# CS 4110

## Programming Languages & Logics

Lecture 36
Typed Assembly Language

26 November 2012

#### Overview

#### Slogan: "Safety through types"

- An architecture for safe mobile code
  - Download annotated binaries from an untrusted code producer
  - Verify code using a trusted typechecker
  - Link and execute without errors
- Security properties hinge on understanding behavior
  - Must reason precisely about programs
  - Define "good" and "bad" behaviors
  - Identify and rule out "bad programs"
- Typed Assembly Language (TAL) is a framework that accomplishes these goals in a setting where the programs in question are x86 executables

### Schedule

#### Today

- Typed Assembly Language
- Prelim #2 hand back

#### Wednesday

- Polymorphism
- Stack Types

#### Friday

- Compilation
- Course Review

## Acknowledgments

- These lectures developed by David Walker (Princeton)
- They describe Typed Assembly Language, a project at Cornell led by Greg Morrisett about 15 years ago
- Paper: G. Morrisett, D. Walker, K. Crary, and N. Glew. "From System F to Typed Assembly Language." In ACM TOPLAS. 21(3):527–568. May 1999.

#### From System F to Typed Assembly Language\*

David Walker Karl Crary Neal Glew Cornell University

We motivate the design of a statically tupod assembly inquage (TAL) and present a type-preserving transla-tion from System F to TAL. The TAL we present is based on a conventional RISC assembly language, but its static type system provides support for enforcing high-level language abstractions, such as closures, to ples, and objects, as well as user-defined abstract data types. The type system ensures that well-typed programs cannot violate those abstractions. In addition the typing constructs place almost no restrictions on low-level optimizations such as register allocation, in struction selection, or instruction scheduling

Our translation to TAL is specified as a sequence of type-preserving transformations, including CPS and closure conversion phases: type-correct source programs are mapped to type-correct assembly language. A key contribution is an approach to polymorphic closure con-The compiler and typed assembly language provide a fully automatic way to produce proof corruing code, suitmalicious code must be checked for safety before execu-

"This material is based on work supported in part by the APGSR grant P48626-951-9012, ASPA/RADC grant P39929-991-0317, ARPA/AF grant F39929-261-0017, and AASEAT grant N0001-951-0985. Any opinions, findings, and conclusions or recommendations expressed in this publication are those should be commended the expressed in this publication are those

#### 1 Introduction and Motivation

Compiling a source language to a statically typed in termediate language has compelling advantages over a conventional untyped compiler. An optimizing compiler for a high-level language such as ML may make as many as 20 passes over a single program, perform as CPS conversion [14, 35, 2, 12, 18], closure conversion [20, 40, 19, 3, 26], unboxing [22, 28, 38], subsumption elimination [9, 11], or region inference [7]. Many of these optimizations require type information in or der to succeed, and even those that do not often benefit from the additional structure supplied by a typ ing discipline [22, 18, 28, 37]. Furthermore, the ability to type-check intermediate code provides an invaluable tool for debugging new transformations and optimizations [41, 30]

Today a small number of compilers work with typed in termediate languages in order to realize some or all of these benefits [22, 34, 6, 41, 24, 39, 13]. However, in types are lost. For instance, the TIL/ML compiler preserves type information through approximately 80% of compilation, but the remaining 20% is untyped

We show how to eliminate the untyped portions of a compiler and by so doing, extend the approach of comget languages. The target language in this paper is a strongly typed assembly language (TAL) based on a seneric RISC instruction set. The type system for the language is surprisingly standard, supporting tuples polymorphism, existentials, and a very restricted form of function pointer, yet it is sufficiently powerful that we can automatically generate well-typed and efficient code from high-level ML-like languages. Furthermore we claim that the type system does not seriously him der low-level optimizations such as register allocation instruction selection, instruction scheduling, and core

TAL not only allows us to reap the benefits of types throughout a compiler, but it also enables a practica To appear at the 1998 Symposium on Principles of Program-system for executing untrusted code both safely and

