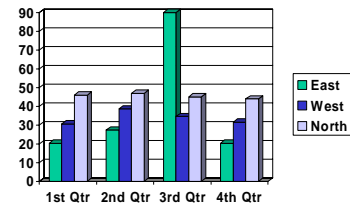


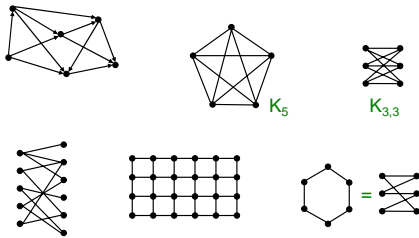
## Graphs and Graph Algorithms

### This is not a Graph



...not the kind we mean, anyway

### These are Graphs



### Applications of Graphs

- Communication networks
- Routing and shortest path problems
- Commodity distribution (flow)
- Traffic control
- Resource allocation
- Geometric modeling
- ...

### Graph Definitions

A *directed graph* (or *digraph*) is a pair  $(V, E)$  where

- $V$  is a set
- $E$  is a set of ordered pairs  $(u, v)$ , where  $u, v \in V$
- usually require  $u \neq v$  (no self-loops)

An element of  $V$  is called a *vertex* (pl. *vertices*) or *node*

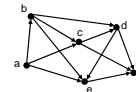
An element of  $E$  is called an *edge* or *arc*

$|V|$  = size of  $V$ , often denoted  $n$

$|E|$  = size of  $E$ , often denoted  $m$

### Graph Definitions

Example:



$V = \{a, b, c, d, e, f\}$

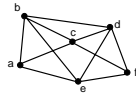
$E = \{(a, b), (a, c), (a, e), (b, c), (b, d), (b, e), (c, d), (c, f), (d, e), (d, f), (e, f)\}$

$|V| = 6, |E| = 11$

## Graph Definitions

An *undirected graph* is just like a directed graph, except the edges are *unordered pairs (sets)*  $\{u,v\}$

Example:



$V = \{a,b,c,d,e,f\}$

$E = \{\{a,b\}, \{a,c\}, \{a,e\}, \{b,c\}, \{b,d\}, \{b,e\}, \{c,d\}, \{c,f\}, \{d,e\}, \{d,f\}, \{e,f\}\}$

## More Graph Definitions

- the vertices  $u$  and  $v$  are called the *source* and *sink* of the directed edge  $(u,v)$ , respectively
- $u$  and  $v$  are called the *endpoints* of  $(u,v)$
- two vertices are *adjacent* if they are connected by an edge
- the *outdegree* of a vertex  $u$  in a directed graph is the number of edges of which  $u$  is the source
- the *indegree* of a vertex  $v$  in a directed graph is the number of edges of which  $v$  is the sink
- the *degree* of a vertex  $u$  in an undirected graph is the number of edges of which  $u$  is an endpoint

## More Graph Definitions

- a *path* is a sequence  $u_0, u_1, u_2, \dots, u_n$  of vertices such that  $(u_i, u_{i+1}) \in E$ ,  $0 \leq i \leq n-1$



- the *length* of the path is the number of edges in it (in this example,  $n-1$ )
- a path is *simple* if it does not repeat any vertices
- a *cycle* is a path  $u_0, u_1, u_2, \dots, u_n$  such that  $u_0 = u_n$
- a cycle is *simple* if it does not repeat any vertices except the first and last
- a graph is *acyclic* if it has no cycles
- a directed acyclic graph is called a *dag*

## More Graph Definitions

Q) Is this a dag?



## More Graph Definitions

Q) Is this a dag?



A) yes – if and only if you can iteratively eliminate vertices of indegree 0 and get all the way through the graph

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## More Graph Definitions

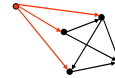
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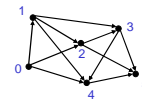


A) yes – if and only if you can iteratively eliminate vertices of indegree 0 and get all the way through the graph

## Topological Sort

Just computed a *topological sort* of the dag

- a numbering of the vertices such that all edges go from lower- to higher-numbered vertices



Useful in job scheduling with precedence constraints

## Graph Coloring

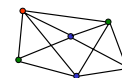
A *coloring* of an undirected graph is an assignment of a color to each node such that no two adjacent vertices get the same color



Q) How many colors are needed to color this graph?

