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# Lecture 23: More Algorithms for Sorting

CS 1110

Introduction to Computing Using Python

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# Announcements

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Next Tuesday:

- Lecture is a review session.
- There will be no post-lecture office hours.

Course Staff also hosting additional review sessions (possibly during study days).

Announcements forthcoming.

# Search Algorithms

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Recall from last lecture:

- Searching for data is a common task
  - **Linear search:** on the order of  $n$ 
    - input doubles? → work **doubles!**
  - **Binary search:** on the order of  $\log_2 n$ 
    - input doubles? → work **increases by just 1 unit!**
    - BUT data needs to be sorted...
- **Sorting** data now suddenly interesting...

# Sorting Algorithms

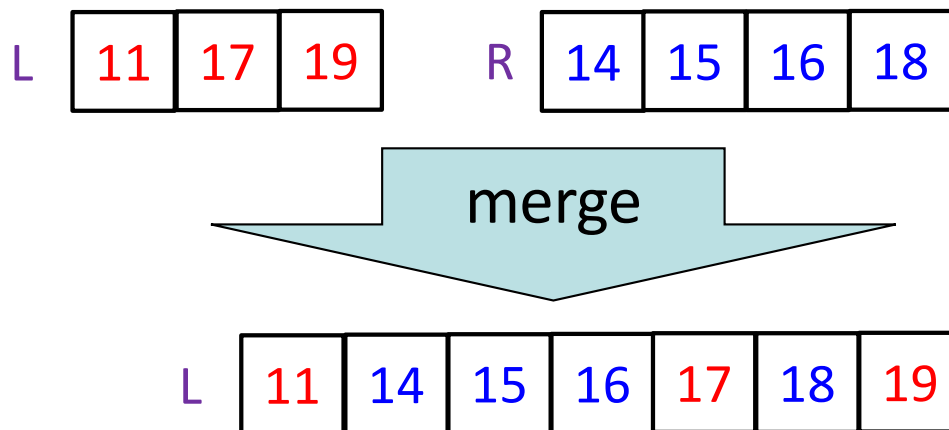
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- Sorting data is a common task
  - **Insertion sort:** on the order of  $n^2$ 
    - input doubles? → work **quadruples!** (yikes)
- Today's topic:
  - **Merge sort:** *can we do better than Insertion Sort?*

# Which algorithm does Python's sort use?

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- Recursive algorithm that runs much faster than insertion sort for the same size list (when the size is big)!
- A variant of an algorithm called “merge sort”
- Based on the idea that sorting is hard, but “merging” two *already sorted* lists is easy.



# Merge sort: Motivation

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Since merging is easier than sorting, if I had two helpers, I'd...

- Give each helper half the array to sort
- Then I get back their sorted subarrays and **merge** them.

What if those two helpers each had two sub-helpers?

And the sub-helpers each had two sub-sub-helpers? And...

# Subdivide the sorting task

---

H	E	M	G	B	K	A	Q	F	L	P	D	R	C	J	N
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

H	E	M	G	B	K	A	Q
---	---	---	---	---	---	---	---

F	L	P	D	R	C	J	N
---	---	---	---	---	---	---	---

# Subdivide again

---



H E M G B K A Q

F L P D R C J N

H E M G

B K A Q

F L P D

R C J N



# And again

---



H E M G

B K A Q

F L P D

R C J N

H E

M G

B K

A Q

F L

P D

R C

J N

# And one last time

---



H E

M G

B K

A Q

F L

P D

R C

J N

H E

M G

B K

A Q

F L

P D

R C

J N

# Now merge

---



E H

G M

B K

A Q

F L

D P

C R

J N

H E

M G

B K

A Q

F L

P D

R C

J N

# And merge again

---



E G H M

A B K Q

D F L P

C J N R

E H

G M

B K

A Q

F L

D P

C R

J N

# And again

---



# And one last time

---

A	B	C	D	E	F	G	H	J	K	L	M	N	P	Q	R
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

A	B	E	G	H	K	M	Q
---	---	---	---	---	---	---	---

C	D	F	J	L	N	P	R
---	---	---	---	---	---	---	---

# Done!

---

A	B	C	D	E	F	G	H	J	K	L	M	N	P	Q	R
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

```
def mergeSort(li):
    """Sort list li using Merge Sort"""
    if len(li) > 1:
        # Divide into two parts
        mid= len(li)//2
        left= li[:mid]
        right= li[mid:]

        # Recursive calls
        mergeSort(left)
        mergeSort(right)

        # Merge left & right back to li
        ???

    # base case does nothing!
    # a list with len 0 or 1 is sorted!
```



The central sub-problem is the **merging** of two sorted lists into one single sorted list

12	33	35	45
----	----	----	----

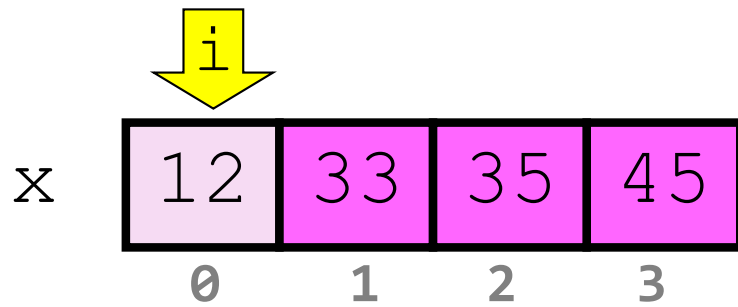
15	42	55	65	75
----	----	----	----	----

12	15	33	35	42	45	55	65	75
----	----	----	----	----	----	----	----	----

**Approach:**  
keep comparing the smallest element of first list with smallest element of second list.

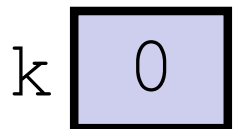
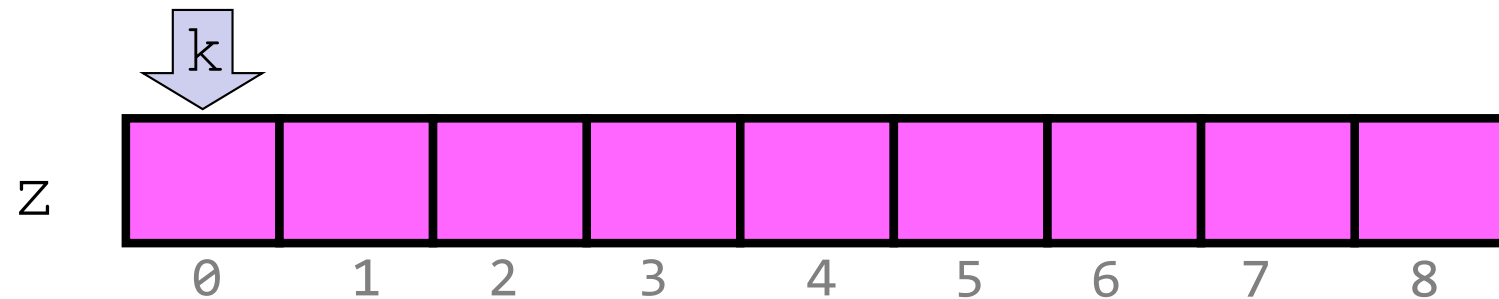
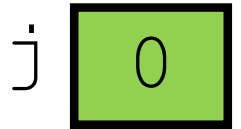
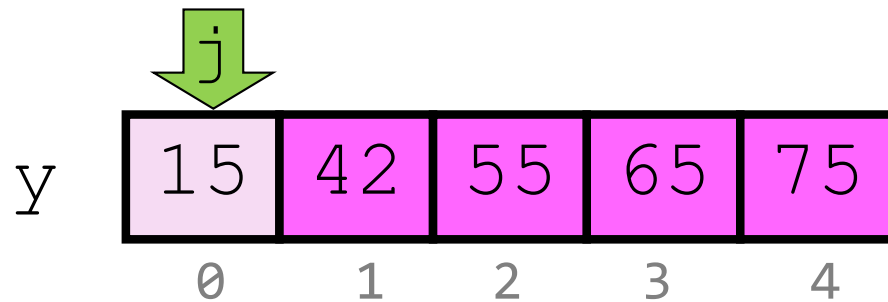
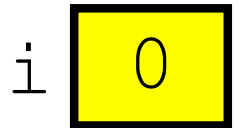
# How to Merge

as long as both  $x$  and  $y$   
have unprocessed elements



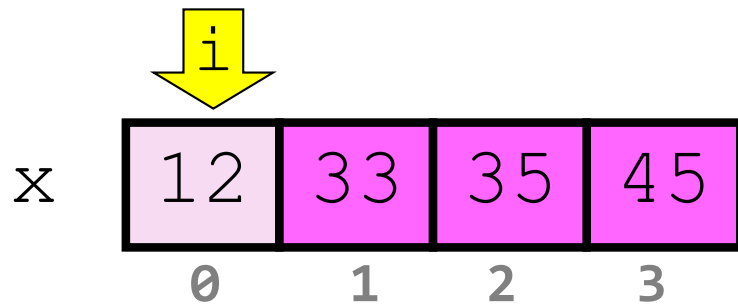
$x[i] \leq y[j] ?$

Yes!



