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## SPANNING TREES

Lecture 21

CS2110 – Fall 2016

## Spanning trees

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**What we do today:**

- ▣ Talk about modifying an existing algorithm
- ▣ Calculating the shortest path in Dijkstra's algorithm
- ▣ Minimum spanning trees
- ▣ 3 greedy algorithms (including Kruskal & Prim)

## Assignment A7 available soon

### Due close to prelim 2

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Implement Dijkstra's shortest-path algorithm.

Start with our abstract algorithm, implement it in a specific setting. Our method: 36-40 lines, including extensive comments.

We give you all necessary test cases.

We will make our solution to A6 available after the deadline for late submissions.

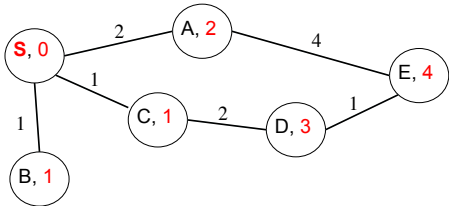
Previous semester: median: 4.0, mean: 3.84. But our abstract algorithm is much closer to the planned implementation than during that semester, and we expect a much lower median and mean.

## Dijkstra's algorithm using class Sfddata.

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An object of class Sfddata for each node of the graph.

Sfddata contains shortest distance from Start node (red).

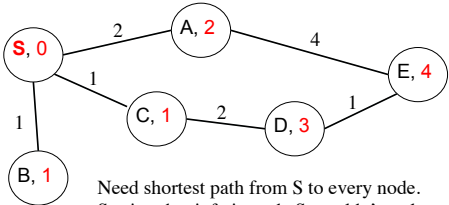


## Backpointers

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Shortest path requires not only the distance from start to a node but the shortest path itself. How to do that?

In the graph, red numbers are shortest distance from S.



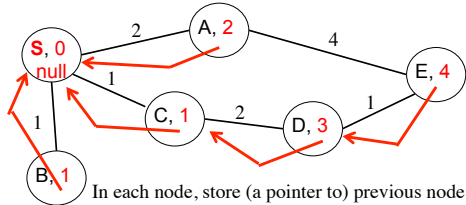
Need shortest path from S to every node. Storing that info in node S wouldn't make sense.

## Backpointers

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Shortest path requires not only the distance from start to a node but the shortest path itself. How to do that?

In the graph, red numbers are shortest distance from S.

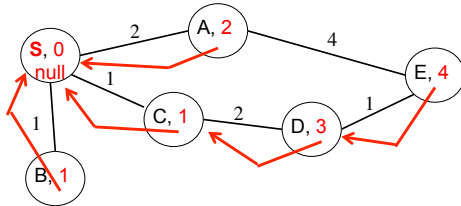


In each node, store (a pointer to) previous node on the shortest path from S to that node. **Backpointer**

## Backpointers

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When to set a backpointer? In the algorithm, processing an edge  $(f, w)$ : If the shortest distance to  $w$  changes, then set  $w$ 's backpointer to  $f$ . It's that easy!



## Each iteration of Dijkstra's algorithm

dist: shortest-path length calculated so far

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$f$  = node in Frontier with min dist; Remove  $f$  from Frontier;

for each neighbor  $w$  of  $f$ :

if  $w$  in far-off set

then  $w.sp = f.dist + \text{weight}(f, w)$ ;

Put  $w$  in the Frontier;

$w.backPointer = f$ ;

else if  $f.dist + \text{weight}(f, w) < w.dist$

then  $w.dist = f.dist + \text{weight}(f, w)$ ;

$w.backPointer = f$ ;

SFdata@...