## Graph Coloring

A *coloring* of an undirected graph is an assignment of a color to each node such that no two adjacent vertices get the same color

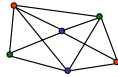


Q) How many colors are needed to color this graph?

A) 3

## An Application of Coloring

- Vertices are jobs
- Edge  $(u,v)$  is present if jobs  $u$  and  $v$  each require access to the same shared resource, thus cannot execute simultaneously
- Colors are time slots to schedule the jobs
- Minimum number of colors needed to color the graph = minimum number of time slots required



## Planarity

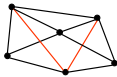
A graph is *planar* if it can be embedded in the plane with no edges crossing



Q) Is this graph planar?

## Planarity

A graph is *planar* if it can be embedded in the plane with no edges crossing

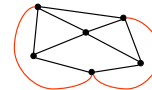


Q) Is this graph planar?

A) yes

## Planarity

A graph is *planar* if it can be embedded in the plane with no edges crossing



Q) Is this graph planar?

A) yes

## Planarity

### Kuratowski's Theorem



$K_5$



$K_{3,3}$

A graph is planar if and only if it does not contain a copy of  $K_5$  or  $K_{3,3}$  (possibly with other nodes along the edges shown)

## The Four-Color Theorem

Every planar graph is 4-colorable  
(Appel & Haken, 1976)



## Bipartite Graphs

A directed or undirected graph is *bipartite* if the vertices can be partitioned into two sets such that all edges go between the two sets



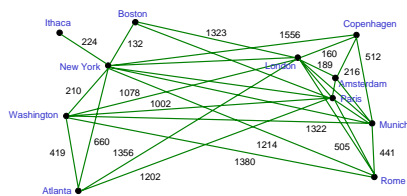
## Bipartite Graphs

The following are equivalent:

- G is bipartite
- G is 2-colorable
- G has no cycles of odd length



## Traveling Salesperson

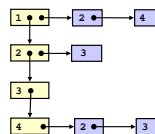


Find a path of minimum distance that visits every city

## Representations of Graphs



Adjacency List



Adjacency Matrix

	1	2	3	4
1	0	1	0	1
2	0	0	1	0
3	0	0	0	0
4	0	1	1	0

## Graph Algorithms

- Search
  - depth-first search
  - breadth-first search
- Shortest paths
  - Dijkstra's algorithm
- Minimum spanning trees
  - Prim's algorithm
  - Kruskal's algorithm

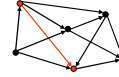
## Depth-First Search

- Follow edges depth-first starting from an arbitrary vertex  $r$ , using a stack to remember where you came from
- When you encounter a vertex previously visited, or there are no outgoing edges, retreat and try another path
- Eventually visit all vertices reachable from  $r$
- If there are still unvisited vertices, repeat
- $O(m)$  time

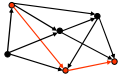
## Depth-First Search



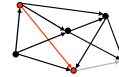
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## Depth-First Search



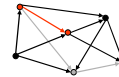
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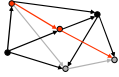
## Depth-First Search



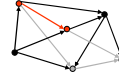
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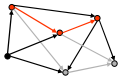
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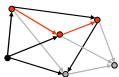
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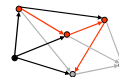
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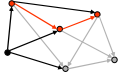


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## Depth-First Search



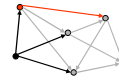
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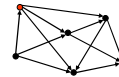
## Depth-First Search



## Breadth-First Search

- Same, except use a queue instead of a stack to determine which edge to explore next

## Breadth-First Search



## Breadth-First Search



## Breadth-First Search



## Breadth-First Search



## Breadth-First Search



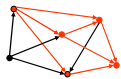
## Breadth-First Search



## Breadth-First Search



## Breadth-First Search



## Breadth-First Search



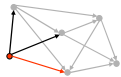
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## Breadth-First Search



## Breadth-First Search



## Breadth-First Search



## Breadth-First Search



## Breadth-First Search

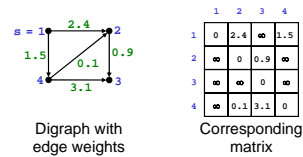


## Shortest Paths

Suppose you have a USAir route map with intercity distances. You want to know the shortest distance from Ithaca to every city served by USAir.

