

- Previous Lecture:
  - Linear Search
  - Bubble Sort, Insertion Sort
  
- Today's Lecture:
  - “Divide and conquer” strategies
    - Binary search
    - Merge sort
    - Recursion
  
- Announcements:
  - Discussion this week in classrooms
  - Prelim 3 will be returned at end of lecture. If your paper isn't here, pick it up from CS1112 consultants in ACCEL during consulting hrs (Sunday to Thursdays 5-10pm)
  - Project 6 due May 5<sup>th</sup>. Part 1 posted; Part 2 to be posted later.

## Other efficiency considerations

- Worst case, best case, average case
  - Use of subfunction incurs an “overhead”
  - Memory use and access
- 
- Example: Rather than directing the *insert* process to a subfunction, have it done “**in-line.**”
  - Also, Insertion sort can be done “**in-place,**” i.e., using “only” the memory space of the original vector.

# An ordered (sorted) list

The Manhattan phone book has 1,000,000+ entries.

How is it possible to locate a name by examining just a tiny, tiny fraction of those entries?

wide at SuperPages.com

195 Car C

17 566-1282	Cartage New England Inc 26 Allen Ln Ipswich 01938.....	978 356-9960	Carter F 24 Hillock Ros 02131.....	617 327-1105	Carter Nella E 333 Maschsts Av Bos 02115.....	617 267-6483
81 447-4101	Cartagama Lydia 18 Jewett Ros 02131.....	617 323-7639	Faye & Ricky 357 Columbus Av Bos 02116.....	617 437-7331	Nicholas S F 115 Randolph Av Mil 02186.....	617 698-5307
800 257-9981	Cartagena Avith 9 Bancroft Rox 02119.....	617 442-9780	Francis S 134 Temple W Rox 02132.....	617 323-6781	Nick 21 Fairfield Bos 02116.....	617 267-5222
17 566-1282	B Hyd 02136.....	617 361-5253	Franklin & Anne 221 Mt Auburn Cam 02138.....	617 354-0798	Nick & Debbi 196 Herrick Rd Newton 02459.....	617 527-0480
17 364-5188	Jessica 50 Decatur Cha 02129.....	617 241-0152	Fred 42 Haverford Jam 02130.....	617 524-3078	Nicole.....	617 698-0713
361-0380	Lucilla 174 Harvard Cam 02139.....	617 491-5621	Fred 96 Hinckley Rd Mil 02186.....	617 698-1343	Norman G 38 Chickatawbut Dor 02122.....	617 822-1203
17 566-4548	M 95 Rowe Ros 02131.....	617 323-9713	G & R 8 Verdun Dor 02124.....	617 436-8906	P 94 Crestwood Pk Rox 02121.....	617 427-4754
17 628-8248	Melvin 501 Green Cam 02139.....	617 576-1061	G T 27 Franklin Av Som 02145.....	617 623-7121	P E 501 E Sixth S Bos 02127.....	617 268-4213
17 445-5116	Carte Nicholas 18 Appleton Boston 02116.....	617 695-6996	Gayle 25 Frontenac Dor 02124.....	617 825-0322	P L 44 Hutchings Rox 02121.....	617 427-9170
17 822-2982	Cartegena O 4 Millard Bos 02118.....	617 338-8219	George 125 Nashua Bos 02114.....	617 367-9548	P R 91 Byrner Jam 02130.....	617 983-8692
17 427-5712	Carten Thos J Sr & Claire 1 Paradise Rd Mil 02186.....	617 698-6163	Carte Halliday Associate 107 S Street Bos 02111.....	617 456-1689	Paul & Constance 114 Anawan Av W Rox 02132.....	617 325-2036
17 569-2698	Thomas & Kathleen 50 Thompson Ln Mil 02186.....	617 696-6919	Carte Harry F 26 Rung Bk Rd W Rox 02132.....	617 325-5465	Paul E 501 E Sixth St S Bos 02127.....	617 268-4546
17 667-5190	Carter A Ros 02131.....	617 327-2257	Carter Hide Co Inc 146 Summer Bos 02110.....	617 542-7987	Paul M 27 Union Bri 02135.....	617 787-2115
17 569-1417	A Roxbury.....	617 442-5230	Carter Hilary 61 Harvey Cam 02140.....	617 876-2750	Carter Pile Driving Inc 17 Beaver Ct Frammingham 01702.....	617 876-2750
17 338-9110	A 31 Bethune Wy Roxbury 02119.....	617 442-1219	Horace 241 Walnut Av Roxbury 02119.....	617 442-5307	Carter Prudence 46 Franklin Watertown 02172.....	617 393-3782
17 825-9195	A 260 Putnam Av Cambridge 02139.....	617 492-4174	Howard Jr 26 Notre Dme Rox 02119.....	617 445-5552	Prudence 46 Franklin Watertown 02172.....	617 926-7063
17 296-1593	A M 255 Maschsts Av Bos 02115.....	617 266-7153	J Cam.....	617 354-2688	Reginald 106 Brunswick Dorchester 02121.....	617 541-2843
17 670-2078	Adams 361 Centre St Mil 02186.....	617 698-9074	J 15 Chatham Bro 02446.....	617 232-7990	Renee & Andrew 10 Walnut Bos 02108.....	617 720-3765
17 623-9001	Alice 108 Kilnarnock Bos 02215.....	617 425-0193	J 518 Harvard Bro 02446.....	617 730-9483	Carter Rice Dowd Bulkley Duntton Publishing 163 Main Wilmington 01887	800 638-1671
17 296-4725	Alice 45 Market Cambridge 02139.....	617 945-2711	J 775 Yw Pkwy West Roxbury 02132.....	617 323-5574	Toll Free-Dial '1' & Then.....	800 613-1671
17 542-1521	Andrew F 62 Vinal Av Som 02143.....	617 625-7623	Carter J Jacques MD 1 Brookline Pl Bro 02446.....	617 735-8787	Cust Svc-Industrial Prod 613 Main Wilmington	800 619-7447
17 364-5232	Carter Anne MD 1101 Beacon Bro 02446.....	617 739-1022	Carter J M 1410 Columbia Rd S Bos 02127.....	617 464-1040	Toll Free-Dial '1' & Then.....	800 648-7447
17 541-5649	Carter Athens 272 Newbury Boston 02116.....	617 536-6329	Carter J M Ornamental Ironworks Call.....	617 436-5353	Cust Svc-Printing 613 Main Wilmington	800 648-7447
17 739-2662	B E 68 Gladeside Av Mat 02126.....	617 296-6911	Carter J Veal Co 48 Newmarket Sq Rox 02118.....	617 442-1775	Toll Free-Dial '1' & Then.....	978 988-7447
17 879-0030	Carter Barbara L MD Tufts-New England Medical Center Bos 02111	617 636-0051	Carter James 1573 Cambridge St Cam 02138.....	617 492-1214	Call.....	978 988-7447
17 541-3948	Carter Becky Bos 02114.....	617 523-4368	James 182 Fisher Av Roxbury 02120.....	617 739-2193	Ingalis Cronin 163 Main Wilmington 01887	800 638-1673
17 436-1513	Bernard J 112 Gladstone E Bos 02128.....	617 567-3430	James 37 Gold Star Rd Cambridge 02140.....	617 876-8841	Toll Free-Dial '1' & Then.....	800 638-1673
17 569-4119	Bithiah 25 Medway Dor 02124.....	617 298-8713	Jas L 14 Roseberry Rd Mat 02126.....	617 361-0773	Carter Richard 1079 Commwth Av Brighton 02215.....	617 987-0836
17 879-0030	Blake 26 Mt Vernon Bos 02108.....	617 367-9931	Jane 114 Adena Rd Newton 02465.....	617 964-0435	Richard A MD 170 Commwth Av Bos 02116.....	617 267-0710
17 541-3948	Carter Broadcasting Co 20 Park Pkz Bos 02116.....	617 423-0210	Jeffrey 41 Warren Av Bos 02116.....	617 426-5994	Carter Richard K 15 Mercer S Bos 02127.....	617 268-0448
17 436-1513	Carter & Burgess Consultants Inc 23 East St Cam 02141.....	617 225-0200	John 11 Mansfield Bri 02134.....	617 987-2163	Robert L 175 Richdale Av Cam 02140.....	617 864-1535
17 569-4119	C 2000 Commwth Av Bri 02135.....	617 782-2118	John 327 Summer Bos 02210.....	617 423-4334	Roger 150 St Botolph Bos 02115.....	617 424-6148
17 569-4119	C 228 Faywood Av East Boston 02128.....	617 569-1545	John 40 Westwind Rd Dor 02125.....	617 282-1235	Roy 44 Concord Av Cam 02138.....	617 491-6115
17 569-4119	C 359 Harvard Cam 02138.....	617 491-4822	June O 329 A Summit Av Bri 02135.....	617 734-6109	Royce 18 Seminary Cha 02129.....	617 241-0418
17 569-4119	C 610 Walk Hill Mat 02126.....	617 296-6392	K 38 Browning Av Dorchester 02124.....	617 265-8456		
17 569-4119	C & M 43 Burroughs Jam 02130.....	617 524-9558	K 17 Esmond Dorchester 02121.....	617 282-1593		

```
% Linear Search
% f is index of first occurrence of value x in vector v.
% f is -1 if x not found.
k= 1;
while k<=length(v) && v(k)~=x
    k= k + 1;
end
if k>length(v)
    f= -1; % signal for x not found
else
    f= k;
end
```

