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Lecture 10: Asymptotic Complexity and

What Makes a Good Algorithm?

- Suppose you have two possible algorithms or data structures that basically do the same thing; which is better?
- Well... what do we mean by better?
 - Faster?
 - Less space?
 - Easier to code?
 - Easier to maintain?
 - Required for homework?
- How do we measure time and space for an algorithm?

Sample Problem: Searching

- Determine if a sorted array of integers contains a given integer
- First solution: Linear Search (check each element)

```
static boolean find(int[] a, int item) {
   for (int i = 0; i < a.length; i++) {
      if (a[i] == item) return true;
   }
   return false;
}</pre>
```

```
static boolean find(int[] a, int item) {
   for (int x : a) {
     if (x == item) return true;
   }
   return false;
}
```

Sample Problem: Searching

Second solution: Binary Search

```
static boolean find (int[] a, int item) {
   int low = 0;
   int high = a.length - 1;
   while (low <= high) {
     int mid = (low + high)/2;
     if (a[mid] < item)
        low = mid + 1;
     else if (a[mid] > item)
        high = mid - 1;
     else return true;
   }
   return false;
}
```

Linear Search vs Binary Search

- Which one is better?
 - Linear Search is easier to program
 - But Binary Search is faster... isn't it?
- How do we measure to show that one is faster than the other
 - Experiment
 - Proof
- Which inputs do we use?

- Simplifying assumption #1:
 - Use the *size* of the input rather than the input itself
 - For our sample search
 problem, the input size is n
 +1 where n is the array size
- Simplifying assumption #2:
 - Count the number of "basic steps" rather than computing exact times

One Basic Step = One Time Unit

Basic step:

- input or output of a scalar value
- accessing the value of a scalar variable, array element, or field of an object
- assignment to a variable, array element, or field of an object
- a single arithmetic or logical operation
- method invocation (not counting argument evaluation and execution of the method body)

- For a conditional, count number of basic steps on the branch that is executed
- For a loop, count number of basic steps in loop body times the number of iterations
- For a method, count number of basic steps in method body (including steps needed to prepare stack-frame)

Runtime vs Number of Basic Steps

- But is this cheating?
 - The runtime is not the same as the number of basic steps
 - Time per basic step varies depending on computer, on compiler, on details of code...
- Well...yes, in a way
 - But the number of basic steps is proportional to the actual runtime

- Which is better?
 - n or n² time?
 - 100 n or n^2 time?
 - $10,000 \text{ n or } n^2 \text{ time?}$
- As n gets large, multiplicative constants become less important
- Simplifying assumption #3:
 - Ignore multiplicative constants

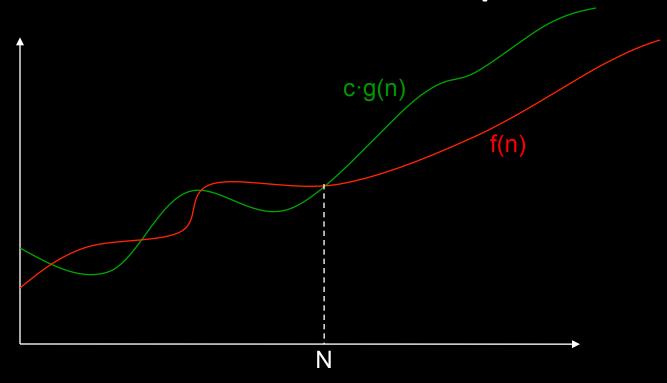
Using Big-O to Hide Constants

- We say f(n) is order of g(n) if f(n) is bounded by a constant times g(n)
- Notation: f(n) is O(g(n))
- Roughly, f(n) is O(g(n)) means that f(n) grows like g(n) or slower, to within a constant factor
- "Constant" means fixed and independent of n

Formal definition:

f(n) is O(g(n)) if there exist constants c and N such that for all $n \ge N$, $f(n) \le c \cdot g(n)$

A Graphical View



- To prove that f(n) is O(g(n)):
 - Find an N and c such that $f(n) \le c g(n)$ for all $n \ge N$
 - We call the pair (c, N) a witness pair for proving that f(n) is O(g(n))

Big-O Examples

- Claim: 100 n + log n is O(n)
 - We know $\log n \le n$ for $n \ge 1$
 - So 100 n + log n ≤ 101 n for n ≥ 1
 - So by definition, $100 \text{ n} + \log \text{ n}$ is O(n) for c = 101 and N = 1
- Claim: log_B n is O(log_A n)
 - since $log_B n$ is $(log_B A)(log_A n)$
- Question: Which grows faster, n or log n?

