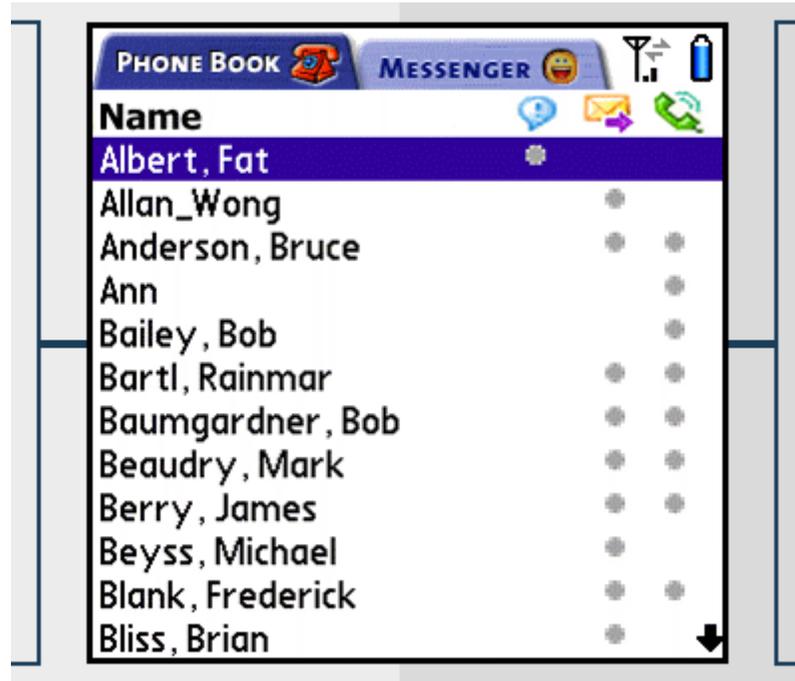


- Previous Lecture:
 - Recursion (Ch. 14)
- Today, Lecture 26:
 - Algorithms for **sorting** and efficiency analysis (Ch. 8)
 - Insertion Sort algorithm
 - See *Insight* §8.2 for the Bubble Sort algorithm
 - Algorithms for **searching** and analysis (Ch. 9)
 - Linear search (review)
 - Binary search
- Thursday:
 - Merge sort
 - Watch sorting video
- Announcements:
 - Project 6 due Thurs 11pm EDT
 - Ex 13 due Fri 11pm EDT
 - Fill out final exam logistics survey on Canvas
 - Please complete course evaluations

Sorting data allows us to search more easily



Boston Marathon Top Women Finishers

Official Time	State	Country	Ctz
2:25:25		ETH	
2:25:27		RUS	
2:26:34		KEN	
2:28:12		LAT	
2:29:48		ETH	
2:30:52		ITA	
2:33:56		ROM	
2:34:37		ETH	
2:35:37		RUS	
2:44:44	IL	USA	CAN
2:45:54	NS	CAN	
2:46:25		KEN	
2:47:17	FL	USA	RUS
2:47:36		AUS	
2:48:43	MN	USA	

	7	F12	Olaru, Nuta
	8	F6	Guta, Robe Tola
	9	F1	Grigoryeva, Lidiya
		F35	Hood, Stephanie A.
		F14	Robson, Denise C.
		F11	Chemjor, Magdaline
		F101	Sultanova-Zhdanova, Firaya
		F15	Mayger, Eliza M.
		F24	Anklam, Ashley A.

Name	Score	Grade
Jorge	92.1	
Ahn	91.5	
Oluban	90.6	
Chi	88.9	
Minale	88.1	
Bell	87.2	

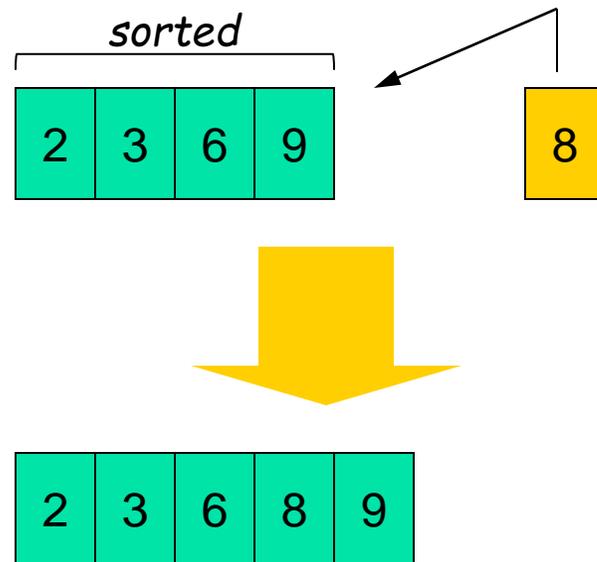
There are many algorithms for sorting

- Insertion Sort (to be discussed today)
- Bubble Sort (read *Insight* §8.2)
- Merge Sort (to be discussed next lecture)
- Quick Sort (a variant used by Matlab's built-in `sort` function)

