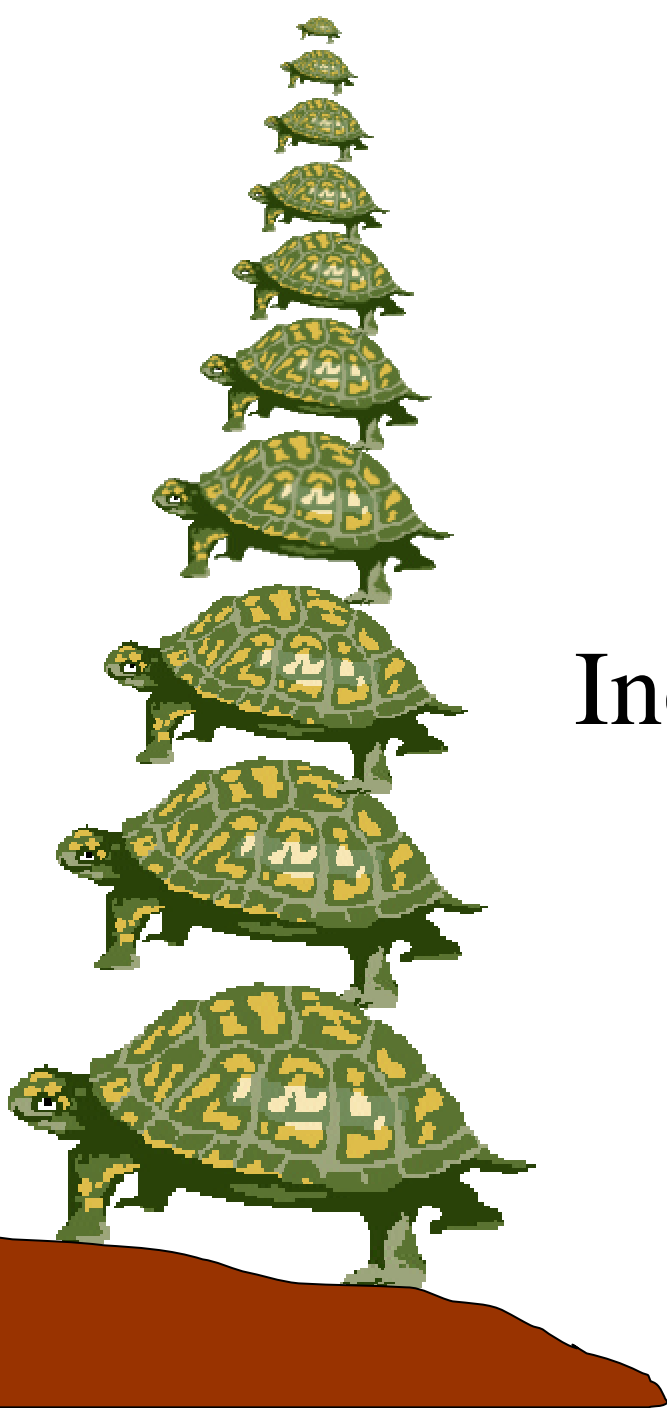


Induction & Recursion

Weiss: ch 7.1



- Recursion
 - a programming strategy for solving large problems
 - Think “divide and conquer”
 - Solve large problem by splitting into smaller problems of same kind
- Induction
 - A mathematical strategy for proving statements about large sets of things
- First we learn induction...

Functions

- Example: Let $S: \text{int} \rightarrow \text{int}$ be a function such that $S(n)$ is the sum of natural numbers from 0 to n .
 - Iterative form: $S(n) = 0+1+\dots+n$
 - Closed form: $S(n) = n(n+1)/2$
- Can we prove equality?
 - Theorem: For any value of n in \mathbb{N} , $S(n) = n(n+1)/2$

Proving Theorem for all N : Clever Tricks

A Second Example: Sum of Squares

- Example: Let $Q: \text{int} \rightarrow \text{int}$ be (iterative form)

$$Q(n) = 1^2 + 2^2 + 3^2 + \dots + n^2$$

- Closed Form?

Intuition: sum of integers \rightarrow quadratic $(n^2 + n)/2$

Guess: sum of squares \rightarrow cubic $(an^3 + bn^2 + cn + d)$

- Coefficients:

$$a = 1/3, b = 1/2, c = 1/6, d = 0$$

$$Q_{CF}(n) = n^3/3 + n^2/2 + n/6 = n(n+1)(2n+1)/6$$

- Conjecture:

$$P(n): Q(n) = Q_{CF}(n)$$

- But how to prove $P(n)$ for all n ?

