

- Previous Lecture:
  - Linear Search
  - Bubble Sort, Insertion Sort
- Today's Lecture:
  - "Divide and conquer" strategies
    - Binary search
    - Merge sort
    - Recursion
- Announcements:
  - Discussion this week in classrooms (today and up to 1:10pm tomorrow). **Attendance** this week is optional, but the **posted exercise is required!**
  - Prelim 3 will be returned at end of lecture. If your paper isn't here, pick it up from CS1112 consultants in ACCEL during consulting hrs (today 4-10pm; consulting resumes Mon 4pm)
  - Project 6 due Dec 2<sup>nd</sup>. Part 1 posted; Part 2 to be posted today. **Can wait until next Tues lecture to do Part 2.2, but do Part 1 and Part 2.1 by next Tues!**

### Other efficiency considerations

- Worst case, best case, average case
  - Use of subfunction incurs an "overhead"
  - Memory use and access
- 
- Example: Rather than directing the *insert* process to a subfunction, have it done "**in-line**."
  - Also, Insertion sort can be done "**in-place**," i.e., using "only" the memory space of the original vector.

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```
function x = insertSort(x)
% Sort vector x in ascending order with insertion sort

n = length(x);
for i = 1:n-1
    % Sort x(1:i+1) given that x(1:i) is sorted
    j = i;
    need2swap = x(j+1) < x(j);
    while need2swap
        % swap x(j+1) and x(j)
        temp = x(j);
        x(j) = x(j+1);
        x(j+1) = temp;

        j = j-1;
        need2swap = j>0 && x(j+1)<x(j);
    end
end
```

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### An ordered (sorted) list

The Manhattan phone book has 1,000,000+ entries.

How is it possible to locate a name by examining just a tiny, tiny fraction of those entries?

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### Key idea of "phone book search": repeated halving

To find the page containing **Pat Reed**'s number...

```
while (Phone book is longer than 1 page)
    Open to the middle page.
    if "Reed" comes before the first entry,
        Rip and throw away the 2nd half.
    else
        Rip and throw away the 1st half.
    end
end
```

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### What happens to the phone book length?

Original:	3000 pages
After 1 rip:	1500 pages
After 2 rips:	750 pages
After 3 rips:	375 pages
After 4 rips:	188 pages
After 5 rips:	94 pages
:	
After 12 rips:	1 page

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## Binary Search

Repeatedly halving the size of the “search space” is the main idea behind the method of **binary search**.

An item in a sorted array of length  $n$  can be located with just  $\log_2 n$  comparisons.

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```
% Linear Search
% f is index of first occurrence of value x in vector v.
% f is -1 if x not found.
k= 1;
while k<=length(v) && v(k)~=x
    k= k + 1;
end
if k>length(v)
    f= -1; % signal for x not found
else
    f= k;
end
```

$n$  comparisons against the target are needed in worst case,  $n=\text{length}(v)$ .

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## Binary Search

Repeatedly halving the size of the “search space” is the main idea behind the method of **binary search**.

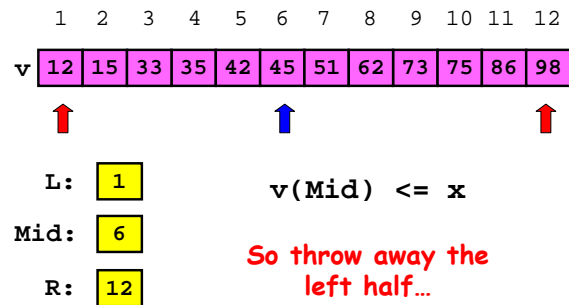
An item in a sorted array of length  $n$  can be located with just  $\log_2 n$  comparisons.

“Savings” is significant!

$n$	$\log_2(n)$
100	7
1000	10
10000	13

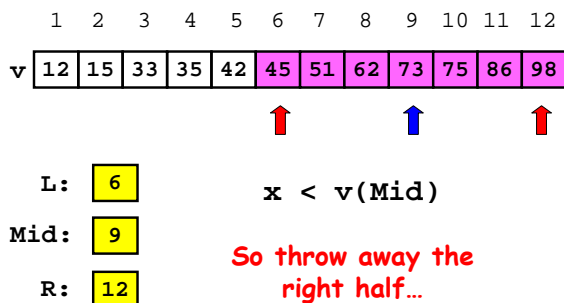
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Binary search: target  $x = 70$ 

