

CS 211

Computers and Programming

<http://www.cs.cornell.edu/courses/cs211/2005su>

Lecture 8: Lists

Announcements

- Assignment 1 Programming solutions and Quiz solutions will be posted soon.
- Prelim 1 tomorrow – don't forget! Study topics: Induction, Recursion, OOP, and Inheritance
- protected and packages...
- Assignment 2 due Wednesday
- Reading for today's lecture: Weiss 2.4.2, 2.4.3, and 6.1 - 6.5 (read this carefully, because it explains iterators)

History of List Processing in CS

- List languages first developed for AI
- LISP: List Processing Language
 - Developed in 50-60's by John McCarthy et al.
- LL: List Language
 - Developed in 50's by Allen Newell and Herb Simon
- Lists and list processing fundamental part of language
 - lists are primitive data type
 - functions operate directly on lists
 - program itself expressed as list of lists
- “car”: contents address register (getDatum())
- “cdr”: contents decrement register (getNext())
- “caddr” = (car (cdr (cdr list))) = object in 3rd element

Overview

- Arrays
 - Random access: $O(1)$
 - Fixed size: cannot grow on demand after creation: $O(n)$
- Characteristics of some applications:
 - do not need random access
 - require a data structure that can grow and shrink dynamically to accommodate different amounts of data

⇒ Lists satisfy this requirement.
- Let us study
 - list creation
 - accessing elements in a list
 - inserting elements into a list
 - deleting elements from a list

List Operations

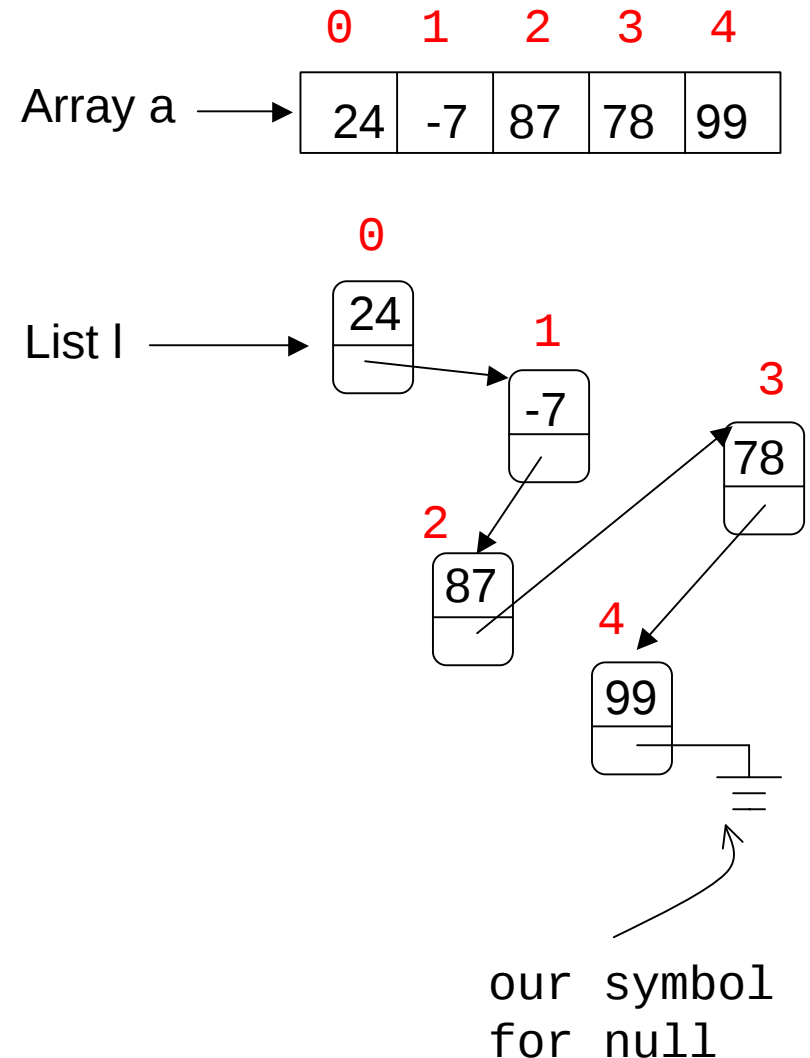
- ADT (Abstract Data Type):
 - Specify public functionality
 - Hide implementation detail from users
 - Allows us to improve/replace implementation
 - Forces us to think about fundamental operations Interface) separately from the implementation
- List Operations:
 - Create
 - Insert object
 - Delete object
 - Find object
 - Get Length, Full?, Empty?, Replace Object, ...
 - Usually sequential access (not random access)

List Data Structures

- Implemented using arrays
 - Size of array
 - Number of elements in list
 - Inserts & Deletes require moving elements
 - Must copy array when it gets full
- Implemented using Java Vectors
 - `import java.util.Vector` (or `java.util.*`)
 - Size automatically expands as necessary
 - Automatically maintains number of elements
 - Inserts & Deletes still require moving elements
- Implemented as sequence of linked cells
 - We'll focus on this kind of implementation

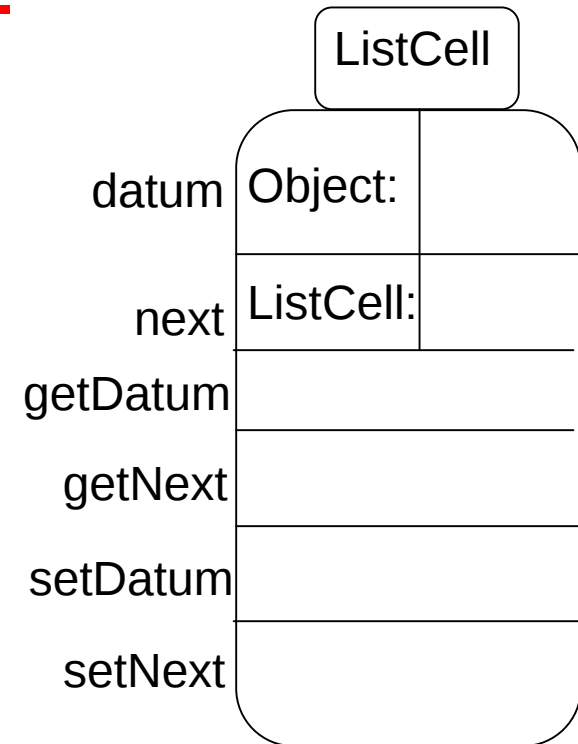
Lists

- List is a sequence of cells in which each cell contains
 - a data item of type Object
 - a reference to the next cell in the sequence
 - null if this is the last cell in the sequence
 - empty list: null
- List is a sequential-access data structure
 - to access data in position n of sequence, we must access cells $0..n-1$
- We will define a class called ListCell from which we will build lists. *Note: The following code doesn't use generics.*



Class ListCell

```
class ListCell {  
    protected Object datum;  
    protected ListCell next;  
  
    public ListCell(Object o, ListCell n){  
        datum = o;  
        next = n;  
    }  
    public Object getDatum() { //sometimes called car  
        return datum;  
    }  
    public ListCell getNext() { //sometimes called cdr, tail, rest  
        return next;  
    }  
    public void setDatum(Object o) { //sometimes called rplaca  
        datum = o;  
    }  
    public void setNext(ListCell l) { //sometimes called rplacd  
        next = l;  
    }  
}
```



By convention,
we will not show
the instance methods
when drawing cells.

