

Lecture 25

Searching & Sorting

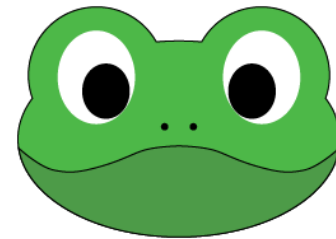
Announcements for This Lecture

Prelim 2

- **Prelim, Dec 4 at 7:30**
 - See webpage for rooms
 - Review **Wed Dec. 1 (TBA)**
- **Material up to Nov. 18**
 - Recursion + Loops + Classes
 - Does **not** include GUIs
 - Study guide is now posted
- **Conflict with Prelim?**
 - Use Prelim 2 Conflict

Assignments

- **A6** still not graded
 - Will be done after break
 - Autograder is less reliable
- **A7** is due **Monday Dec. 8**
 - Extensions are possible
 - Work on it during lab



Linear Search

```
def linear_search(v,b):  
    """Returns: first occurrence of v in b (-1 if not found)  
    Precond: b a list of number, v a number  
    """  
  
    # Loop variable  
    i = 0  
    while i < len(b) and b[i] != v:  
        i = i + 1  
  
    if i == len(b): # not found  
        return -1  
    return i
```

How many entries do
we have to look at?

Linear Search

```
def linear_search(v,b):
```

```
    """Returns: first occurrence of v in b (-1 if not found)
```

```
    Precond: b a list of number, v a number
```

```
    """
```

```
    # Loop variable
```

```
    i = 0
```

```
    while i < len(b) and b[i] != v:
```

```
        i = i + 1
```

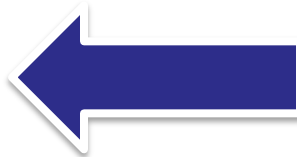
```
    if i == len(b): # not found
```

```
        return -1
```

```
    return i
```

How many entries do
we have to look at?

All of them!



Linear Search

```
def linear_search(v,b):
```

```
    """Returns: last occurrence of v in b (-1 if not found)
```

```
    Precond: b a list of number, v a number
```

```
    """
```

```
    # Loop variable
```

```
    i = len(b)-1
```

```
    while i >= 0 and b[i] != v:
```

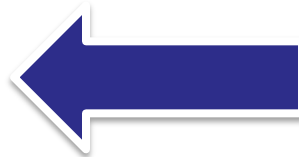
```
        | i = i - 1
```

```
    # Equals -1 if not found
```

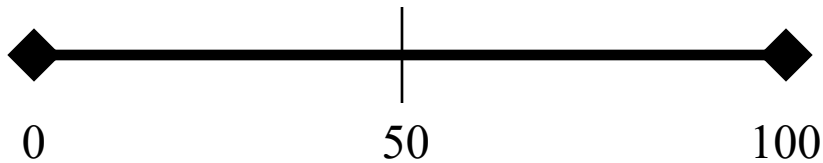
```
    return i
```

How many entries do
we have to look at?

All of them!

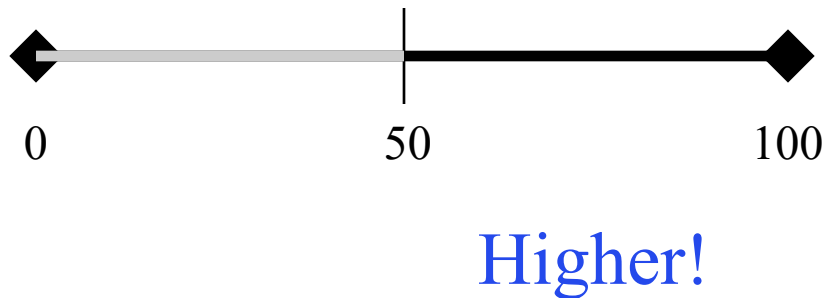


Is There a Better Way?



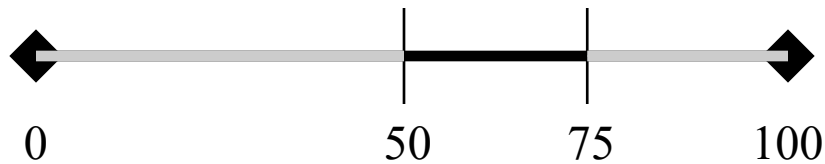
- Thinking of number 0..100
 - You get to guess number
 - I tell you higher or lower
 - Continue until get it right
- **Goal:** Keep # guesses low
 - Use my answers to help
- **Strategy?**
 - Start guess in the middle
 - Answer eliminates half
 - Go to middle of remaining

Is There a Better Way?