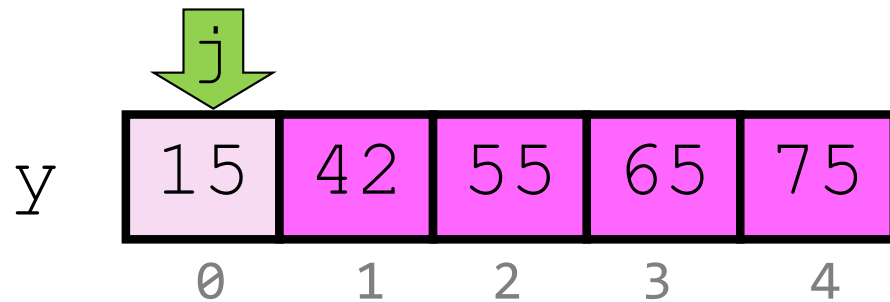
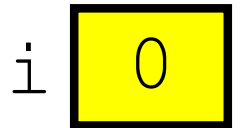
# How to Merge

as long as both  $x$  and  $y$   
have unprocessed elements

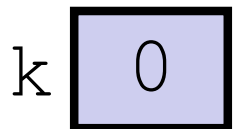
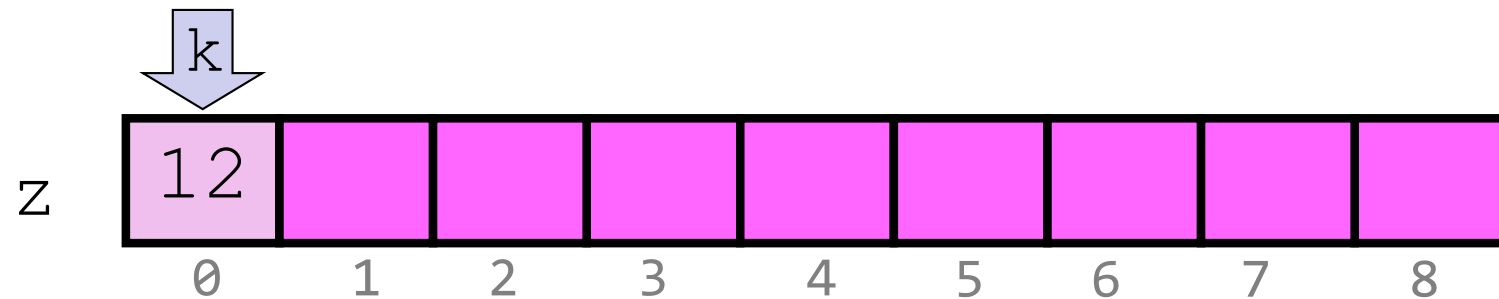
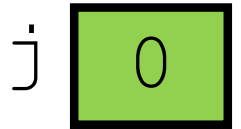


$x[i] \leq y[j] ?$

Yes!

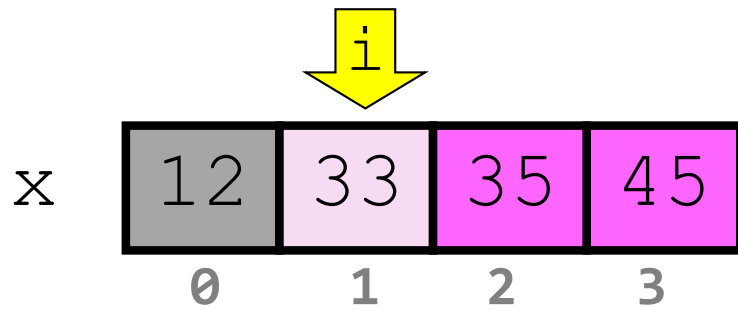


copy  $x[i]$  to  $z$



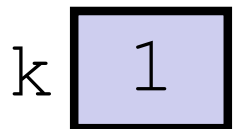
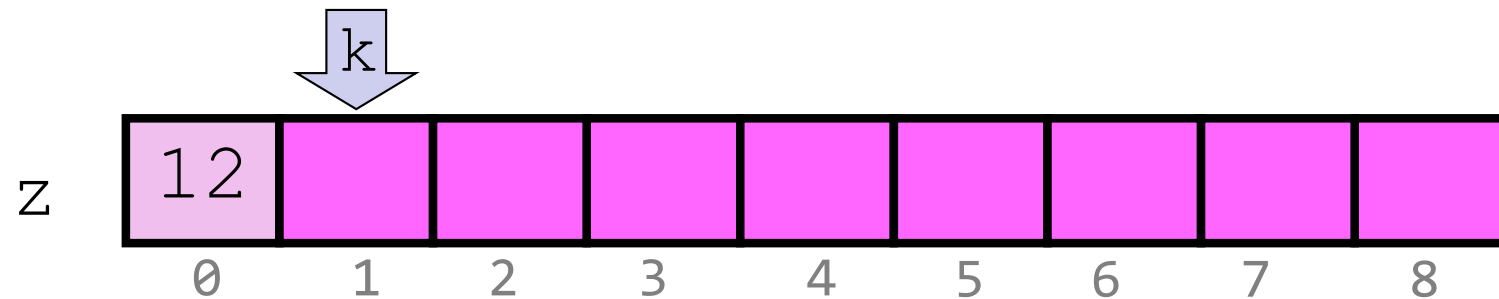
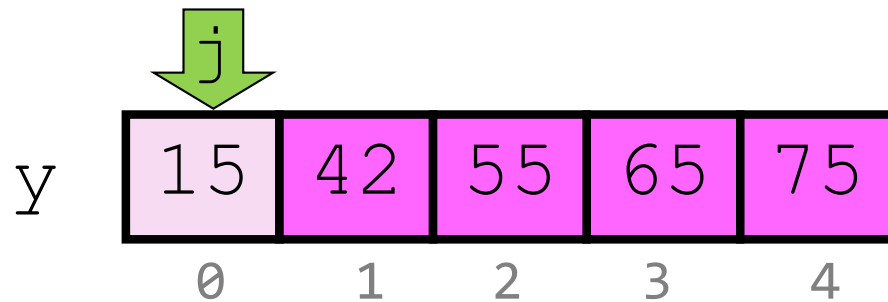
# How to Merge

as long as both  $x$  and  $y$  have unprocessed elements



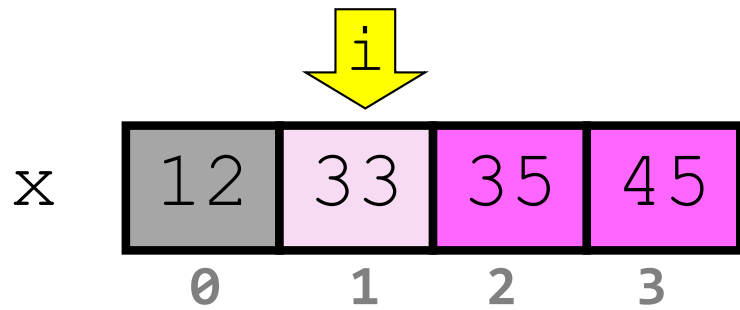
$x[i] \leq y[j] ?$

No!



# How to Merge

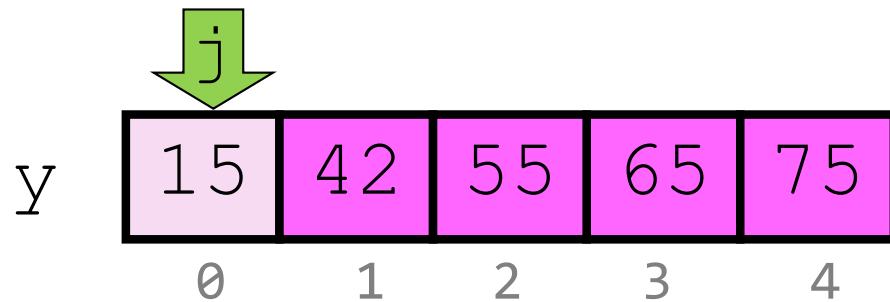
as long as both  $x$  and  $y$   
have unprocessed elements



$x[i] \leq y[j] ?$

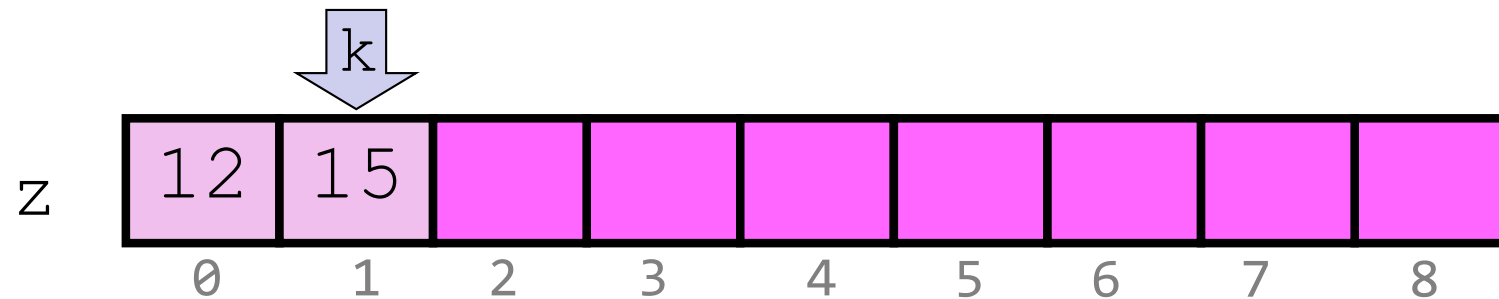
No!

