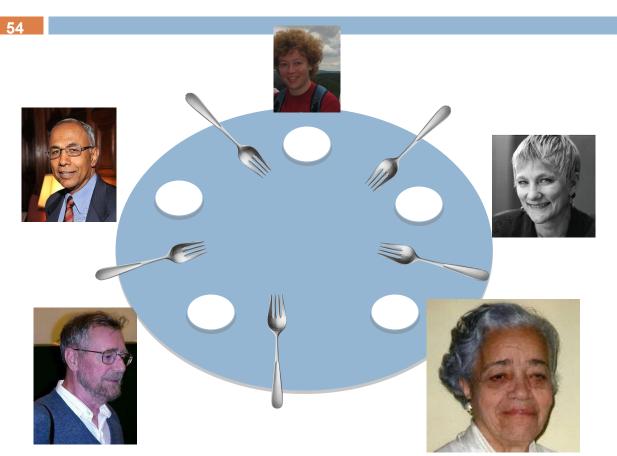
#### With great power ...

- … Comes great responsibility
- Current locking, as we've seen, is hard!
   Hard to understand what/when we should lock
- Locking in the wrong order can lead to deadlocks
   What if we synchronize/lock first on head then on tail in one method, but on tail then on head in another method?

#### Deadlock

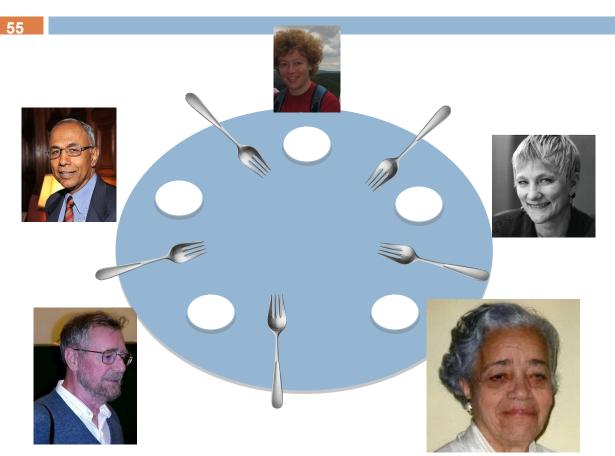
To prevent race conditions, one often requires a process to "acquire" resources before accessing them, and only one process can "acquire" a given resource at a time.

But if processes have to acquire two or more resources at the same time in order to do their work, **deadlock** can occur. This is the subject of the next slides.



Five philosophers sitting at a table.

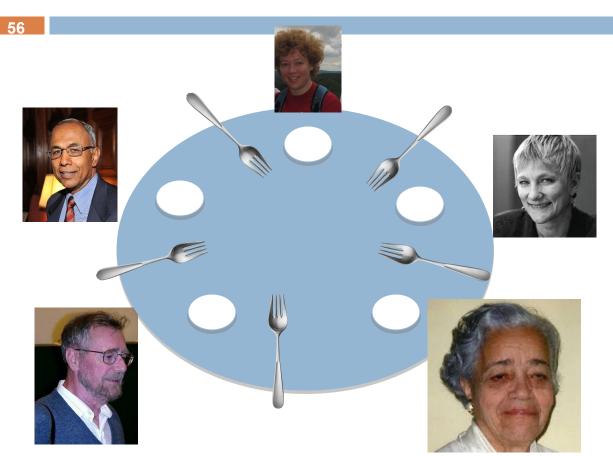
Each repeatedly does this: 1. think 2. eat.



Five philosophers sitting at a table.

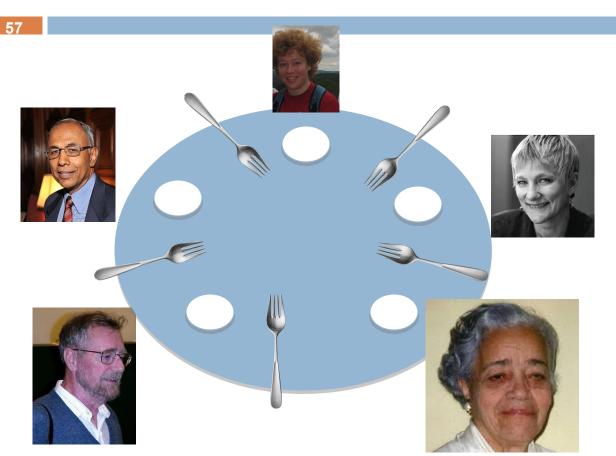
Each repeatedly does this: 1. think 2. Eat.

Only brought 5 forks!



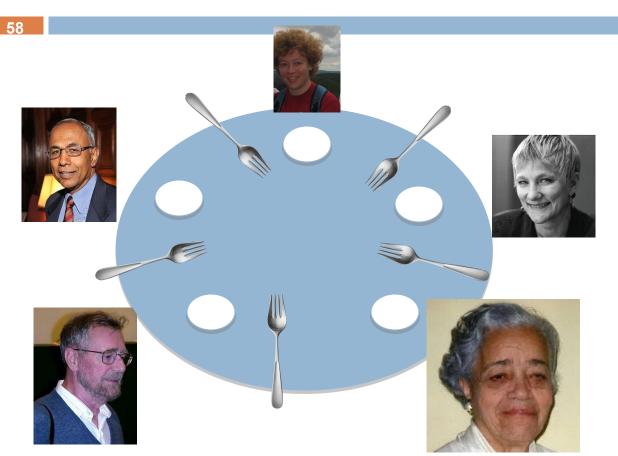
Five philosophers sitting at a table.