- Each has advantages and disadvantages. Some algorithms are faster (**time-efficient**) while others are **memory-efficient**
- *Great opportunity for learning how to analyze programs and algorithms!*

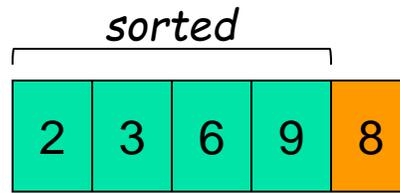
The Insertion Process

- Given a sorted array x , insert a number y such that the result is sorted



Insertion

one insert
process

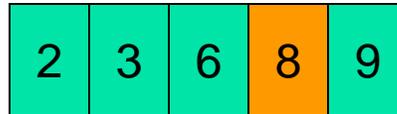
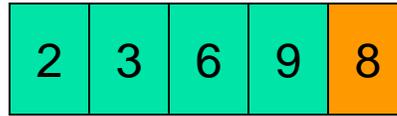


Insert 8 into the sorted segment

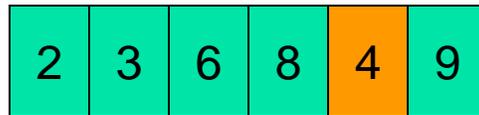
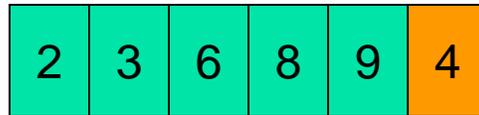
Just swap 8 & 9

Insertion

one insert process



one insert process



Insert 4 into the sorted segment

Compare adjacent components:
swap 9 & 4

Compare adjacent components:
swap 8 & 4

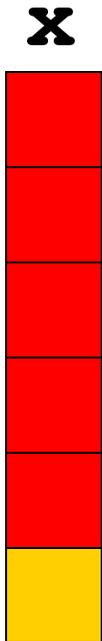
Compare adjacent components:
swap 6 & 4

Compare adjacent components:
DONE! No more swaps.

See function `Insert` for the insert process

Sort vector \mathbf{x} using the **Insertion Sort** algorithm

Need to start with a *sorted* subvector. How do you find one?



Length 1 subvector is “sorted”

Insert $\mathbf{x}(2)$: $\mathbf{x}(1:2) = \text{Insert}(\mathbf{x}(1:2))$

Insert $\mathbf{x}(3)$: $\mathbf{x}(1:3) = \text{Insert}(\mathbf{x}(1:3))$

Insert $\mathbf{x}(4)$: $\mathbf{x}(1:4) = \text{Insert}(\mathbf{x}(1:4))$

Insert $\mathbf{x}(5)$: $\mathbf{x}(1:5) = \text{Insert}(\mathbf{x}(1:5))$

Insert $\mathbf{x}(6)$: $\mathbf{x}(1:6) = \text{Insert}(\mathbf{x}(1:6))$

`insertionSortSimple.m`

Contract between Insert and InsertionSort

Insert

- Assumes all but the last element of x is already sorted
- Returns a fully-sorted array (one more element sorted than given)

therefore

InsertionSort (driver)

- Must only call Insert() on a subarray with a pre-sorted prefix
- Has a bigger pre-sorted subarray to pass to Insert() next time – progress is made each iteration

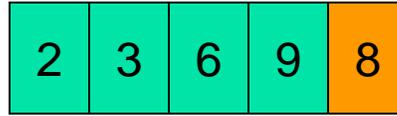
Size of sorted prefix grows each time.
When it equals the size of the original
array, the task is done

How much “work” is insertion sort?

- In the worst case, make k comparisons to insert an element in a sorted array of k elements.

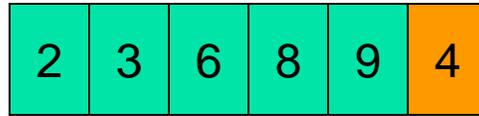
Insertion

one insert
process



Insert into sorted array of
length 4

one insert
process



Insert into sorted array of
length 5

How much “work” is insertion sort?