Searching in  
an unsorted list

<b>v</b>	12	35	33	15	42	45
<b>x</b>	31					

Key idea of “phone book search”: repeated halving

To find the page containing Pat Reed’s number...

```
while (Phone book is longer than 1 page)
  Open to the middle page.
  if “Reed” comes before the first entry,
    Rip and throw away the 2nd half.
  else
    Rip and throw away the 1st half.
  end
end
```

## What happens to the phone book length?

<b>Original:</b>	<b>3000</b>	<b>pages</b>
<b>After 1 rip:</b>	<b>1500</b>	<b>pages</b>
<b>After 2 rips:</b>	<b>750</b>	<b>pages</b>
<b>After 3 rips:</b>	<b>375</b>	<b>pages</b>
<b>After 4 rips:</b>	<b>188</b>	<b>pages</b>
<b>After 5 rips:</b>	<b>94</b>	<b>pages</b>
<b>:</b>		
<b>After 12 rips:</b>	<b>1</b>	<b>page</b>

# Binary Search

Repeatedly halving the size of the “search space” is the main idea behind the method of **binary search**.

An item in a sorted array of length **n** can be located with just  **$\log_2 n$**  comparisons.

```
% Linear Search
% f is index of first occurrence of value x in vector v.
% f is -1 if x not found.
k= 1;
while k<=length(v) && v(k)~=x
    k= k + 1;
end
if k>length(v)
    f= -1; % signal for x not found
else
    f= k;
end
```

**n** comparisons against the target  
are needed in worst case,  
**n=length(v)** .



# Binary Search

Repeatedly halving the size of the “search space” is the main idea behind the method of **binary search**.

An item in a sorted array of length  $n$  can be located with just  $\log_2 n$  comparisons.

“Savings” is significant!

$n$	$\log_2(n)$
100	7
1000	10
10000	13

## Binary search: target $x = 70$

	1	2	3	4	5	6	7	8	9	10	11	12
<b>v</b>	12	15	33	35	42	45	51	62	73	75	86	98



**L:**

1

$v(\text{Mid}) \leq x$

**Mid:**

6

So throw away the  
left half...

**R:**

12

## Binary search: target $x = 70$

	1	2	3	4	5	6	7	8	9	10	11	12
<b>v</b>	12	15	33	35	42	45	51	62	73	75	86	98



L:

6

$x < v(\text{Mid})$

Mid:

9

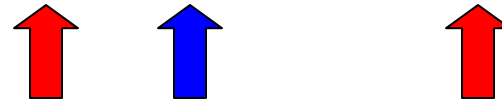
So throw away the  
right half...

R:

12

## Binary search: target $x = 70$

	1	2	3	4	5	6	7	8	9	10	11	12
<b>v</b>	12	15	33	35	42	45	51	62	73	75	86	98



**L:**

6

**Mid:**

7

**R:**

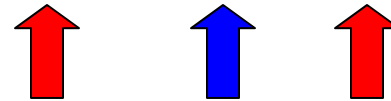
9

$v(\text{Mid}) \leq x$

So throw away the  
left half...

## Binary search: target $x = 70$

	1	2	3	4	5	6	7	8	9	10	11	12
<b>v</b>	12	15	33	35	42	45	51	62	73	75	86	98



**L:**

7

**Mid:**

8

**R:**

9

$v(\text{Mid}) \leq x$

So throw away the  
left half...

## Binary search: target $x = 70$

	1	2	3	4	5	6	7	8	9	10	11	12
v	12	15	33	35	42	45	51	62	73	75	86	98



L:

8

Mid:

8

R:

9

Done because

$$R - L = 1$$

```
function L = binarySearch(x, v)
% Find position after which to insert x. v(1)<...<v(end).
% L is the index such that v(L) <= x < v(L+1);
% L=0 if x<v(1).  If x>v(end), L=length(v) but x~=v(L).

% Maintain a search window [L..R] such that v(L)<=x<v(R).
% Since x may not be in v, initially set ...
L=0;  R=length(v)+1;
```

```
% Keep halving [L..R] until R-L is 1,
%   always keeping  v(L) <= x < v(R)
while  R ~= L+1
    m= floor((L+R)/2);  % middle of search window
    if
        v(m) <= x < v(m+1)
            L=m;
        else
            R=m;
        end
    end
end
```

```

function L = binarySearch(x, v)
% Find position after which to insert x. v(1)<...<v(end).
% L is the index such that v(L) <= x < v(L+1);
% L=0 if x<v(1).  If x>v(end), L=length(v) but x~v(L).

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% Since x may not be in v, initially set ...
L=0;  R=length(v)+1;

% Keep halving [L..R] until R-L is 1,
%   always keeping  v(L) <= x < v(R)
while  R ~= L+1
    m= floor((L+R)/2);  % middle of search window
    if  v(m) <= x

        L= m;
    else

        R= m;
    end
end
end

```

This version is different  
from that in *Insight*



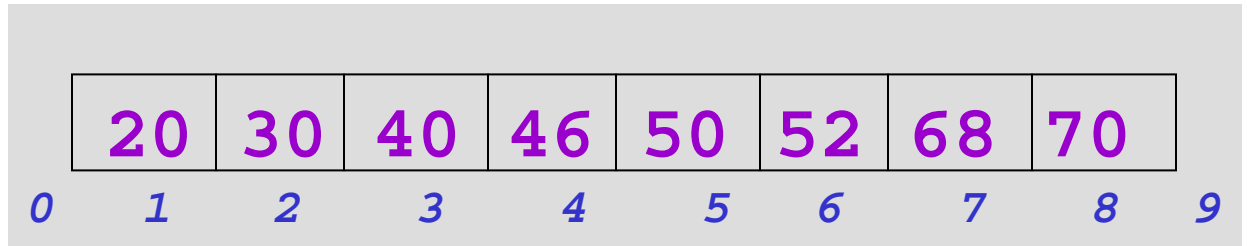
```

function L = binarySearch(x, v)
% Find position after which to insert x. v(1)<...<v(end).
% L is the index such that v(L) <= x < v(L+1);
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% Maintain a search window [L..R] such that v(L)<=x<v(R).
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% Keep halving [L..R] until R-L is 1,
% always keeping v(L) <= x < v(R)
while R ~= L+1
    m= floor((L+R)/2);  % middle of search window
    if
else
end
end
end

```



Binary search is efficient, but how do we sort a vector in the first place so that we can use binary search?

- Many different algorithms out there...
- We saw bubble sort and insertion sort
- Let's look at **merge sort**
- An example of the “divide and conquer” approach
- We'll compare their efficiency later

Which task is “easier,” **sort a length 1000 array** or **merge\* two length 500 sorted arrays into one?**

A. Sort

B. Merge

\*Merge two sorted arrays so that the resultant array is sorted

## Merge sort: Motivation

If I have two helpers, I'd...

- Give each helper half the array to sort
- Then I get back the sorted subarrays and **merge** them.

What if those two helpers each had two sub-helpers?

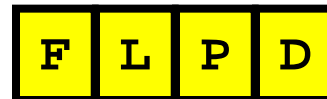
And the sub-helpers each had two sub-sub-helpers? And...