- Thinking of number 0..100
 - You get to guess number
 - I tell you higher or lower
 - Continue until get it right
- **Goal:** Keep # guesses low
 - Use my answers to help
- **Strategy?**
 - Start guess in the middle
 - Answer eliminates half
 - Go to middle of remaining

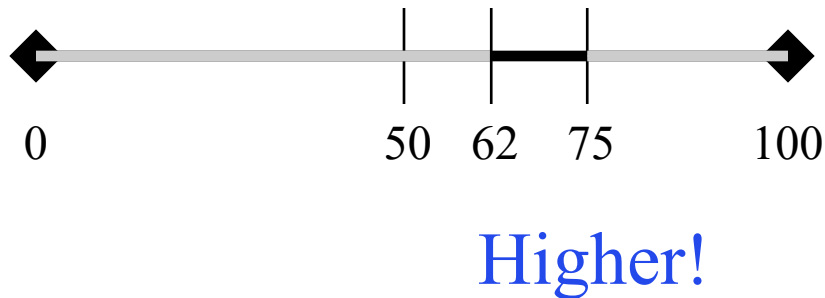
Is There a Better Way?



Lower!

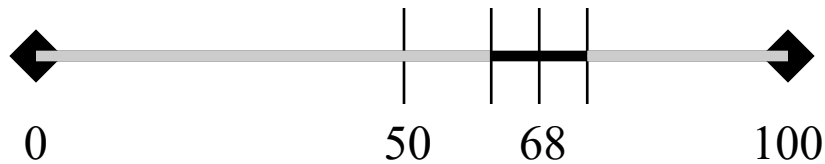
- Thinking of number 0..100
 - You get to guess number
 - I tell you higher or lower
 - Continue until get it right
- **Goal:** Keep # guesses low
 - Use my answers to help
- **Strategy?**
 - Start guess in the middle
 - Answer eliminates half
 - Go to middle of remaining

Is There a Better Way?



- Thinking of number 0..100
 - You get to guess number
 - I tell you higher or lower
 - Continue until get it right
- **Goal:** Keep # guesses low
 - Use my answers to help
- **Strategy?**
 - Start guess in the middle
 - Answer eliminates half
 - Go to middle of remaining

Is There a Better Way?



Correct!

- Thinking of number 0..100
 - You get to guess number
 - I tell you higher or lower
 - Continue until get it right
- **Goal:** Keep # guesses low
 - Use my answers to help
- **Strategy?**
 - Start guess in the middle
 - Answer eliminates half
 - Go to middle of remaining

Binary Search

```
def binary_search(v,b):  
    # Loop variable(s)  
    i = 0, j = len(b)  
    while i < j and b[i] != v:  
        mid = (i+j)//2  
        if b[mid] < v:  
            i = mid+1  
        elif b[mid] > v:  
            j = mid  
        else:  
            return mid  
    return -1 # not found
```

Requires that the
data is sorted!

But few checks!

Observation About Sorting

- Sorting data can speed up searching
 - Sorting takes time, but do it once
 - Afterwards, can search many times
- Not just searching. Also speeds up
 - Duplicate elimination in data sets
 - Data compression
 - Physics computations in computer games
- Why it is a major area of computer science

The Sorting Challenge

- **Given:** A list of numbers
- **Goal:** Sort those numbers using only
 - Iteration (while-loops or for-loops)
 - Comparisons ($<$ or $>$)
 - Assignment statements
- Why? For proper **analysis**.
 - Methods/functions come with hidden costs
 - Everything above has no hidden costs
 - Each comparison or assignment is “1 step”

This Requires Some Notation

- As the list is sorted...
 - Part of the list **will** be sorted
 - Part of the list will **not** be sorted
- Need a way to refer to portions of the list
 - Notation to refer to sorted/unsorted parts
- And have to do it **without** slicing!
 - Slicing makes a **copy**
 - Want to sort original list, not a copy

This Requires Some Notation

- As the list is sorted...
 - Part of the list **will** be sorted
 - Part of the list will **not** be sorted
- Need a way to specify a **subset** of the list
 - Notation: **list[start:end]**
- And have to do it **without** slicing!
 - Slicing makes a **copy**
 - Want to sort original list, not a copy

But we will be less formal
than in previous years!