$i$  1



copy  $y[j]$  to  $z$

$j$  0



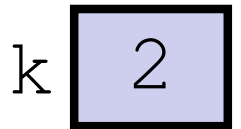
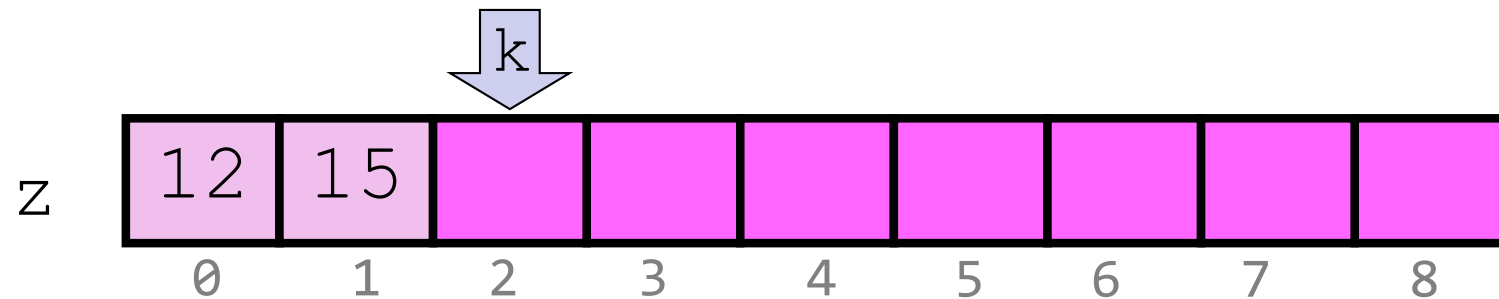
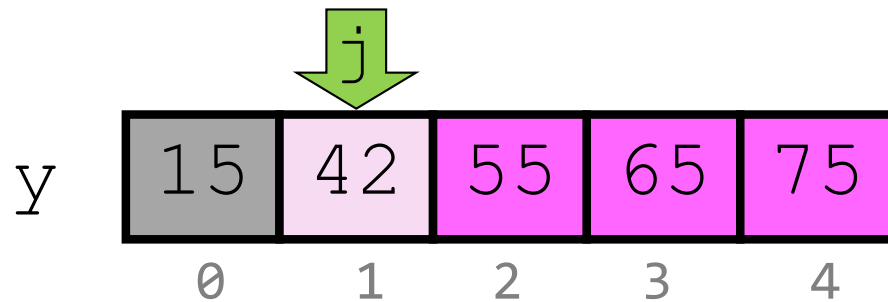
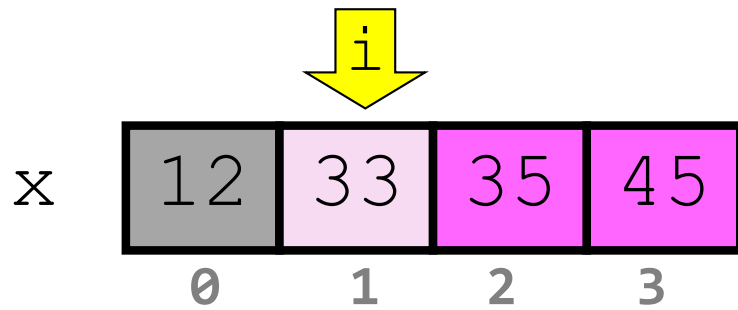
$k$  1

# How to Merge

as long as both  $x$  and  $y$   
have unprocessed elements

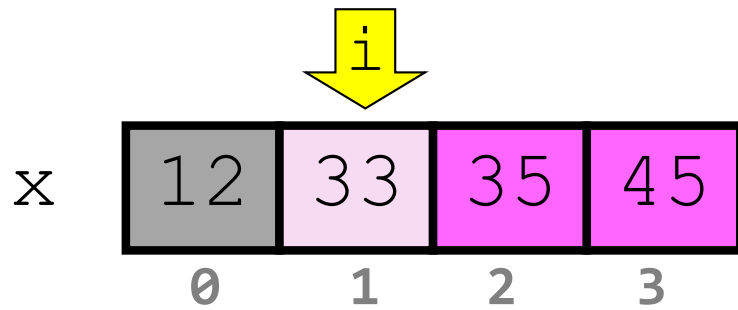
$x[i] \leq y[j] ?$

Yes!



# How to Merge

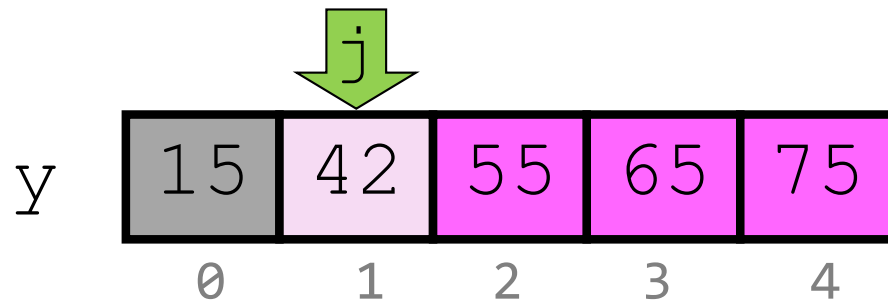
as long as both  $x$  and  $y$   
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$x[i] \leq y[j] ?$

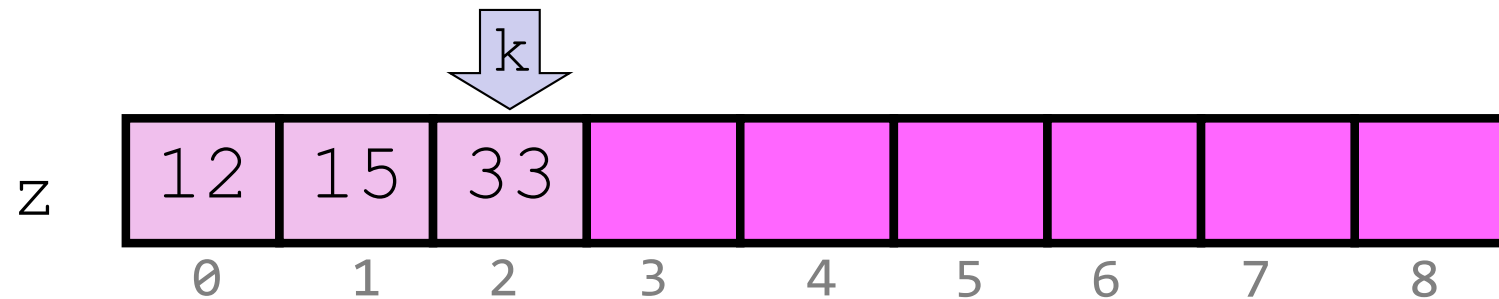
Yes!

$i$  1



copy  $x[i]$  to  $z$

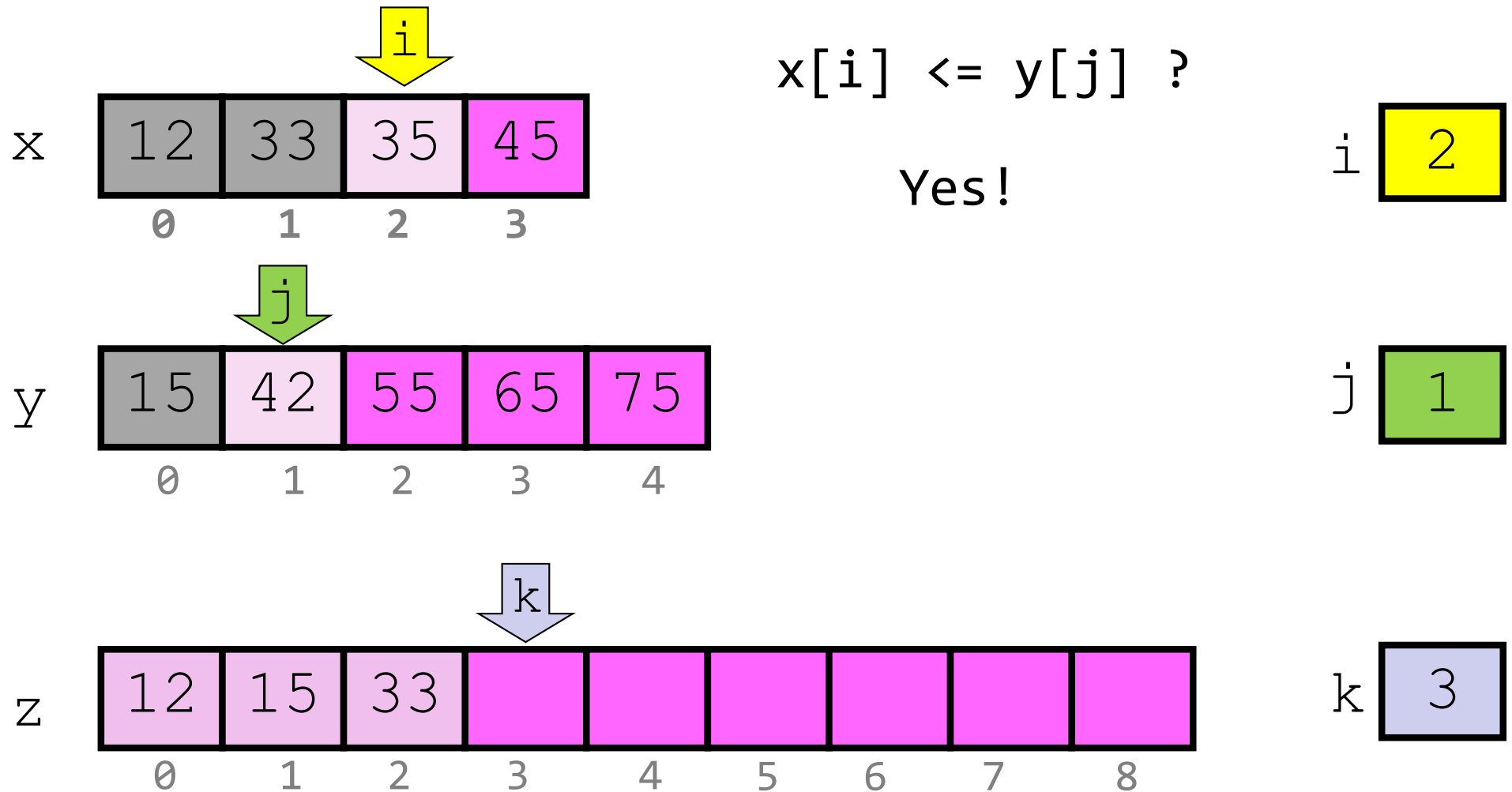
$j$  1



$k$  2

# How to Merge

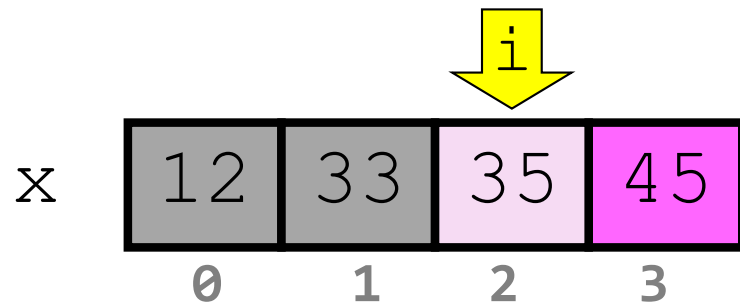
as long as both  $x$  and  $y$   
have unprocessed elements