SFdata

dist

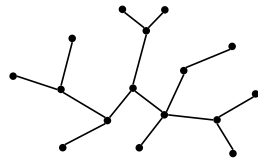
backPointer

## Undirected trees

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- An undirected graph is a **tree** if there is exactly one simple path between any pair of vertices

Root of tree?  
It doesn't matter. Choose any vertex for the root



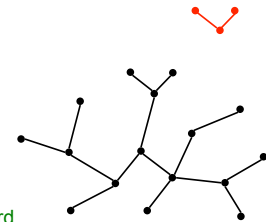
## Facts about trees

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Consider a graph with these properties:

- $|E| = |V| - 1$
- connected
- no cycles

Any two of these properties imply the third —and imply that the graph is a tree



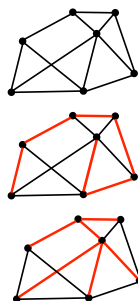
$V$ : set of vertices  
 $E$ : set of edges

A **spanning tree** of a **connected undirected graph**  $(V, E)$  is a subgraph  $(V, E')$  that is a tree

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- Same set of vertices  $V$
  - $E' \subseteq E$
  - $(V, E')$  is a tree
- Same set of vertices  $V$
  - Maximal set of edges that contains no cycle
- Same set of vertices  $V$
  - Minimal set of edges that connect all vertices

Three equivalent definitions



## Spanning trees: examples

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<http://mathworld.wolfram.com/SpanningTree.html>

### Finding a spanning tree

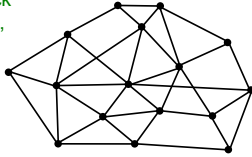
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Use:

Maximal set of edges  
that contains no cycle

#### A subtractive method

- Start with the whole graph – it is connected
- If there is a cycle, pick an edge on the cycle, throw it out – the graph is still connected (why?)
- Repeat until no more cycles



### Finding a spanning tree

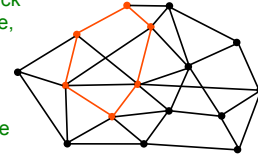
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Use:

Maximal set of edges  
that contains no cycle

#### A subtractive method

- Start with the whole graph – it is connected
- If there is a cycle, pick an edge on the cycle, throw it out – the graph is still connected (why?)
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### Finding a spanning tree

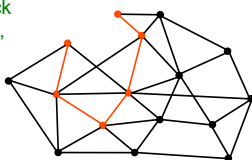
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Use:

Maximal set of edges  
that contains no cycle

#### A subtractive method

- Start with the whole graph – it is connected
- If there is a cycle, pick an edge on the cycle, throw it out – the graph is still connected (why?)
- Repeat until no more cycles



Nondeterministic algorithm

### Finding a spanning tree: Additive method

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Use:

Minimal set of edges  
that connects all  
vertices

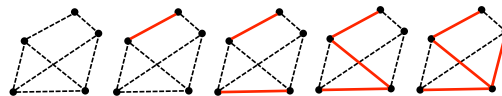
- Start with no edges
- While the graph is not connected:  
Choose an edge that connects 2 connected components and add it – the graph still has no cycle (why?)

nondeterministic  
algorithm

Tree edges will be red.

Dashed lines show original edges.

Left tree consists of 5 connected components, each a node



### Minimum spanning trees

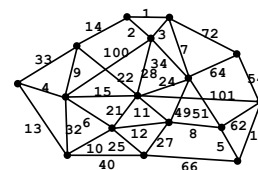
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- Suppose edges are weighted ( $> 0$ ), and we want a spanning tree of **minimum cost** (sum of edge weights)
- Some graphs have exactly one minimum spanning tree. Others have several trees with the same cost, any of which is a minimum spanning tree

### Minimum spanning trees

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- Suppose edges are weighted ( $> 0$ ), and we want a spanning tree of **minimum cost** (sum of edge weights)
- Useful in network routing & other applications
- For example, to stream a video



## Greedy algorithm

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A greedy algorithm: follow the heuristic of making a locally optimal choice at each stage, with the hope of finding a global optimum

Example. Make change using the fewest number of coins.  
Make change for  $n$  cents,  $n < 100$  (i.e.  $< \$1$ )

Greedy: At each step, choose the largest possible coin

If  $n \geq 50$  choose a half dollar and reduce  $n$  by 50;  
If  $n \geq 25$  choose a quarter and reduce  $n$  by 25;  
As long as  $n \geq 10$ , choose a dime and reduce  $n$  by 10;  
If  $n \geq 5$ , choose a nickel and reduce  $n$  by 5;  
Choose  $n$  pennies.