Range Notation

- $m..n$ is a range containing $n+1-m$ values
 - $2..5$ contains 2, 3, 4, 5. Contains $5+1 - 2 = 4$ values
 - $2..4$ contains 2, 3, 4. Contains $4+1 - 2 = 3$ values
 - $2..3$ contains 2, 3. Contains $3+1 - 2 = 2$ values
 - $2..2$ contains 2. Contains $2+1 - 2 = 1$ values
 - $2..1$ contains ???
- The notation $m..n$, always implies that $m \leq n+1$
 - So you can assume that even if we do not say it
 - If $m = n+1$, the range has 0 values

Range Notation

- $m..n$ is a range containing $n+1-m$ values

- $2..5$ contains 2, 3, 4, 5.

Contains $5+1-2=4$ values

- $2..4$ contains 2, 3, 4.

Contains $4+1-2=3$ values

- $2..3$ contains 2, 3.

Contains $3+1-2=2$ values

- $2..2$ contains 2.

Contains $2+1-2=1$ value

- $2..1$ contains ???

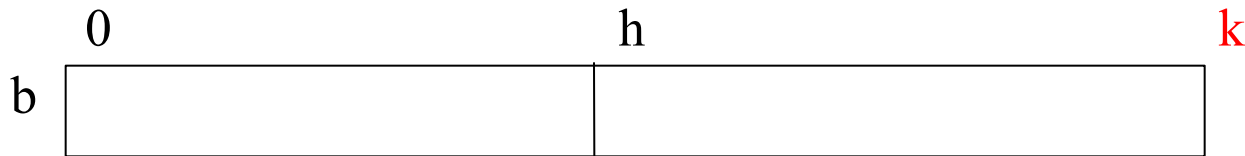
Contains $1+1-2=0$ values

Not the same
as `range(m,n)`

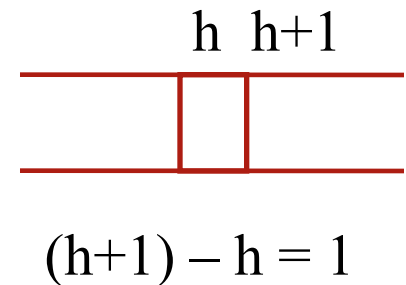
- The notation $m..n$, always implies that $m \leq n+1$
 - So you can assume that even if we do not say it
 - If $m = n+1$, the range has 0 values

Horizontal Notation

- Want a pictorial way to visualize this sorting
 - Represent the list as long rectangle
 - We saw this idea in divide-and-conquer

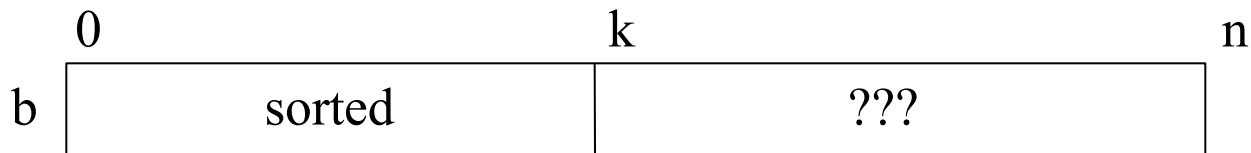


- Do **not** show individual boxes
 - Just dividing lines between regions
 - Label dividing lines with indices
 - But index is either left or right of dividing line



Horizontal Notation

- Label regions with properties
 - **Example:** Sorted or ???



- $b[0..k-1]$ is sorted
 - $b[k..n-1]$ **unknown** (might be sorted)
- Picture allows us to track progress

