



# THREADS & CONCURRENCY

Lecture 23– CS2110 – Fall 2018

# CPU Central Processing Unit. Simplified view

2

The CPU is the part of the computer that executes instructions.

**Java:**  $x = x + 2;$

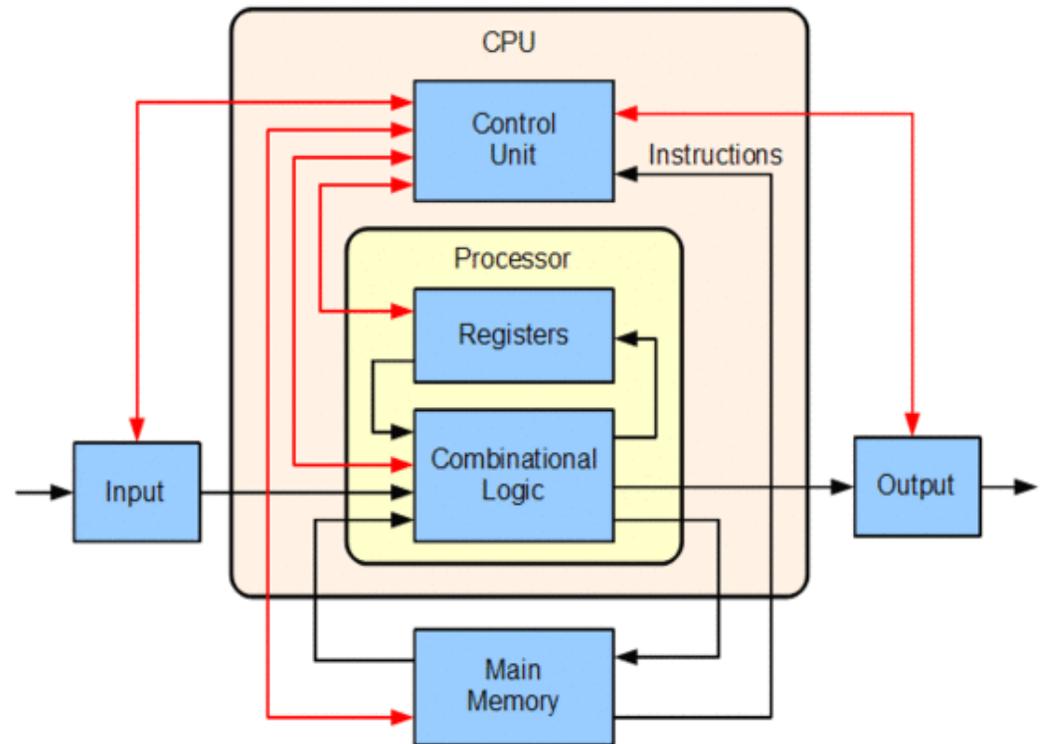
Suppose variable  $x$  is at Memory location 800, Instructions at 10

**Machine language:**

10: load register 1, 800

11: Add register 1, 2

12: Store register 1, 800



Basic uniprocessor-CPU computer.  
Black lines indicate data flow, red lines indicate control flow

From wikipedia

# Part of Activity Monitor in Gries's laptop

3

>100 processes are competing for time. Here's some of them:

Process Name	% CPU ▾	CPU Time	Threads
 Grab	4.1	3.33	7
ReportCrash	2.3	0.78	6
 Eclipse	1.5	1:48:30.07	54
 SuperTab	1.4	1:40:44.59	5
 Activity Monitor	1.4	10.57	10
 <a href="https://www.wunderground.c...">https://www.wunderground.c...</a>	1.1	1:34.19	23
 Creative Cloud	0.8	58:32.81	27
 Microsoft PowerPoint	0.6	3:24.02	9
 Safari Networking	0.4	26:53.25	10
 loginwindow	0.3	16:14.79	4
 Google Drive	0.3	6.33	22
 Safari	0.3	50:09.48	24