# Subdivide the sorting task

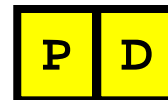
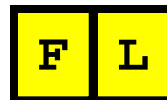
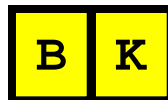
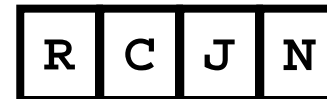
H	E	M	G	B	K	A	Q	F	L	P	D	R	C	J	N
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H	E	M	G	B	K	A	Q	F	L	P	D	R	C	J	N
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

# Subdivide again



# And again



And one last time



**H** **E**

**M** **G**

**B** **K**

**A** **Q**

**F** **L**

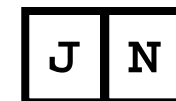
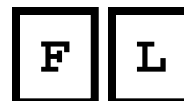
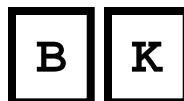
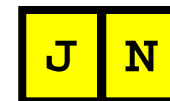
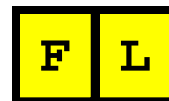
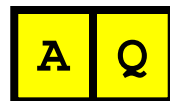
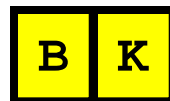
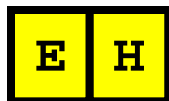
**P** **D**

**R** **C**

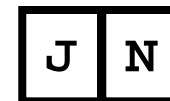
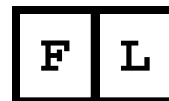
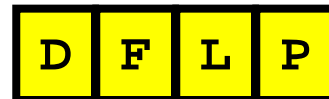
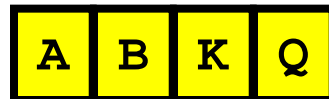
**J** **N**



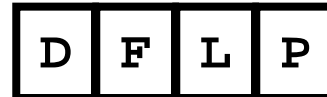
Now merge



# And merge again



And again



And one last time

A	B	C	D	E	F	G	H	J	K	L	M	N	P	Q	R
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A	B	E	G	H	K	M	Q
---	---	---	---	---	---	---	---

C	D	F	J	L	N	P	R
---	---	---	---	---	---	---	---

Done!

A	B	C	D	E	F	G	H	J	K	L	M	N	P	Q	R
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

```

function y = mergeSort(x)
% x is a vector.  y is a vector
% consisting of the values in x
% sorted from smallest to largest.

n = length(x);
if n==1
    y = x;
else
    m = floor(n/2);
    yL = mergeSortL(x(1:m));
    yR = mergeSortR(x(m+1:n));
    y = merge(yL,yR);
end

```

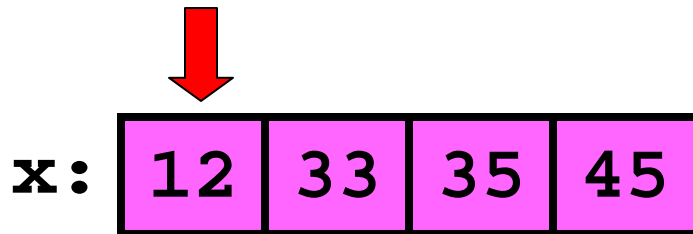
The central sub-problem is the **merging** of two sorted arrays into one single sorted array

12	33	35	45
----	----	----	----

15	42	55	65	75
----	----	----	----	----

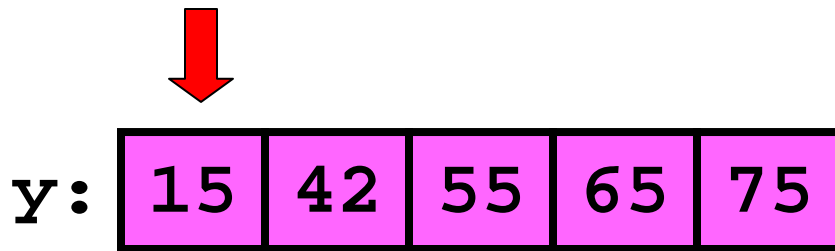
12	15	33	35	42	45	55	65	75
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# Merge



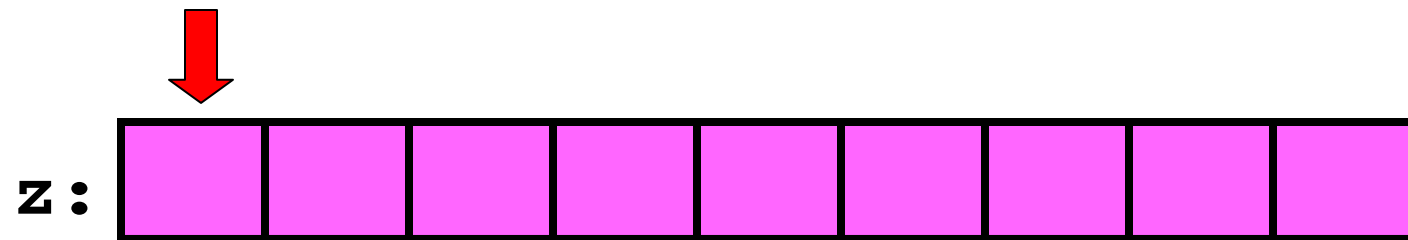
**ix:**

1
---



**iy:**

1
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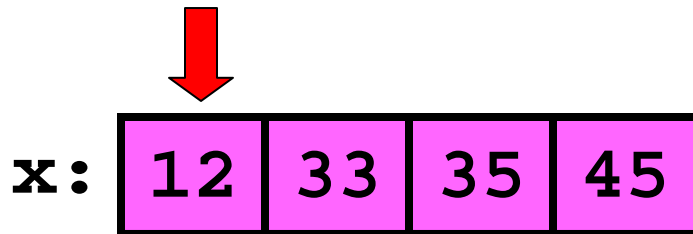
**iz:**

1
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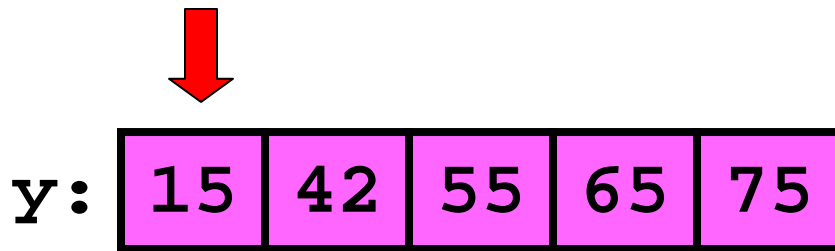
**ix** ≤ 4 and **iy** ≤ 5: **x**(**ix**) ≤ **y**(**iy**) ???