Building a list

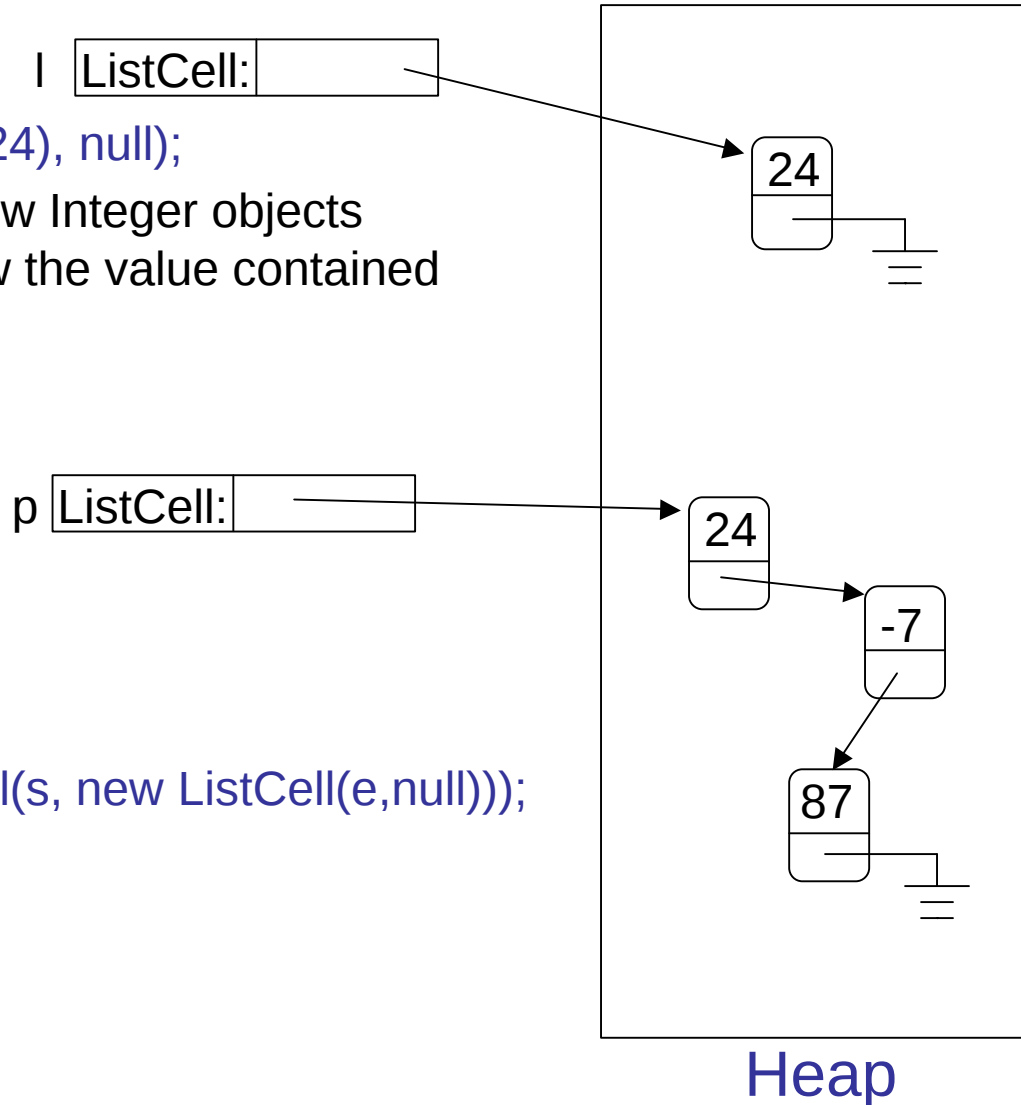
```
ListCell l = new ListCell( new Integer(24), null);
```

To keep things simple, we will not show Integer objects explicitly in our pictures, but only show the value contained in them.

```
Integer t = new Integer(24);  
Integer s = new Integer(-7);  
Integer e = new Integer(87);
```

One way:

```
ListCell p = new ListCell(t, new ListCell(s, new ListCell(e,null)));
```

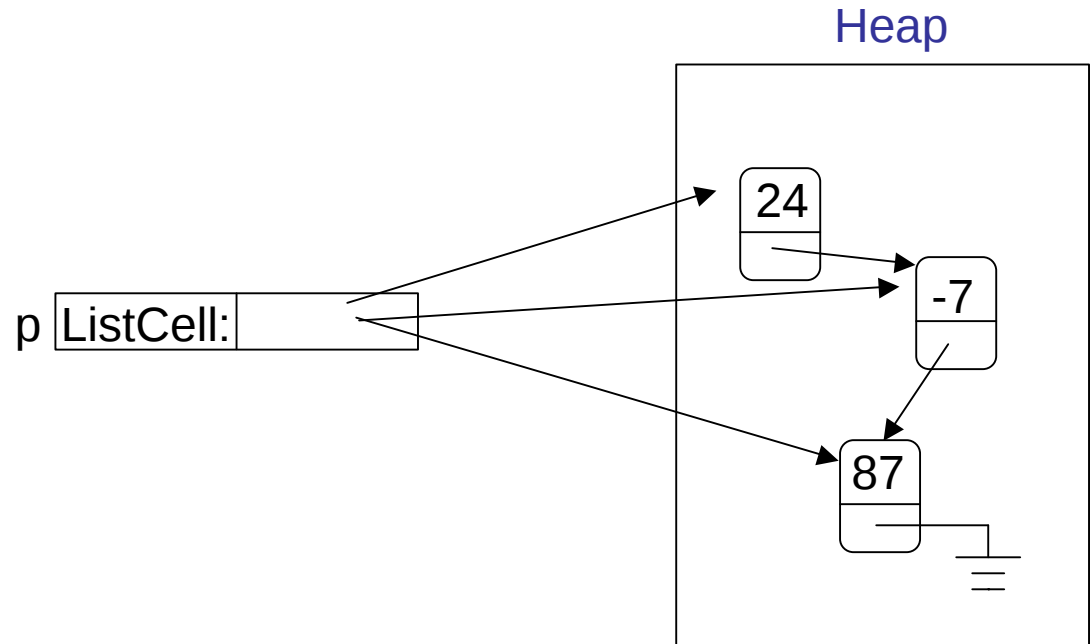


Building a list (contd.)