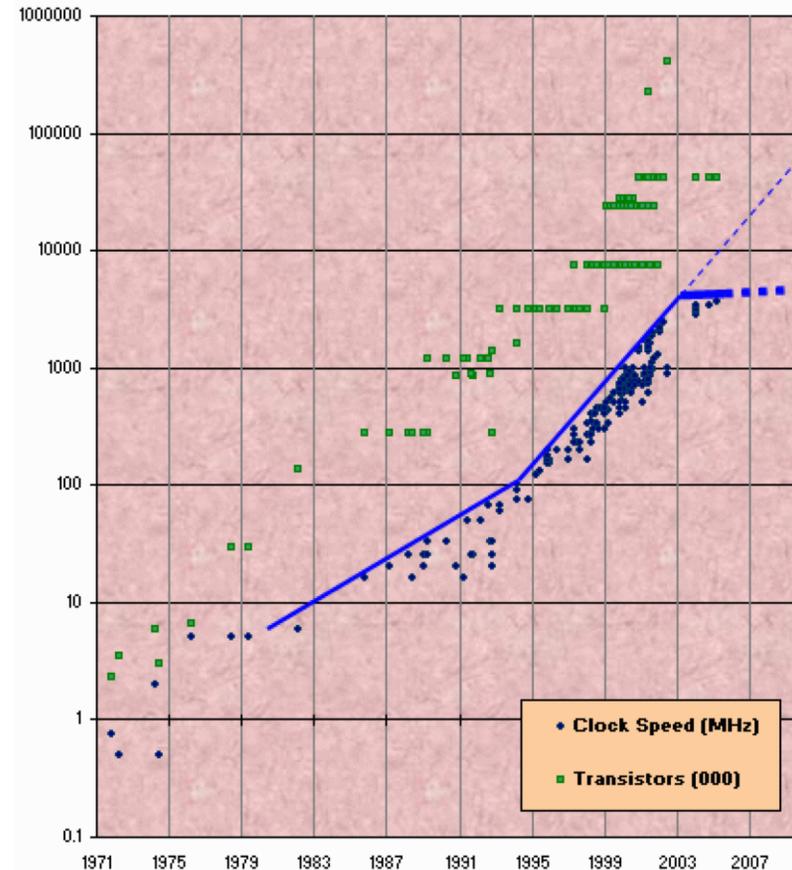
# Clock rate

4

- Clock rate “frequency at which CPU is running”

Higher the clock rate, the faster instructions are executed.

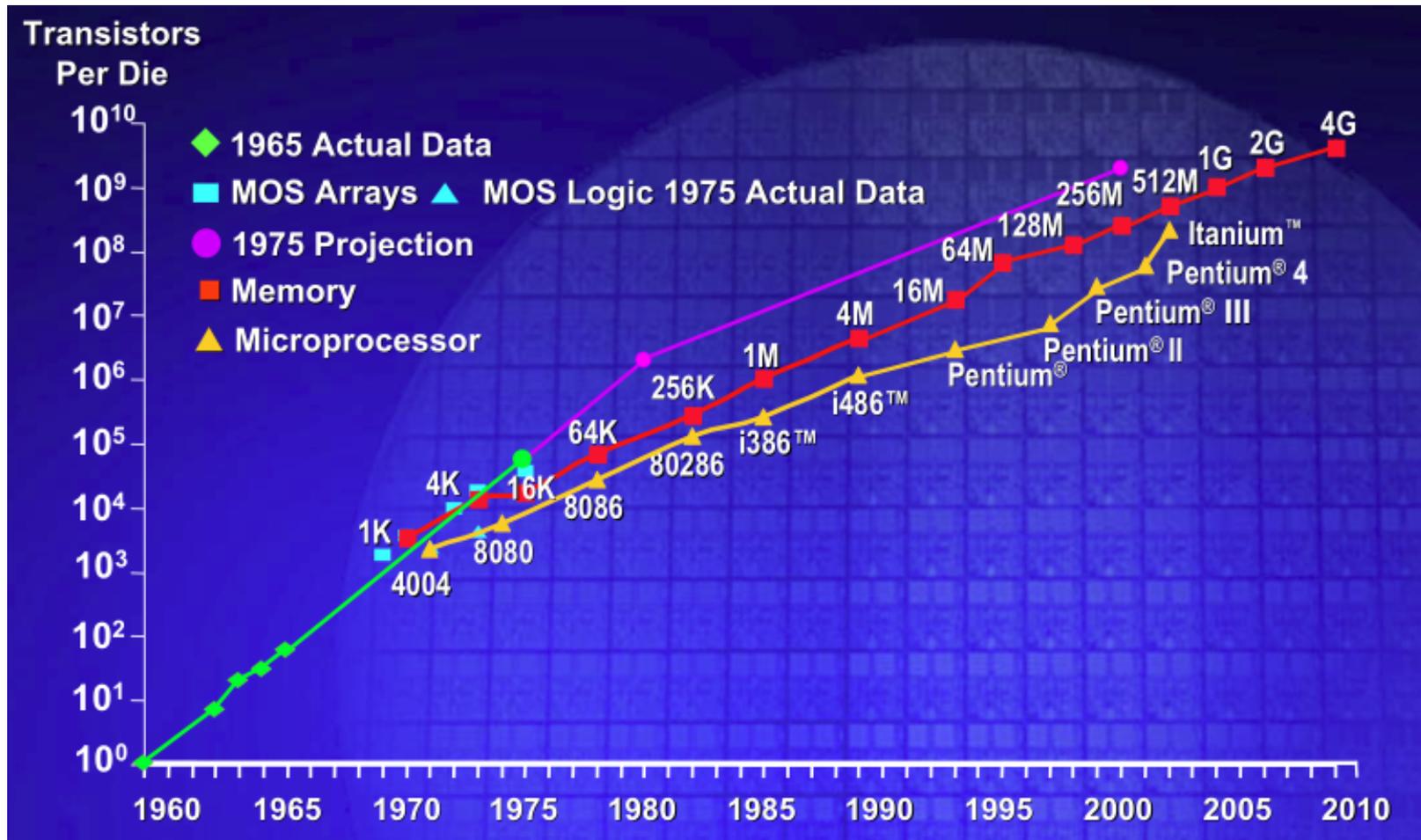
- First CPUs: 5-10 Hz (cycles per second)
- Today MacBook Pro 3.5GHz
- Your OS can control the clock rate, slow it down when idle, speed up when more work to do