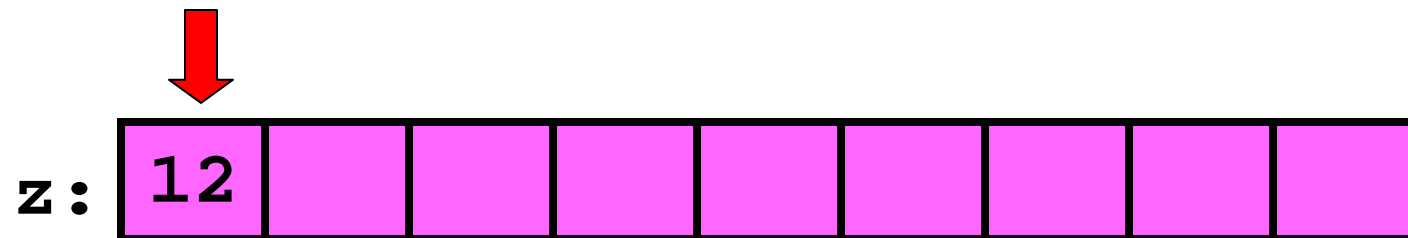
# Merge



**ix:** 1



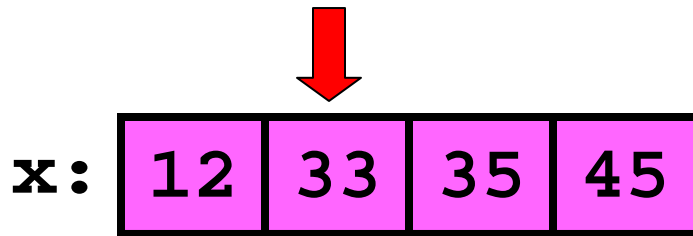
**iy:** 1



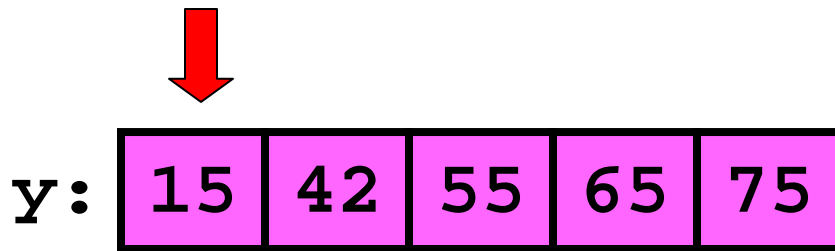
**iz:** 1

**ix** ≤ 4 and **iy** ≤ 5: **x**(**ix**) ≤ **y**(**iy**) **YES**

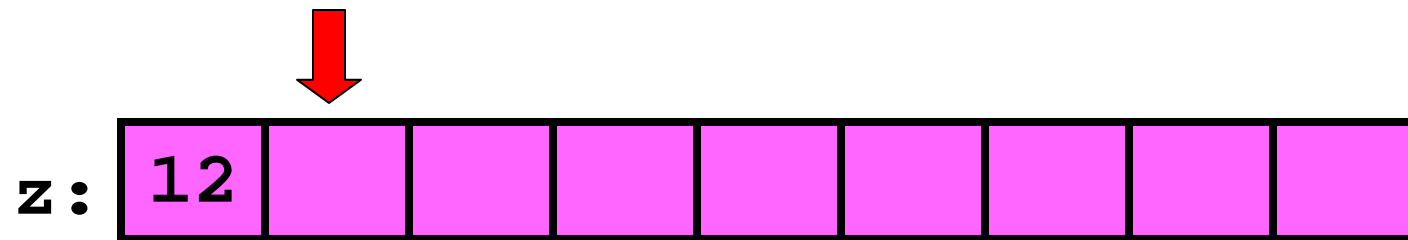
# Merge



ix: 2



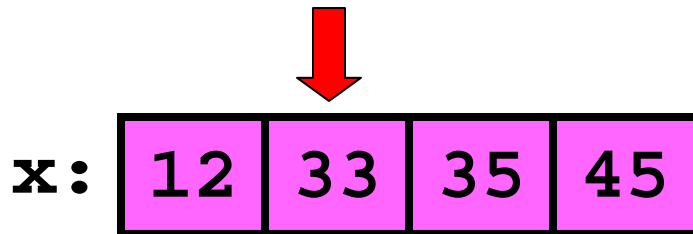
iy: 1



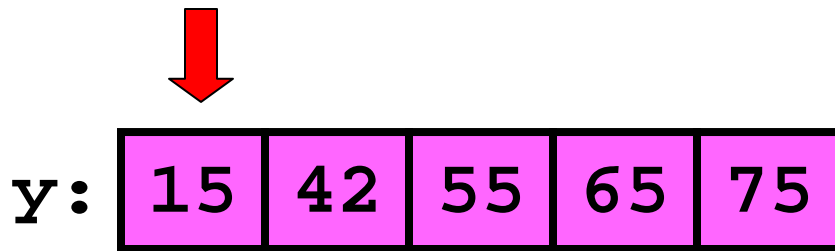
iz: 2

$ix \leq 4$  and  $iy \leq 5$ :  $x[ix] \leq y[iy]$  ???

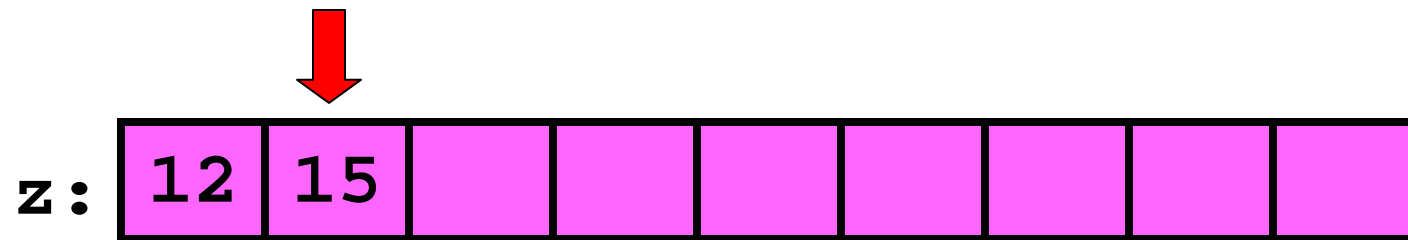
# Merge



ix: 2



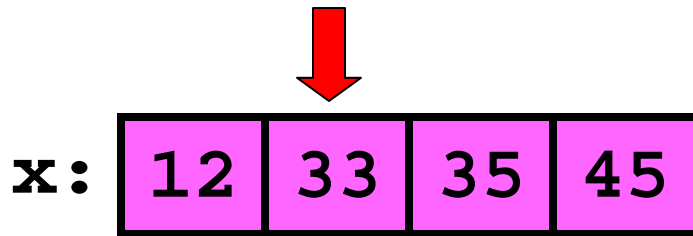
iy: 1



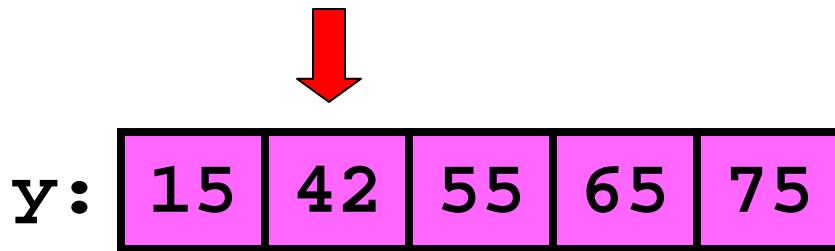
iz: 2

$ix \leq 4$  and  $iy \leq 5$ :  $x(ix) \leq y(iy)$  NO

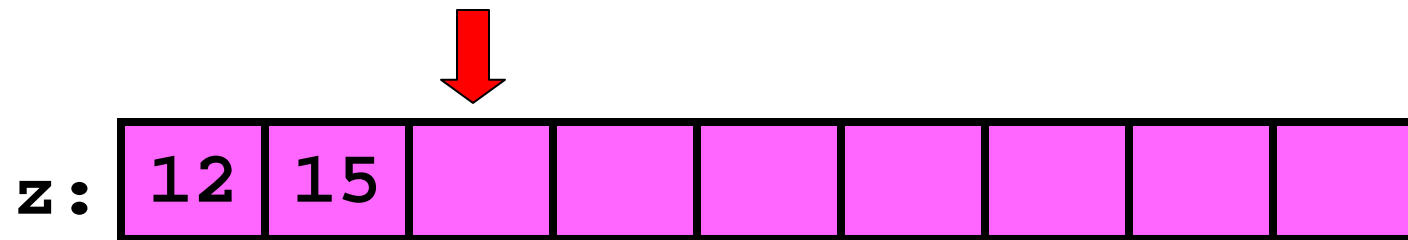
# Merge



**ix:** [ 2 ]



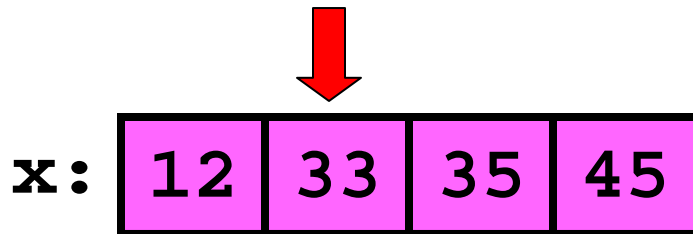
**iy:** [ 2 ]



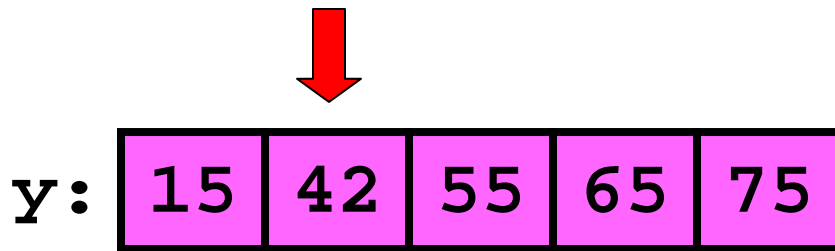
**iz:** [ 3 ]