Start: b

Goal: b

In-Progress: b

Insertion Sort

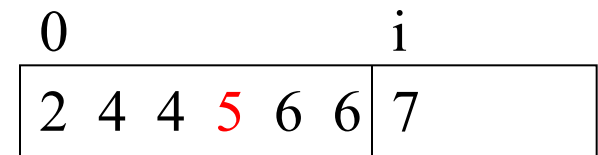
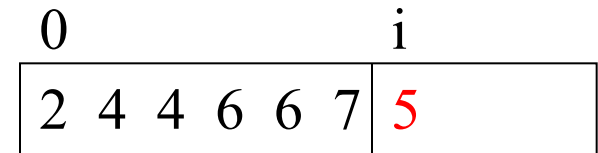
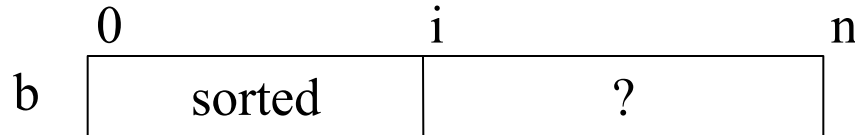
$i = 0$

while $i < n$:

Push $b[i]$ down into its

sorted position in $b[0..i]$

$i = i + 1$



Remember the restrictions!

Insertion Sort: Moving into Position

```
i = 0
```

```
while i < n:
```

```
    push_down(b,i)
```

```
    i = i+1
```

```
def push_down(b, i):
```

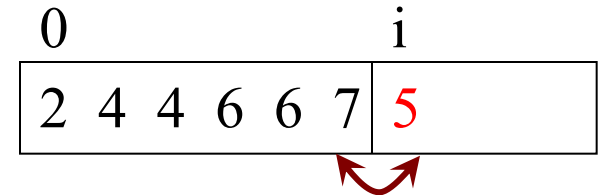
```
    j = i
```

```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```



swap shown in the
lecture about lists

Insertion Sort: Moving into Position

```
i = 0
```

```
while i < n:
```

```
    push_down(b,i)
```

```
    i = i+1
```

```
def push_down(b, i):
```

```
    j = i
```

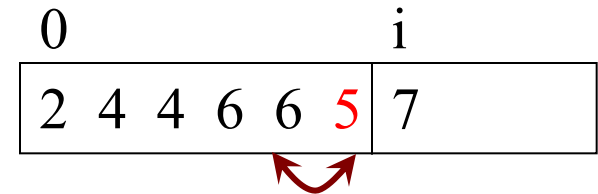
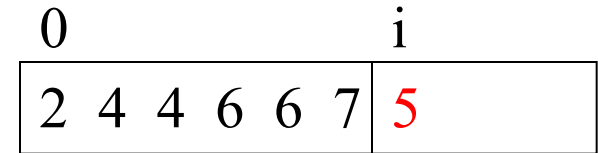
```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```

swap shown in the
lecture about lists



Insertion Sort: Moving into Position

```
i = 0
```

```
while i < n:
```

```
    push_down(b,i)
```

```
    i = i+1
```

```
def push_down(b, i):
```

```
    j = i
```

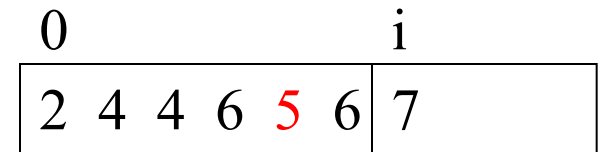
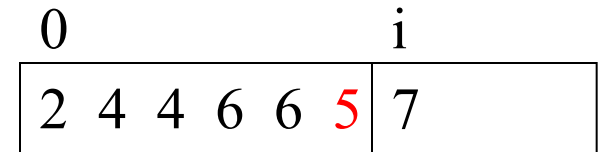
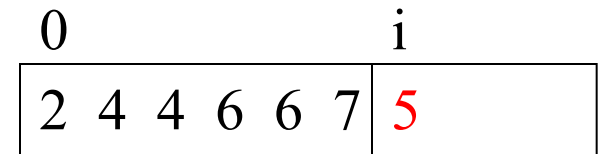
```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```

swap shown in the
lecture about lists



Insertion Sort: Moving into Position