## Greedy algorithm

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A greedy algorithm: follow the heuristic of making a locally optimal choice at each stage, with the hope of finding a global optimum. **Doesn't always work**

Example. Make change using the fewest number of coins.

Coins have these values: 7, 5, 1

Greedy: At each step, choose the largest possible coin

Consider making change for 10.

The greedy choice would choose: 7, 1, 1, 1.

But 5, 5 is only 2 coins.

## Greedy algorithm

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A greedy algorithm: follow the heuristic of making a locally optimal choice at each stage, with the hope of finding a global optimum. **Doesn't always work**

Example. Make change (if possible) using the fewest number of coins.

Coins have these values: 7, 5, 2

Greedy: At each step, choose the largest possible coin

Consider making change for 10.

The greedy choice would choose: 7, 2 –and can't proceed!

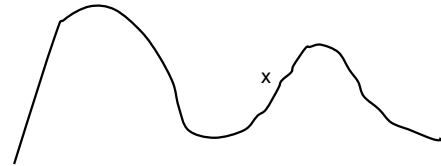
But 5, 5 works

## Greediness doesn't work here

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You're standing at point  $x$ , and your goal is to climb the highest mountain.

Two possible steps: down the hill or up the hill. The greedy step is to walk up hill. But that is a local optimum choice, not a global one. Greediness fails in this case.



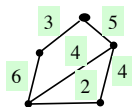
## Construct minimum spanning tree (greedy)

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As long as there is a cycle:  
Find a black max-weight edge –  
if it is on a cycle, throw it out  
otherwise keep it (make it red)

Maximal set of  
edges that  
contains no cycle

We mark a node red to indicate that we have looked at it and determined it can't be removed because removing it would unconnect the graph (the node is not on a cycle)



## Construct minimum spanning tree (greedy)

24

As long as there is a cycle:  
Find a black max-weight edge – if it  
is on a cycle, throw it out otherwise  
keep it (make it red)

Maximal set of  
edges that  
contains no cycle

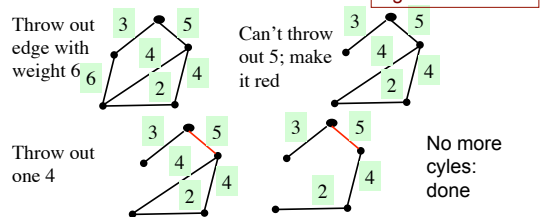
Nondeterministic  
algorithm

Throw out  
edge with  
weight 6

Can't throw  
out 5; make  
it red

Throw out  
one 4

No more  
cycles:  
done



## Construct minimum spanning tree (greedy)

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As long as there is a cycle:  
Find a black max-weight edge – if it is on a cycle, throw it out otherwise keep it (make it red)

Maximal set of edges that contains no cycle

Nobody uses this algorithm because, usually, there are far more edges than nodes. If graph with  $n$  nodes is complete,  $O(n^2)$  edges have to be deleted!

It's better to use this property of a spanning tree and add edges to the spanning tree. For a tree with  $n$  nodes,  $n-1$  edges have to be added

Minimal set of edges that connect all vertices

## Two greedy algorithms for constructing a minimum spanning tree

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□ **Kruskal**

□ **Prim**

Both use this definition of a spanning tree and in a greedy fashion:

Minimal set of edges that connect all vertices

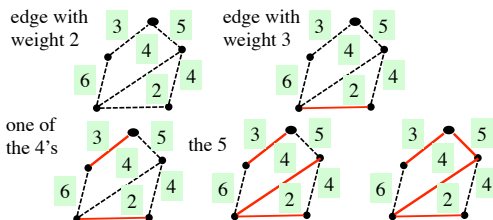
Both are nondeterministic, in that at a point they may choose one of several nodes with equal weight

## Kruskal's algorithm: greedy

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At each step, add an edge (that does not form a cycle) with minimum weight

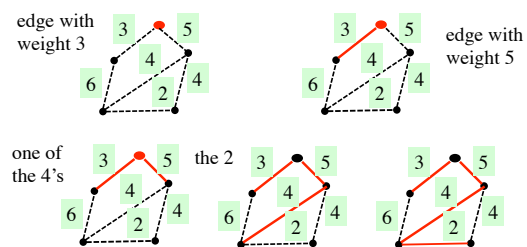
Minimal set of edges that connect all vertices



## Prim's algorithm. greedy

Have start node.