**ix** ≤ 4 and **iy** ≤ 5: **x**(**ix**) ≤ **y**(**iy**) ???

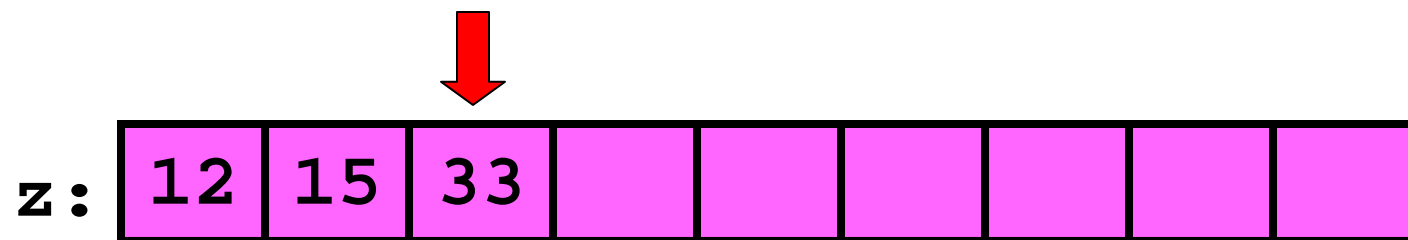
# Merge



ix: [2]



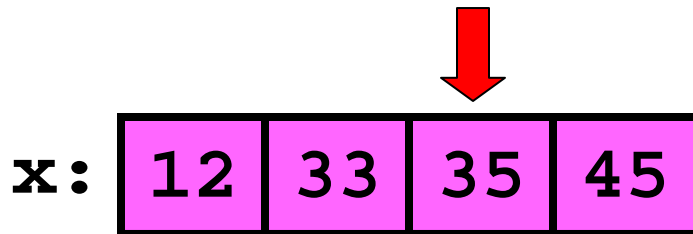
iy: [2]



iz: [3]

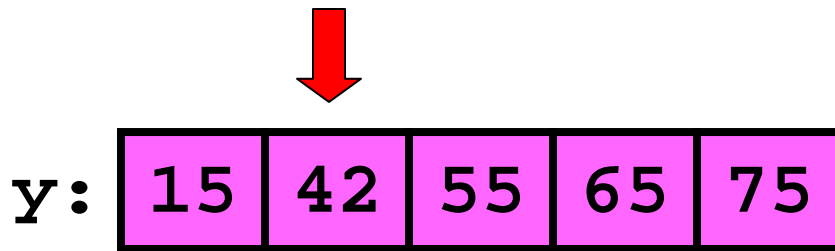
$ix \leq 4$  and  $iy \leq 5$ :  $x(ix) \leq y(iy)$  YES

# Merge



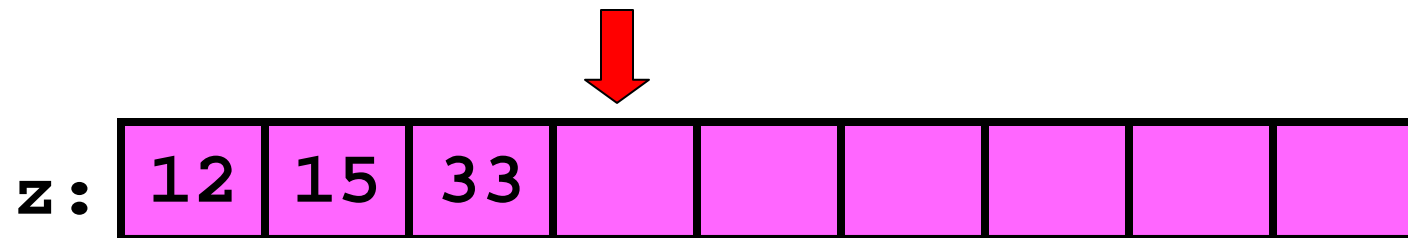
ix: 

3
---



iy: 

2
---

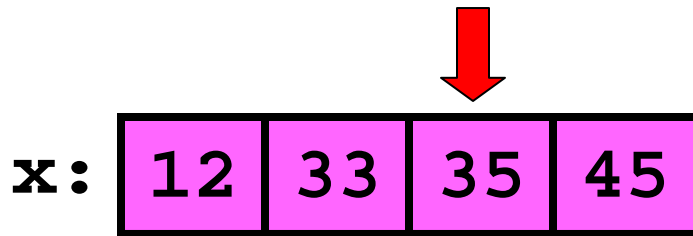


iz: 

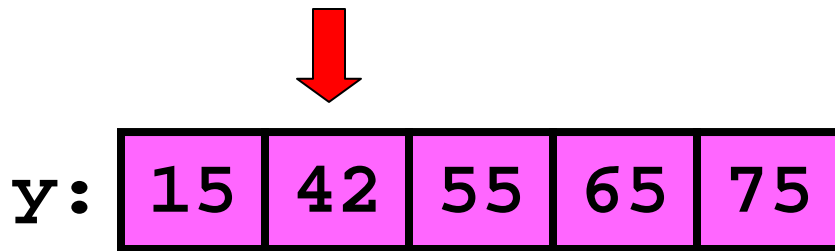
4
---

$ix \leq 4$  and  $iy \leq 5$ :  $x(ix) \leq y(iy)$  ???

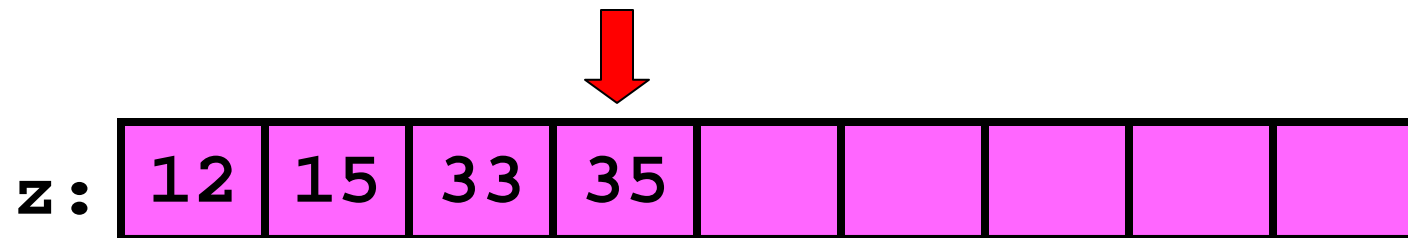
# Merge



ix: [3]



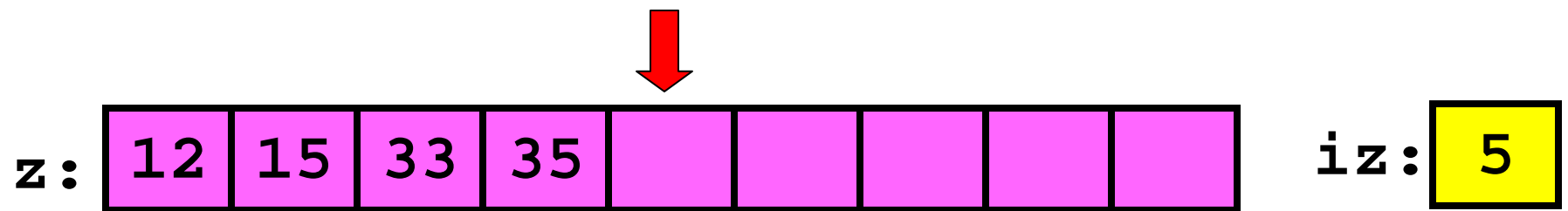
iy: [2]



iz: [4]

$ix \leq 4$  and  $iy \leq 5$ :  $x(ix) \leq y(iy)$  YES

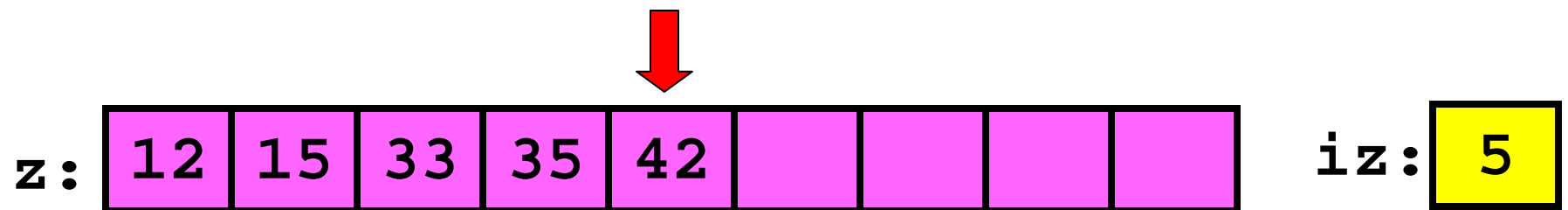
# Merge



$ix \leq 4$  and  $iy \leq 5$ :  $x(ix) \leq y(iy)$  ???