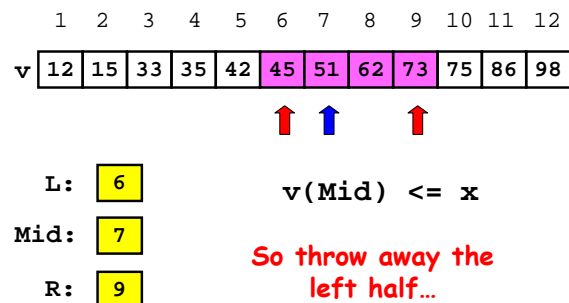
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Binary search: target  $x = 70$ 

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Binary search: target  $x = 70$ 

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Binary search: target  $x = 70$

	1	2	3	4	5	6	7	8	9	10	11	12
v	12	15	33	35	42	45	51	62	73	75	86	98

↑
↑
↑

L: 7  
 Mid: 8  
 R: 9

$v(\text{Mid}) \leq x$

So throw away the left half...

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Binary search: target  $x = 70$

	1	2	3	4	5	6	7	8	9	10	11	12
v	12	15	33	35	42	45	51	62	73	75	86	98

↑
↑

L: 8  
 Mid: 8  
 R: 9

Done because  
 $R - L = 1$

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```

function L = binarySearch(x, v)
% Find position after which to insert x. v(1)<...<v(end).
% L is the index such that v(L) <= x < v(L+1);
% L=0 if x<v(1). If x>v(end), L=length(v) but x~=v(L).

% Maintain a search window [L..R] such that v(L)<=x<v(R).
% Since x may not be in v, initially set ...
L=0; R=length(v)+1;

% Keep halving [L..R] until R-L is 1,
% always keeping v(L) <= x < v(R)
while R ~= L+1
    m= floor((L+R)/2); % middle of search window
    if
        %
    else
        %
    end
end
end
  
```

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Binary search is efficient, but how do we sort a vector in the first place so that we can use binary search?

- Many different algorithms out there...
  - We saw bubble sort and insertion sort
  - Let's look at **merge sort**
  - An example of the “divide and conquer” approach
  - We'll compare their efficiency later
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### Merge sort: Motivation

If I have two helpers, I'd...

- Give each helper half the array to sort
- Then I get back the sorted subarrays and **merge** them.

What if those two helpers  
each had two sub-helpers?

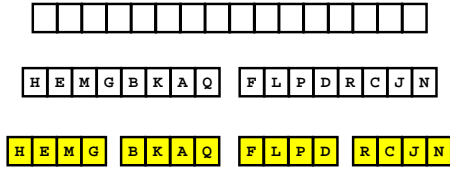
And the sub-helpers each had  
two sub-sub-helpers? And...

### Subdivide the sorting task

H	E	M	G	B	K	A	Q	F	L	P	D	R	C	J	N
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

H	E	M	G	B	K	A	Q	F	L	P	D	R	C	J	N
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

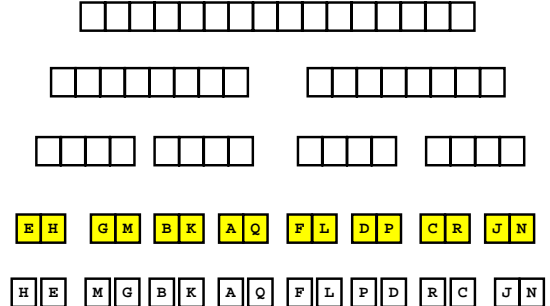
### Subdivide again



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### Now merge



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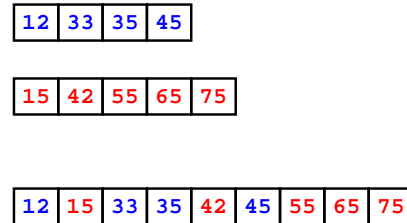
```
function y = mergeSort(x)
% x is a vector. y is a vector
% consisting of the values in x
% sorted from smallest to largest.