# Why multicore?

5

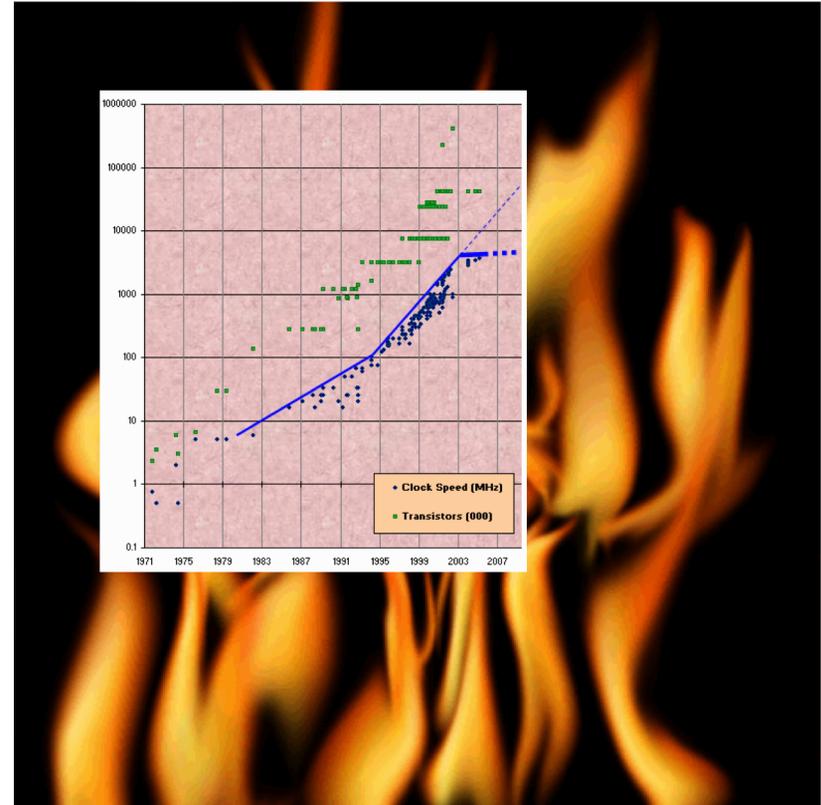
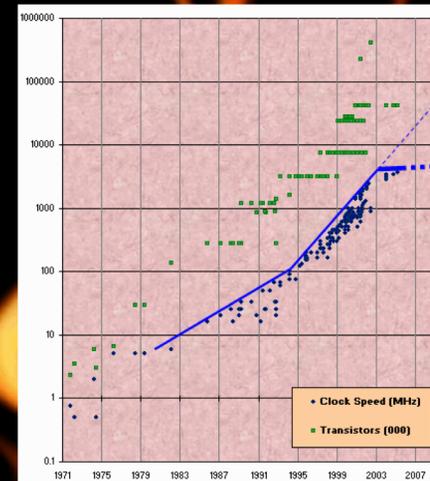
- Moore's Law: Computer speeds and memory densities nearly double each year



# But a fast computer runs hot

6

- Power dissipation rises as square of the clock rate
- Chips were heading toward melting down!
- Put more CPUs on a chip:  
with four CPUs on one  
chip, even if we run each at  
half speed we can perform  
more overall computations!



# Today: Not one CPU but many

7

Processing Unit is called a **core**.

- Modern computers have “multiple cores” (processing units)
  - ▣ Instead of a single CPU (central processing unit) on the chip 5-10 common. Intel has prototypes with 80!
  
- We often run many programs at the same time
  
- Even with a single core (processing unit), your program may have more than one thing “to do” at a time
  - ▣ Argues for having a way to do many things at once

# Many programs. Each can have several “threads of execution”

8

We often run many programs at the same time

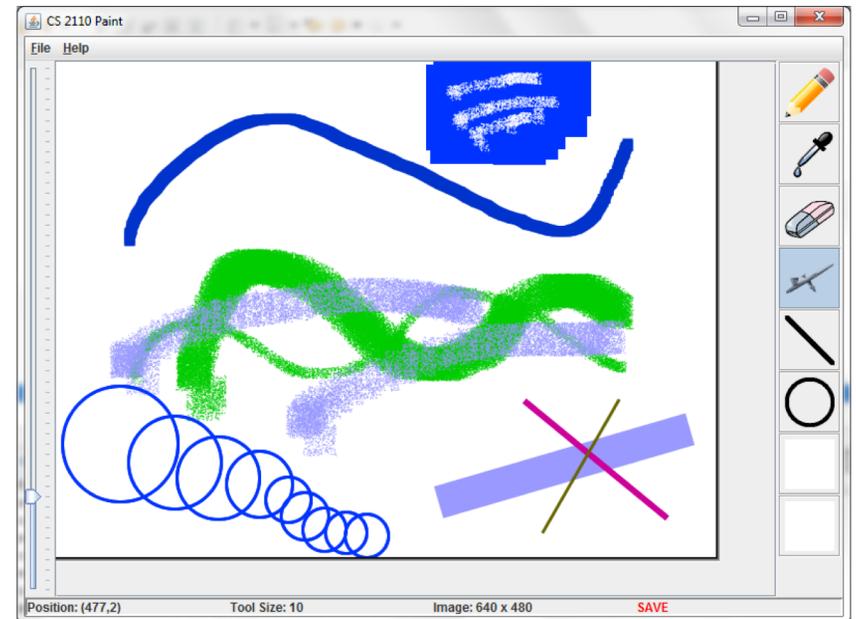
And each program may have several “threads of execution”