To eat, they first pick up the **left fork**, then the **right fork**, then **eat**, then put the **left fork** down, then put the **right fork** down.



Five philosophers sitting at a table.

At one point they all pick up their **left fork**!



Five philosophers sitting at a table.

At one point they all pick up their **left fork**!

We have a deadlock!



Simple solution to deadlock: Number the forks. Pick up smaller one first 1. think 2. eat (2 forks) eat is then: pick up smaller fork pick up bigger fork pick up food, eat put down bigger fork put down smaller fork

#### Correct Locking is Hard!

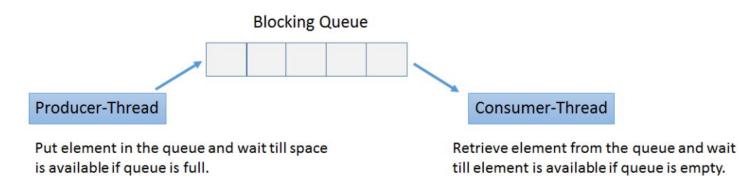
- Locking objects in different orders in different functions will cause deadlock!
- Exceptions that occur in the middle of the program may cause locks to not be released
- Insufficient locking may lead to race conditions!

#### Good practices

- □ Prefer the use of Lock locks over synchronized.
- □ When in doubt, use a lock for the whole method!
  - Only optimise when you need to
  - Correct code is always faster than incorrect code :-)
- Always acquire locks at the beginning of the method unless a good reason not to
- Always try to release locks in a finally clause

#### **Thread Coordination**

- □ Threads often have to **coordinate** their actions
  - Example 1: Thread 1 (thread that monitors user input) must **notify** thread 2 (the GUI thread) that there are new characters to draw. Thread 2 is **waiting** for Thread 1's notification.
  - Example 2: producer/consumer pattern



## **Option 1: Busy waiting**

```
while (dll.isEmpty()) {
    // Do nothing
}
// If exited the loop, means
// element was in thread
V v = dll.poll();
```

Loop until condition is satisfied. Only then do you exit the loop

## **Option 1: Busy waiting**

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    // Do nothing
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// element was in thread
V v = dll.poll();
```

Loop until condition is satisfied. Only then do you exit the loop

**Inefficient**. Not necessary to constantly re-check condition. Keeping thread busy for no reason

```
synchronized(this) {
   while (dll.isEmpty()) {
    // Do nothing
        this.wait();
   }
   V v = dll.poll();
}
```

Suspend the current thread until condition is satisfied by calling Object.wait()

The invocation of **wait** does not return until another thread has issued a **notification** that some special event may have occurred — though not necessarily the event this thread is waiting for:

```
synchronized(dll) {
   while (dll.isEmpty())) {
    // Do nothing
        dll.wait();
   }
   V v = dll.poll();
}
```

Calling **wait()** blocks the current thread. Thread releases the **monitor** lock of the **dll** object. It will re-acquire it when receiving the notification

```
synchronized(dll) {
   while (dll.isEmpty()) {
    // Do nothing
        dll.wait();
   }
   V v = dll.poll();
}
```

Calling **wait()** blocks/suspends the current thread. Thread releases the **monitor** lock of the **dll** object. It will re-acquire it when receiving the notification

```
synchronized(dll) {
   while (dll.isEmpty()) {
      // Do nothing
        dll.wait();
   }
   V v = dll.poll();
}
```

One should always invoke **wait inside a loop** that tests for the condition being waited for. Threads can be woken up for a number of reasons. Notification may not be for the particular condition that current thread was waiting for.

```
synchronized(dll) {
   while (dll.isEmpty()) {
      // Do nothing
          dll.wait();
   }
   V v = dll.poll();
}
```

```
synchronized(dll) {
    dll.insert(v);
    dll.notifyAll();
```

Notifications are sent using the notify or notifyAll keywords

**notifyAll** wakes up **all threads** waiting on that lock that something important happened.

notify() wakes up a single thread.

## **Option 3: Guarded Blocks with Locks**

```
dllLock.lock();
while (dll.isEmpty()){
    hasElement.await();
}
V v = dll.poll();
dllLock.unlock();
```

```
dllLock.lock();
dll.insert(v);
hasElement.notifyAll();
dllLock.unlock();
```

Locks are associated with Conditions that support await and notify/notifyAll methods.

Can associate as many conditions per locks as desired

Main benefit: more flexibility. Make it explicit what condition you are awaiting on

## **Java Concurrent Collections**

#### □ <u>BlockingDeque</u><E>

A **Deque** that additionally supports blocking operations that wait for the deque to become non-empty when retrieving an element, and wait for space to become available in the deque when storing an element.

#### BlockingQueue<E>

- A <u>Queue</u> that additionally supports operations that wait for the queue to become non-empty when retrieving an element, and wait for space to become available in the queue when storing an element.
- ConcurrentMap<K,V>
  - A **Map** providing thread safety and atomicity guarantees.

#### Concurrency

- Brief overview of concurrency in Java!
- More formal treatment in higher level courses.
- Remember: monitor locks, synchronized, wait, notify/All, conditions, race conditions, deadlocks