Another way:

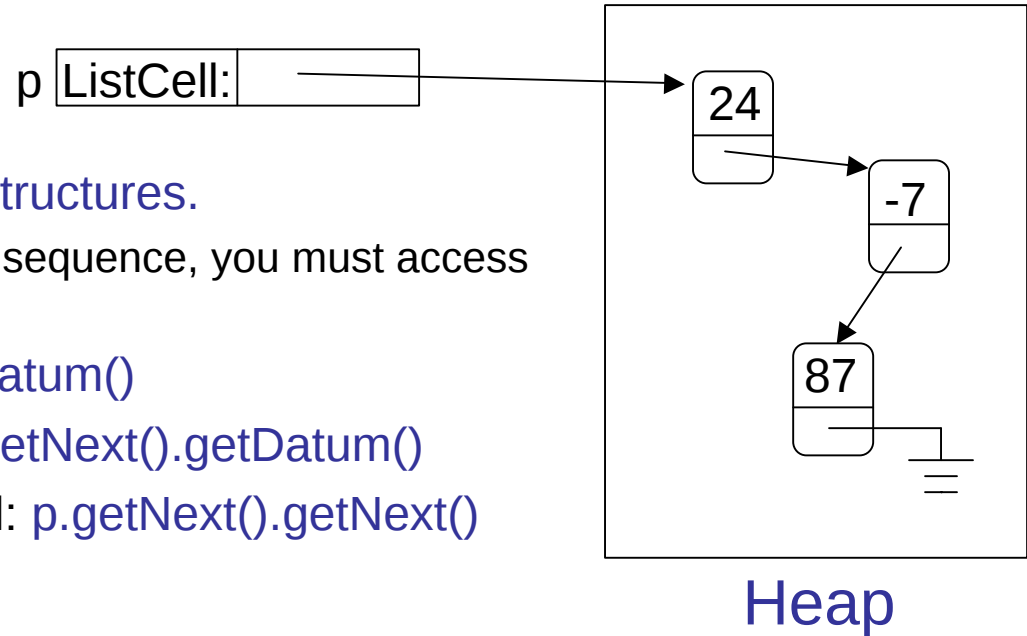
```
Integer t = new Integer(24);  
Integer s = new Integer(-7);  
Integer e = new Integer(87);
```

```
ListCell p = new ListCell(e,null);  
p = new ListCell(s,p);  
p = new ListCell(t,p);
```



Note: assignment of form `p = new ListCell(s,p);` does not create a circular list.

Accessing list elements



- Lists are sequential-access data structures.
 - to access the contents of cell n in sequence, you must access cells $0..n-1$
 - Accessing data in first cell: `p.getDatum()`
 - Accessing data in second cell: `p.getNext().getDatum()`
 - Accessing next field in second cell: `p.getNext().getNext()`
-
- Writing to fields in cells can be done the same way
 - `p.setDatum(new Integer(53));`//update data field of first cell
 - `p.getNext().setDatum(new Integer(53));`//update field of second cell
 - `p.getNext().setNext(null);`//chop off third cell

Access example: linear search

//scan list looking for object o and return true if found

```
public static boolean search(Object o, ListCell l) {  
    for (ListCell current = l; current != null; current = current.getNext())  
        if (current.getDatum().equals(o)) return true;  
    //drop out of loop if object not found  
    return false;  
}
```

.....

```
ListCell p = new ListCell("hello", new ListCell("dolly", new ListCell("polly", null)));  
search("dolly", p); //returns true  
search("molly", p); //returns false  
search("dolly", null); //returns false
```

....

//here is another version. Why does this work? Draw stack picture to understand.

```
public static boolean search(Object o, ListCell l) {  
    for (; l != null; l = l.getNext())  
        if (l.getDatum().equals(o)) return true;  
    //drop out of loop if object not found  
    return false;  
}
```

Recursion on lists

- Recursion can be done on lists
 - similar to recursion on integers
- Almost always
 - base case: empty list
 - recursive case: assuming you can solve problem on (smaller) list obtained by eliminating first cell, write down solution for list
- Many list problems can be solved very simply by using this idea.
 - Some problems though are easier to solve iteratively.