Example, in a Paint program, when you click the pencil tool, a new thread of execution is started to call the method to process it:

**Main GUI thread**



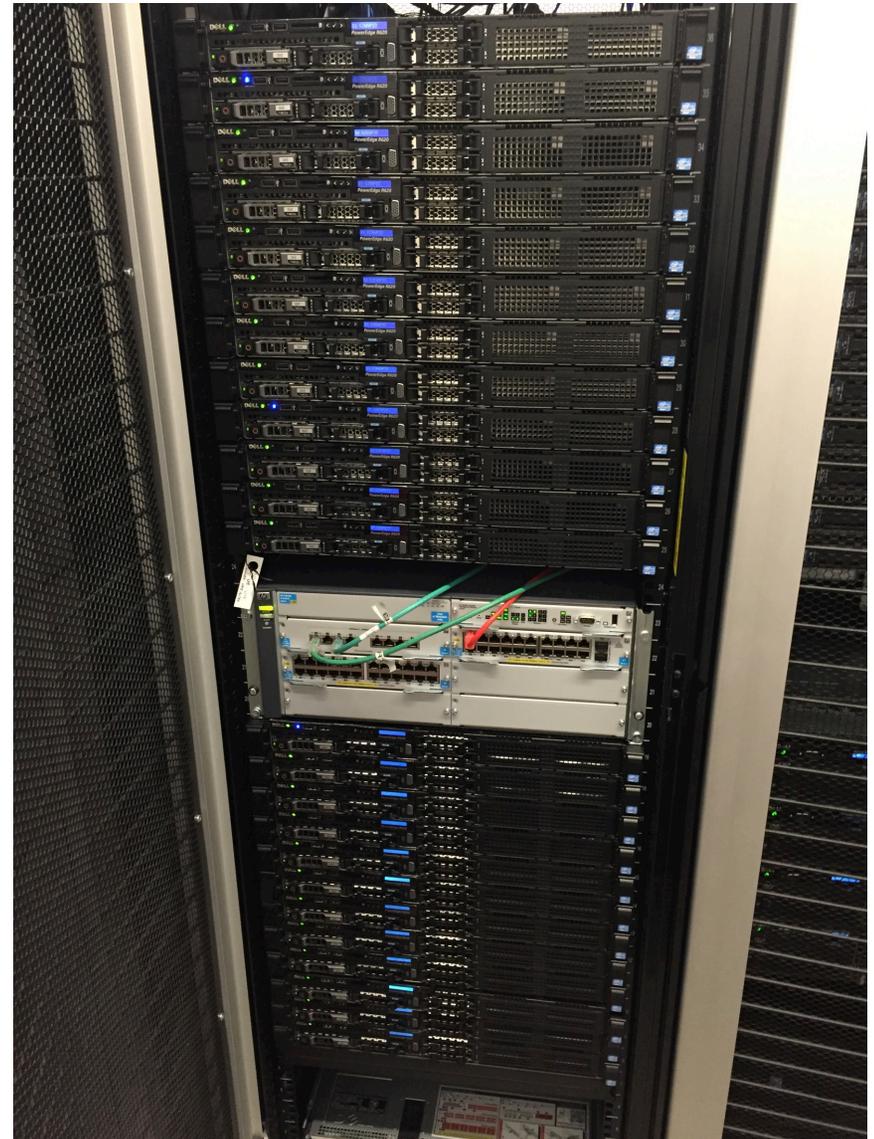
**Process pencil click**



# Programming a Cluster...

9

- Sometimes you want to write a program that is executed on many machines!
- Atlas Cluster (at Cornell):
  - 768 cores
  - 1536 GB RAM
  - 24 TB Storage
  - 96 NICs (Network Interface Controller)



# Many processes are executed simultaneously on your computer

10

- Operating system provides support for multiple “processes”
- Usually fewer processors than processes
- Processes are an abstraction:  
at hardware level, lots of multitasking
  - memory subsystem
  - video controller
  - buses
  - instruction prefetching

# Concurrency

11

- *Concurrency* refers to a single program in which several processes, called threads, are running simultaneously
  - ▣ Special problems arise
  - ▣ They reference the same data and can interfere with each other, e.g. one process modifies a complex structure like a heap while another is trying to read it
- CS2110: we focus on two main issues:
  - ▣ **Race conditions**
  - ▣ **Deadlock**

# Race conditions

12



- A “race condition” arises if two or more processes access the same variables or objects concurrently and at least one does updates
- Example: Processes  $t1$  and  $t2$   $x = x + 1;$  for some static global  $x$ .

Process  $t1$

Process  $t2$

...

...

$x = x + 1;$

$x = x + 1;$

But  $x = x + 1;$  is not an “atomic action”: it takes several steps

# Race conditions

13

- Suppose  $x$  is initially 5

## Thread t1

- LOAD  $x$
- ADD 1
- STORE  $x$

## Thread t2

- ...
- LOAD  $x$
- ADD 1
- STORE  $x$

- ... after finishing,  $x = 6!$  We “lost” an update

# Race conditions

14

- Typical race condition: two processes wanting to change a stack at the same time. Or make conflicting changes to a database at the same time.
- Race conditions are bad news
  - ▣ Race conditions can cause many kinds of bugs, not just the example we see here!
  - ▣ Common cause for “blue screens”: null pointer exceptions, damaged data structures
  - ▣ Concurrency makes proving programs correct much harder!