#### What is TAL?

#### In Theory

- A RISC-like assembly language
- A formal operational semantics
- A family of type systems that capture key safety properties of registers, stack, and the heap
- Rigorous proofs of soundness which demonstrate that TAL enforces security guarantees

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#### In Practice

- A typechecker for almost all of the Intel IA32 architecture
- A collection of tools for assembling linking, etc. TAL binaries
- A compiler for a safe C-like language called Popcorn

## Example

#### High-level code:

```
fact (n,a) =

if (n \leq 0) then a

else fact(n-1,a \times n)
```

#### Assembly code:

```
% r_1 holds n, r_2 holds a, r_{31} holds return address fact: ble r_1,L2 % if n \le 0 goto L2 mul r_2,r_2,r_1 % a := a × n sub r_1,r_1,1 % n := n - 1 jmp fact % goto fact

L2: mov r_1,r_2 % result := a jmp r_{31} % return
```

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- Operands:  $v := r \mid L \mid v$
- Arithmetic Operations: aop ::= add | sub | mul | . . .
- Branch Operations: *bop* ::= beq | bgt | ...

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- The register file *R* maps registers to values. Abusing notation slightly, we extend *R* to a map on values as follows:

$$R(n) = n$$
  
 $R(L) = L$   
 $R(r) = v \text{ if } R = \{..., r \mapsto v, ...\}$ 

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• The current block *B* is the block associated to the (implicit) program counter

$$(H, R, \text{mov } r_d, v; B) \mapsto (H, R[r_d := R(v)], B)$$

$$\frac{(H, R, \text{mov } r_d, v; B) \mapsto (H, R[r_d := R(v)], B)}{n = R(v) + R(r_s)}$$

$$\frac{n = R(v) + R(r_s)}{(H, R, \text{add } r_d, r_s, v; B) \mapsto (H, R[r_d := n], B)}$$

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$$\frac{R(v) = L \qquad H(L) = B}{(H, R, \text{jmp } v) \mapsto (H, R, B)}$$

$$\frac{R(r) \neq 0}{(H, R, \text{beq } r, v; B) \mapsto (H, R, B)}$$

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$$\frac{R(r) = 0 \qquad R(v) = L \qquad H(L) = B'}{(H, R, \text{beq } r, v; B) \mapsto (H, R, B')}$$

#### **Errors**

- The machine is stuck if there does not exist a transition from the current state to some following state
- We will use stuck states to define the "bad" behaviors that may occur at run-time
- The type system will guarantee that well-typed machines never get stuck
- Example stuck states:
  - $(H, R, \text{add } r_d, r_s, v; B)$  where  $r_s$  and v aren't integers
  - (H, R, jmp v) where v isn't a label
  - (H, R, beq r, v) where r isn't an integer or v isn't a label
- To distinguish integers and labels we need a type system!

## Types

#### Syntax

- $\tau ::= \operatorname{int} \mid \Gamma \rightarrow \{\}$
- $\Gamma ::= \{r_1 : \tau_1, r_2 : \tau_2, \dots \}$

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#### Code Types

- Labels are like functions that take a record of arguments
- Labels have types of the form  $\{r_1: \tau_1, r_2: \tau_2, \dots\} \rightarrow \{\}$
- To jump to code with this type, register  $r_1$  must contain a value of type  $\tau_1$ , register  $r_2$  must contain a value of type  $\tau_2$ , and so on
- The order that register names appear is irrelevant
- Note that functions never return—every block ends with a jmp

## Well-Typed Example

```
% r_1 holds n, r_2 holds a, r_{31} holds return address
fact: \{r_1 : \text{int}, r_2 : \text{int}, r_{31} : \{r_1 : \text{int}\} \rightarrow \{\}\} \rightarrow \{\}
         ble r_1,L2 % if n < 0 goto L2
         \text{mul } r_2, r_2, r_1 % a := a \times n
         sub r_1, r_1, 1 % n := n - 1
         imp fact % goto fact
L2: \{r_1 : \text{int}, r_2 : \text{int}, r_{31} : \{r_1 : \text{int}\} \rightarrow \{\}\} \rightarrow \{\}
         mov r_1, r_2 % result := a
                              % return
         jmp r_{31}
```

