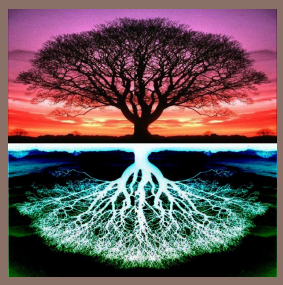


TREES

Lecture 12
CS2110 – Fall 2016

Prelim 1 tonight!

2

5:30 prelim is very crowded. You HAVE to follow these directions:

1. Students taking the normal 5:30 prelim (not the quiet room) and whose last names begin with A through Da MUST go to Phillips 101.

2. All other 5:30 students, go to Olin 155. You will stay there or be directed to another Olin room.

3. All 7:30 students go to Olin 155; you will staythere or be directed to another Olin room.

4. EVERYONE: Bring your Cornell id card. You will need it to get into the exam room.

Important Announcements

3

- A4 will be posted this weekend
- Mid-semester TA evaluations are coming up; please participate! Your feedback will help our staff improve their teaching ---this semester.

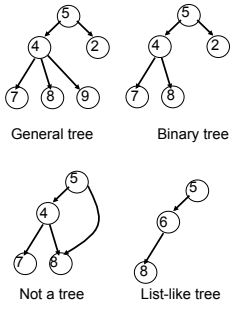
Tree Overview

4

Tree: data structure with nodes, similar to linked list

- Each node may have zero or more *successors* (children)
- Each node has exactly one *predecessor* (parent) except the *root*, which has none
- All nodes are reachable from *root*

Binary tree: tree in which each node can have at most two children: a left child and a right child

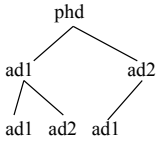


Binary trees were in A1!

5

You have seen a binary tree in A1.

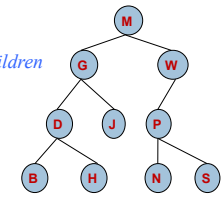
A PhD object phd has one or two advisors.
Here is an intellectual ancestral tree!



Tree terminology

6

M: root of this tree
G: *root* of the *left subtree* of M
B, H, J, N, S: *leaves (their set of children is empty)*
N: *left child* of P; S: right *child* of P
P: parent of N
M and G: *ancestors* of D
P, N, S: *descendants* of W
J is at *depth* 2 (i.e. length of path from root = no. of edges)
W is at *height* 2 (i.e. length of longest path to a leaf)
A collection of several trees is called a ...?



Class for binary tree node

7

```
class TreeNode<T> {
  private T datum;
  private TreeNode<T> left, right;

  /** Constructor: one-node tree with datum x */
  public TreeNode (T d) { datum= d; left= null; right= null;}

  /** Constr: Tree with root value x, left tree l, right tree r */
  public TreeNode (T d, TreeNode<T> l, TreeNode<T> r) {
     datum= d; left= l; right= r;
  }
}
```

Points to left subtree (null if empty)

Points to right subtree (null if empty)

more methods: getValue, setValue, getLeft, setLeft, etc.

Binary versus general tree

8

In a binary tree, each node has up to two pointers: to the left subtree and to the right subtree:

- One or both could be **null**, meaning the subtree is empty (remember, a tree is a set of nodes)

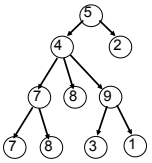
In a general tree, a node can have any number of child nodes (and they need not be ordered)

- Very useful in some situations ...
- ... one of which may be in an assignment!

Class for general tree nodes

```
class GTreeNode<T> {
   private T datum;
   private List<GTreeNode<T>> children;
   //appropriate constructors, getters,
   //setters, etc.
}
```

Parent contains a list of its children

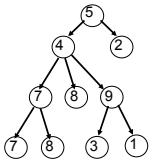


General tree

Class for general tree nodes

```
class GTreeNode<T> {
   private T datum;
   private List<GTreeNode<T>> children;
   //appropriate constructors, getters,
   //setters, etc.
}
```

Java.util.List is an interface!
It defines the methods that all implementation must implement.
Whoever writes this class gets to decide what implementation to use — ArrayList? LinkedList? Etc.?



General tree

Applications of Tree: Syntax Trees

11

- Most languages (natural and computer) have a recursive, hierarchical structure
- This structure is *implicit* in ordinary textual representation
- Recursive structure can be made *explicit* by representing sentences in the language as trees: Abstract Syntax Trees (ASTs)
- ASTs are easier to optimize, generate code from, etc. than textual representation
- A parser converts textual representations to AST

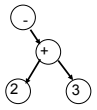
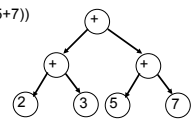
Applications of Tree: Syntax Trees

12

In textual representation:
Parentheses show hierarchical structure

In tree representation:
Hierarchy is explicit in the structure of the tree

We'll talk more about expressions and trees in next lecture

Text	Tree Representation
-34	
- (2 + 3)	
((2+3) + (5+7))	

Recursion on trees

13

Trees are defined recursively:

A binary tree is either

(1) empty

or

(2) a value (called the root value),
a left binary tree, and a right binary tree

Recursion on trees

14

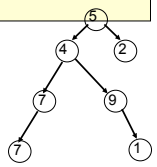
Trees are defined recursively, so recursive methods can be written to process trees in an obvious way.