# Deadlock

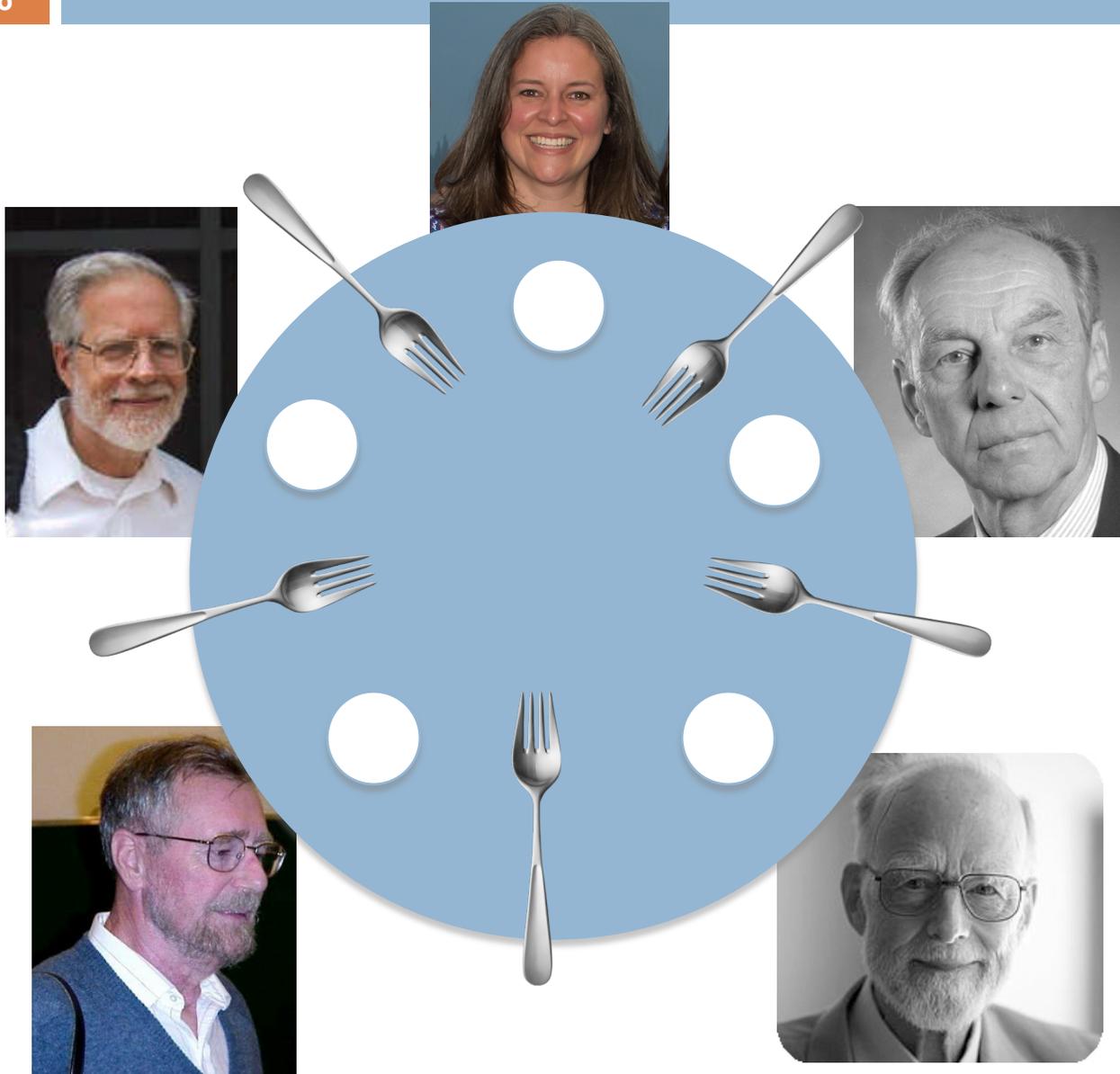
15



- To prevent race conditions, one often requires a process to “acquire” resources before accessing them, and only one process can “acquire” a given resource at a time.
- Examples of resources are:
  - ▣ A file to be read
  - ▣ An object that maintains a stack, a linked list, a hash table, etc.
- But if processes have to acquire two or more resources at the same time in order to do their work, **deadlock** can occur. This is the subject of the next slides.

# Dining philosopher problem

16



Five philosophers sitting at a table.