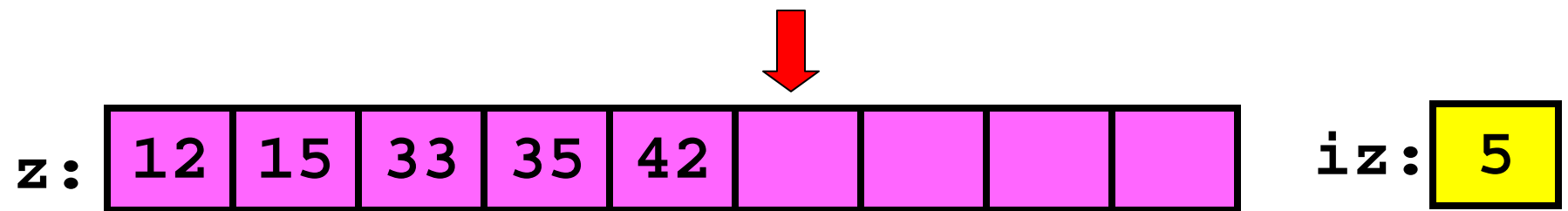


# Merge



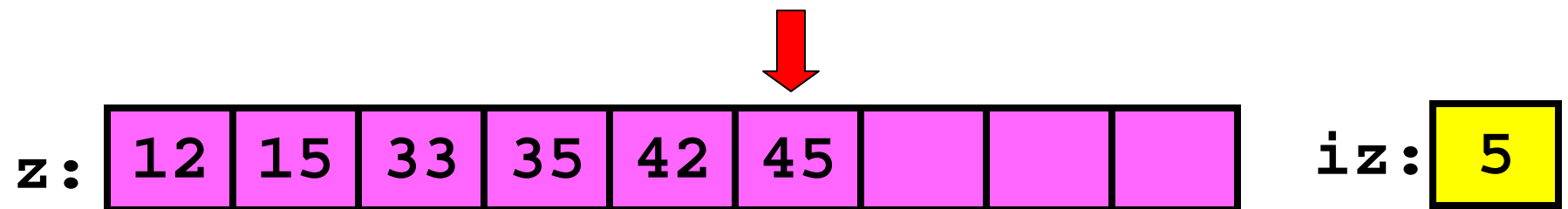
$ix \leq 4$  and  $iy \leq 5$ :  $x(ix) \leq y(iy)$  **NO**

# Merge



$ix \leq 4$  and  $iy \leq 5$ :  $x(ix) \leq y(iy)$  ???

# Merge



$ix \leq 4$  and  $iy \leq 5$ :  $x(ix) \leq y(iy)$  **YES**

Merge



x: 

12	33	35	45
----	----	----	----

ix: 

5
---



y: 

15	42	55	65	75
----	----	----	----	----

iy: 

3
---



z: 

12	15	33	35	42	45			
----	----	----	----	----	----	--	--	--

iz: 

6
---

$ix > 4$

Merge



x: 

12	33	35	45
----	----	----	----

ix: 

5
---



y: 

15	42	55	65	75
----	----	----	----	----

iy: 

3
---



z: 

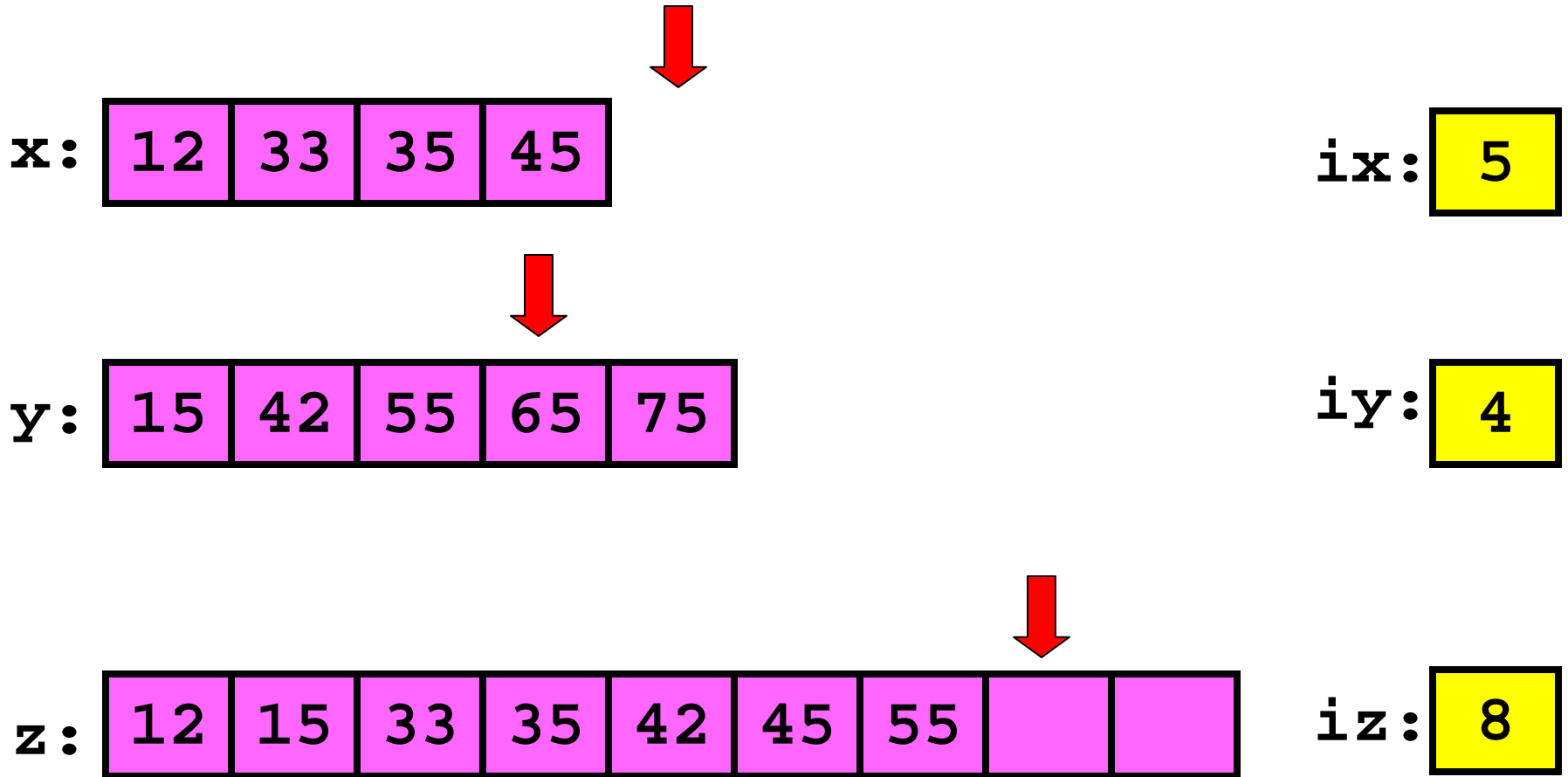
12	15	33	35	42	45	55		
----	----	----	----	----	----	----	--	--

iz: 