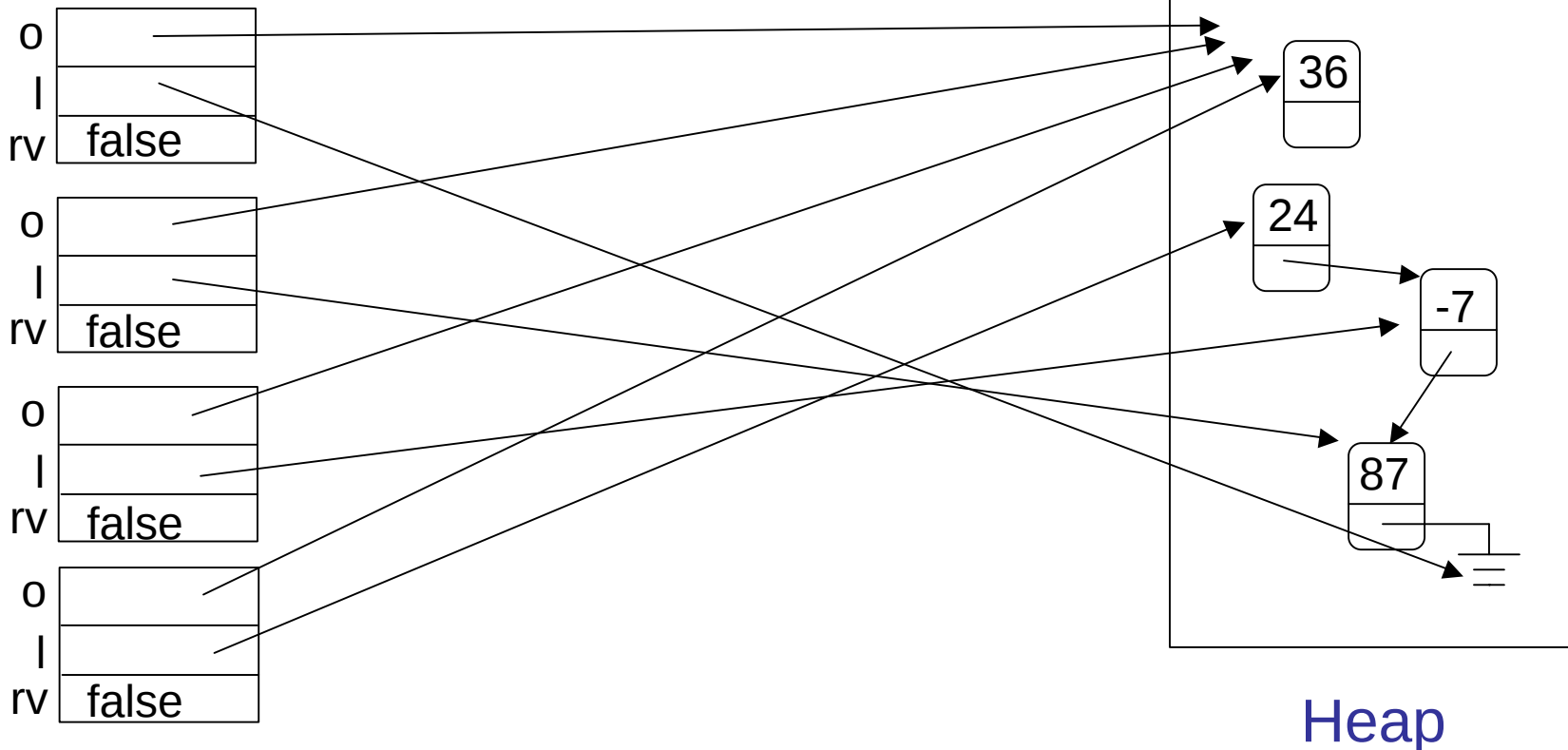
Recursion example: linear search

- Base case: empty list
 - return false
- Recursive case: non-empty list
 - if data in first cell equals object o, return true
 - else return result of doing linear search on rest of list

```
public static boolean recursiveSearch(Object o, ListCell l) {  
    if (l == null) return false;  
    else return l.getDatum().equals(o) || recursiveSearch(o, l.getNext());  
}
```

Execution of recursive program

```
public static boolean recursiveSearch(Object o, ListCell l) {  
    if (l == null) return false;  
    else return l.getDatum().equals(o) || recursiveSearch(o, l.getNext());  
}
```



Iteration is sometimes better

- Given a list, create a new list with elements in reverse order from input list.

//intuition: think of reversing a pile of coins

```
public static ListCell reverse(ListCell l) {  
    ListCell rev = null ;  
    for ( ; l != null; l = l.getNext())  
        rev = new ListCell(l.getDatum(), rev);  
    return rev;  
}
```

- It is not obvious how to write this simply in a recursive divide-and-conquer style.

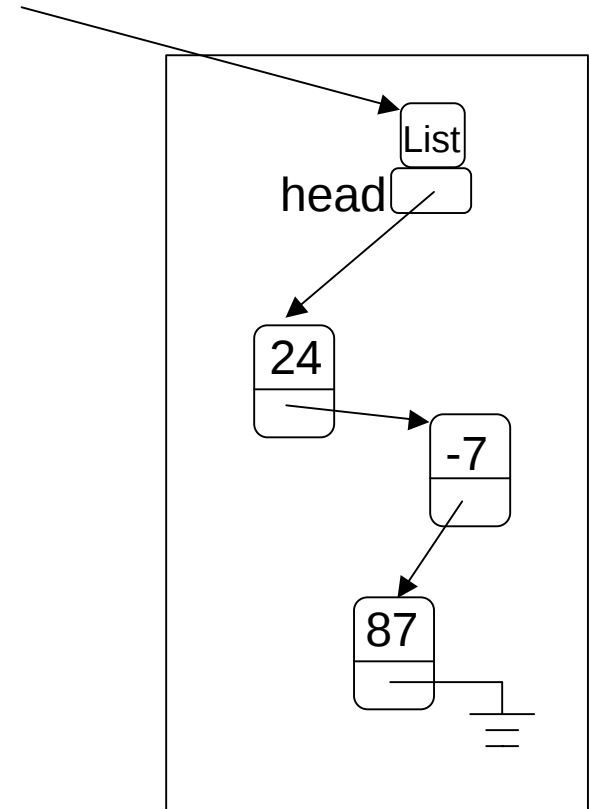
Special Cases to Worry About

- Empty list
 - add
 - find
 - delete?(!)
- Front of list
 - insert
- End of list
 - find
 - delete
- Lists with just one element

List with header

- Some authors prefer to have a List class that is distinct from ListCell class.
- List object is like a head element that always exists even if list itself is empty.

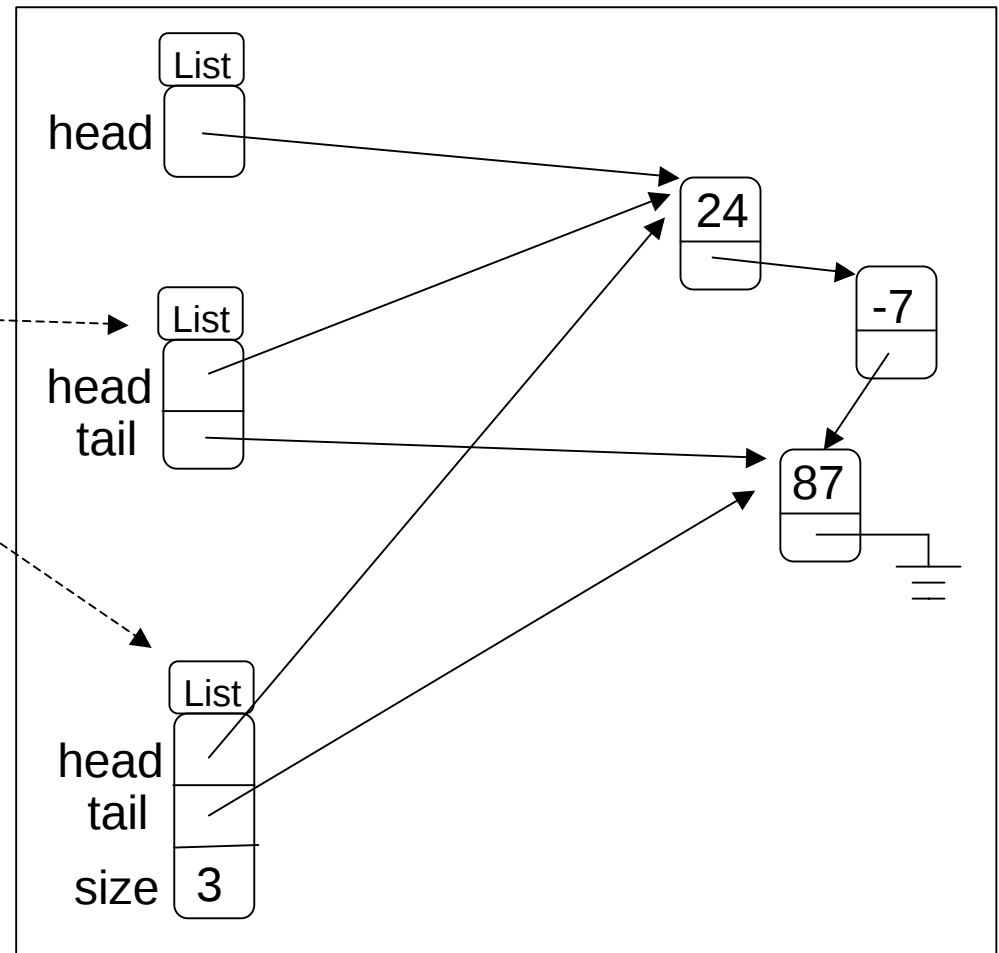
```
class List {  
    protected ListCell head;  
    public List (ListCell l) {  
        head = l;  
    }  
    public ListCell getHead()  
    .....  
    public void setHead(ListCell l)  
    .....  
}
```