Each **repeatedly** does this:

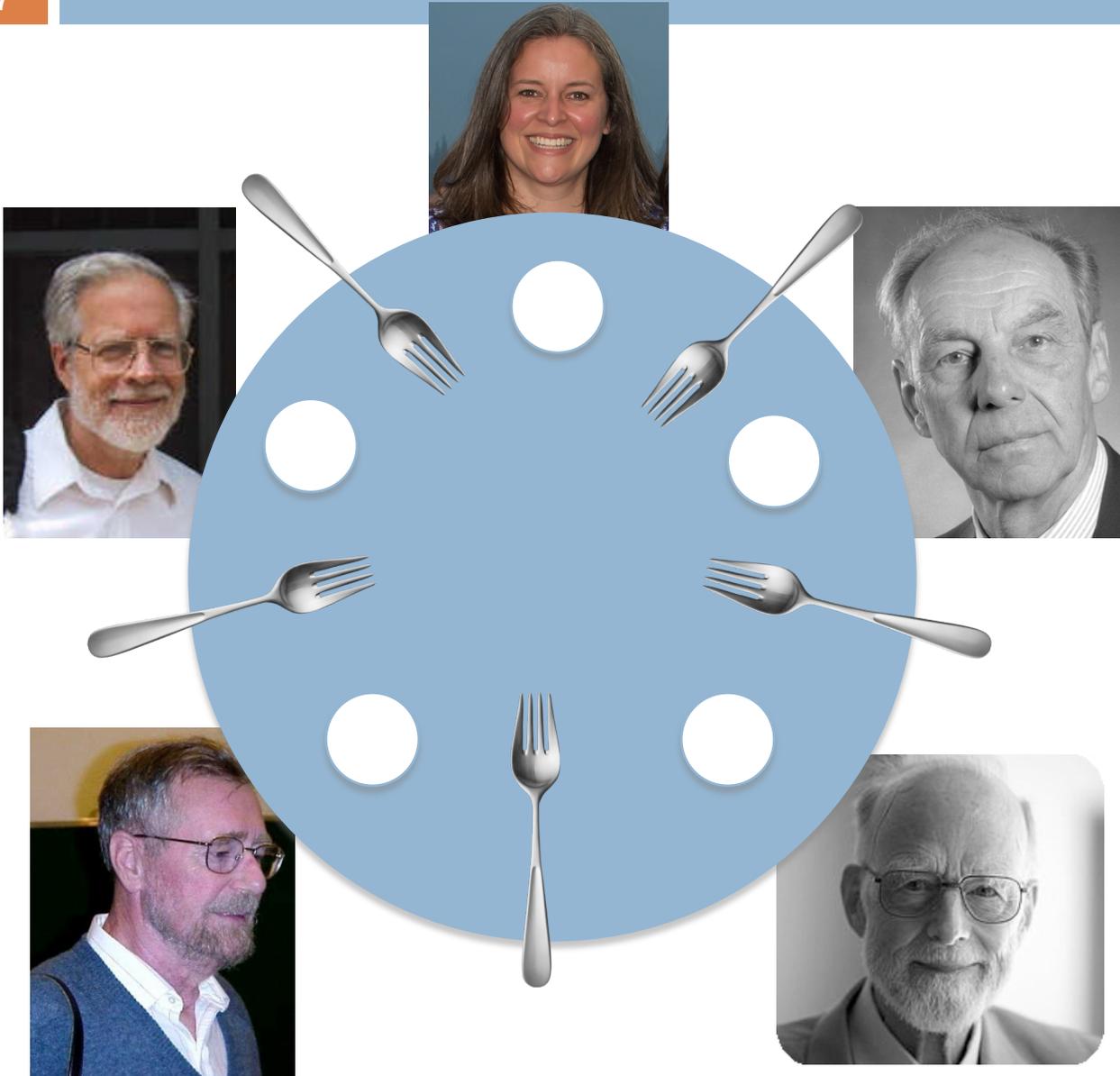
1. think
2. eat

What do they eat?  
spaghetti.

Need TWO forks to eat spaghetti!

# Dining philosopher problem

17



Each does repeatedly :

1. think
2. eat (2 forks)

eat is then:

