



Standard ADTs

Lecture 14
CS211 – Summer 2007

Announcements

- Assignment 2 regrades due today, 11:59 PM
- Assignment 3 due tomorrow, 11:59 PM

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Abstract Data Types (ADTs)

- A method for achieving abstraction for data structures and algorithms
 - ADT = model + operations
 - Describes what each operation does, but not how it does it
 - An ADT is independent of its implementation
- In Java, an interface corresponds well to an ADT
 - The interface describes the operations, but says nothing at all about how they are implemented
- Example: Stack interface/ADT

```
public interface Stack {
    public void push(Object x);
    public Object pop();
    public Object peek();
    public boolean isEmpty();
    public void makeEmpty();
}
```

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Queues & Priority Queues

- | | |
|---|--|
| <ul style="list-style-type: none"> • ADT Queue <ul style="list-style-type: none"> ▪ Operations: <pre>void enqueue(Object x); Object dequeue(); Object peek(); boolean isEmpty(); void makeEmpty();</pre> ▪ Where used: <ul style="list-style-type: none"> ▪ Simple job scheduler (e.g., print queue) ▪ Wide use within other algorithms | <ul style="list-style-type: none"> • ADT PriorityQueue <ul style="list-style-type: none"> ▪ Operations: <pre>void insert(Object x); Object getMax(); Object peekAtMax(); boolean isEmpty(); void makeEmpty();</pre> ▪ Where used: <ul style="list-style-type: none"> ▪ Job scheduler for OS ▪ Event-driven simulation ▪ Can be used for sorting ▪ Wide use within other algorithms |
|---|--|

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Sets

- ADT Set
 - Operations:


```
void insert(Object element);
boolean contains(Object element);
void remove(Object element);
boolean isEmpty();
void makeEmpty();
```
 - Where used:
 - Wide use within other algorithms
- Note: no duplicates allowed
 - Like a set in mathematics
 - A "set" with duplicates is sometimes called a *multiset* or *bag*

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Dictionaries

- ADT Dictionary
 - Operations:


```
void insert(Object key, Object value);
void update(Object key, Object value);
Object find(Object key);
void remove(Object key);
boolean isEmpty();
void makeEmpty();
```
 - Think of: key = word; value = definition
 - Where used:
 - Symbol tables
 - Wide use within other algorithms

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Data Structure Building Blocks

- These are *implementation* “building blocks” that are often used to build more complicated data structures

- Arrays
- Linked Lists
 - Singly linked
 - Doubly linked
- Binary Trees
- Graphs
 - Adjacency matrix
 - Adjacency list

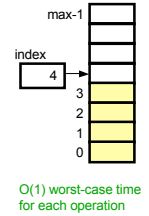
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Array Implementation of Stack

```
class ArrayStack implements Stack {
    private Object[] array; //Array that holds the Stack
    private int index = 0; //First empty slot in Stack

    public ArrayStack (int maxSize)
    { array = new Object[maxSize]; }

    public void push(Object x) { array[index++] = x; }
    public Object pop() { return array[--index]; }
    public Object peek() { return array[index-1]; }
    public boolean isEmpty() { return index == 0; }
    public void makeEmpty() { index = 0; }
}
//Better for garbage collection if makeEmpty() also
//cleared the array
```



Caveat: This implementation imposes a fixed limit on stack size.

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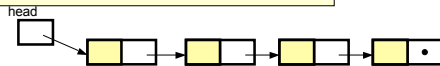
Linked List Implementation of Stack

```
class ListStack implements Stack {
    private Node head = null; //Head of list that
    //holds the Stack

    public void push(Object x) { head = new Node(x, head); }
    public Object pop() {
        Node temp = head;
        head = head.next;
        return temp.data;
    }
    public Object peek() { return head.data; }
    public boolean isEmpty() { return head == null; }
    public void makeEmpty() { head = null; }
}
```

$O(1)$ worst-case time for each operation

Unlike array implementation, this implementation doesn't impose a limit on stack size



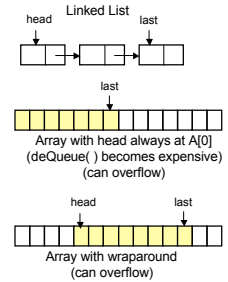
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Queue Implementations

- Recall: operations are **enqueue**, **dequeue**, **peek**,...

- Possible implementations

- For linked-list
 - All operations are $O(1)$
- For array with head at $A[0]$
 - dequeue takes time $O(n)$
 - Other ops are $O(1)$
 - Can overflow
- For array with wraparound
 - All operations are $O(1)$
 - Can overflow



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Choosing an Implementation

- What operations do I need to perform on the data?
 - Insertion, deletion, searching, reset to initial state?
 - How often is each operation performed?
- How efficient do the operations need to be?
- Are there any additional constraints on the operations or on the data structure?
 - Can there be duplicates?
 - When extracting elements, does order matter?
- Is there a known upper bound on the amount of data? Or can it grow unboundedly large?