# How to Merge

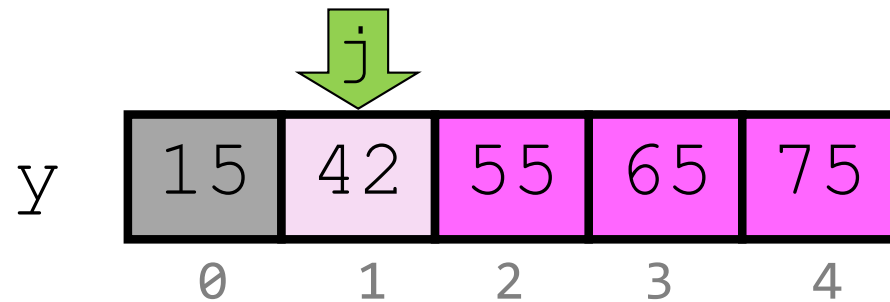
as long as both  $x$  and  $y$   
have unprocessed elements



$x[i] \leq y[j] ?$

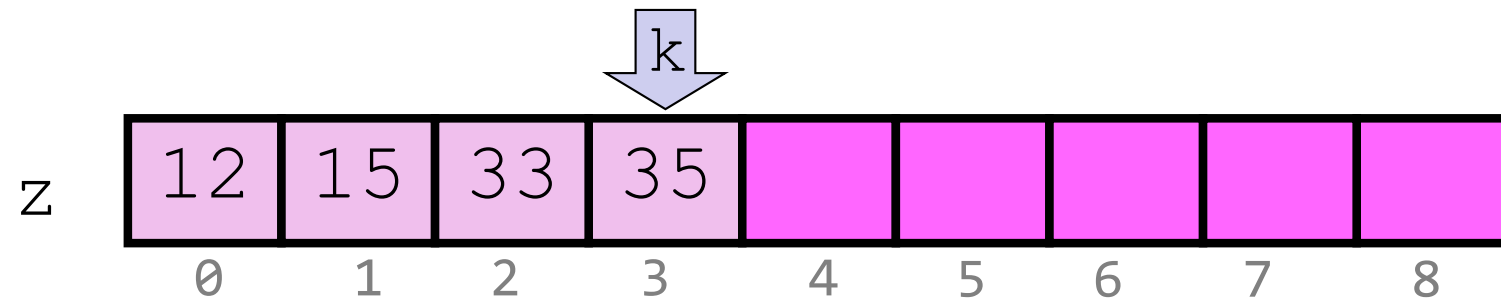
Yes!

$i$  2



copy  $x[i]$  to  $z$

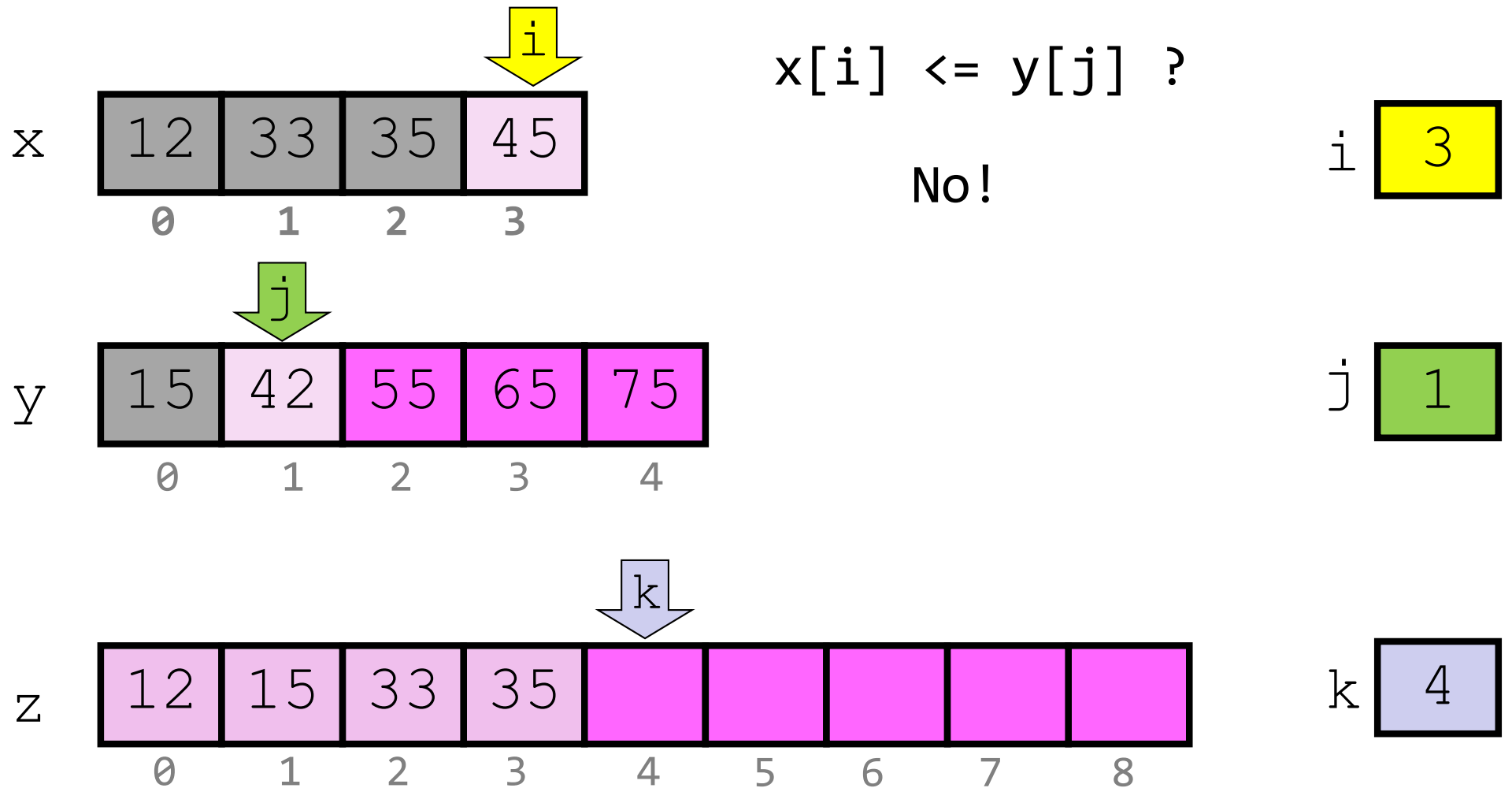
$j$  1



$k$  3

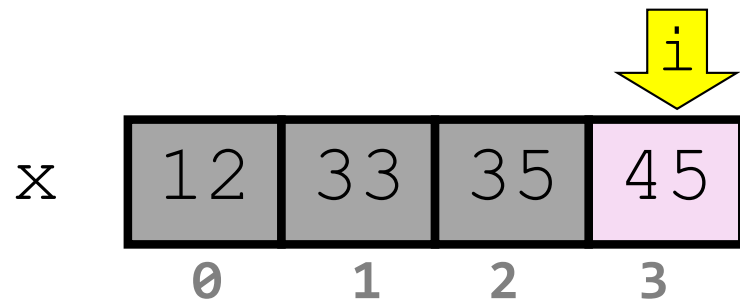
# How to Merge

as long as both  $x$  and  $y$   
have unprocessed elements



# How to Merge

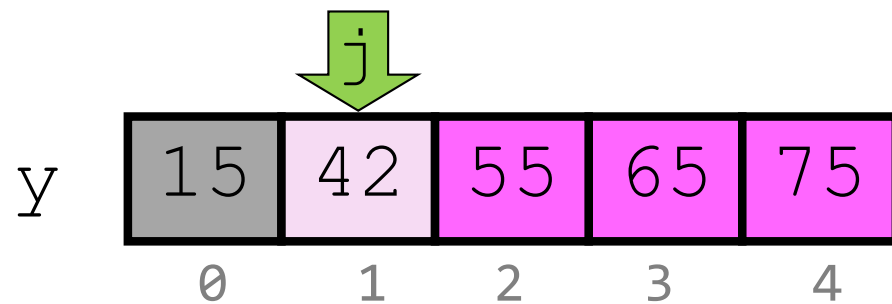
as long as both  $x$  and  $y$   
have unprocessed elements



$x[i] \leq y[j] ?$

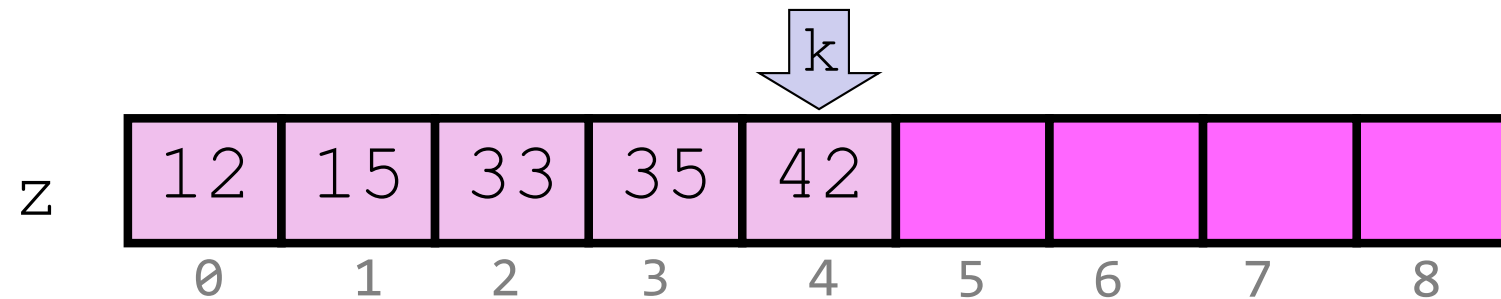
No!