n = length(x);
if n==1
    y = x;
else
    m = floor(n/2);
    yL = mergeSortL(x(1:m));
    yR = mergeSortR(x(m+1:n));
    y = merge(yL,yR);
end
```

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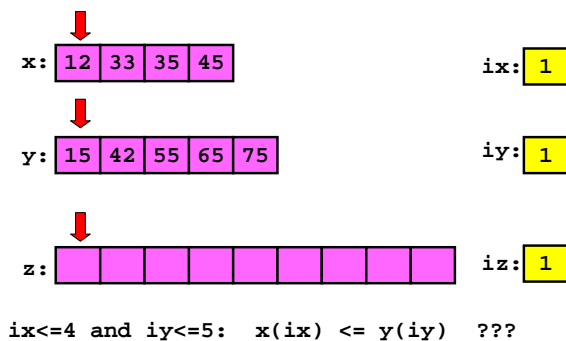
The central sub-problem is the **merging** of two sorted arrays into one single sorted array



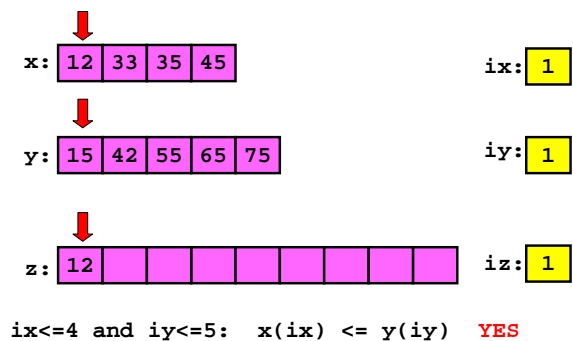
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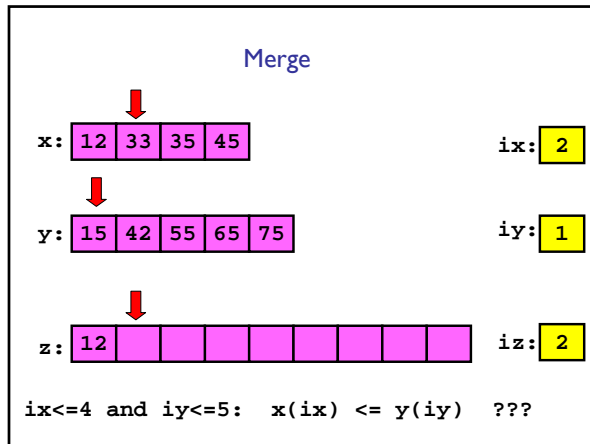
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### Merge



### Merge





```
function z = merge(x,y)
nx = length(x); ny = length(y);
z = zeros(1, nx+ny);
ix = 1; iy = 1; iz = 1;
while ix <= nx && iy <= ny

end
% Deal with remaining values in x or y
```

```
function y = mergeSort(x)
% x is a vector. y is a vector
% consisting of the values in x
% sorted from smallest to largest.
```

```
n = length(x);
if n==1
    y = x;
else
    m = floor(n/2);
    yL = mergeSortL(x(1:m));
    yR = mergeSortR(x(m+1:n));
    y = merge(yL,yR);
end
```

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```
function y = mergeSortL(x)
% x is a vector. y is a vector
% consisting of the values in x
% sorted from smallest to largest.
```

```
n = length(x);
if n==1
    y = x;
else
    m = floor(n/2);
    yL = mergeSortL_L(x(1:m));
    yR = mergeSortL_R(x(m+1:n));
    y = merge(yL,yR);
end
```

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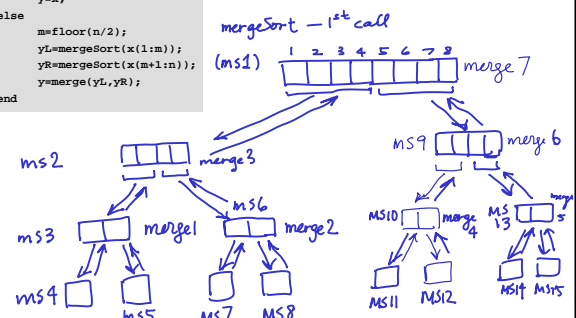
```
function y = mergeSort(x)
% x is a vector. y is a vector
% consisting of the values in x
% sorted from smallest to largest.
```

```
n = length(x);
if n==1
    y = x;
else
    m = floor(n/2);
    yL = mergeSort(x(1:m));
    yR = mergeSort(x(m+1:n));
    y = merge(yL,yR);
end
```

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```
function y=mergeSort(x)
n=length(x);
if n==1
    y=x;
else
    m=floor(n/2);
    yL=mergeSort(x(1:m));
    yR=mergeSort(x(m+1:n));
    y=merge(yL,yR);
end
```



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