Base case
- empty tree (null)
- leaf

Recursive case
- solve problem on each subtree
- put solutions together to get solution for full tree

Class for binary tree nodes

```
Class BinTreeNode<T> {
   private T datum;
   private BinTreeNode<T> left;
   private BinTreeNode<T> right;
   //appropriate constructors, getters,
   //setters, etc.
}
```



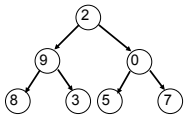
Binary tree

Searching in a Binary Tree

16

```
/** Return true iff x is the datum in a node of tree  t*/
public static boolean treeSearch(T x, TreeNode<T> t) {
   if (t == null) return false;
   if (x.equals(t.datum)) return true;
   return treeSearch(x, t.left) || treeSearch(x, t.right);
}
```

- Analog of linear search in lists: given tree and an object, find out if object is stored in tree
- Easy to write recursively, harder to write iteratively

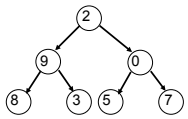


Searching in a Binary Tree

17

```
/** Return true iff x is the datum in a node of tree  t*/
public static boolean treeSearch(T x, TreeNode<T> t) {
   if (t == null) return false;
   if (x.equals(t.datum)) return true;
   return treeSearch(x, t.left) || treeSearch(x, t.right);
}
```

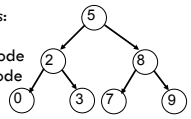
VERY IMPORTANT!
We sometimes talk of t as the root of the tree.
But we also use t to denote the whole tree.



Binary Search Tree (BST)

18

If the tree data is *ordered and has no duplicate values*:
in every subtree,
All *left* descendents of a node come *before* the node
All *right* descendents of a node come *after* the node
Search can be made MUCH faster

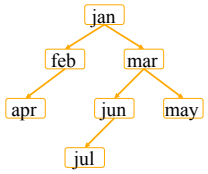



```
/** Return true iff x if the datum in a node of tree t.
    Precondition: node is a BST and all data are non-null */
boolean treeSearch (int x, TreeNode<Integer> t) {
   if (t== null) return false;
   if (x < t.datum) return treeSearch(x, t.left);
   if (x == t.datum) return true;
   return treeSearch(x, t.right);
}
```

Building a BST

19

- To insert a new item
  - Pretend to look for the item
  - Put the new node in the place where you fall off the tree
- This can be done using either recursion or iteration
- Example
  - Tree uses *alphabetical order*
  - Months appear for insertion in *calendar order*



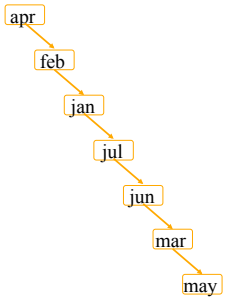
What can go wrong?

20

A BST makes searches very fast, *unless…*

- Nodes are inserted in increasing order
- In this case, we're basically building a linked list (with some extra wasted space for the `left` fields, which aren't being used)

BST works great if data arrives in random order



Printing contents of BST

21

Because of ordering rules for a BST, it's easy to print the items in alphabetical order

- Recursively print left subtree
- Print the node
- Recursively print right subtree

```
/** Print BST t in alpha order */
private static void print(TreeNode<T> t) {
  if (t== null) return;
  print(t.left);
  System.out.print(t.datum);
  print(t.right);
}
```

Tree traversals

22

"Walking" over the whole tree is a tree traversal

- Done often enough that there are standard names

Previous example:
in-order traversal

- Process left subtree
- Process root
- Process right subtree

Note: Can do other processing besides printing

Other standard kinds of traversals

- preorder traversal
  - Process root
  - Process left subtree
  - Process right subtree
- postorder traversal
  - Process left subtree
  - Process right subtree
  - Process root
- level-order traversal
  - Not recursive uses a queue. We discuss later

Some useful methods

23

```
/** Return true iff node t is a leaf */
public static boolean isLeaf(TreeNode<T> t) {
  return t != null  &&  t.left == null  &&  t.right == null;
}
/** Return height of node t (postorder traversal) */
public static int height(TreeNode<T> t) {
  if (t== null) return -1; //empty tree
  return 1 + Math.max(height(t.left), height(t.right));
}
/** Return number of nodes in t (postorder traversal) */
public static int numNodes(TreeNode<T> t) {
  if (t== null) return 0;
  return 1 + numNodes(t.left) + numNodes(t.right);
}
```

Useful facts about binary trees

24

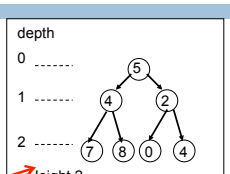
Max # of nodes at depth d: 2^d

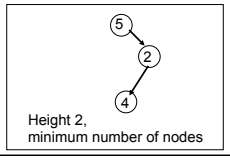
If height of tree is h

- min # of nodes: h + 1
- max #of nodes in tree:
- $2^0 + \ldots + 2^h = 2^{h+1} - 1$

Complete binary tree

- All levels of tree down to a certain depth are completely filled





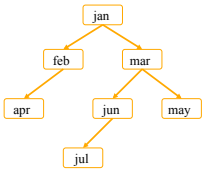
Things to think about

25

What if we want to *delete* data from a BST?

A BST works great as long as it's *balanced*

How can we keep it balanced? *This turns out to be hard enough to motivate us to create other kinds of trees*



Tree Summary

26

- A *tree* is a recursive data structure
  - Each node has 0 or more successors (*children*)
  - Each node except the *root* has exactly one predecessor (*parent*)
  - All node are reachable from the *root*
  - A node with no children (or empty children) is called a *leaf*
- Special case: *binary tree*
  - Binary tree nodes have a left and a right child
  - Either or both children can be empty (null)
- Trees are useful in many situations, including exposing the recursive structure of natural language and computer programs