$i$  3



copy  $y[j]$  to  $z$

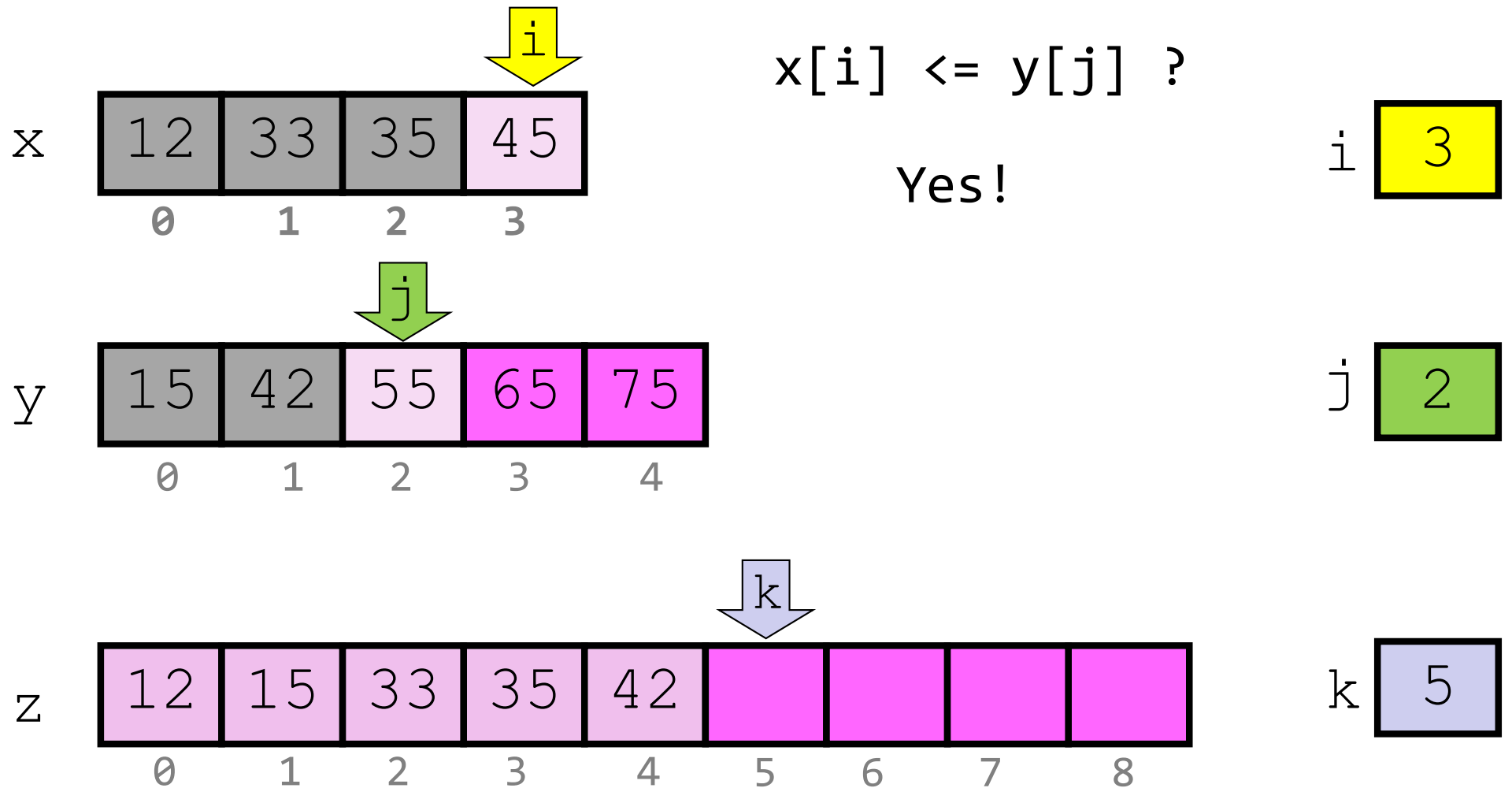
$j$  1



$k$  4

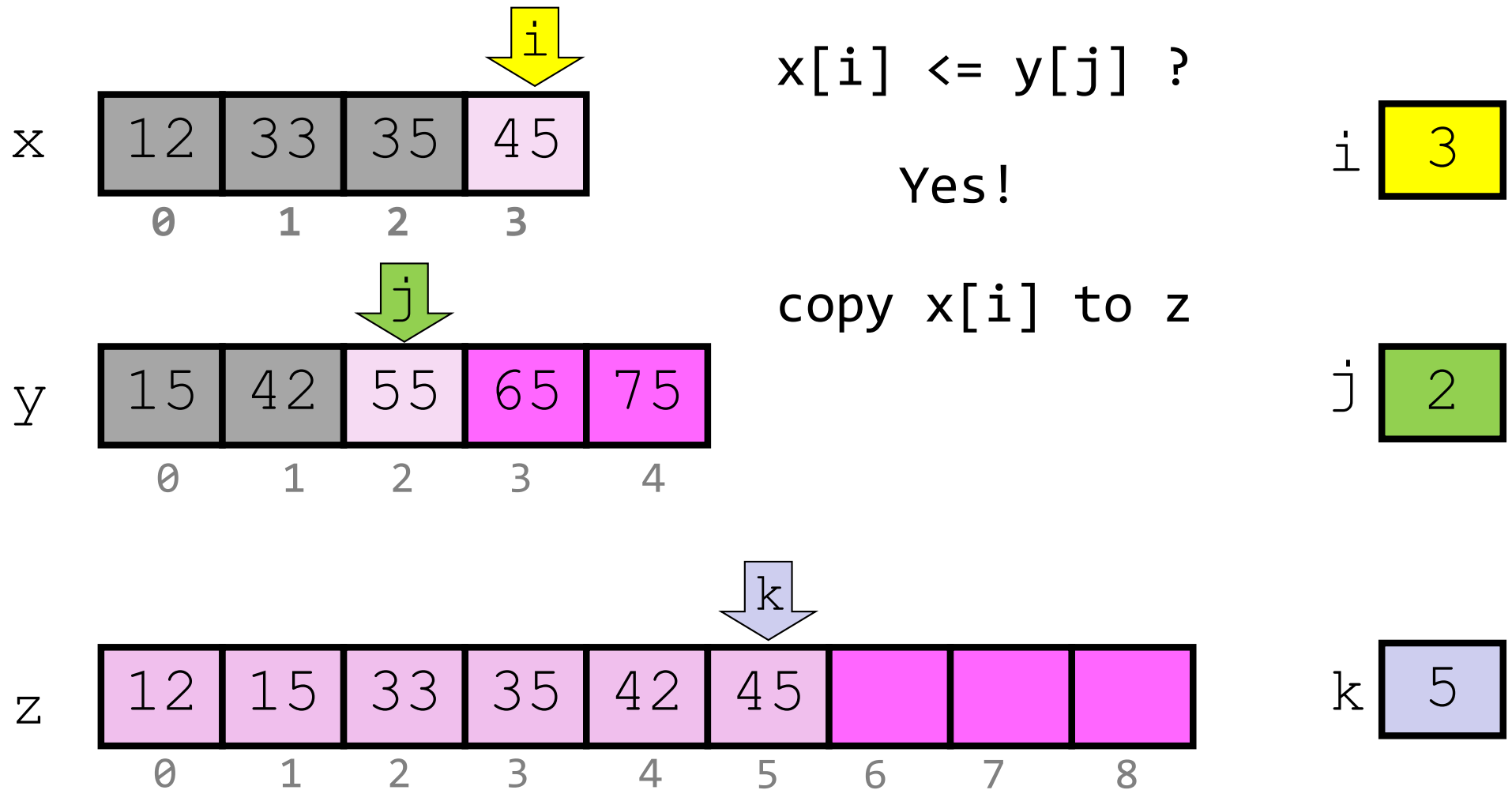
# How to Merge

as long as both  $x$  and  $y$   
have unprocessed elements