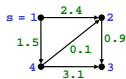
This is known as the *single-source shortest path problem*.

## Shortest Paths



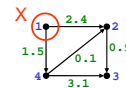
**Single-source shortest path problem:** Given a graph with edge weights  $w(u,v)$  and a designated vertex  $s$ , find the shortest path from  $s$  to every other vertex (length of a path = sum of edge weights)

## Shortest Paths



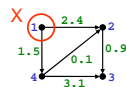
- Let  $d(s,u)$  denote the distance (length of shortest path) from  $s$  to  $u$ . In this example,
  - $d(1,1) = 0$
  - $d(1,2) = 1.6$
  - $d(1,3) = 2.5$
  - $d(1,4) = 1.5$

## Dijkstra's Algorithm



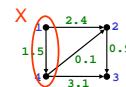
- Let  $X = \{s\}$ 
  - $X$  is the set of nodes for which we have already determined the shortest path
- For each node  $u \notin X$ , define  $D(u) = w(s,u)$ 
  - $D(2) = 2.4$
  - $D(3) = \infty$
  - $D(4) = 1.5$

## Dijkstra's Algorithm



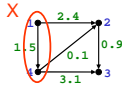
- Find  $u \notin X$  such that  $D(u)$  is minimum, add it to  $X$ 
  - at that point,  $d(s,u) = D(u)$
- For each node  $v \notin X$  such that  $(u,v) \in E$ , if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$ 
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## Dijkstra's Algorithm



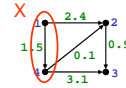
- Find  $u \notin X$  such that  $D(u)$  is minimum, add it to  $X$ 
  - at that point,  $d(s,u) = D(u)$   $u = 4$
- For each node  $v \notin X$  such that  $(u,v) \in E$ , if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$ 
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## Dijkstra's Algorithm



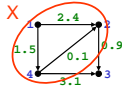
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  - $D(2) = \cancel{2.4}$  1.6
  - $D(3) = \cancel{0.1}$  4.6
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## Dijkstra's Algorithm



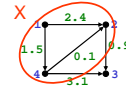
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  - $D(4) = 1.5 = d(1,4)$

## Dijkstra's Algorithm



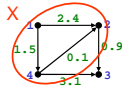
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## Dijkstra's Algorithm



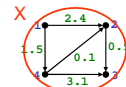
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## Dijkstra's Algorithm



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- For each node  $v \notin X$  such that  $(u,v) \in E$ , if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$ 
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## Dijkstra's Algorithm



- Find  $u \notin X$  such that  $D(u)$  is minimum, add it to  $X$ 
  - at that point,  $d(s,u) = D(u)$   $u = 3$
- For each node  $v \notin X$  such that  $(u,v) \in E$ , if  $D(u) + w(u,v) < D(v)$ , set  $D(v) = D(u) + w(u,v)$ 
  - $D(2) = \cancel{1.6}$  1.6 =  $d(1,2)$
  - $D(3) = \cancel{0.1}$  2.5 =  $d(1,3)$
  - $D(4) = 1.5 = d(1,4)$

## Dijkstra's Algorithm

Proof of correctness – show that the following are invariants of the loop:

- For  $u \in X$ ,  $D(u) = d(s,u)$
- For  $u \in X$  and  $v \notin X$ ,  $d(s,u) \leq d(s,v)$
- For all  $u$ ,  $D(u)$  is the length of the shortest path from  $s$  to  $u$  such that all nodes on the path (except possibly  $u$ ) are in  $X$

Implementation:

- Use a priority queue for the nodes not yet taken – priority is  $D(u)$

## Complexity

- Every edge is examined once when its source is taken into  $X$
- A vertex may be placed in the priority queue multiple times, but at most once for each incoming edge
- Number of insertions and deletions into priority queue =  $m + 1$ , where  $m = |E|$
- Total complexity =  $O(m \log m)$

## Conclusion

- There are faster but much more complicated algorithms for single-source, shortest-path problem that run in time  $O(n \log n + m)$  using something called *Fibonacci heaps*
- It is important that all edge weights be nonnegative – Dijkstra's algorithm does not work otherwise, we need a more complicated algorithm called *Warshall's algorithm*
- Learn about this and more in CS482