6
---

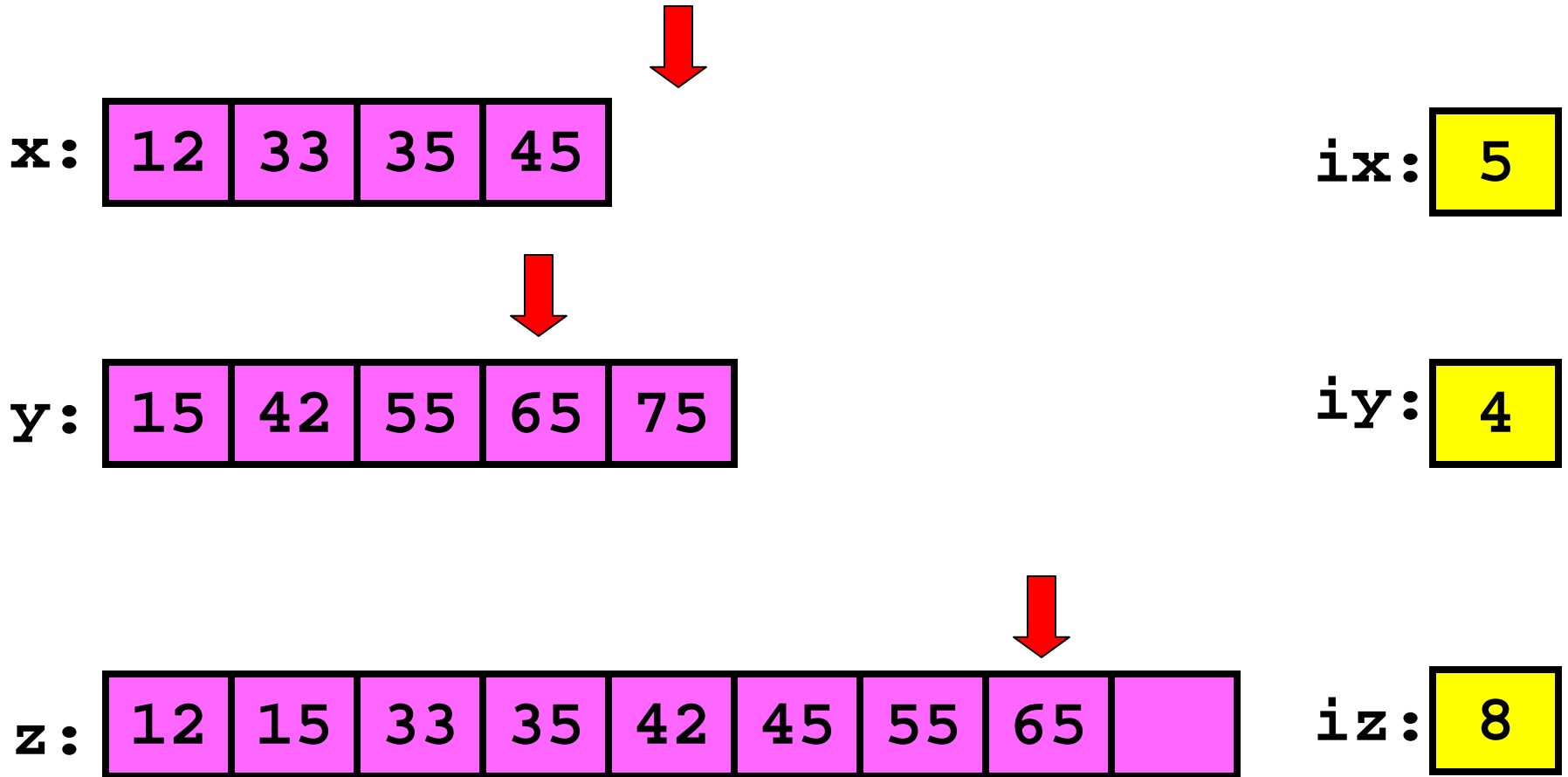
`ix > 4: take y(iy)`

# Merge



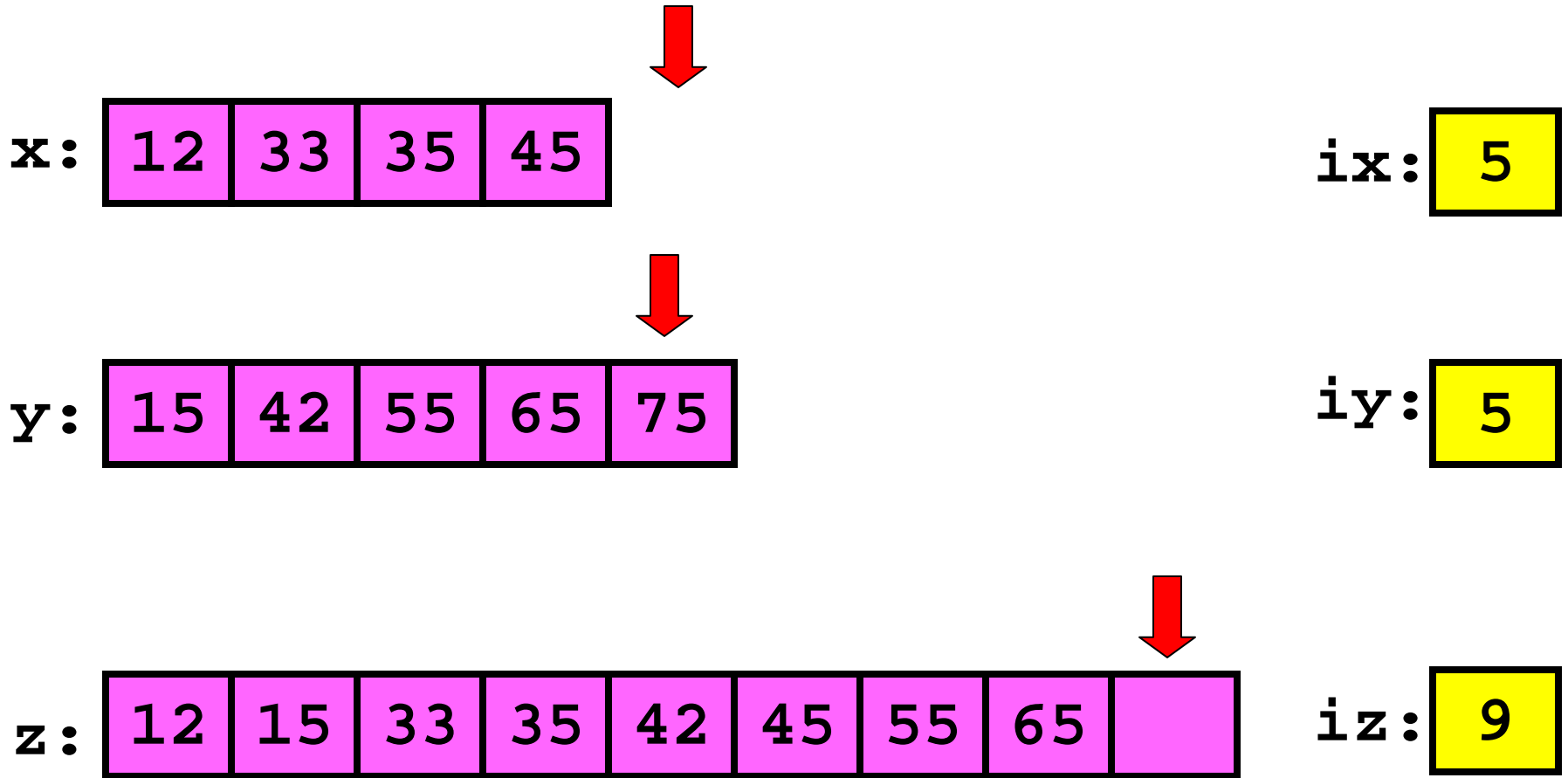
$$iy \leq 5$$

# Merge



$$iy \leq 5$$

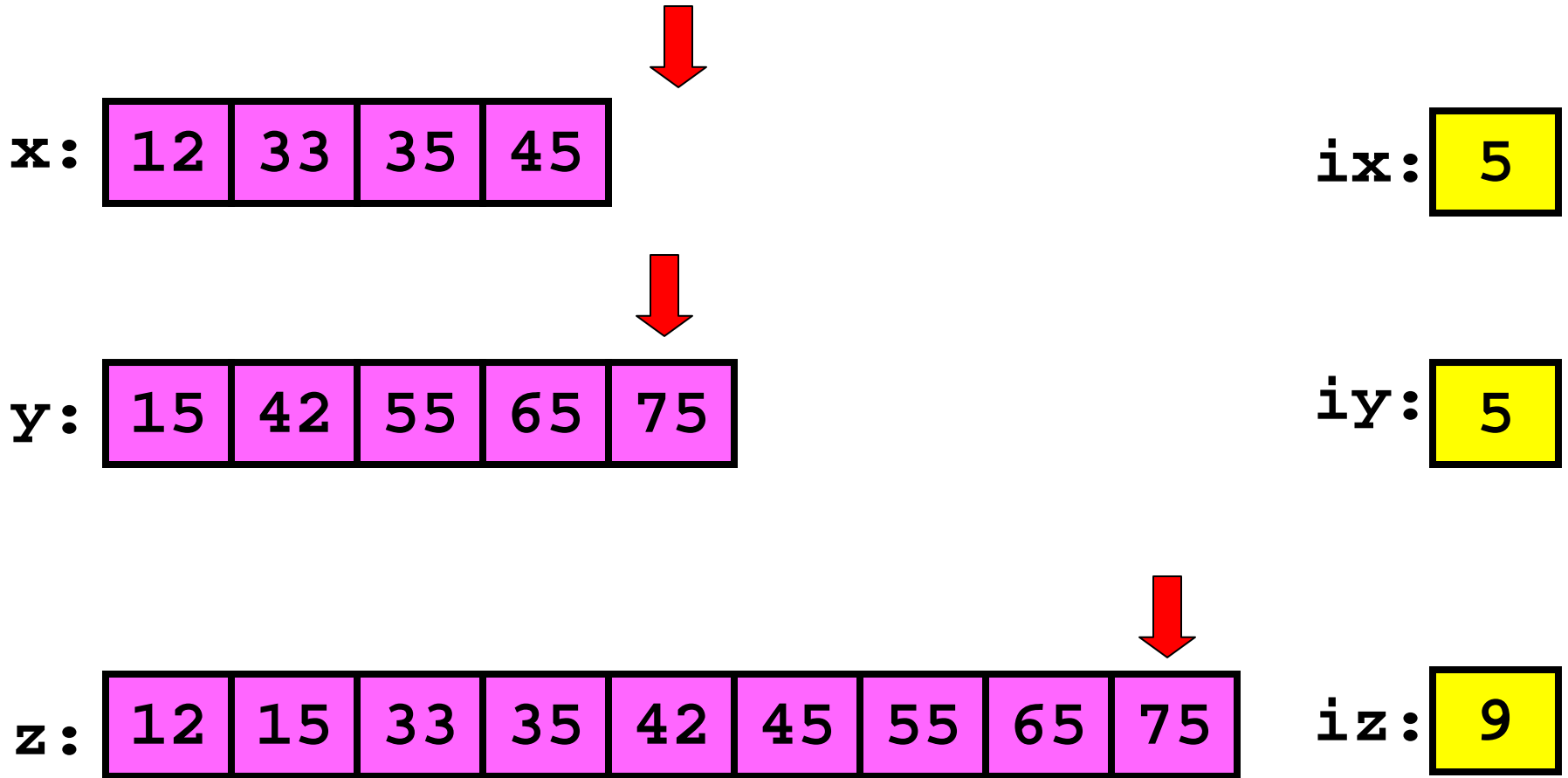
# Merge



$$iy \leq 5$$



# Merge



$$iy \leq 5$$

```
function z = merge(x,y)
nx = length(x); ny = length(y);
z = zeros(1, nx+ny);
ix = 1; iy = 1; iz = 1;
```

```
function z = merge(x,y)
nx = length(x); ny = length(y);
z = zeros(1, nx+ny);
ix = 1; iy = 1; iz = 1;
while ix<=nx && iy<=ny

end
% Deal with remaining values in x or y
```

```
function z = merge(x,y)
nx = length(x); ny = length(y);
z = zeros(1, nx+ny);
ix = 1; iy = 1; iz = 1;
while ix<=nx && iy<=ny
    if x(ix) <= y(iy)
        z(iz)= x(ix); ix=ix+1; iz=iz+1;
    else
        z(iz)= y(iy); iy=iy+1; iz=iz+1;
    end
end
end
% Deal with remaining values in x or y
```

```
function z = merge(x,y)
nx = length(x); ny = length(y);
z = zeros(1, nx+ny);
ix = 1; iy = 1; iz = 1;
while ix<=nx && iy<=ny
    if x(ix) <= y(iy)
        z(iz)= x(ix); ix=ix+1; iz=iz+1;
    else
        z(iz)= y(iy); iy=iy+1; iz=iz+1;
    end
end
while ix<=nx % copy remaining x-values
    z(iz)= x(ix); ix=ix+1; iz=iz+1;
end
while iy<=ny % copy remaining y-values
    z(iz)= y(iy); iy=iy+1; iz=iz+1;
end
```

```

function y = mergeSort(x)
% x is a vector.  y is a vector
% consisting of the values in x
% sorted from smallest to largest.

n = length(x);
if n==1
    y = x;
else
    m = floor(n/2);
    yL = mergeSortL(x(1:m));
    yR = mergeSortR(x(m+1:n));
    y = merge(yL,yR);
end

```

```

function y = mergeSortL(x)
