

**CS/ENGRI 172, Fall 2002**  
**9/23/02: Lecture Eleven Handout**

**Topics:** The perceptron learning algorithm; the perceptron convergence theorem.

**Terminology reminder**

Suppose we have a function  $f$  assigning values of 0 and 1. It is traditional to call an instance  $\vec{x}$  *positive* if  $f(\vec{x}) = 1$ , and *negative* otherwise, since in the former case,  $\vec{x}$  is an example of the concept  $f$  represents. (Think of 0 and 1 as “no”, and “yes”, if you like.)

To avoid confusion with negative and positive numbers, I’m trying to avoid using the common “positive/negative example” terminology, but since it’s standard I tend to forget. Apologies for any confusion.

**More properties of the inner product**

It should be fairly easy to convince yourself that for vectors  $\vec{v}$ ,  $\vec{w}$ ,  $\vec{y}$ , and  $\vec{z}$  of the same dimensionality, we have the following *distributive* property:

$$(\vec{v} + \vec{w}) \cdot (\vec{y} + \vec{z}) = \vec{v} \cdot \vec{y} + \vec{w} \cdot \vec{y} + \vec{v} \cdot \vec{z} + \vec{w} \cdot \vec{z}. \quad (1)$$

For example, in the two-dimensional case,

$$\begin{aligned} (\vec{v} + \vec{w}) \cdot (\vec{y} + \vec{z}) &= (v_1 + w_1, v_2 + w_2) \cdot (y_1 + z_1, y_2 + z_2) \\ &= (v_1 + w_1)(y_1 + z_1) + (v_2 + w_2)(y_2 + z_2) \\ &= (v_1 y_1 + w_1 y_1 + v_1 z_1 + w_1 z_1) + (v_2 y_2 + w_2 y_2 + v_2 z_2 + w_2 z_2) \\ &= (v_1 y_1 + v_2 y_2) + (w_1 y_1 + w_2 y_2) + (v_1 z_1 + v_2 z_2) + (w_1 z_1 + w_2 z_2) \\ &= \vec{v} \cdot \vec{y} + \vec{w} \cdot \vec{y} + \vec{v} \cdot \vec{z} + \vec{w} \cdot \vec{z} \end{aligned}$$

Equation 1 implies that

$$\vec{v} \cdot (\vec{y} + \vec{z}) = \vec{v} \cdot \vec{y} + \vec{v} \cdot \vec{z}.$$

**Outline of our perceptron convergence theorem proof**

We present a somewhat oblique, but therefore interesting, proof. The general ideas are as follows. Given all the constraints we have about the oracle and learner,

- Define a *score* function, indicating how close the learner’s vector  $\vec{w}$  is to a particular “target” vector  $\vec{w}^*$ . (Why the quotation marks?) Our particular score measure takes the form  $N/D$  (numerator over denominator), and starts at 0.
- Show that at each *update* of the perceptron learning algorithm, i.e., where  $\vec{w}_{old}$  gets changed to  $\vec{w}_{new}$ , the score measure increases by a non-negligible amount:
  - The score numerator  $N$  increases by *at least*  $g$ , the *gap* quantity.
  - The square of the score denominator  $D$  increases by *at most* 1.

Hence, after  $t$  updates, the score will have increased by at least  $\sqrt{tg}$  from the initial score of 0.

- But, since our particular score measure is upper-bounded by one, we get that  $t$  can be at most  $1/g^2$ , which, since  $g > 0$ , implies only a finite number of updates gets made.