Heap

Variations of list with header

- Header can also keep other info
 - reference to last cell of list
 - number of elements in list
 - search/insertion/deletion as instance methods
 -



Heap

Example of use of List class

- Let us write code to
 - insert object into unsorted list
 - delete the first occurrence of an object in an unsorted list.
- We will use the List class to show how to use this class.
 - It is just as easy to write code without the header element.
- Methods for insertion/deletion will be instance methods in the List class.
- signatures:

```
public void insert(Object o);  
public void delete(Object o);
```
- invocation:

```
p.insert(o); p.delete(o);
```

Insertion into list

- Let us write two insert methods

- insert at head of list

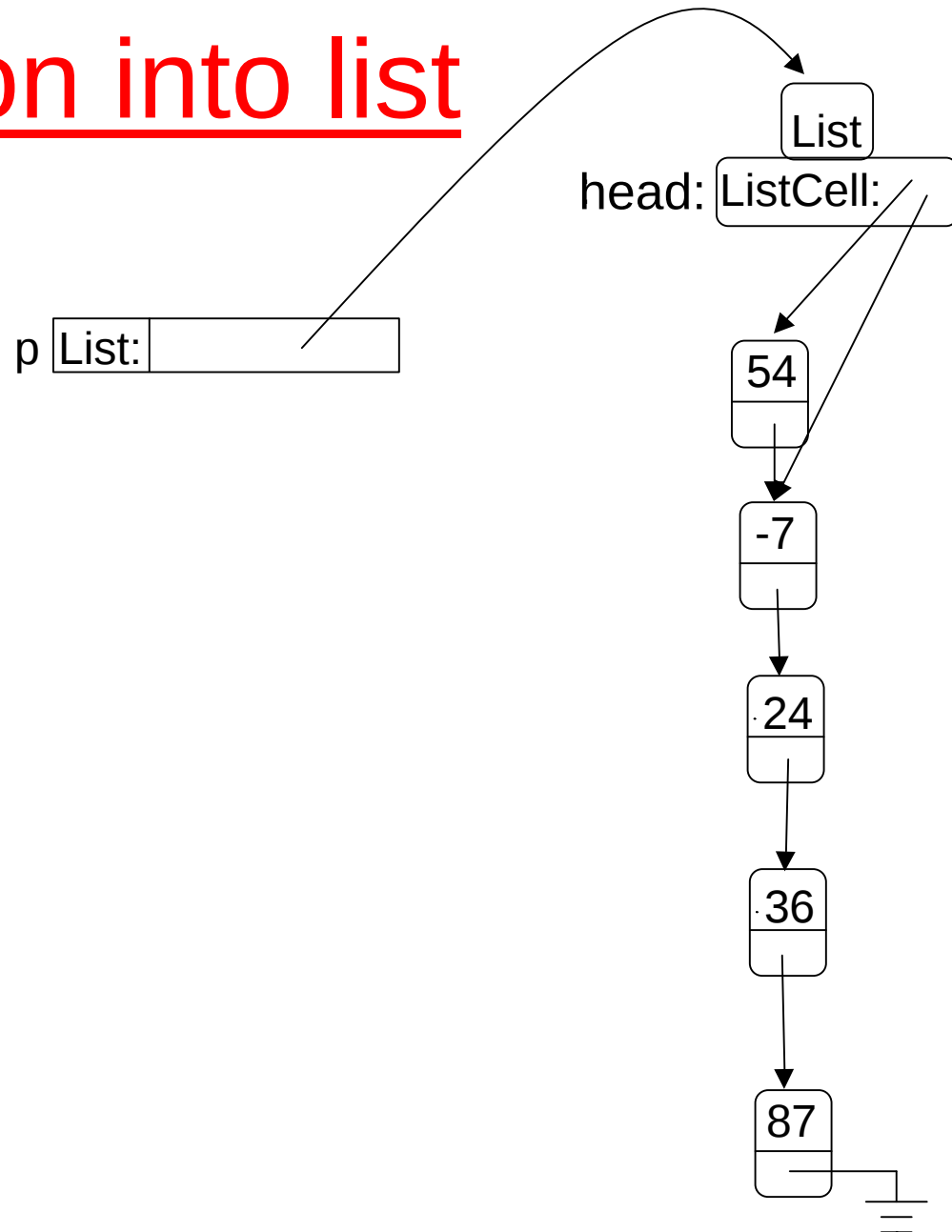
```
class List{  
    protected ListCell head;  
    .....  
    public void insertHead(Object o) {  
        head = new ListCell(o,head);  
    }  
}
```

- insert at tail of list

```
.....  
public void insertTail(Object o) {  
    if (head == null)  
        head = new ListCell(o,null);  
    else { // find end of list  
        ListCell current = head; // cursor into list  
        while(current.getNext() != null)  
            current = current.getNext();  
        current.setNext(new ListCell(o,null));  
    }  
}
```

- Invocation

- p.insertHead(new Integer(54));
- p.insertTail(new Integer(54));



Example of use of insert methods

.....