## III-Typed Example

```
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fact: \{r_1 : \text{int}, r_{31} : \{r_1 : \text{int}\} \rightarrow \{\}\} \rightarrow \{\}
         ble r_1,L2
         mul r_2, r_2, r_1 % Error! r_2 doesn't have a type
         sub r_1, r_1, 1
         jmp L1
                    % Error! No such label
L2: \{r_2: \text{int}, r_{31}: \{r_1: \text{int}\} \to \{\}\} \to \{\}
         mov r_{31}, r_{2}
                              % Error! r_{31} not a label
         jmp r_{31}
```

## Typechecking Overview

- Intuitively, the type system needs to keep track of:
  - ▶ The types of the registers at each point in the code
  - ► The types of the labels on the code
- Heap types:  $\Psi$  maps labels to code types
- Register types:  $\Gamma$  maps registers to types
- A family of typing (and subtyping) relations:
  - Ψ; Γ ⊢ ν : τ
  - $\blacktriangleright \ \ \Psi \vdash i : \Gamma \to \Gamma'$
  - $\tau \leq \tau'$
  - ⊢ H : Ψ
  - ► *F R* : **F**
  - $\vdash$  (H, R, B)

 $\Psi;\Gamma\vdash v:\tau$ 

$$\Psi;\Gamma\vdash v: au$$

Ψ; Γ  $\vdash$  n : int

$$|\Psi;\Gamma\vdash v: au|$$

$$\overline{\Psi;\Gamma\vdash n: int}$$

$$\frac{\Gamma(r) = \tau}{\Psi; \Gamma \vdash r : \tau}$$

$$|\Psi;\Gamma\vdash v: au|$$

$$\overline{\Psi;\Gamma\vdash n: int}$$

$$\frac{\Gamma(r) = \tau}{\Psi; \Gamma \vdash r : \tau}$$

$$\frac{\Psi(L) = \tau}{\Psi; \Gamma \vdash L : \tau}$$

## Subtyping

- A program won't crash if the register file has more values that are needed to satisfy the typing conditions
- Formally, a register file with more components is a subtype of a register file with fewer components:

$$\overline{\{r_1:\tau_1,\ldots,r_i:\tau_i;r_{i+1}:\tau_i+1\}} \le \{r_1:\tau_1,\ldots,r_i:\tau_i\}$$

Note that this is the ordinary rule for records!

• Code subtyping goes in the opposite direction: a label requiring  $r_1$  and  $r_2$  may be used as a label requiring  $r_1$ ,  $r_2$ , and  $r_3$ .

$$\frac{\Gamma' \le \Gamma}{\Gamma \to \{\} \le \Gamma' \to \{\}}$$

Note that this is the ordinary contravariant rule for functions!

# Subtyping

• Subtyping is also reflexive and transitive.

$$\frac{\tau \le \tau}{\tau \le \tau}$$

$$\frac{\tau_1 \le \tau_2 \qquad \tau_2 \le \tau_3}{\tau_1 \le \tau_3}$$

• A subsumption rule allows values to be used at supertypes:

$$\frac{\Psi; \Gamma \vdash v : \tau_1 \qquad \tau_1 \leq \tau_2}{\Psi; \Gamma \vdash v : \tau_2}$$

# Typing Instructions

$$\Psi \vdash i : \Gamma_1 \to \Gamma_2$$

- $\Gamma_1$  describes the registers before the execution of the instruction—a *precondition*
- $\Gamma_2$  describes the registers after the execution of the instruction—a *postcondition*
- ullet  $\psi$  is invariant. That is, the types of objects on the heap will not change (at least for now...)