Recursive Form

- Rewrite Q as:

$$\begin{aligned} Q(n) &= 1^2 + 2^2 + 3^2 + \dots + (n-1)^2 + n^2 \\ &= Q(n-1) + n^2 \end{aligned}$$

- Each term defined as a function of the one before it

recursive case: $Q_{RF}(n) = Q_{RF}(n-1) + n^2$

- We still need a 'first' term

base case: $Q_{RF}(1) = 1^2 = 1$

Evaluating a Recursive Definition

- $Q(5) = Q(4) + 5^2 = 30 + 25 = 55$

$$Q(4) = Q(3) + 4^2 = 14 + 16 = 30$$

$$Q(3) = Q(2) + 3^2 = 5 + 9 = 14$$

$$Q(2) = Q(1) + 2^2 = 1 + 4 = 5$$

$$Q(1) = 1 \text{ (base case)}$$

Induction: A Bizzare Example¹

- Consider a planet X, where the following rule holds:

“If it rains one day, it also rains the next day”
- Consider two scenarios.

¹Adapted from <http://www-math.utoronto.ca/calculus/Redbook/goldch1.pdf>

Scenario A

- You land on planet X and it does **not** rain on the day you arrive.
- What can you conclude?
 - a) It will never rain on planet X.
 - b) It has never rained on planet X.
 - c) It did not rain yesterday on planet X.
 - d) It will rain tomorrow on planet X.

Scenario B

- You land on planet X and it **does** rain on the day you arrive.
- What can you conclude?
 - e) It rains every day on planet X.
 - f) It will rain tomorrow on planet X.
 - g) It rained yesterday on planet X.
 - h) It will rain every day from now on.

Induction

- Mathematical argument consisting of:
 - A base case: A particular statement, say $P(1)$, that is true.
 - An inductive hypothesis: Assume we know $P(n)$ is true.
 - An inductive step: If we know $P(n)$ is true, we can infer that $P(n+1)$ is true.

Proof of C(n): $Q(n) = Q_{CF}(n)$

- Base case:

$$Q(1) = 1 = 1(1+1)(2*1+1)/6 = Q_{CF}(1)$$

so P(1) holds.

- Inductive hypothesis:

Let us assume P(n). That is,

$$Q(n) = Q_{CF}(n) = (n+1)(2n+1)/6$$

- Inductive step:

$$Q(n+1) = Q(n) + (n+1)^2 \text{ from recursive form}$$

$$= n(n+1)(2n+1)/6 + (n+1)^2 \text{ from i.h.}$$

$$= (n+1)(n+2)(2n+3)/6 \text{ from algebra}$$

$$= Q_{CF}(n+1)$$

Thus P(n+1) holds.

- Conclusion: $Q(n) = Q_{CF}(n)$ for all $n \geq 1$

Say What?

Proof by induction that $P(n)$ for all n :

- $P(1)$ holds, because
- Let's assume $P(n)$ holds.
- $P(n+1)$ holds, because ...
- Thus, *by induction*, $P(n)$ holds for all n .

- Your job:

- Choose a good property $P(n)$ to prove.
 - hint: deciding what n is may be tricky
- Copy down the proof template above.
- Fill in the two '...'
- Relax.

Sum of Integers (redux)

- Conjecture

P(n): The sum $S(n)$ of the first n integers is equal to $n(n+1)/2$.

- Recursive Form:

- Proof by induction:

More Examples

- Prove for all $n \geq 1$, that 133 divides $11^{n+1} + 12^{2n-1}$.
- $P(n) =$
- No recursive form here...
- Proof by induction...