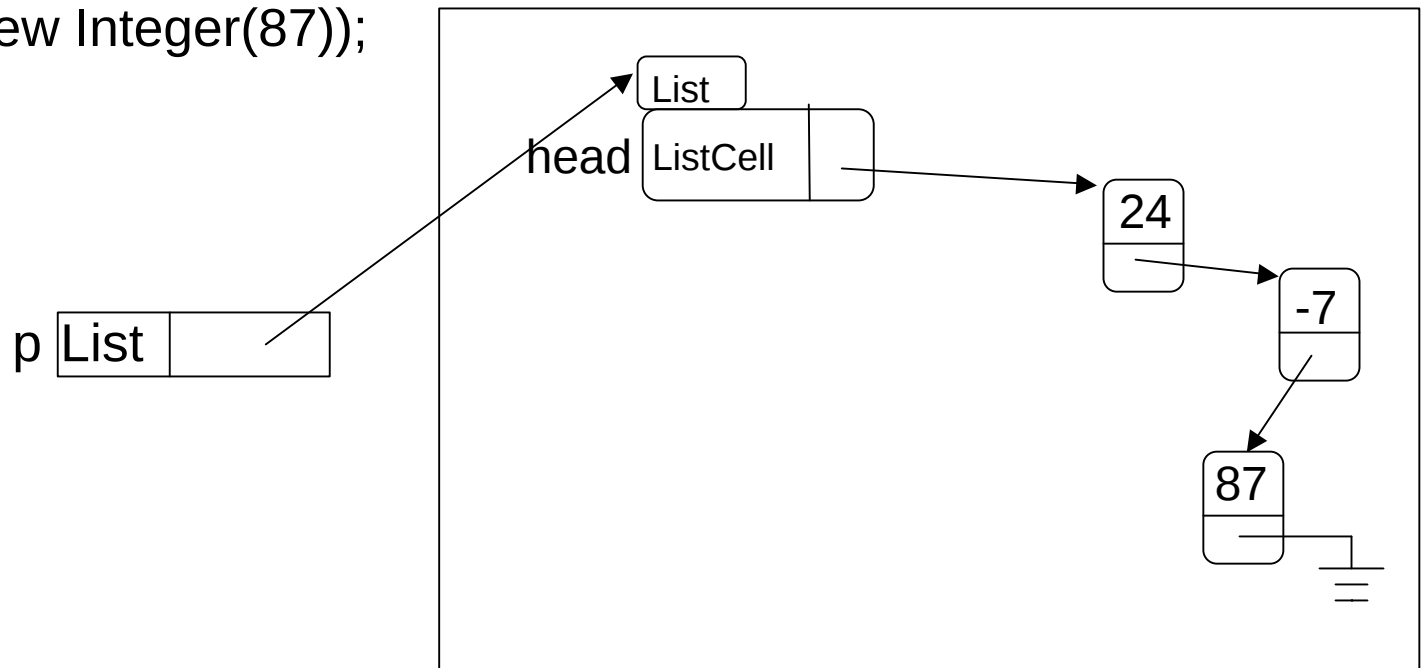
List p = new List(null); //create List object with empty list

p.insertHead(new Integer(-7)); //list now contains -7

p.insertHead(new Integer(24)); //list contains 24 and -7

p.insertTail(new Integer(87));

.....



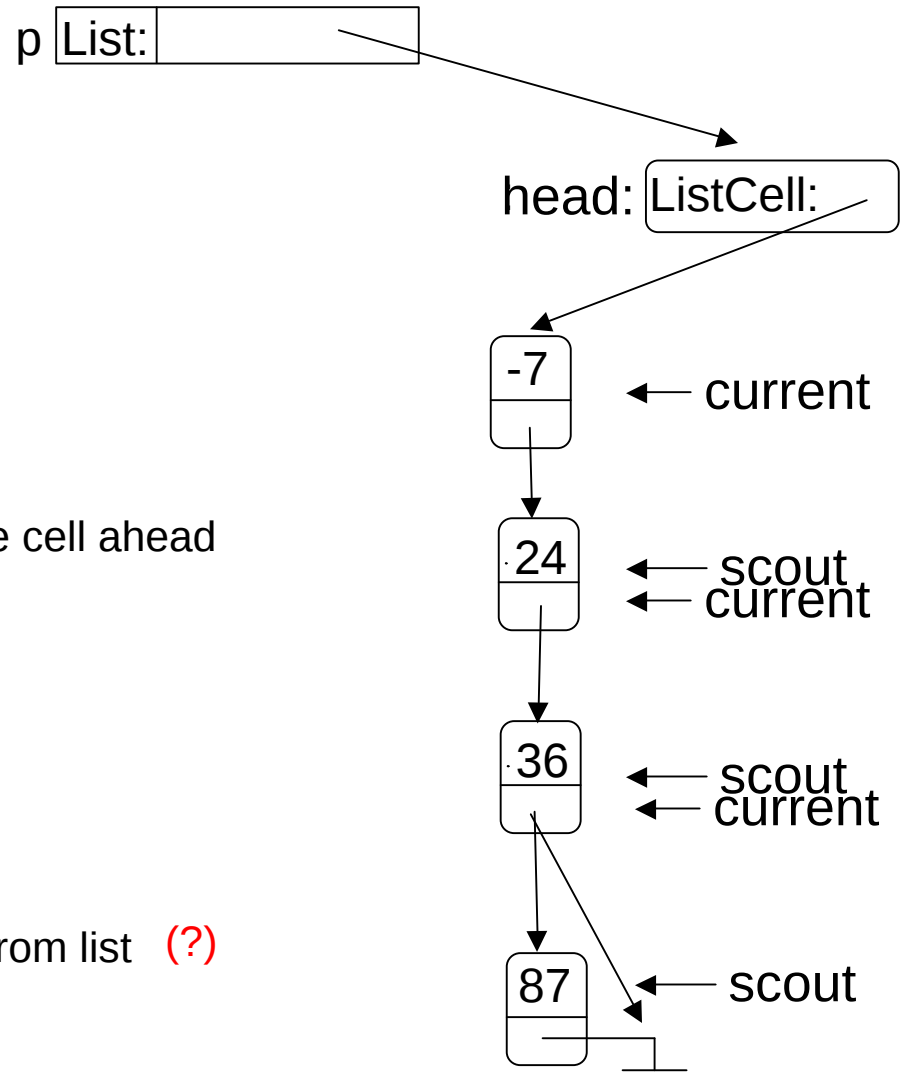
Heap

Remove first item from list

```
//extract first element of list
public Object deleteFirst(){
    //if list is not empty
    if (head != null) {
        Object t = head.getDatum();
        head = head.getNext();
        return t;
    }
    //otherwise, attempt to get from empty list
    else return "error";
}
```