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Goal: Design a Dictionary

- Operations

```
void insert(key, value)
void update(key, value)
Object find(key)
void remove(key)
boolean isEmpty()
void makeEmpty()
```

Array implementation: Using an array of (key,value) pairs

	Unsorted	Sorted
insert	$O(1)$	$O(n)$
update	$O(n)$	$O(\log n)$
find	$O(n)$	$O(\log n)$
remove	$O(n)$	$O(n)$

n is the number of items currently held in the dictionary

If the keys happen to be bounded integers, we can do better

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Hashing

- Idea: "convert" an arbitrary key into a bounded integer
- Use a *hash function* h
 - U is the universe of keys
 - $h: U \rightarrow [0, \dots, m-1]$ where m = hash table size
- h should
 - Be easy to compute
 - Cause few *collisions*
 - Have equal probability for each table position

Typical situation:

U = all legal identifiers

Typical hash function:

h converts each letter to a number and we compute a function of these numbers

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A Hashing Example

- A very simple hash function: count # of letters in string
- How do we resolve collisions?
 - use *chaining*: each table position is the head of a list
 - for any particular problem, this *might* work terribly
- Suppose each word below has the following hashCode

january	7
february	8
march	5
april	5
may	3
june	4
july	4
august	6
september	9
october	7

- In practice, using a good hash function, we can assume each position is equally likely

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Analysis for Hashing with Chaining

- Analyzed in terms of *load factor*
 - $\lambda = n/m = (\text{items in table})/(\text{table size})$
- We count the expected number of *probes* (key comparisons)
- U = the expected # of probes for an *unsuccessful* search
 - U = average number of items per table position = $n/m = \lambda$
- S = expected number of probes for a *successful* search
 - $S = 1 + \lambda/2 = O(\lambda)$

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Table Doubling

- We know each operation takes time $O(\lambda)$ where $\lambda = n/m$
 - But isn't $\lambda = O(n)$?
- What's the deal here? It's still linear time!
- A solution: Table Doubling:
 - Set a bound for λ (call it λ_0)
 - Whenever λ reaches this bound we
 - Create a new table, twice as big and
 - Re-insert all the data
- Easy to see operations *usually* take time $O(1)$
 - But sometimes we copy the whole table

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Analysis of Table Doubling

- Suppose we reach a state with n items in a table of size m and that we have just completed a table doubling

	Copying Work
Everything has just been copied	n inserts
Half were copied previously	$n/2$ inserts
Half of those were copied previously	$n/4$ inserts
...	...
Total work	$n + n/2 + n/4 + \dots = 2n$

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Analysis of Table Doubling, Cont'd

- Total number of insert operations needed to reach current table
 - = copying work + initial insertions of items
 - = $2n + n = 3n$ inserts
- Each insert takes expected time $O(\lambda_0)$ or $O(1)$, so total expected time to build entire table is $O(n)$
- Thus, expected time per operation is $O(1)$
 - Cost of table doubling is *amortized* over many table inserts
- Disadvantages of table doubling:
 - Worst-case insertion time of $O(n)$ definitely occurs (but rarely)
 - Thus, not appropriate for time critical operations

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Java Hash Functions

- Most Java classes implement the `hashCode()` method
 - `hashCode()` returns an `int`
- Java's `HashMap` class uses $h(X) = X.hashCode() \bmod m$
- $h(X)$ in detail:


```
int hash = X.hashCode();
int index = (hash & 0x7FFFFFFF) % m;
```
- What `hashCode()` returns:
 - Integer:
 - uses the `int` value
 - Float:
 - converts to a bit representation and treats it as an `int`
 - Short Strings:
 - $37 * \text{previous} + \text{value of next character}$
 - Long Strings:
 - sample of 8 characters; $39 * \text{previous} + \text{next value}$

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`hashCode()` Requirements

- Contract for `hashCode()` method:
 - Whenever it is invoked in the same object, it must return the same result
 - Two objects that are equal must have the same hash code
 - Two objects that are not equal should ideally return different hash codes, but are not required to do so

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Hashtables in Java

- `java.util.HashMap`
- `java.util.HashSet`
- `java.util.Hashtable`
- Use chaining
- Initial (default) size = 101
- Load factor = $\lambda_0 = 0.75$
- Uses table doubling ($2 * \text{previous} + 1$)
- A node in each chain looks like this:

hashCode	key	value	next
----------	-----	-------	------

original hashCode (before mod m)
Allows faster rehashing and (possibly) faster key comparison

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Linear & Quadratic Probing

- All data is stored directly within the hash table array
- Linear Probing
 - Probe at $h(X)$, then at $h(X) + 1, h(X) + 2, \dots, h(X) + i$
 - Leads to *primary clustering*
 - Long sequences of filled cells
- Quadratic Probing
 - Similar to Linear Probing in that data is stored within the table
 - Probe at $h(X)$, then at $h(X) + 1, h(X) + 4, h(X) + 9, \dots, h(X) + i^2$
 - Works well when
 - $\lambda < 0.5$
 - Table size is prime

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Hashtable Pitfalls

- Good hash function is required
- Watch the load factor (λ), especially for Linear & Quadratic Probing

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Dictionary Implementations

- Ordered Array
 - Better than unordered array because Binary Search can be used
- Unordered Linked-List
 - Ordering doesn't help
- Hashtables
 - $O(1)$ expected time for Dictionary operations

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