- In the worst case, make k comparisons to insert an element in a sorted array of k elements. For an array of length N :

$$1 + 2 + \dots + (N-1) = \frac{N(N-1)}{2}, \text{ say } N^2 \text{ for big } N$$

`InsertionSort.m`

Checkpoint question: N^2 performance

Suppose it takes 5ms to sort an array with 100 elements using Insertion Sort. How long would you expect sorting 1000 elements to take?

A. 25ms

C. 500ms

E. $1e6$ ms

B. 50ms

D. 5000ms

Efficiency considerations

- Worst case, best case, average case
- Use of subfunction incurs an “overhead”
- Memory use and access

- Example: Rather than directing the *insert* process to a subfunction, have it done “**in-line**.”
- Also, Insertion sort can be done “**in-place**,” i.e., using “only” the memory space of the original vector.

```
function x = InsertionSortInplace(x)
% Sort vector x in ascending order with insertion sort

n = length(x);
for i= 1:n-1
    % Sort x(1:i+1) given that x(1:i) is sorted

end
```

```
function x = InsertionSortInplace(x)
% Sort vector x in ascending order with insertion sort

n = length(x);
for i= 1:n-1
    % Sort x(1:i+1) given that x(1:i) is sorted
    j= i;

    while

        % swap x(j+1) and x(j)

        j= j-1;

    end
end
end
```

Do this for review later!

A note on optimization

- “Inlining” multiple pieces of an algorithm should *not* be your go-to strategy
 - It’s easier to understand (and verify) small pieces that do a simple task than monolithic code that does a complicated task
 - Better communication, less buggy
 - Hard to predict when it will actually be faster
 - Large code has a performance cost in addition to a maintenance cost
 - Measuring performance not as easy as it sounds
 - Compilers can do this automatically
 - Auto-inlining will reveal opportunities for in-place array edits

Sort an array of objects

- Given `x`, a 1-d array of `Interval` references, sort `x` according to the widths of the `Intervals` from narrowest to widest
- Use the insertion sort algorithm
- How much of our code needs to be changed?

A. No change

B. One statement

C. About half the code

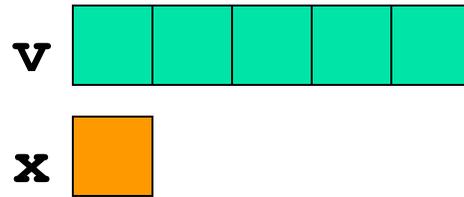
D. Most of the code

The only change is
in how we do the
comparison!

See `InsertionSortIntervals.m`

Searching for an item in an unorganized collection?

- May need to look through the whole collection to find the target item
- E.g., find value x in vector v



- Linear search

```
% Linear Search
% f is index of first occurrence
%   of value x in vector v.
% f is -1 if x not found.

k= 1;
while k<=length(v) && v(k)~=x
    k= k + 1;
end
if k>length(v)
    f= -1; % signal for x not found
else
    f= k;
end
```

v	12	35	33	15	42	45
x	31					

```
% Linear Search
% f is index of first occurrence of value x in vector v.
% f is -1 if x not found.

k= 1;
while k<=length(v) && v(k)~=x
    k= k + 1;
end
if k>length(v)
    f= -1; % signal for x not found
else
    f= k;
end
```

n comparisons against the target
are needed in worst case,
n=length(v) .

```
% Linear Search
% f is index of first occurrence
%   of value x in vector v.
% f is -1 if x not found.
```

```
k= 1;
while k<=length(v) && v(k)~=x
    k= k + 1;
end
if k>length(v)
    f= -1; % signal for x not found
else
    f= k;
end
```

Searching in
a sorted list should
require less work

v

12	15	33	35	42	45
----	----	----	----	----	----

x

31

What if **v** is sorted?

An ordered (sorted) list

The Manhattan phone book has 1,000,000+ entries.