Remove last item on list

```
//extract last element of list
public Object deleteLast(){
    if (head == null) return "error";
    //only one element in list?
    if (head.getNext() == null) {
        Object t = head.getDatum();
        head = null;
        return t;
    }
    //at least two elements in list
    //current and scout are cursors into list
    //both advance in lock step, scout is one cell ahead
    //stop if scout points to last cell
    ListCell current = head;
    ListCell scout = head.getNext();
    while (scout.getNext() != null){
        current = scout;
        scout = scout.getNext();
    }
    current.setNext(null); //remove last cell from list (?)
    return scout.getDatum();
}
```



Delete object from list

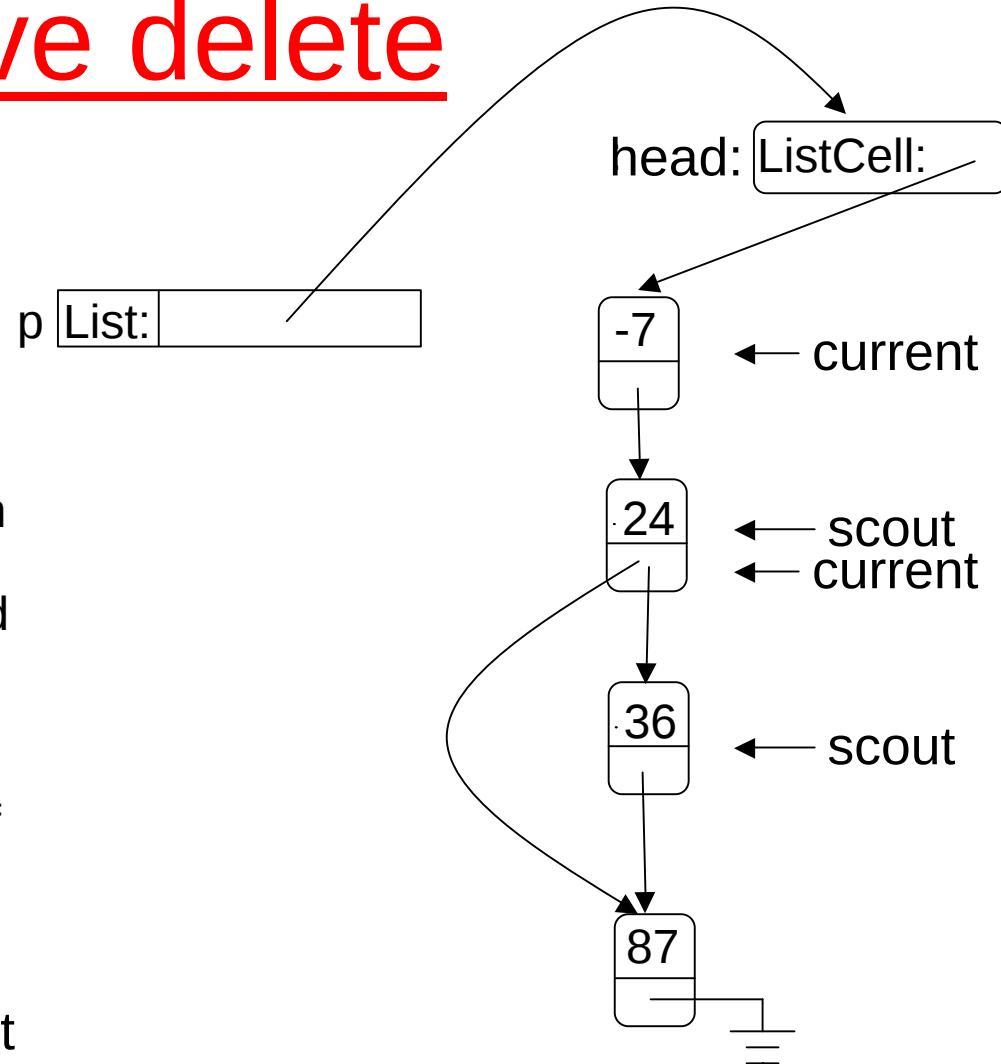
- Delete first occurrence of object o from list l
- Recursive delete
- Iterative delete
- Intuitive idea of recursive code:
 - If list l is empty, return null.
 - If first element of l is o , return rest of list l .
 - Otherwise, return list consisting of first element of l , and list that results from deleting o from rest of list l .

Recursive code for delete

```
class List{
    protected ListCell head;
    .....
    public void delete(Object o) {
        head = deleteRecursive(o, head);
    }
    public static ListCell deleteRecursive(Object o, ListCell l) {
        //if list is empty, nothing to do
        if (l == null) return null;
        //otherwise check first element of list
        else if (l.getDatum().equals(o))
            return l.getNext();
        //otherwise delete o from rest of list and update next field of l
        else {l.setNext(deleteRecursive(o, l.getNext()));
            return l;
        }
    }
}
```

Iterative delete

- Two steps:
 - locate cell that is the predecessor of cell to be deleted
 - keep two cursors, scout and current, that traverse the list in lock step
 - scout is always one cell ahead of current
 - current starts at head of list
 - stop when scout finds cell containing 0, or falls off end of list
 - if scout finds cell, update next field of current cell to next field of scout cell to splice out object o from list



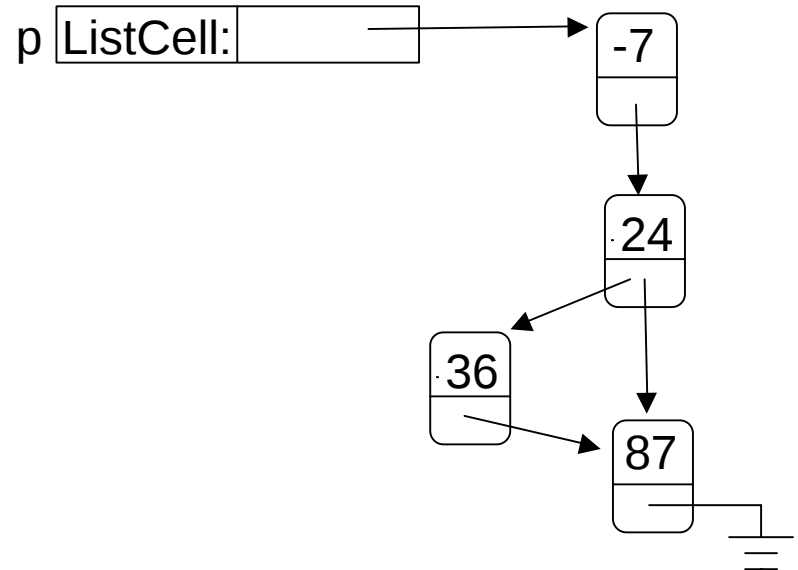
delete 36 from list

Iterative code for delete

```
public void delete(Object o) {  
    //empty list?  
    if (head == null) return;  
    //is first element equal to o; if so splice first cell out  
    if (head.getDatum().equals(o)) {  
        head = head.getNext();  
        return;  
    }  
    //walk down list; at end of loop,  
    //scout will be point to first cell containing o, if any  
    ListCell current = head;  
    ListCell scout = head.getNext();  
    while ((scout != null) && ! scout.getDatum().equals(o)) {  
        current = scout;  
        scout = scout.getNext();  
    }  
    if (scout != null) //found occurrence of o  
        current.setNext(scout.getNext()); //splice out cell containing o  
}
```