```
i = 0
```

```
while i < n:
```

```
    push_down(b,i)
```

```
    i = i+1
```

```
def push_down(b, i):
```

```
    j = i
```

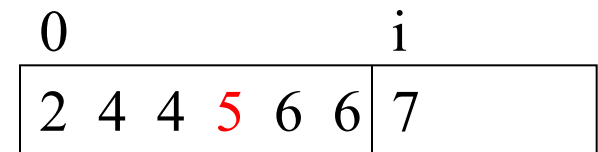
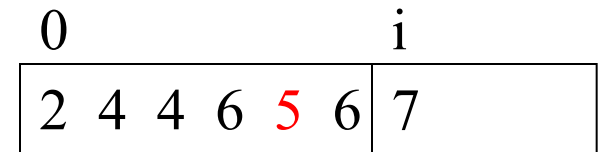
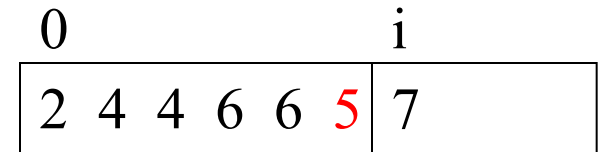
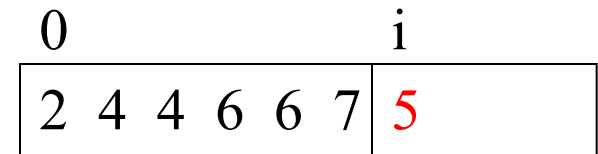
```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```

swap shown in the
lecture about lists



The Importance of Helper Functions

```
i = 0
while i < n:
    push_down(b,i)
    i = i+1

def push_down(b, i):
    j = i
    while j > 0:
        if b[j-1] > b[j]:
            swap(b,j-1,j)
        j = j-1
```

VS

```
i = 0
while i < n:
    j = i
    while j > 0:
        if b[j-1] > b[j]:
            temp = b[j]
            b[j] = b[j-1]
            b[j-1] = temp
        j = j - 1
    i = i + 1
```

Can you understand
all this code below?

Measuring Performance

- Performance is a tricky thing to measure
 - Different computers run at different speeds
 - Memory also has a major effect as well
- Need an independent way to measure
 - Measure in terms of “basic steps”
 - **Example:** Searching counted # of checks
- For sorting, we measure in terms of **swaps**
 - Three assignment statements
 - Present in all sorting algorithms

Insertion Sort: Performance

```
def push_down(b, i):
```

```
    """Push value at position i into  
    sorted position in b[0..i-1]"""
```

```
    j = i
```

```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b, j-1, j)
```

```
            j = j-1
```

- $b[0..i-1]$: i elements
- Worst case:
 - $i = 0$: 0 swaps
 - $i = 1$: 1 swap
 - $i = 2$: 2 swaps
- Pushdown is in a loop
 - Called for i in $0..n$
 - i swaps each time

Total Swaps: $0 + 1 + 2 + 3 + \dots (n-1) = (n-1)*n/2 = (n^2-n)/2$

Insertion Sort: Performance

```
def push_down(b, i):
```

```
    """Push value at position i into  
    sorted position in b[0..i-1]"""
```

```
    j = i
```

```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b, j-1, j)
```

```
            j = j-1
```

- $b[0..i-1]$: i elements
- Worst case:
 - $i = 0$: 0 swaps
 - $i = 1$: 1 swap
 - $i = 2$: 2 swaps
- Pushdown is in a loop
 - Called for i in $0..n$
 - i swaps each time

Insertion sort is
an n^2 algorithm

Total Swaps: $0 + 1 + 2 + 3 + \dots (n-1) = (n-1)*n/2 = (n^2-n)/2$

Algorithm “Complexity”

- **Given:** a list of length n and a problem to solve
- **Complexity:** *rough* number of steps to solve worst case
- Suppose we can compute 1000 operations a second:

Complexity	$n=10$	$n=100$	$n=1000$
$\log n$	0.003 s	0.006 s	0.01 s
n	0.01 s	0.1 s	1 s
$n \log n$	0.016 s	0.32 s	4.79 s
n^2	0.1 s	10 s	16.7 m
n^3	1 s	16.7 m	11.6 d
2^n	1 s	4×10^{19} y	3×10^{290} y

Algorithm “Complexity”

- **Given:** a list of length n and a problem to solve
- **Complexity:** *rough* number of steps to solve worst case
- Suppose we can compute 1000 operations a second:

Complexity	n=10	n=100	n=1000
$\log n$	Binary Search	0.006 s	0.01 s
n	Linear Search	0.1 s	1 s
$n \log n$	0.016 s	0.32 s	4.79 s
n^2	Insertion Sort	10 s	16.7 m
n^3	1 s	16.7 m	11.6 d
2^n	1 s	4×10^{19} y	3×10^{290} y

Algorithm “Complexity”