Big-O Examples

```
    Let f(n) = 3n<sup>2</sup> + 6n - 7

            f(n) is O(n<sup>2</sup>)
            f(n) is O(n<sup>3</sup>)
            f(n) is O(n<sup>4</sup>)
            ...
```

- g(n) = 4 n log n + 34 n 89
 g(n) is O(n log n)
 g(n) is O(n²)
- $h(n) = 20 \cdot 2^n + 40n$ - h(n) is $O(2^n)$
- a(n) = 34a(n) is O(1)

→ Only the *leading* term (the term that grows most rapidly) matters

Problem-Size Examples

 Suppose we have a computing device that can execute 1000 operations per second; how large a problem can we solve?

	1 second	1 minute	1 hour
n	1000	60,000	3,600,000
n log n	140	4893	200,000
n ²	31	244	1897
3n²	18	144	1096
n ³	10	39	153
2 ⁿ	9	15	21

Commonly Seen Time Bounds

O(1)	constant	excellent
O(log n)	logarithmic	excellent
O(n)	linear	good
O(n log n)	n log n	pretty good
O(n²)	quadratic	often OK
O(n³)	cubic	maybe OK
O(2 ⁿ)	exponential	too slow

Worst-Case/Expected-Case Bounds

- We can't possibly determine time bounds for all possible inputs of size n
- Simplifying assumption #4:
 Determine number of steps for either
 - worst-case: Determine how much time is needed for the worst possible input of size n
 - expected-case: Determine how much time is needed on average for all inputs of size n

Our Simplifying Assumptions

- Use the size of the input rather than the input itself n
- Count the number of "basic steps" rather than computing exact times
- Multiplicative constants and small inputs ignored (order-of, big-O)
- Determine number of steps for either
 - worst-case
 - expected-case
- → These assumptions allow us to analyze algorithms effectively and easily

Worst-Case Analysis of Searching

Linear Search

```
static boolean find (int[] a, int item) {
   for (int i = 0; i < a.length; i++) {
      if (a[i] == item) return true;
   }
   return false;
}</pre>
```

worst-case time = O(n)

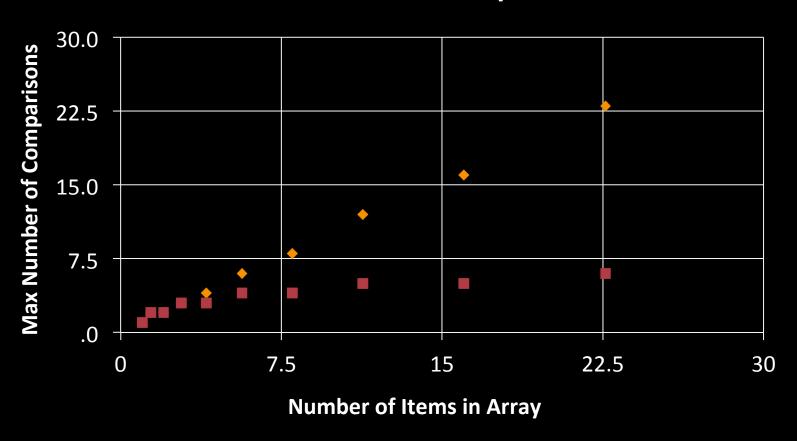
Binary Search

```
static boolean find (int[] a, int item) {
  int low = 0;
  int high = a.length - 1;
  while (low <= high) {
    int mid = (low + high)/2;
    if (a[mid] < item)
        low = mid+1;
    else if (a[mid] > item)
        high = mid - 1;
    else return true;
  }
  return false;
}
```

worst-case time = O(log n)