Insertion and deletion into sorted lists

- Assume that we have a list of Comparables sorted in increasing order.
- We want to splice a new Comparable into this list, keeping new list in sorted order as shown in figure.
- Code shows recursive code for insertion and deletion.
- We will show code that uses ListCell class directly.



Recursive insertion

Let us use notation $[f,n]$ to denote ListCell whose

- datum is f
- next is n

Pseudo-code:

```
insert (Comparable c, ListCell l):  
    if l is null return new ListCell(c,null);  
    else  
        suppose l is  $[f,n]$   
        if  $(c < f)$  return new ListCell(c,l);  
        else return new ListCell(f, insert(c,n));
```

Compactly:

```
insert(c,null) =  $[c,null]$   
insert(c, $[f,n]$ ) =  $[c,[f,n]]$       if  $c < f$   
                   $[f, insert(c,n)]$  if  $c \geq f$ 
```

//recursive insert and delete into a list sorted in increasing order

```
public static ListCell insertRecursive(Comparable c, ListCell l) {  
    if ((l == null) || (c.compareTo(l.getDatum()) < 0))  
        return new ListCell(c, l);  
    else {l.setNext(insertRecursive(c, l.getNext()));  
        return l;  
    }  
}
```

```
public static ListCell deleteRecursive(Comparable c, ListCell l) {  
    if ((l == null) || (c.compareTo(l.getDatum()) < 0))  
        return l;  
    if (c.compareTo(l.getDatum()) == 0)  
        return l.getNext();//assume no duplicates  
    else {l.setNext(deleteRecursive(c, l.getNext()));  
        return l;  
    }  
}
```

- Will insertRecursive allow us to insert duplicates?
- Suppose we want to delete duplicates as well?

//iterative insert, delete is similar

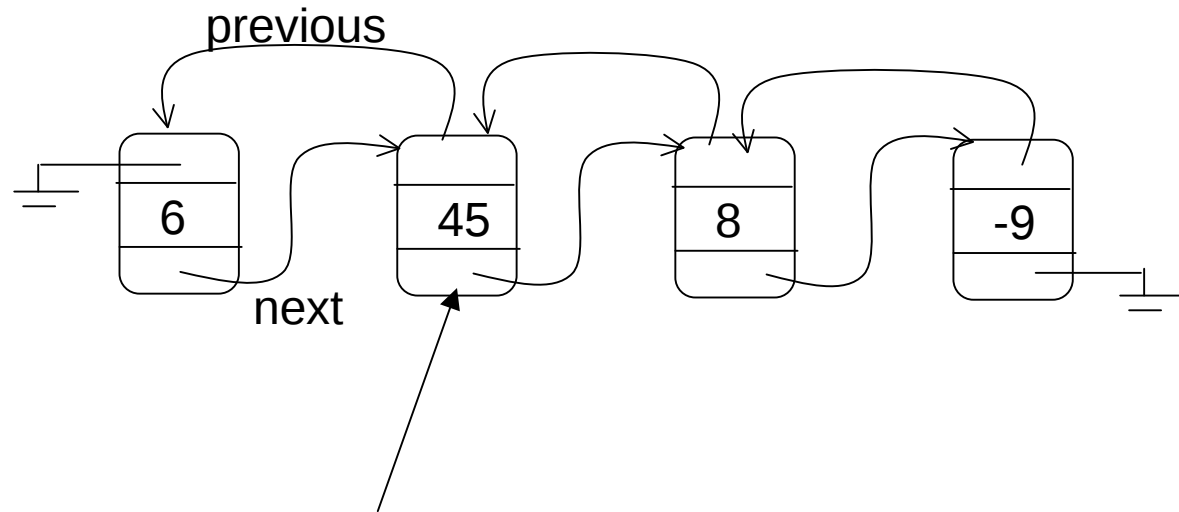
```
public static ListCell insertIter(Comparable c, ListCell l) {  
    //locate cell that must point to new cell containing c  
    //after insertion is done  
    ListCell before = scan(c,l);  
    if (before == null) return new ListCell(c,l);  
    before.setNext(new ListCell(c,before.getNext()));  
    return l;  
}
```

```
protected static ListCell scan(Comparable c, ListCell l){  
    ListCell before = null; //Cursor "before" is one cell behind cursor "l"  
    for (; l != null; l = l.getNext()) {  
        if (c.compareTo(l.getDatum()) < 0) return before;  
        else before = l;  
    }  
    //if we reach here, o is not in list  
    return null;  
}
```

Doubly-linked lists

- In some applications, it is convenient to have a ListCell that has references to both its predecessor and its successor in the list.

```
class DLLCell {  
    protected Object datum;  
    protected DLLCell next;  
    protected DLLCell previous;  
    .....  
}
```



- In general, it is easier to work with doubly-linked lists than with lists.
- For example, reversing a DLL can be done simply by swapping the previous and next fields of each cell.
- Trade-off: DLLs require more heap space than singly-linked lists.

Fancy Lists

- 2-D lists:
 - references to cells left, right, up, down
- 3-D lists, ...
- Rings, pipes, torus lists
- Lists of Lists (Nested lists)
 - ((This is a sentence.)
(This is a sentence, too.)
(This is another sentence.)
...)

Summary

- Lists are sequences of ListCell elements
 - recursive data structure
 - grow and shrink on demand
 - not random-access but sequential access data structures
- List operations:
 - create a list
 - access a list and update data
 - change structure of list by inserting/deleting cells
 - cursors
- Recursion makes perfect sense on lists. Usually
 - base case: empty list
 - recursive case: non-empty list
- Sub-species of lists
 - list with header
 - doubly-linked lists