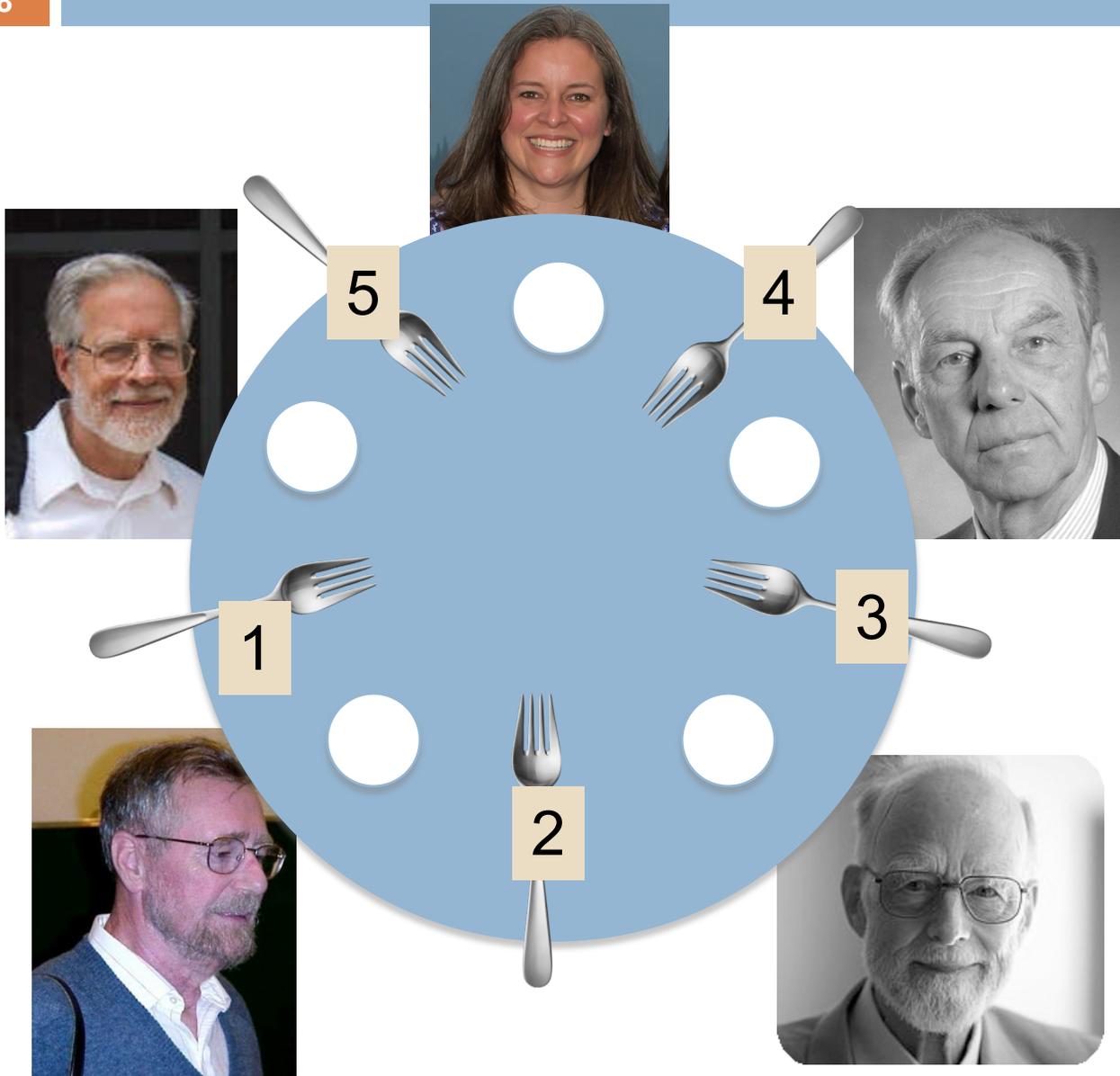
- pick up left fork
- pick up right fork
- pick up food, eat
- put down left fork
- put down right fork

At one point,  
they all pick up  
their left forks

**DEADLOCK!**

# Dining philosopher problem

18



## Simple solution to deadlock:

Number the forks. Pick up smaller one first

1. think
2. eat (2 forks)

eat is then:

pick up smaller fork  
pick up bigger fork  
pick up food, eat  
put down bigger fork  
put down smaller fork

# Java: What is a Thread?

19

- *A separate “execution” that runs within a single program and can perform a computational task independently and concurrently with other threads*
- Many applications do their work in just a single thread: the one that called main() at startup
  - ▣ But there may still be extra threads...
  - ▣ ... Garbage collection runs in a “background” thread
  - ▣ GUIs have a separate thread that listens for events and “dispatches” calls to methods to process them
- **Today: learn to create new threads of our own in Java**

# Thread

- A thread is an object that “independently computes”
  - ▣ Needs to be created, like any object
  - ▣ Then “started” --causes some method to be called. It runs side by side with other threads in the same program; they see the same global data
- The actual executions could occur on different CPU cores, but but don't have to
  - ▣ We can also simulate threads by *multiplexing* a smaller number of cores over a larger number of threads

# Java class Thread

21

- threads are instances of class Thread
  - ▣ Can create many, but they do consume space & time
- The Java Virtual Machine creates the thread that executes your main method.
- Threads have a priority
  - ▣ Higher priority threads are executed preferentially
  - ▣ By default, newly created threads have initial priority equal to the thread that created it (but priority can be changed)

# Creating a new Thread (Method 1)

22

```
class PrimeThread extends Thread {  
    long a, b;  
  
    PrimeThread(long a, long b)  
        this.a= a; this.b= b;  
  
    public void run() {  
        //compute primes between a and b  
        ...  
    }  
}
```

overrides  
`Thread.run()`

Call `run()` directly?  
no new thread is used:  
Calling thread will run it

```
PrimeThread p= new PrimeThread(143, 195);  
p.start();
```

Do this and  
Java invokes `run()` in new thread

# Creating a new Thread (Method 2)

23

```
class PrimeRun implements Runnable {  
    long a, b;  
  
    PrimeRun(long a, long b) {  
        this.a= a; this.b= b;  
    }  
  
    public void run() {  
        //compute primes between a and b  
        ...  
    }  
}
```



```
PrimeRun p= new PrimeRun(143, 195);  
new Thread(p).start();
```

# Example

Thread name, priority, thread group

24

```
public class ThreadTest extends Thread {  
  
    public static void main(String[] args) {  
        new ThreadTest().start();  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
  
    public void run() {  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
}
```

```
Thread[Thread-0,5,main] 0  
Thread[main,5,main] 0  
Thread[main,5,main] 1  
Thread[main,5,main] 2  
Thread[main,5,main] 3  
Thread[main,5,main] 4  
Thread[main,5,main] 5  
Thread[main,5,main] 6  
Thread[main,5,main] 7  
Thread[main,5,main] 8  
Thread[main,5,main] 9  
Thread[Thread-0,5,main] 1  
Thread[Thread-0,5,main] 2  
Thread[Thread-0,5,main] 3  
Thread[Thread-0,5,main] 4  
Thread[Thread-0,5,main] 5  
Thread[Thread-0,5,main] 6  
Thread[Thread-0,5,main] 7  
Thread[Thread-0,5,main] 8  
Thread[Thread-0,5,main] 9
```