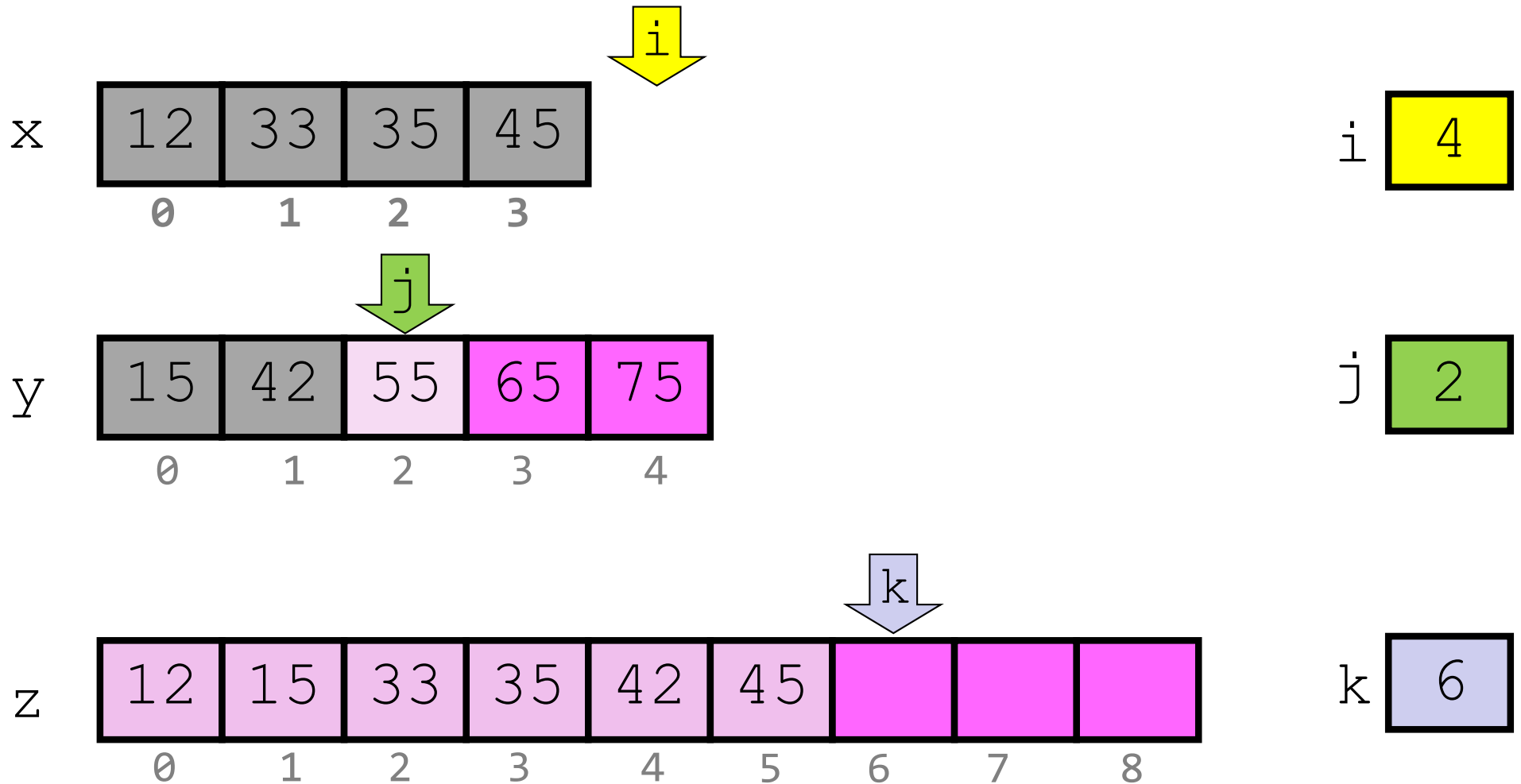
# How to Merge

as long as both  $x$  and  $y$   
have unprocessed elements



# How to Merge

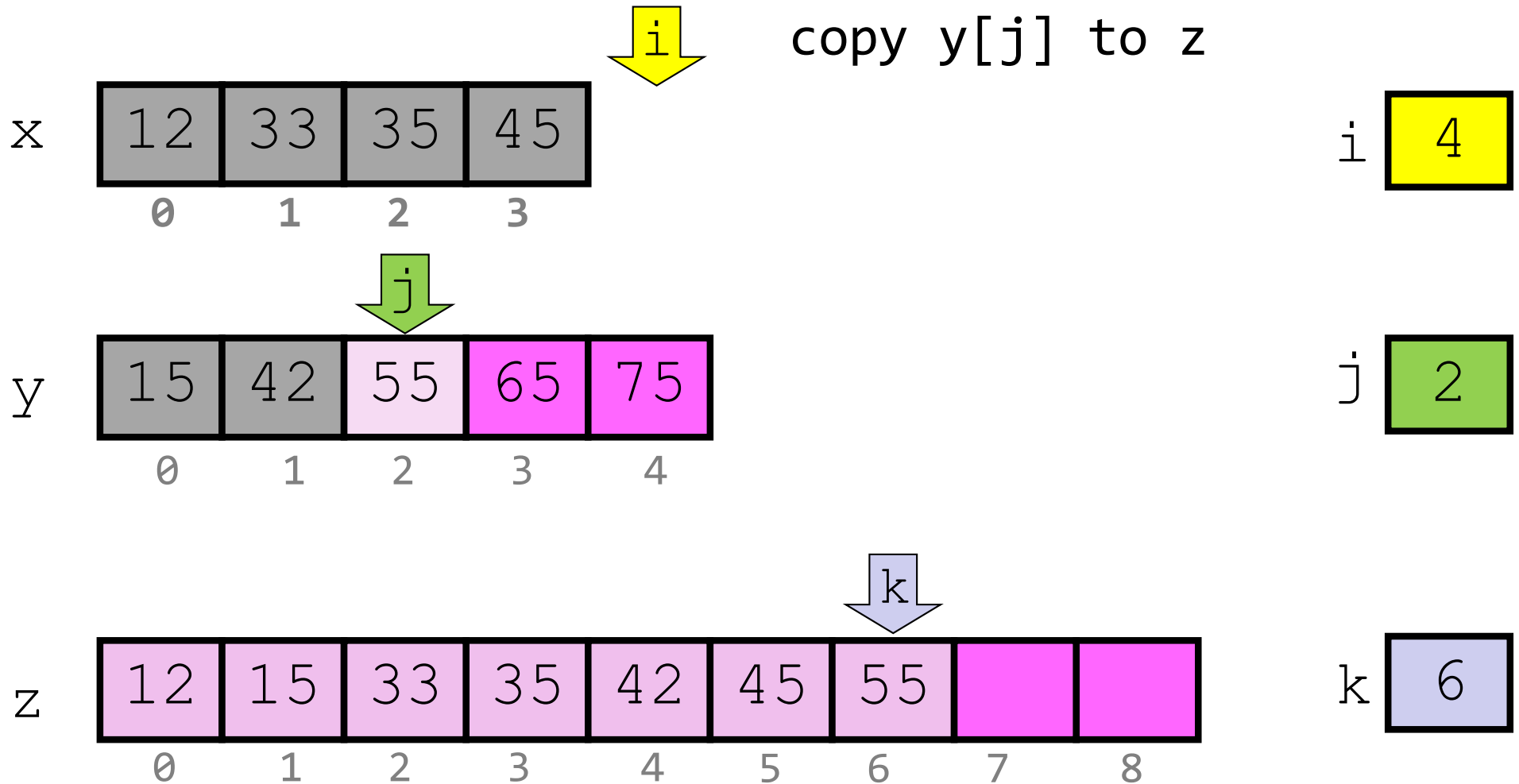
~~as long as both x and y  
have unprocessed elements~~