How is it possible to locate a name by examining just a tiny, tiny fraction of those entries?

wide at SuperPages.com

195 Car C

17 566-1282	Cartage New England Inc 26 Allen Ln Ipswich 01938.....	978 356-9960	Carter F 24 Hillock Ros 02131.....	617 327-1105	Carter Nella E 333 Maschts Av Bos 02115.....	617 267-6483
81 447-4101	Cartagema Lydia 18 Jewett Ros 02131.....	617 323-7639	Faye & Ricky 357 Columbus Av Bos 02116.....	617 437-7331	Nicholas S F 115 Randolph Av Mil 02186.....	617 698-5307
800 257-9981	Cartagema Avith 9 Bancroft Rox 02119.....	617 442-9780	Francis S 134 Temple W Rox 02132..	617 323-6781	Nick 21 Fairfield Bos 02116.....	617 267-5222
17 566-1282	B Hyd 02136.....	617 361-5253	Franklin & Anne 221 Mt Auburn Cam 02138.....	617 354-0798	Nick & Debbi 196 Herrick Rd Newton 02459.....	617 527-0480
17 364-5188	Jessica 50 Decatur Cha 02129.....	617 241-0152	Fred 42 Haverford Jam 02130.....	617 524-3078	Nicole.....	617 698-0713
361-0380	Lucilla 174 Harvard Cam 02139.....	617 491-5621	Fred 96 Hinckley Rd Mil 02186.....	617 698-1343	Norman G 38 Chickatawbut Dor 02122.....	617 822-1203
17 566-4548	M 95 Rowe Ros 02131.....	617 323-9713	G & R 8 Verdun Dor 02124.....	617 436-8906	P 94 Crestwood Pk Rox 02121.....	617 427-4754
17 628-8248	Melvin 501 Green Cam 02139.....	617 576-1061	G T 27 Franklin Av Som 02145.....	617 623-7121	P E 501 E Sixth S Bos 02127.....	617 268-4213
17 445-5116	Carte Nicholas 18 Appleton Boston 02116.....	617 695-6996	Gayle 25 Frontenac Dor 02124.....	617 825-0322	P L 44 Hutchings Rox 02121.....	617 427-9170
17 822-2982	Cartegena O 4 Millford Bos 02118.....	617 338-8219	George 125 Nashua Bos 02114.....	617 367-9548	P R 91 Byrner Jam 02130.....	617 983-8692
17 427-5712	Carten Thos J Sr & Claire 1 Paradise Rd Mil 02186.....	617 698-6163	Carter Halliday Associate 107 S Street Bos 02111.....	617 456-1689	Paul & Constance 114 Anawan Av W Rox 02132.....	617 325-2036
17 569-2698	Thomas & Kathleen 50 Thompson Ln Mil 02186.....	617 696-6919	Carter Harry F 26 Runng Bk Rd W Rox 02132.....	617 325-5465	Paul E 501 E Sixth St S Bos 02127..	617 268-4546
17 667-5190	Carter A Ros 02131.....	617 327-2257	Carter Hide Co Inc 146 Summer Bos 02110.....	617 542-7987	Paul M 27 Union Bri 02135.....	617 787-2115
17 569-1417	A Roxbury.....	617 442-5230	Carter Hilary 61 Harvey Cam 02140..	617 876-2750	Carter Pile Driving Inc 17 Beaver Ct Frammingham 01702.....	617 235-8488
17 338-9110	A 31 Bethune Wy Roxbury 02119.....	617 442-1219	Horace 241 Walnut Av Roxbury 02119.....	617 442-5307	Carter Prudence 46 Franklin Watertown 02172.....	617 393-3782
17 825-9195	A 260 Putnam Av Cambridge 02139..	617 492-4174	Howard Jr 26 Notre Dme Rox 02119..	617 445-5552	Prudence 46 Franklin Watertown 02172.....	617 926-7063
17 296-1593	A M 255 Maschts Av Bos 02115.....	617 266-7153	J Cam.....	617 354-2688	Reginald 106 Brunswick Dorchester 02121..	617 541-2843
17 670-2078	Adams 361 Centre St Mil 02186.....	617 698-9074	J 15 Chatham Bro 02446.....	617 232-7990	Renee & Andrew 10 Walnut Bos 02108.....	617 720-3765
17 623-9001	Alice 108 Kilmarnock Bos 02215.....	617 425-0193	J 518 Harvard Bro 02446.....	617 730-9483	Carter Rice Dowd Bulky Dutton Publishing 163 Main Wilmingon 01887	800 638-1671
17 296-4725	Alice 45 Market Cambridge 02139..	617 945-2711	J 775 Yw Pkwy West Roxbury 02132..	617 323-5574	Toll Free-Dial '1' & Then.....	800 638-1671
17 542-1521	Andrew F 62 Vinal Av Som 02143.....	617 625-7623	Carter J Jacques MD 1 Brookline Pl Bro 02446.....	617 735-8787	Cust Svc-Industrial Prod 613 Main Wilmingon	800 619-7447