- **Given:** a list of length n and a problem to solve
- **Complexity:** *rough* number of steps to solve worst case
- Suppose we can compute 1000 operations a second:

Complexity	$n=10$	$n=100$	$n=1000$
$\log n$			0.01 s
n			1 s
$n \log n$			4.79 s
n^2			16.7 m
n^3	1 s	16.7 m	11.6 d
2^n	1 s	4×10^{19} y	3×10^{290} y

Major Topic in 2110:
Beyond scope of this course

Insertion Sort is Not Great

- Typically n^2 is okay, but not great
 - Will perform horribly on large data
 - Very bad when performance critical (games)
- We would like to do better than this
 - Can we get n swaps (**no**)?
 - How about $n \log n$ (**maybe**)
- This will require a new algorithm
 - Let's return to horizontal notation

A New Algorithm

Start: b 0 n

?

Goal: b 0 n

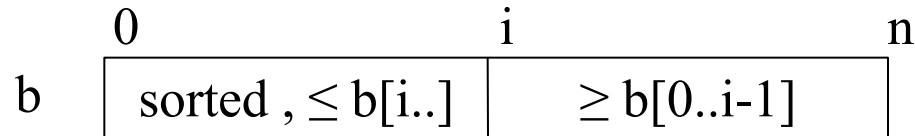
sorted

In-Progress: b 0 i n

sorted, $\leq b[i..]$	$\geq b[0..i-1]$
-----------------------	------------------

First segment always
contains smaller values

Selection Sort



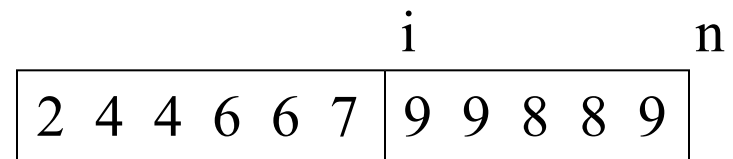
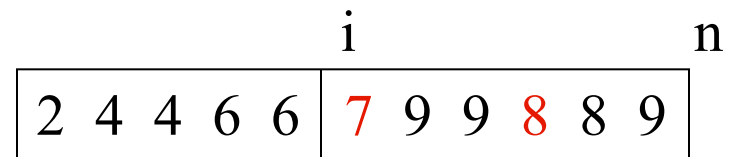
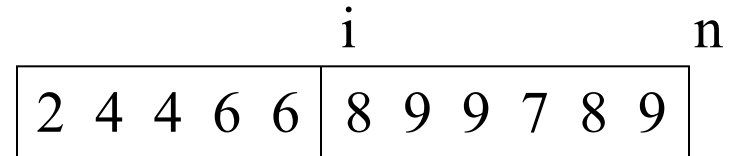
$i = 0$

while $i < n$:

Find minimum in $b[i..]$

Move it to position i

$i = i + 1$



Remember the restrictions!

Selection Sort

How fast is this?

`i = 0`

`while i < n:`

`j = index of min of b[i..n-1]`

`swap(b,i,j)`

`i = i+1`

						i					n
2	4	4	6	6		8	9	9	7	8	9

						i					n
2	4	4	6	6		7	9	9	8	8	9

							i					n
2	4	4	6	6	7		9	9	8	8	9	

Selection Sort

How fast is this?

$i = 0$

while $i < n$:

$j = \text{index of min of } b[i..n-1]$

$\text{swap}(b, i, j)$

$i = i + 1$

A: $\log n$ (Binary Search)

B: n (Linear Search)

C: $n \log n$ (?????)

D: n^2 (Insertion Sort)

E: I have no clue

Selection Sort

This is also n^2 !

$i = 0$

while $i < n$:

$j = \text{index of min of } b[i..n-1]$

 swap(b, i, j)

$i = i + 1$

This is n steps

					i							n
2	4	4	6	6	8	9	9	7	8	9		

					i						n
2	4	4	6	6	7	9	9	8	8	9	

							i				n
2	4	4	6	6	7		9	9	8	8	9

What is the Problem?

- Both insertion, selection sort are **nested loops**
 - **Outer loop** over each element to sort
 - **Inner loop** to put next element in place
 - Each loop is n steps. $n \times n = n^2$
- To do better we must *eliminate* a loop
 - But how do we do that?
 - What is like a loop? **Recursion!**
 - Will see how to do this next lecture