# How to Merge

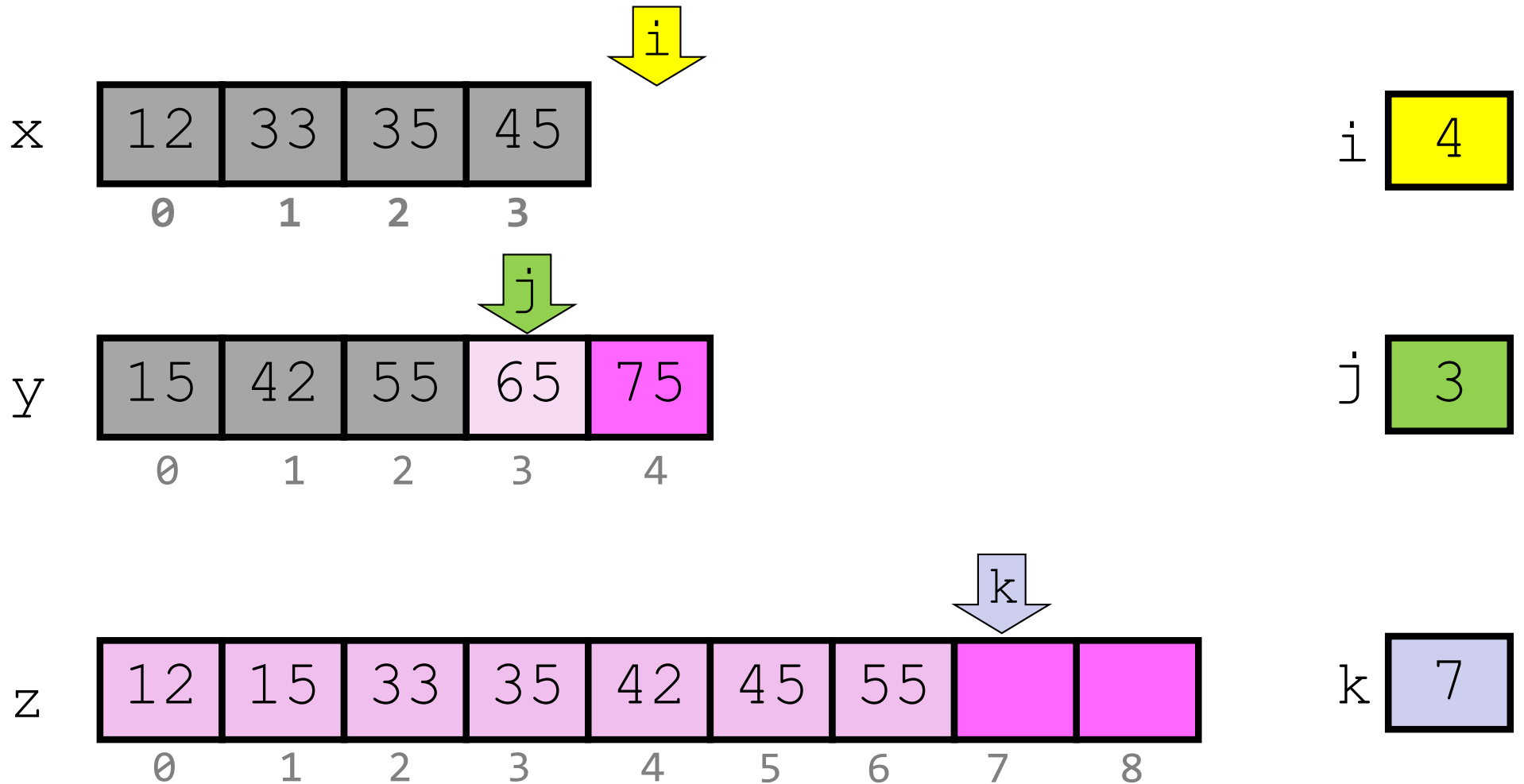
as long as y has  
unprocessed elements

copy  $y[j]$  to  $z$



# How to Merge

as long as y has unprocessed elements

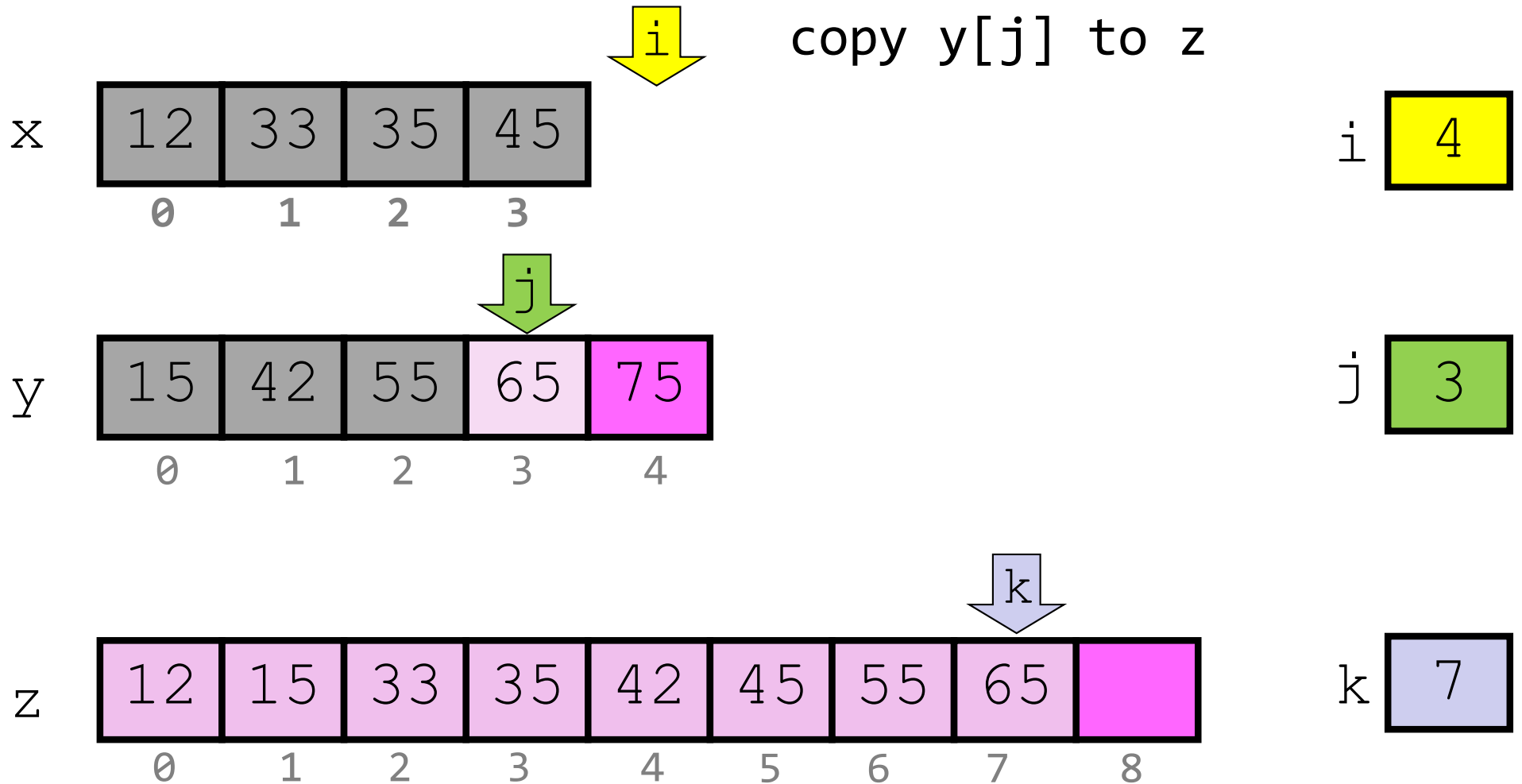




# How to Merge

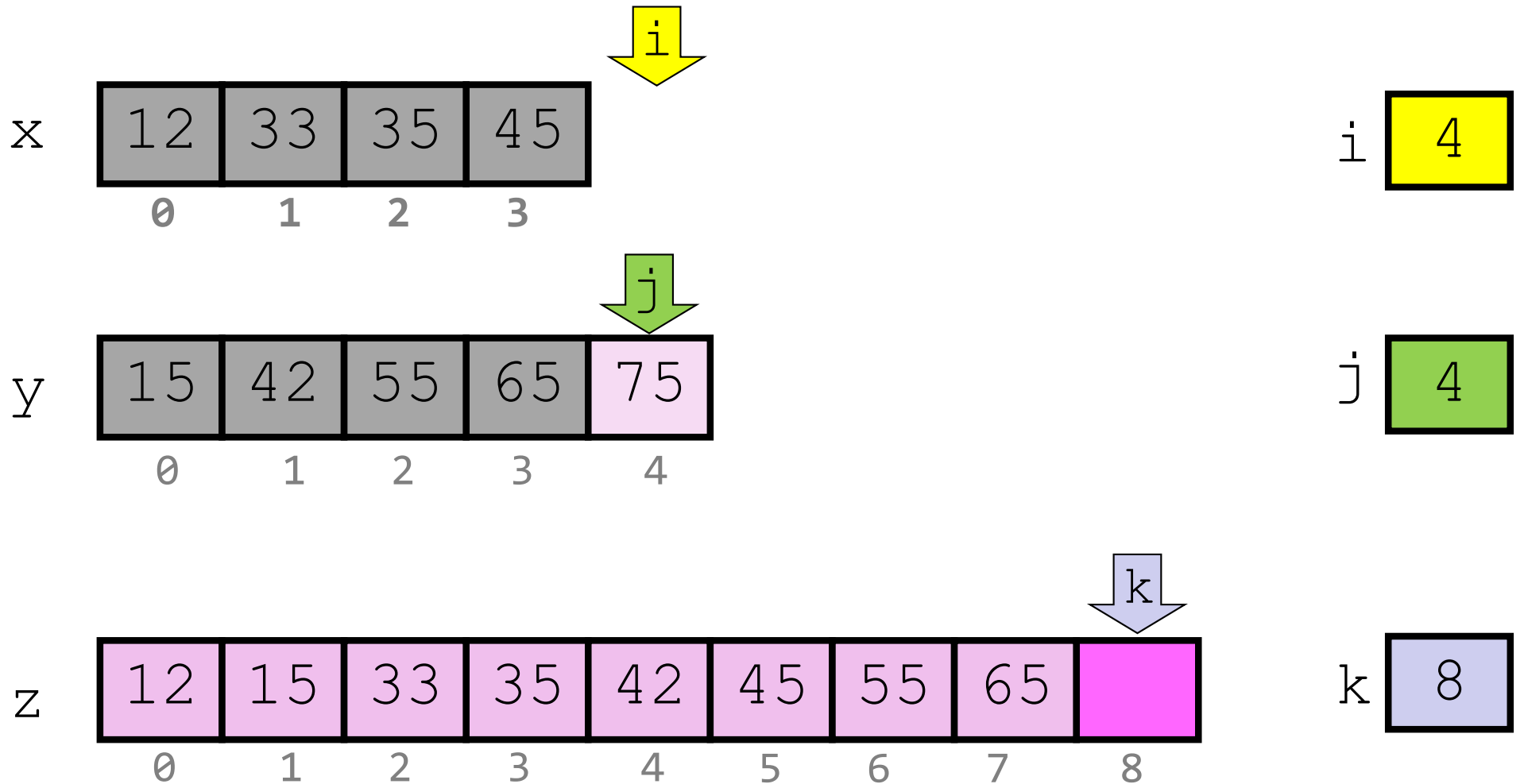
as long as y has  
unprocessed elements

copy  $y[j]$  to z



# How to Merge

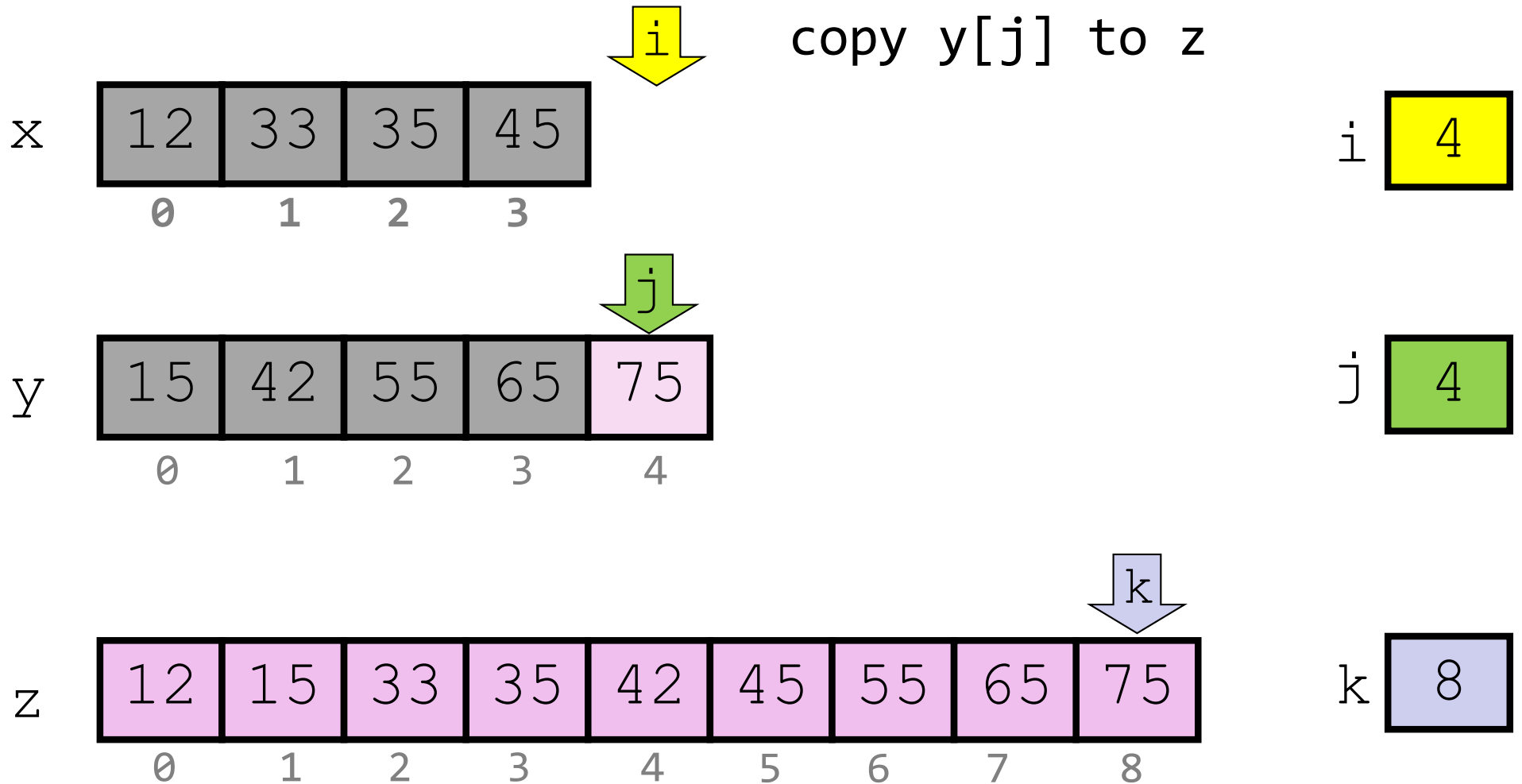
as long as  $y$  has unprocessed elements



# How to Merge

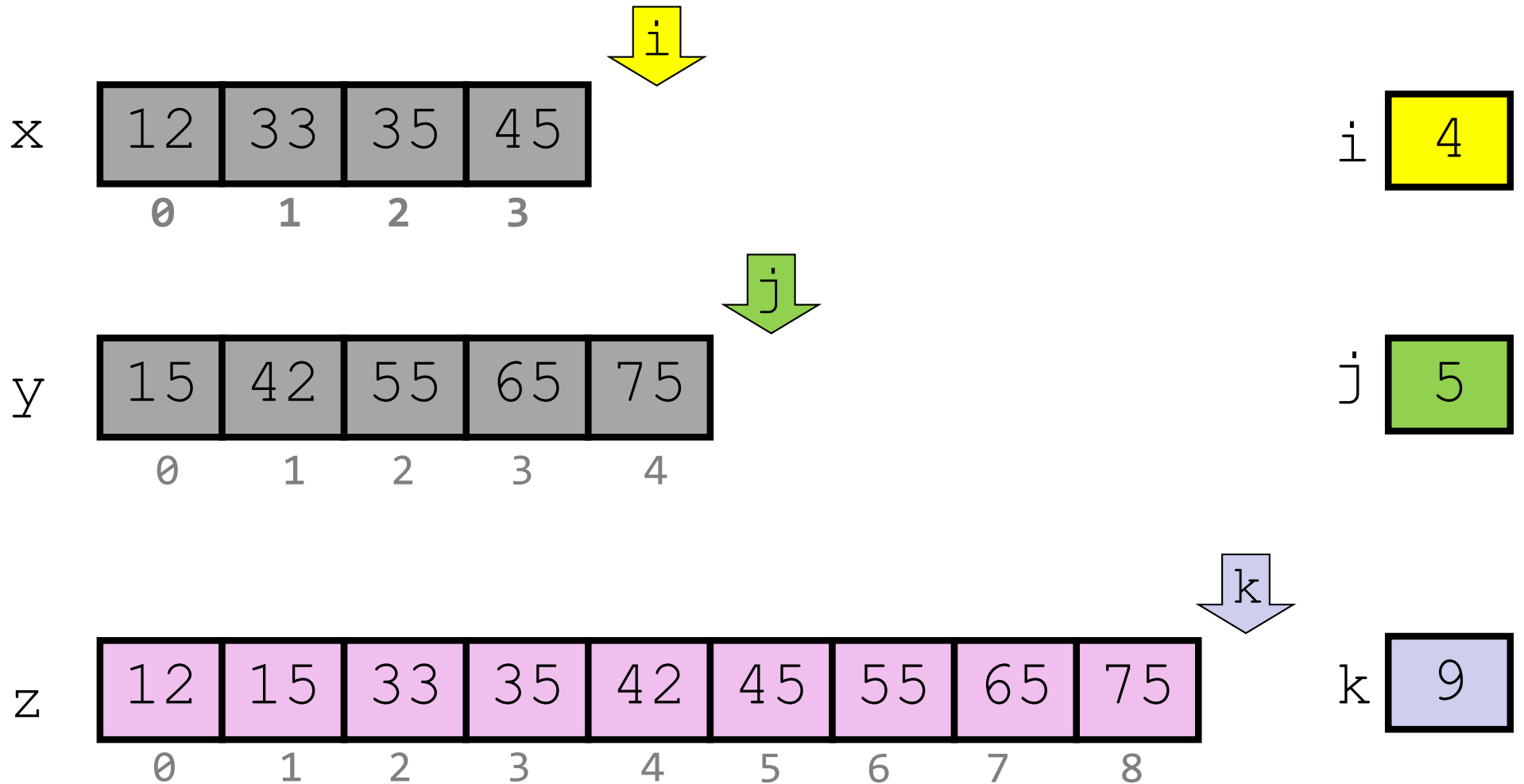
as long as  $y$  has unprocessed elements

copy  $y[j]$  to  $z$



# How to Merge

~~as long as y has unprocessed elements~~



(1/3)

```
def merge(x, y, z):  
    # Given: sorted lists x and y  
    # list z, has the combined length of x and y...  
    nx = len(x); ny = len(y)  
  
    i = 0; j = 0; k = 0;  
    while i < nx and j < ny:  
  
  
    # Deal with remaining values in x or y
```

(2/3)

```
def merge(x, y, z):  
    # Given: sorted lists x and y  
    # list z, has the combined length of x and y...  
    nx = len(x); ny = len(y)  
  
    i = 0; j = 0; k = 0;  
    while i < nx and j < ny:  
        if x[i] <= y[j]:  
            z[k] = x[i]; i = i + 1  
        else:  
            z[k] = y[j]; j = j + 1  
        k = k + 1  
    # Deal with remaining values in x or y
```

(3/3)

```
def merge(x, y, z):
    # Given: sorted lists x and y
    # list z, has the combined length of x and y...
    nx = len(x); ny = len(y)

    i = 0; j = 0; k = 0;
    while i < nx and j < ny:
        if x[i] <= y[j]:
            z[k] = x[i]; i = i + 1
        else:
            z[k] = y[j]; j = j + 1
        k = k + 1

    # Deal with remaining values in x or y
    while i < nx: # copy any remaining x-values
