

## Another attempt at understanding recursion

We have said that the way to understand a recursive function or prove it correct is to replace each recursive call in its body by the specification of the function, with the arguments replacing parameters. We give a simple example. The math function  $n$  factorial, or  $n!$  is defined by

$$(1) \quad \begin{aligned} 0! &= 1 \\ n! &= n * (n-1)! \end{aligned}$$

Here is a Java function for it.

```
/** Return n!. Precondition: 0 <= n */
public static void f(int n) {
    if (n == 0) return 1;
    return n * f(n-1);
}
```

Is this correct? We rewrite the function body, with the recursive call replaced by its spec, with the argument replacing the parameter. The replacement is shown in a different color.

```
if (n == 0) return 1;
return n * (n-1)!
```

Looking at definition (1) above, we see that the value returned is indeed  $n!$ , so the return statement does return the correct value.

People are OK with this simple example, because the definition of  $n!$  and the method body are so similar. They have difficulty applying this method of understanding recursion to more complex recursive methods. We now attempt to explain in a different way why this works, and on the next page we'll examine another recursive method in the same way.

```
/** Return n! Precond.: 0 ≤ n ≤ 0 */
public static f0(int n) {
    return 1;
}
```

```
/** Return n! Precond.: 0 ≤ n ≤ 1 */
public static f1(int n) {
    if (n == 0) return 1;
    return n * f0(n-1);
}
```

```
/** Return n! Precond.: 0 ≤ n ≤ 2 */
public static f2(int n) {
    if (n == 0) return 1;
    return n * f1(n-1);
}
```

...

```
/** Return n! Precond.: 0 ≤ n ≤ 99 */
public static f99(int n) {
    if (n == 0) return 1;
    return n * f98(n-1);
}
```

...

### Having many functions

To the right above are a series of functions, each with a Precondition that restricts its parameter. Function  $f_0$  compute only  $0!$  We also see that:

```
f1(n) computes n! for n ≤ 1 and calls f0.
f2(n) computes n! for n ≤ 2 and calls f1.
f3(n) computes n! for n ≤ 3 and calls f2.
...
f99(n) computes n! for n ≤ 99 and calls f98.
```

There is no recursion. Each function (except  $f_0$ ) calls a previous function in the list. To see that function  $f_{99}$  is correct, use the spec of the method it calls,  $f_{98}$ . You verify the correctness of any of the functions in the same way.

Note also that a call like  $f_3(3)$  will result in 4 frames for calls being placed on the call stack, as shown to the right.

You can think of recursive function  $f$  below as simply an abbreviation of that long list of functions. And you can verify its correctness just as we did when dealing with the 100 functions  $f_1, f_2, \dots, f_{99}$ : Replace the recursive call  $f(n-1)$  by the spec of  $f$ , with the parameter replaced by the argument. That is replace  $f(n-1)$  by  $(n-1)!$ . You also know that for a call  $f_3(3)$ , at one point the call stack will be as shown to the right.

If it helps, think of the recursive call  $f(n-1)$  as a call on *another* function with the same kind of body, and understand the recursive call in terms of its specification.

```
frame for f0(0)
frame for f1(1)
frame for f2(2)
frame for f3(3)
```

```
frame for f(0)
frame for f(1)
frame for f(2)
frame for f(3)
```

```
/** Return n! Precond.: 0 ≤ n */
public static f(int n) {
    if (n == 0) return 1;
    return n * f(n-1);
}
```

## Another attempt at understanding recursion

### What about merge sort?

We now treat recursive procedure mergesort the same way. In order to keep the explanation simple, we work only with array segments  $b[h..k]$  whose length is a power of 2.

To the right, we have procedure  $ms1$ , whose precondition requires that  $b[h..k]$  have size 0 or 1. It simply returns.

Procedure  $ms2$  sorts an array segment of size 0, 1, or 2. If its size is 2, it calls  $ms1$  twice, each time with an array segment of size 1. You know that  $ms1$  is correct, and you rely on its specification to verify that  $ms2$  is correct.

Procedure  $ms4$  sorts an array segment of size 0, 1, 2, or 4. If its size is 2 or 4, it calls  $ms2$  twice, each time with an array segment of half the size. You know that  $ms2$  is correct, and you rely on its specification to verify that  $ms4$  is correct.

And so on. We show method  $ms64$ . It sorts an array segment of size 0, 1, 2, 4, 8, 16, 32, or 64. If its size is 2, 4, 8, 16, 32, or 64, it calls  $ms32$  twice, each time with an array segment of half the size. You know that  $ms32$  is correct, and you rely on its specification to verify that  $ms64$  is correct.

Look how similar the bodies of  $ms2$ ,  $ms4$ ,  $ms8$ , ...,  $ms64$  are. The only difference is the two calls on a previous procedure, for e.g.  $ms64$  calls  $ms32$  twice. Thus, instead, we write one recursive procedure, eliminating the digits in the name of the method:

```
/** Sort b[h..k].
Precond.: 0 <= k+1-h and is a power of 2 */
public static ms(int[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    ms(b, h, e);
    ms(b, e+1, k);
    merge(b, h, e, k); }
```

If it helps you, think of a recursive call like  $ms(b, h, e)$  as a call on *another* procedure that looks exactly the same, and rely on its specification —Sort  $b[h..k]$ — in understanding what the call does.

Finally, to the right, we show on the left the call stack at one point in executing  $ms64(b, 0, 7)$  and to its right the call stack in calling the method shown above with  $ms(b, 0, 7)$ .

```
/** Sort b[h..k].
Precond.: 0 <= k+1-h <= 1 */
public static ms1(int[] b, int h, int k) {
    return;
}

/** Sort b[h..k].
Precond.: k+1-h <= 2 and is a power of 2 */
public static ms2(int[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    ms1(b, h, e);
    ms1(b, e+1, k);
    merge(b, h, e, k); }

...

/** Sort b[h..k].
Precond.: k+1-h <= 4 and is a power of 2 */
public static ms4(int[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    ms2(b, h, e);
    ms2(b, e+1, k);
    merge(b, h, e, k); }

...

/** Sort b[h..k].
Precond.: k+1-h <= 64 and is a power of 2 */
public static ms64(int[] b, int h, int k) {
    if (h >= k) return;
    int e = (h+k)/2;
    ms32(b, h, e);
    ms32(b, e+1, k);
    merge(b, h, e, k); }

...
```

#### Using many methods

frame for  $ms8(b, 0, 0)$   
frame for  $ms16(b, 0, 1)$   
frame for  $ms32(b, 0, 3)$   
frame for  $ms64(b, 0, 7)$

#### Using recursion

frame for  $ms(b, 0, 0)$   
frame for  $ms(b, 0, 1)$   
frame for  $ms(b, 0, 3)$   
frame for  $ms(b, 0, 7)$

## **Another attempt at understanding recursion**