% x is a vector.  y is a vector
% consisting of the values in x
% sorted from smallest to largest.

n = length(x);
if n==1
    y = x;
else
    m = floor(n/2);
    yL = mergeSortL_L(x(1:m));
    yR = mergeSortL_R(x(m+1:n));
    y = merge(yL,yR);
end

```

```

function y = mergeSortL_L(x)
% x is a vector.  y is a vector
% consisting of the values in x
% sorted from smallest to largest.

n = length(x);
if n==1
    y = x;
else
    m = floor(n/2);
    yL = mergeSortL_L_L(x(1:m));
    yR = mergeSortL_L_R(x(m+1:n));
    y = merge(yL,yR);
end

```



```

function y = mergeSort(x)
% x is a vector.  y is a vector
% consisting of the values in x
% sorted from smallest to largest.

n = length(x);
if n==1
    y = x;
else
    m = floor(n/2);
    yL = mergeSort(x(1:m));
    yR = mergeSort(x(m+1:n));
    y = merge(yL,yR);
end

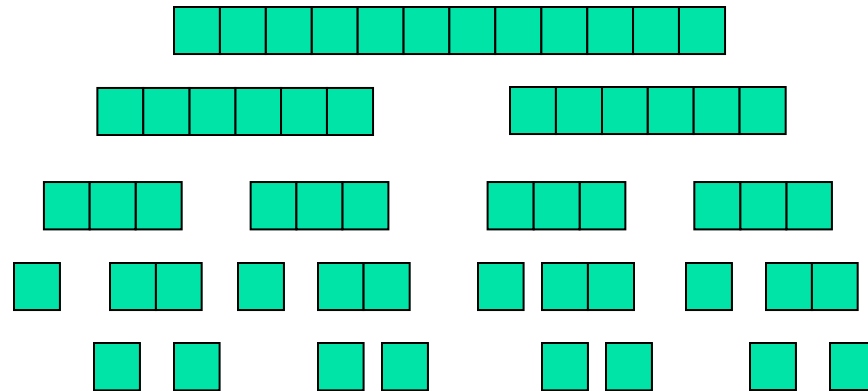
```

```
function y=mergeSort(x)
n=length(x);
if n==1
    y=x;
else
    m=floor(n/2);
    yL=mergeSort(x(1:m));
    yR=mergeSort(x(m+1:n));
    y=merge(yL,yR);
end
```

```

function y=mergeSort(x)
n=length(x);
if n==1
    y=x;
else
    m=floor(n/2);
    yL=mergeSort(x(1:m));
    yR=mergeSort(x(m+1:n));
    y=merge(yL,yR);
end

```



```
function y = mergeSort(x)
% x is a vector.  y is a vector
% consisting of the values in x
% sorted from smallest to largest.
```

```
n = length(x);
if n==1
    y = x;
else
    m = floor(n/2);
    y1 = mergeSort(x(1:m));
    y2 = mergeSort(x(m+1:n));
    y = merge(y1,y2);
end
```

Trace mergeSort with numbers in section. More recursion next lecture.