        z[k] = x[i]; i = i + 1; k = k + 1

    while j < ny: # copy any remaining y-values
        z[k] = y[j]; j = j + 1; k = k + 1
```

```
def mergeSort(li):  
    """Sort list li using Merge Sort"""  
  
    if len(li) > 1:  
        # Divide into two parts  
        mid= len(li)/2  
        left= li[:mid]  
        right= li[mid:]  
  
        # Recursive calls  
        mergeSort(left)  
        mergeSort(right)  
  
        # Merge left & right back to li  
        merge(left, right, li)
```



# Sorting Algorithms

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- Sorting data is a common task
  - **Insertion sort:** on the order of  $n^2$ 
    - input doubles? → work **quadruples!** (yikes)
- Today's topic:
  - **Merge sort:** *did we do better than Insertion Sort?*

work = one comparison

*How many comparisons do we make?*

# Merge sort:

$\sim \log_2(n)$  "levels"  $\times \sim n$  comparisons each level



?

?

?

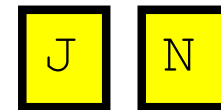
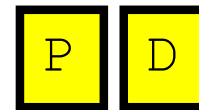
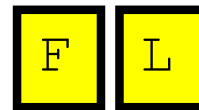
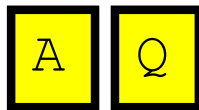
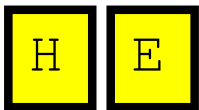
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# Sorting Algorithms

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- Sorting data is a common task
    - **Insertion sort:** on the order of  $n^2$ 
      - input doubles? → work **quadruples!** (yikes)
    - **Merge sort:** on the order of  $n \cdot \log_2(n)$
- Order of magnitude difference
- 

*Should we always use merge sort then?*

*Python's **sort** actually combines merge and insertion sort!*

For fun, check out the visualizations:

<https://www.youtube.com/watch?v=xxcpvCGrCBc>

<https://www.youtube.com/watch?v=ZRPoEKHXTJg>