Invariant: The added edges (and their nodes) are connected



## Tree greedy spanning tree algorithms

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1. Algorithm that uses this property of a spanning tree: **Maximal set of edges that contains no cycle**

2. Algorithms that use this property of a spanning tree: **Minimal set of edges that connect all vertices**  
(a) Kruskal (b) Prim

When edge weights are all distinct, or if there is exactly one minimum spanning tree, all 3 algorithms construct the same tree.

## Prim's algorithm ( $n$ nodes, $m$ edges)

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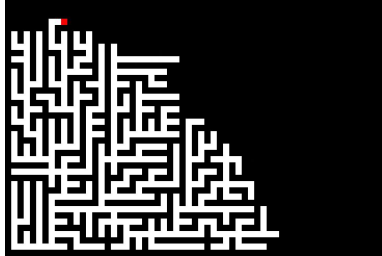
```
prim(s) {
  D[s] = 0; //start vertex
  D[i] = ∞ for all i ≠ s;
  while (a vertex is unmarked) {
    v = unmarked vertex
      with smallest D;
    mark v;
    for (each w adj to v)
      D[w] = min(D[w], c(v,w));
  }
}
```

- $O(m + n \log n)$  for adj list
  - Use a priority queue PQ
  - Regular PQ produces time  $O(n + m \log m)$
  - Can improve to  $O(m + n \log n)$  using a fancier heap
- $O(n^2)$  for adj matrix
  - while-loop iterates  $n$  times
  - for-loop takes  $O(n)$  time

## Application of MST

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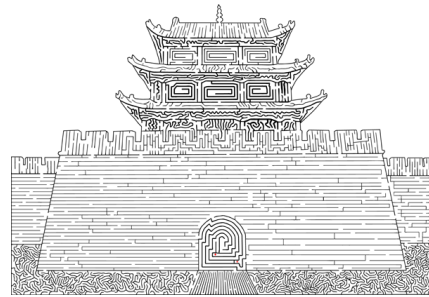
Maze generation using Prim's algorithm



The generation of a maze using Prim's algorithm on a randomly weighted grid graph that is 30x20 in size.  
[http://en.wikipedia.org/wiki/File:MAZE\\_30x20\\_Prim.svg](http://en.wikipedia.org/wiki/File:MAZE_30x20_Prim.svg)

## More complicated maze generation

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<http://www.cgl.uwaterloo.ca/~csk/projects/mazes/>

## Greedy algorithms

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- These are **Greedy Algorithms**
- Greedy Strategy: is an algorithm design technique  
Like Divide & Conquer
- Greedy algorithms are used to solve optimization problems  
Goal: find the *best* solution
- Works when the problem has the greedy-choice property:  
A global optimum can be reached by making locally optimum choices

### Example: Making change

Given an amount of money, find smallest number of coins to make that amount

**Solution: Use Greedy Algorithm:**

Use as many large coins as you can.

Produces optimum number of coins for US coin system  
May fail for old UK system

## Similar code structures

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```
while (a vertex is unmarked) {
    v = best unmarked vertex
    mark v;
    for (each w adj to v)
        update D[w];
}
```

$c(v,w)$  is the  
 $v \rightarrow w$  edge weight

- Breadth-first-search (bfs)
  - best: next in queue
  - update:  $D[w] = D[v] + 1$
- Dijkstra's algorithm
  - best: next in priority queue
  - update:  $D[w] = \min(D[w], D[v] + c(v,w))$
- Prim's algorithm
  - best: next in priority queue
  - update:  $D[w] = \min(D[w], c(v,w))$

## Traveling salesman problem

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Given a list of cities and the distances between each pair, what is the shortest route that visits each city exactly once and returns to the origin city?

- The true TSP is very hard (called NP complete)... for this we want the *perfect* answer in all cases.
- Most TSP algorithms start with a spanning tree, then “evolve” it into a TSP solution. Wikipedia has a lot of information about packages you can download...