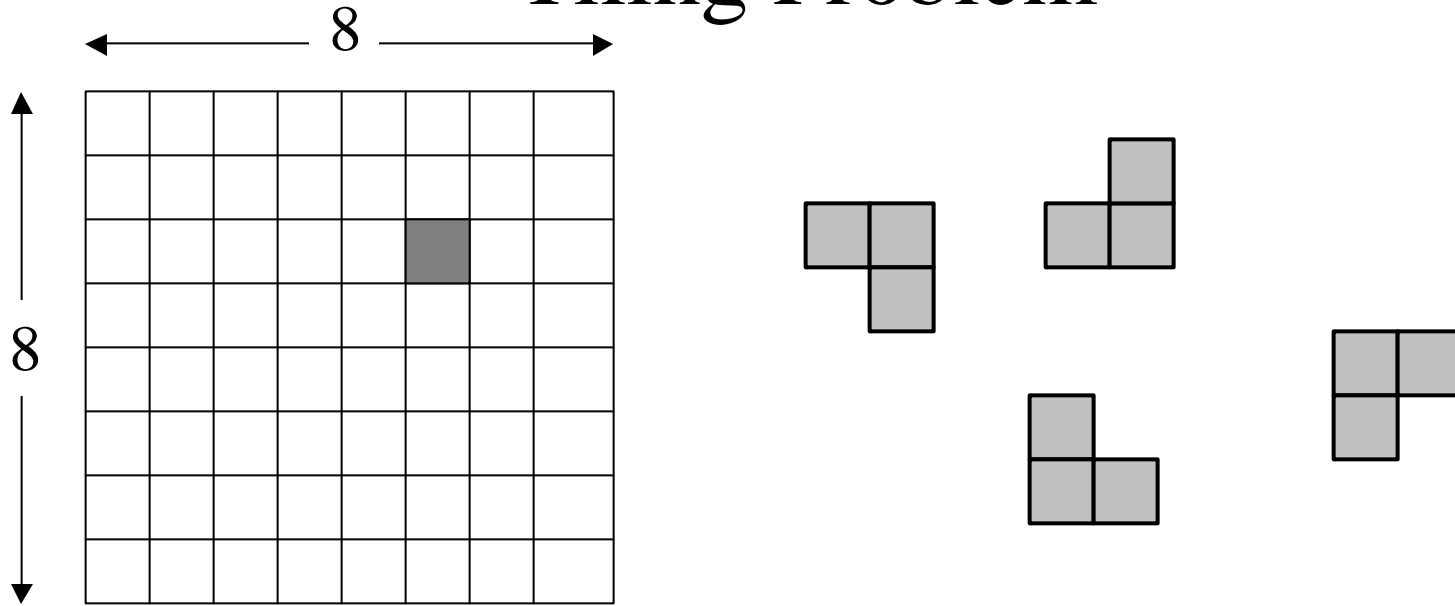
More Examples

- Prove that $n! > 2^n$ for all integers $n \geq 4$.
- $P(n) =$
- No recursive form here...
- Proof by induction...

Induction (Variation 1)

- We don't have to start with $P(1)$ only.
 - Often start with $P(0)$, and prove for all $n \geq 0$
 - Can start with $P(n_0)$, and prove for all $n \geq n_0$
(does not say anything about $0, 1, \dots, n_0-1$ though!)
 - Can start with several base cases, $P(0), P(1), \dots, P(k)$, then use induction for $n \geq k$.

Tiling Problem



- Problem:

- Chess board with one square missing
- Can we fill remainder with “L” shaped tiles?

Sanity check: 64 squares remaining, divisible by 3

Use Induction

- What is n ?
 - Number of squares missing?
 - Number of tiles already placed?
 - Number of squares remaining?
- Consider a 2^n by 2^n board

Base case

4x4 case

General Case

Induction Gone Awry

- **Definition:** If $a \neq b$ are two positive integers, define $\max(a, b)$ as the larger of a or b . If $a = b$ define $\max(a, b) = a = b$.
- **Conjecture $A(n)$:** if a and b are two positive integers such that $\max(a, b) = n$, then $a = b$.
- **Proof (by induction):**
 - Base Case:** $A(1)$ is true, since if $\max(a, b) = 1$, then both a and b are at most 1. Only $a = b = 1$ satisfies this condition.
 - Inductive Case:** Assume $A(n)$ for $n \geq 1$, and show that $A(n+1)$. If $\max(a, b) = n+1$, then $\max(a-1, b-1) = n$. By the inductive hypothesis, $a-1 = b-1$, so $a = b$.
- **Corollary:** $3 = 5$
- **Proof:** $\max(3, 5) = 5$. Since $A(5)$ is true, then $3 = 5$.

Caveats

- When proving something by induction...
 - Often easier to prove a *more general (harder)* problem
 - Extra conditions makes things easier in inductive case
 - You have to prove more things in base case & inductive case
 - But you get to use the results in your inductive hypothesis
 - e.g., tiling for $n \times n$ boards is impossible, but $2^n \times 2^n$ works
 - You must verify conditions before using I. H.
- Induction often fails
 - Doesn't mean the property is false
 - Choosing what to prove is usually the hardest part
- Exercises (optional) on web site & in Weiss

Strong Induction (Variation 2)

- Up till now, we used *weak induction*

Proof by (strong) induction that $P(n)$ for all n :

- $P(1)$ holds, because
- Let's assume $P(m)$ holds for $1 \leq m \leq n$.
(that is, $P(1)$ holds, $P(2)$ holds, ..., and $P(n)$ holds).
- $P(n+1)$ holds, because ...
- Thus, *by induction*, $P(n)$ holds for all n .

Postage Stamps

- Prove that every amount of postage of 12¢ or more can be formed using 4¢ and 5¢ stamps.
 - What is $P(n)$?
 - What base case(s)?