# Example

Thread name, priority, thread group

25

```
public class ThreadTest extends Thread {  
  
    public static void main(String[] args) {  
        new ThreadTest().start();  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
  
    public void run() {  
        currentThread().setPriority(4);  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
}
```

```
Thread[main,5,main] 0  
Thread[main,5,main] 1  
Thread[main,5,main] 2  
Thread[main,5,main] 3  
Thread[main,5,main] 4  
Thread[main,5,main] 5  
Thread[main,5,main] 6  
Thread[main,5,main] 7  
Thread[main,5,main] 8  
Thread[main,5,main] 9  
Thread[Thread-0,4,main] 0  
Thread[Thread-0,4,main] 1  
Thread[Thread-0,4,main] 2  
Thread[Thread-0,4,main] 3  
Thread[Thread-0,4,main] 4  
Thread[Thread-0,4,main] 5  
Thread[Thread-0,4,main] 6  
Thread[Thread-0,4,main] 7  
Thread[Thread-0,4,main] 8  
Thread[Thread-0,4,main] 9
```

# Example

Thread name, priority, thread group



26

```
public class ThreadTest extends Thread {  
  
    public static void main(String[] args) {  
        new ThreadTest().start();  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
  
    public void run() {  
        currentThread().setPriority(6);  
        for (int i= 0; i < 10; i++) {  
            System.out.format("%s %d\n",  
                Thread.currentThread(), i);  
        }  
    }  
}
```

```
Thread[main,5,main] 0  
Thread[main,5,main] 1  
Thread[main,5,main] 2  
Thread[main,5,main] 3  
Thread[main,5,main] 4  
Thread[main,5,main] 5  
Thread[Thread-0,6,main] 0  
Thread[Thread-0,6,main] 1  
Thread[Thread-0,6,main] 2  
Thread[Thread-0,6,main] 3  
Thread[Thread-0,6,main] 4  
Thread[Thread-0,6,main] 5  
Thread[Thread-0,6,main] 6  
Thread[Thread-0,6,main] 7  
Thread[Thread-0,6,main] 8  
Thread[Thread-0,6,main] 9  
Thread[main,5,main] 6  
Thread[main,5,main] 7  
Thread[main,5,main] 8  
Thread[main,5,main] 9
```

# Example

27

```
public class ThreadTest extends Thread {
    static boolean ok = true;

    public static void main(String[] args) {
        new ThreadTest().start();
        for (int i = 0; i < 10; i++) {
            System.out.println("waiting...");
            yield();
        }
        ok = false;
    }

    public void run() {
        while (ok) {
            System.out.println("running...");
            yield();
        }
        System.out.println("done");
    }
}
```

If threads happen to be sharing a CPU, yield allows other waiting threads to run.

```
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
running...
waiting...
```

```
waiting...
running...
waiting...
running...
done
```

# Terminating Threads is tricky

28



- Easily done... but only in certain ways
  - ▣ *Safe way to terminate a thread: return from method run*
  - ▣ *Thread throws uncaught exception? whole program will be halted (but it can take a second or two ... )*
- Some old APIs have issues: stop(), interrupt(), suspend(), destroy(), etc.
  - ▣ Issue: Can easily leave application in a “broken” internal state.
  - ▣ Many applications have some kind of variable telling the thread to stop itself.



# Threads can pause

29

- When active, a thread is “runnable”.
  - ▣ It may not actually be “running”. For that, a CPU must schedule it. Higher priority threads could run first.
- A thread can pause
  - ▣ Call `Thread.sleep(k)` to sleep for `k` milliseconds
  - ▣ Doing I/O (e.g. read file, wait for mouse input, open file) can cause thread to pause
  - ▣ Java has a form of locks associated with objects. When threads lock an object, one succeeds at a time.

# Background (daemon) Threads



30

- In many applications we have a notion of “foreground” and “background” (daemon) threads
  - ▣ Foreground threads are doing visible work, like interacting with the user or updating the display
  - ▣ Background threads do things like maintaining data structures (rebalancing trees, garbage collection, etc.)
  
- On your computer, the same notion of background workers explains why so many things are always running in the task manager.

# Fancier forms of locking

31

- Java developers have created various synchronization abstract data types
  - ▣ Semaphores: a kind of synchronized counter (invented by Dijkstra)
  - ▣ Event-driven synchronization
  
- The Windows and Linux and Apple O/S have kernel locking features, like file locking
  
- But for Java, **synchronized** is the core mechanism

# Summary

32

- ▣ Use of multiple processes and multiple threads within each process can exploit concurrency
  - Which may be real (multicore) or “virtual” (an illusion)
- ▣ When using threads, beware!
  - Synchronize any shared memory to avoid race conditions
  - Synchronize objects in certain order to avoid deadlocks
  - Even with proper synchronization, concurrent programs can have other problems such as “livelock”
- ▣ Serious treatment of concurrency is a complex topic (covered in more detail in cs3410 and cs4410)