# Typing Instructions

$$|\Psi \vdash i : \Gamma_1 \rightarrow \Gamma_2|$$

#### Arithmetic operations

$$\frac{\Psi; \Gamma \vdash r_s : \text{int} \qquad \Psi; \Gamma \vdash v : \text{int}}{\Psi \vdash aop \, r_d, r_s, v : \Gamma \rightarrow \Gamma[r_d := \text{int}]}$$

#### Conditional branches

$$\frac{\Psi; \Gamma \vdash r : \text{int} \qquad \Psi; \Gamma \vdash v : \Gamma \to \{\}}{\Psi \vdash bop \ r, v : \Gamma \to \Gamma}$$

#### Data movement

$$\frac{\Psi; \Gamma \vdash \nu : \tau}{\Psi \vdash \mathsf{mov}\, r_d, \nu : \Gamma \to \Gamma[r_d := \tau]}$$

# Typing Instructions

$$\Psi \vdash i : \Gamma_1 \to \Gamma_2$$

**Jumps** 

$$\frac{\Psi;\Gamma\vdash\nu:\Gamma\to\{\}}{\Psi\vdash\mathsf{jmp}\,\nu:\Gamma\to\{\}}$$

Basic blocks

$$\frac{\Psi;\Gamma\vdash i:\Gamma_1\to\Gamma_2\qquad \Psi;\Gamma\vdash B:\Gamma_2\to\{\}}{\Psi\vdash i;\;B:\Gamma_1\to\{\}}$$

# Heap, Register File, and Machine Typing

#### Heaps

$$\frac{\textit{dom}(\textit{H}) = \textit{dom}(\Psi) \qquad \forall \textit{L} \in \textit{dom}(\textit{H}). \ \Psi \vdash \textit{H}(\textit{L}) : \Psi(\textit{L})}{\vdash \textit{H} : \Psi}$$

#### Register Files

$$\frac{\forall r \in dom(\Gamma). \ \Psi; \{\} \vdash R(r) : \Gamma(r)}{\Psi \vdash R : \Gamma}$$

#### Machines

$$\frac{\vdash H : \Psi \qquad \Psi \vdash R : \Gamma \qquad \Psi \vdash B : \Gamma \rightarrow \{\}}{\vdash (H, R, B)}$$

# Type Safety

The type system satisfies the following theorem:

### Theorem (Type Safety)

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- Progress: if a state is well-typed, then it is not stuck
- Preservation: evaluation preserves types

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- Preservation: evaluation preserves types

### Corollary

- Every jump in a well-typed program is to a valid label
- Every arithmetic operation in a well-typed program is done with integers—not labels!

### Canonical Forms

#### Lemma

If  $\vdash H : \Psi$  and  $\Psi \vdash R : \Gamma$  and  $\Psi; \Gamma \vdash v : \tau$  then

- $\tau = int implies R(v) = n$
- $\tau = \{r_1 : \tau_1, \dots, r_k : \tau_k\} \to \{\} \text{ implies } R(v) = L.$

Moreover H(L) = B and  $\Psi \vdash B : \{r_1 : \tau_1, \ldots, r_k : \tau_k\} \rightarrow \{\}$ 

Proof: by induction on typing derivations...

#### Lemma

If  $\vdash \Sigma_1$  then there exists a  $\Sigma_2$  such that  $\Sigma_1 \mapsto \Sigma_2$ 

$$\frac{\vdash H : \Psi \qquad \Psi \vdash R : \Gamma \qquad \Psi \vdash \mathsf{jmp} \, v : \Gamma \to \{\}}{\vdash (H, R, \mathsf{jmp} \, v)}$$

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The third premise must be a derivation that ends in the rule:

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By Canonical Forms, we have R(v) = L and H(L) = B'. Therefore:

$$\frac{R(v) = L \qquad H(L) = B'}{(H, R, \text{jmp } v) \mapsto (H, R, B')}$$

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The third premise must be a derivation that ends in the rule:

$$\frac{\Psi; \Gamma \vdash v : \Gamma}{\Psi \vdash \mathsf{jmp}\, v : \Gamma \to \{\}}$$

Moreover, the operational rule must be

$$\frac{R(v) = L \qquad H(L) = B'}{(H, R, \text{jmp } v) \mapsto (H, R, B')}$$

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