

Recall Our Problem

- Both insertion, selection sort are **nested loops**
 - Outer loop** over each element to sort
 - Inner loop** to put next element in place
 - Each loop is n steps. $n \times n = n^2$
- To do better we must *eliminate* a loop
 - But how do we do that?
 - What is like a loop? **Recursion!**
 - First need an *intermediate* algorithm

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The Partition Algorithm

- Given a list segment $b[h..k]$ with some value x in $b[h]$:

Start: b

h	x	?	k
-----	-----	---	-----

- Swap elements of $b[h..k]$ to get this answer

Goal: b

h	$\leq x$	x	$\geq x$	k
-----	----------	-----	----------	-----

change: b

h	3	5	4	1	6	2	3	8	1	k
-----	---	---	---	---	---	---	---	---	---	-----

into b

h	1	2	1	3	5	4	6	3	8	k
-----	---	---	---	---	---	---	---	---	---	-----

or b

h	1	2	3	1	3	4	5	6	8	k
-----	---	---	---	---	---	---	---	---	---	-----

- x is called the **pivot value**

- x is not a program variable

- denotes value initially in $b[h]$

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Designing the Partition Algorithm

- Given a list $b[h..k]$ with some value x in $b[h]$:

Start: b

h	x	?	k
-----	-----	---	-----

- Swap elements of $b[h..k]$ to get this answer

Goal: b

h	$\leq x$	x	$\geq x$	k
-----	----------	-----	----------	-----

In-Progress: b

h	$\leq x$	x	?	j	$\geq x$	k
-----	----------	-----	---	-----	----------	-----

Indices b, h important!
Might partition only part

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Implementing the Partition Algorithm

```
def partition(b, h, k):
    """Partition list b[h..k] around a pivot x = b[h]"""
    i = h; j = k+1; x = b[h]

    while i < j-1:
        if b[i+1] >= x:
            # Move to end of block.
            swap(b, i+1, j-1)
            j = j - 1
        else: # b[i+1] < x
            swap(b, i+1)
            i = i + 1

    return i
```

partition(b,h,k), not partition(b[h:k+1])
Remember, slicing always copies the list!
We want to partition the **original** list

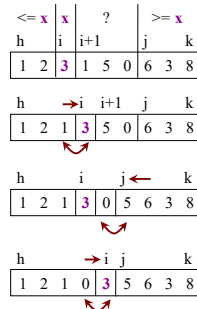
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Partition Algorithm Implementation

```
def partition(b, h, k):
    """Partition list b[h..k] around a pivot x = b[h]"""
    i = h; j = k+1; x = b[h]

    while i < j-1:
        if b[i+1] >= x:
            # Move to end of block.
            swap(b, i+1, j-1)
            j = j - 1
        else: # b[i+1] < x
            swap(b, i+1)
            i = i + 1

    return i
```



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Why is this Useful?

- Will use this algorithm to replace inner loop
 - The inner loop cost us n swaps every time
- Can this reduce the number of swaps?
 - Worst case is $k-h$ swaps
 - This is n if partitioning the whole list
 - But less if only partitioning part
- Idea:** Break up list and partition only part?
 - This is **Divide-and-Conquer!**

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Sorting with Partitions

- Given a list segment $b[h..k]$ with some value x in $b[h]$:

Start: b $\begin{array}{|c|c|c|} \hline x & & ? \\ \hline \end{array}$ $h \quad \quad \quad k$

- Swap elements of $b[h..k]$ to get this answer

Goal: b $\begin{array}{|c|c|c|c|c|} \hline & \leq y & y & \geq y & x \\ \hline \end{array}$ $h \quad \quad \quad i \quad i+1 \quad \quad \quad k$

Partition Recursively

Recursive partitions = sorting

- Called **QuickSort** (why???)
- Popular, fast sorting technique

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QuickSort

```
def quick_sort(b, h, k):
    """Sort the array fragment b[h..k]"""
    if b[h..k] has fewer than 2 elements:
        return
    j = partition(b, h, k)
    # b[h..j-1] <= b[j] <= b[j+1..k]
    # Sort b[h..j-1] and b[j+1..k]
    quick_sort(b, h, j-1)
    quick_sort(b, j+1, k)
```

- Worst Case:**
 - array already sorted
 - Or almost sorted
 - n^2 in that case
- Average Case:**
 - array is scrambled
 - $n \log n$ in that case
 - Best sorting time!

pre: b $\begin{array}{|c|c|c|} \hline x & & ? \\ \hline \end{array}$ $h \quad \quad \quad k$
 post: b $\begin{array}{|c|c|c|} \hline \leq x & x & \geq x \\ \hline \end{array}$ $h \quad \quad \quad i \quad i+1 \quad \quad \quad k$

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So Does that Solve It?

- Worst case still seems bad! Still n^2
 - But only happens in small number of cases
 - Just happens that case is common (already sorted)
- Can greatly reduce issue with randomization
 - Swap start with random element in list
 - Now pivot is random and already sorted unlikely

Start: b $\begin{array}{|c|c|c|c|} \hline x & ? & y & ? \\ \hline \end{array}$ $h \quad \quad \quad i \quad \quad \quad k$

↔

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Can We Do Better?

- Recursion seems to be the solution
 - Partitioned the list into two halves
 - Recursively sorted each half
- How about a traditional **divide-and-conquer**?
 - Divide** the list into two halves
 - Recursively sort** the two halves
 - Combine** the two sort halves
- How do we do the last step?

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Merge Sort

```
def merge_sort(b, h, k):
    """Sort the array fragment b[h..k]"""
    if b[h..k] has fewer than 2 elements:
        return
    # Divide and recurse
    mid = (h+k)//2
    merge_sort(b, h, mid)
    merge_sort(b, mid+1, k)
    # Combine
    merge(b, h, mid, k) # Merge halves into b
```

- Seems simpler than **qsort**
 - Straight-forward d&c
 - Merge easy to implement
- What is the **catch**?
 - Merge requires a **copy**
 - We did not allow copies
 - Copying takes $O(n)$ time
 - But so does merge/partition
- $n \log n$ **ALWAYS**

Proof beyond scope of course

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What Does Python Use?

- The `sort()` method is **Timsort**
 - Invented by Tim Peters in 2002
 - Combination of insertion sort and merge sort
- Why a combination of the two?
 - Merge sort requires copies of the data
 - Copying pays off for large lists, but not small lists
 - Insertion sort is not that slow on small lists
 - Balancing two properly still gives $n \log n$

Quicksort is 1959!

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