17 364-5232	Carter Anne MD 1101 Beacon Bro 02446.....	617 739-1022	Carter J M 1410 Columbia Rd S Bos 02127.....	617 464-1040	Toll Free-Dial '1' & Then.....	800 648-7447
17 541-5649	Carter Athens 272 Newbury Boston 02116.....	617 536-6329	Carter J M Ornamental Ironworks Call.....	617 436-5353	Cust Svc-Printing 613 Main Wilmingon	800 648-7447
17 739-2662	B E 48 Gladeside Av Mat 02126.....	617 296-6911	Carter J Veal Co 48 Newmarket Sq Rox 02118.....	617 442-1775	Toll Free-Dial '1' & Then.....	800 648-7447
17 879-0030	Carter Barbara L MD Tufts-New England Medical Center Bos 02111	617 636-0051	Carter James 1573 Cambridge St Cam 02138.....	617 492-1214	Headquarters 613 Main Wilmingon 01887	978 988-7447
17 541-3948	Carter Becky Bos 02114.....	617 523-4368	James 182 Fisher Av Roxbury 02120..	617 739-2193	Call.....	978 988-7447
17 436-1513	Bernard J 112 Gladstone E Bos 02128.....	617 567-3430	James 37 Gold Star Rd Cambridge 02140.....	617 876-8841	Ingalls Cronin 163 Main Wilmingon 01880	800 638-1673
17 569-4119	Bithiah 25 Medway Dor 02124.....	617 298-8713	Jas L 14 Roseberry Rd Mat 02126.....	617 361-0773	Toll Free-Dial '1' & Then.....	800 638-1673
800 569-8782	Blake 26 Mt Vernon Bos 02108.....	617 367-9931	Jane 114 Adena Rd Newton 02465.....	617 964-0435	Carter Richard 1079 Connwith Av Brighton 02215....	617 987-0836
	Carter Broadcasting Co 20 Park Pkz Bos 02116.....	617 423-0210	Jeffrey 41 Warren Av Bos 02116.....	617 426-5994	Richard A 97 Mt Vernon Bos 02108....	617 566-7293
	Carter & Burgess Consultants Inc 23 East St Cam 02141.....	617 225-0200	John 11 Mansfield Bri 02134.....	617 987-2163	Carter Richard A MD 170 Connwith Av Bos 02116.....	617 267-0710
	Carter C 2000 Connwith Av Bri 02135....	617 782-2118	John 327 Summer Bos 02210.....	617 423-4334	Carter Richard K 15 Mercer S Bos 02127.....	617 268-0448
	C 228 Faywood Av East Boston 02128..	617 569-1545	John 40 Westwind Rd Dor 02125.....	617 282-1235	Robert L 175 Richdale Av Cam 02140..	617 864-1535
	C 359 Harvard Cam 02138.....	617 491-4822	June O 329 A Summit Av Bri 02135....	617 734-6109	Roger 150 St Botolph Bos 02115.....	617 424-6148
	C 610 Walk Hill Mat 02126.....	617 296-6392	K 38 Browning Av Dorchester 02124..	617 265-8456	Roy 44 Concord Av Cam 02138.....	617 491-6115
	C & M 43 Burroughs Jam 02130.....	617 524-9558	K 17 Esmond Dorchester 02121.....	617 282-1593	Royce 18 Seminary Cha 02129.....	617 241-0418

Key idea of “phone book search”: repeated halving

To find the page containing Pat Reef’s number...

```
while (Phone book is longer than 1 page)
  Open to the middle page.
  if “Reef” comes before the first entry,
    Rip and throw away the 2nd half.
  else
    Rip and throw away the 1st half.
  end
end
```

What happens to the phone book length?

Original:	3000	pages
After 1 rip:	1500	pages
After 2 rips:	750	pages
After 3 rips:	375	pages
After 4 rips:	188	pages
After 5 rips:	94	pages
:		
After 12 rips:	1	page

Binary Search

Repeatedly halving the size of the “search space” is the main idea behind the method of **binary search**.

An item in a sorted array of length **n** can be located with just **$\log_2 n$** comparisons.

“Savings” is significant!

n	$\log_2(n)$
100	7
1000	10
10000	13

What is true of the half we keep?

- Let L be the leftmost page we keep (may be 0, aka front cover)
- Let R be the page after the last one we keep (might be $\text{length}(v)+1$, aka back cover)
- Then the name we are looking for is \geq the first name on page L , and $<$ the first name on page R
- When only one page left ($R = L+1$),
 - If name is in book, it will be on page L
 - If name is not in book, it should be inserted after some names already on page L