Comparison of Algorithms

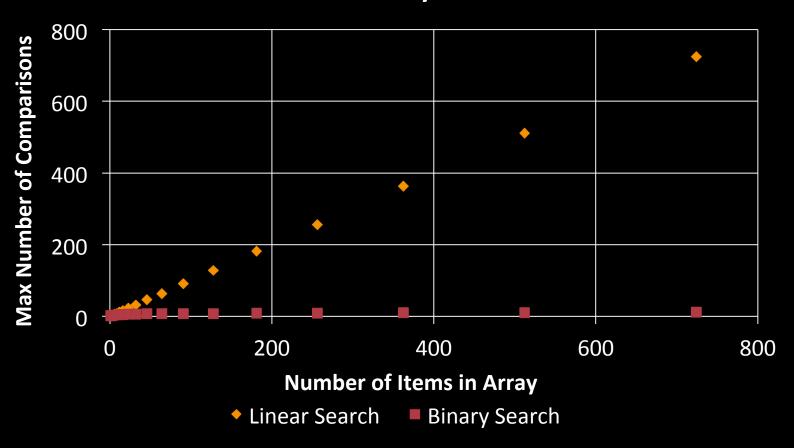
Linear vs. Binary Search



◆ Linear Search ■ Binary Search

Comparison of Algorithms

Linear vs. Binary Search



Analysis of Matrix Multiplication

- Code for multiplying n-by-n matrices A and B:
 - By convention, matrix problems are measured in terms of n, the number of rows and columns
 - Note that the input size is really 2n², not n
 - Worst-case time is O(n³)
 - Expected-case time is also O(n³)

```
for (i = 0; i < n; i++)
    for (j = 0; j < n; j++) {
        C[i][j] = 0;
        for (k = 0; k < n; k++)
        C[i][j] += A[i][k]*B[k][j];
}</pre>
```

Remarks

- Once you get the hang of this, you can quickly zero in on what is relevant for determining asymptotic complexity
 - For example, you can usually ignore everything that is not in the innermost loop. Why?
- Main difficulty:
 - Determining runtime for recursive programs

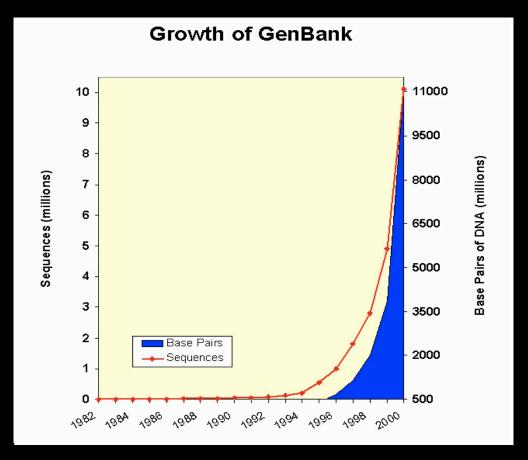
Why Bother with Runtime Analysis?

- Computers are so fast these days that we can do whatever we want using just simple algorithms and data structures, right?
- Well...not really datastructure/algorithm improvements can be a very big win

- Scenario:
 - A runs in n² msec
 - A' runs in n²/10 msec
 - B runs in 10 n log n msec
- Problem of size n=10³
 - A: 10^3 sec ≈ 17 minutes
 - A': 10^2 sec ≈ 1.7 minutes
 - B: 10^2 sec ≈ 1.7 minutes
- Problem of size n=10⁶
 - A: 10^9 sec ≈ 30 years
 - A': 10^8 sec ≈ 3 years
 - B: $2 \cdot 10^5$ sec ≈ 2 days
- 1 day = 86,400 sec
- 1,000 days ≈ 3 years

Algorithms for the Human Genome

- Human genome
 - = 3.5 billion nucleotides
 - ~ 1 Gb
- @1 base-pair instructions/µsec
 - $n^2 \rightarrow 388445 \text{ years}$
 - n log n → 30.824 hours
 - n \rightarrow 1 hour



Limitations of Runtime Analysis

- Big-O can hide a very large constant
 - Example: small problems; "break even" points
- The specific problem you want to solve may not be the worst case
 - Example: Simplex method for linear programming
- Your program may not be run often enough to make analysis worthwhile
 - Example: one-shot vs. every day
 - You may be analyzing and improving the wrong part of the program
- Should also use profiling tools

Summary

- Asymptotic complexity
 - Used to measure of time (or space) required by an algorithm
 - Measure of the algorithm, not the problem
- Searching a sorted array
 - Linear search: O(n) worst-case time
 - Binary search: O(log n) worst-case time
- Matrix operations:
 - Note: n = number-of-rows = number-of-columns
 - Matrix-vector product: O(n²) worst-case time
 - Matrix-matrix multiplication: O(n³) worst-case time
- More later with sorting and graph algorithms